

Predictive factors of lateral lymph node metastasis in conventional papillary thyroid carcinoma

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Background: Lymph node metastasis (LNM) has been a significant predictor for local recurrence and distant metastasis in papillary thyroid carcinoma (PTC). However, the clinical predictors for conventional papillary thyroid carcinoma (CPTC) with lateral lymph node metastasis (LLNM) have yet to be established. Our work aimed to effectively identify the risk factors of LLNM in CPTC, helping surgeons to devise better individualized therapy strategies.

Methods: We retrospectively analyzed 652 patients who were diagnosed with CPTC in the Department of General Surgery at Guangdong Provincial People's Hospital between October 2015 and June 2019. Univariate and multivariate logistic regression was used to analyze the correlation between clinicopathological characteristics and the LLNM of CPTC.

Results: The incidence of LLNM in CPTC was 29.75% (194 of 652 patients). Univariate analysis found that LLNM was significantly associated with gender, age, number of central lymph nodes (CLNs), primary tumor location, multifocality, maximum tumor diameter, and BRAF V600E mutation. Independent risk factors for LLNM were multifocality (P=0.017, OR =4.325, 95% CI: 1.298–14.408), maximum tumor diameter (P<0.001, OR =4.076, 95% CI: 1.945–8.540), primary tumor location (P=0.037, OR =2.127, 95% CI: 1.046–4.328), number of CLNs (P<0.001, OR =8.604, 95% CI: 3.630–20.390), and BRAF V600E mutation (P=0.001, OR =3.913, 95% CI: 1.803–8.496) by multivariate analysis.

Conclusions: LLNM in CPTC was related to the large volume of central lymph node metastasis (CLNM), tumor located in the upper lobe, multifocality, tumor diameter >2 cm, and BRAF V600E mutation-negative, which should be considered when examining patients with suspected metastasis in the lateral compartment.

Keywords: Lymphatic metastasis; thyroid neoplasms; risk factors

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Introduction

The incidence rate of thyroid cancer, predominantly PTC, continues to increase rapidly (1,2). Compared with other

subtypes of thyroid cancer, PTC prefers to metastasize through lymphatic channel. Many studies have shown that LNM in PTC is a significant risk factor of local recurrence and distant metastasis, which may decrease the

patient survival rate (3-5). And the percentage of lateral compartment metastasis in LNM of PTC ranges from 28.8-80.6% (6,7). Traditionally, lymphatic metastasis first takes place in the central compartment and subsequently invades the lateral sections by following the anatomic lymphatic channels (8). However, skip metastasis, which is defined as shift of metastasis to the lateral neck without central compartment metastasis, can sometimes occur (9). For treatment, although radioactive iodine therapy and systemic therapy are important elements for a multifaceted treatment of PTC, surgery is always the essential part and top-priority treatment of PTC. And lymph node dissection is an efficient supplement to thyroidectomy. Nowadays, prophylactic central neck dissection in PTC is generally accepted while lateral neck dissection is only performed therapeutically (10). According to 2015 ATA Guidelines, prophylactic central neck dissection should be considered in those PTC patients with clinically uninvolved central neck lymph nodes who have advanced primary tumors (T3 or T4) or clinically involved lateral neck nodes. However, lateral neck dissection was suggested to be performed for patients with biopsy-proven metastatic lateral lymphadenopathy. Thus, it's more difficult to detect lateral compartment metastasis than central compartment metastasis. And if we can determine the predictive factors of lateral compartment metastasis in PTC, it will help us better evaluate the status of the lateral lymph node preoperatively.

According to the new World Health Organization (WHO) classification of thyroid tumors, a PTC less than or equal to 10 mm in diameter is called papillary thyroid microcarcinoma (PTMC) and PTC with a maximum diameter of more than 10 mm is defined as conventional PTC (CPTC) (11). Research has shown that CPTC has a significantly higher LNM rate than PTMC (6,12,13). It may indicate that CPTC has different biological behavior compared with PTMC. Therefore, it's necessary to separate CPTC patients from PTMC patients. However, only a few studies have explored the clinicopathological characteristics of CPTC with lateral lymph node metastasis (LLNM). We thus conducted a retrospective study to investigate the predictive factors of LLNM in CPTC patients. Compared with previous studies of PTC, the novelty of our study was that we specially focused on analyzing the specific subgroup of PTC, which was called CPTC.

In addition, many studies have shown a connection of the BRAF V600E mutation with invasive pathological feature of PTC, such as LNM, extrathyroidal invasion and even the increased mortality of PTC. BRAF is a serine/threonine kinase which transduces signals downstream of RAS via the mitogen-activated protein kinase (MAPK) pathway (14). BRAF mutation is among the most common genetic change in tumors, particularly in thyroid carcinoma, about 45% cases of PTC occurs this kind of change. The T1799A nucleotide transversion in the BRAF gene (NM_004333) is a prominent oncogenic mutation in PTC. This mutation causes a valine-to-glutamic acid change in codon 600 of the BRAF protein, resulting in BRAF V600E (15). As a significance factor of predicting tumor's aggressiveness, in consequence, we also investigate the effect of BRAF V600E mutation on CPTC lateral neck metastasis.

We present the following article in accordance with the STROBE reporting checklist (available at http://dx.doi. org/10.21037/gs-20-482).

Methods

General clinical materials

The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013), and was approved by the Research Ethics Committee of Guangdong Provincial People's Hospital, Guangdong Academy of Medical Sciences (No. GDREC2020097H). Because of the retrospective nature of the research, the requirement for informed consent was waived.

A total of 681 patients, suspicious for CPTC and admitted to the Department of General Surgery at Guangdong Provincial People's Hospital between October 2015 and June 2019 were retrospectively reviewed. Preoperative evaluation of lymph nodes included ultrasonic examination (US), neck computed tomography scan (CT), and positron emission tomography-computed tomography (PET-CT). All patients required a US to routinely assess the status of primary tumor and lymph nodes, while CT and PET-CT were used only in some patients as needed. Fine-needle aspiration (FNA) was not routinely used for the diagnosis of lymph node metastasis in our hospital. Total thyroidectomy with bilateral central lymph node dissection (CLND) was performed in all patients. Meanwhile, ipsilateral lateral lymph node dissection (LLND) was performed in those with clinically positive LLNM as detected by preoperative imaging examinations or FNA, or highly suspicious of lateral metastasis intraoperatively. The CLND in our department is performed superiorly to the thyroid cartilage, inferiorly to the innominate vein, externally to the medial carotid sheaths, posteriorly to the

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prevertebral fascia, and anteriorly to the superficial layer of deep cervical fascia. Meanwhile, the pretracheal and prelaryngeal lymph nodes are also considered a part of the central compartment. LLND is performed superiorly to the posterior belly of digastric muscle, inferiorly to the subclavian vein, externally to the anterior border of the trapezius muscle, and posteriorly to the prevertebral fascia, which is also called level II-V dissection. The pathological diagnosis of surgical specimens was confirmed by at least three pathologists who were experienced in the pathology department of our hospital. For patients who did not receive LLND, if LLNM was discovered by US and confirmed by FNA or surgery within 6 months after initial surgery, they would be considered as having occult LLNM at the time of operation. Those in whom no evidence was found of LLNM by imaging tests within 6 months after initial surgery were considered to not have LLNM.

The expression of BRAF V600E mutant protein in these cases was detected by Ventana IHC method (VE1 antibody). Four-micrometer serial sections from formalin fixed paraffin embedded (FFPE) tissues were cut and placed in a 60 °C constant temperature thermotank overnight. We used a commercially available Ventana MMR IHC Panel (Ventana Medical Systems, Inc. Tucson, Arizona, USA) which includes: anti-BRAF V600E (VE1) Mouse Monoclonal Primary Antibody, ultra-View universal DAB Detection Kit and OptiView Amplication Kit. IHC staining was performed on an Automated Staining System (BenchMark XT, Ventana Medical Systems, Inc. Arizona, USA) and the staining procedures refer to the manufacturer's kit instructions. Dewaxing time was 2 h, heat pretreatment was 56 min, and antibody was added and then incubated at 37 °C for 36 min, Harris hematoxylin was counterstained for 2 min. The staining results were observed by the microscope and analyzed by two pathologists according to the scoring criteria.

The following information was collected to establish our retrospective database: gender, age, maximum tumor diameter, condition of capsule invasion, condition of extracapsular extension, primary tumor location, number of central lymph nodes (CLNs), condition of diagnosing autoimmune thyroid disease, condition of bilaterality, condition of multifocality, and condition of *BRAF* V600E mutation.

Some patients were excluded from our study basing on any one of the following criteria: presence of other types or combined with other types of thyroid malignancy, coexistence of other head or neck malignancies, without US examination

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6 months after surgery, prior surgery or radiotherapy of the neck, and other distant metastases. Ultimately, a total of 652 patients were eligible for our study.

Statistical analysis

Data collection was performed using Microsoft Excel. Statistical analysis was performed using IBM SPSS statistics 25.0 software. Continuous variables are presented as means with standard deviations. Categorical variables are presented as numbers. Univariate analyses by the χ^2 test or Fisher's exact test was performed to explore the relationships between CPTC and clinicopathologic characteristics. Multivariate analysis was performed by binary logistic regression to investigate the independent factors of LLNM in CPTC. P values <0.05 were considered statistically significant.

Results

Characteristics of patients and tumors

As shown in Table 1, 652 patients who met our criteria were enrolled in this study. There were 189 (29.99%) men and 463 (70.01%) women. Patients were divided into two groups using age of 40 years as the cutoff point, with 316 (48.47%) patients being aged <40 years and 336 (51.53%) being aged \geq 40 years. The mean age of was 41.23±12.73 years. Maximum tumor diameter >2 cm was found in 183 (28.07%) patients while 469 (71.93%) tumors were ≤2 cm in diameter. The mean maximum tumor diameter was 1.91±0.80 cm. A total of 211 tumors presented capsule invasion, and 127 tumors presented extracapsular extension. In addition, 92 of the primary tumors were located in the upper lobe, while 232 of the primary tumors were located in the middle or lower lobe. We failed to define the location of 328 tumors because some of them were so massive in size that they occupied the entire lobe of the thyroid. Number of harvested and metastatic CLNs were 6.11±4.68 and 2.61±3.19 respectively. Moreover, 108 patients were classed into the large volume LNM group (more than 5 pathologically confirmed lymph nodes with all involved lymph nodes less than 3 cm in largest dimension) and 525 patients were classed into the small volume LNM group (no more than 5 pathologically confirmed lymph nodes with all involved lymph nodes less than 3 cm in the largest dimension or no central compartment metastasis). Multifocal tumors were found in 246 patients, bilateral

Table 1 Clinicopathologic characteristics of patients and univariate analysis of the risk factors of LLNM in CPTC (n=652)

Characteristics	No. of patients	Results		Р
		LLNM	Non-LLNM	F
Gender				0.005*
Male	189	71	118	
Female	463	123	340	
Age (mean ± SD)	41.23±12.73	37.93±13.37	42.63±12.20	<0.001*
<40 years	316	122	194	
≥40 years	336	72	264	
Maximum tumor diameter (mean \pm SD)	1.91±0.80	2.23±0.94	1.77±0.69	<0.001*
≤2 cm	469	106	363	
>2 cm	183	88	95	
Capsule invasion				0.348
Yes	211	68	143	
No	441	126	315	
Extracapsular extension				0.604
Yes	127	40	87	
No	525	154	371	
Primary tumor location				0.001*
Upper	92	33	59	
Middle or lower	232	43	189	
Undefined	328	118	210	
Number of CLN (mean ± SD)				<0.001*
Harvested	6.11±4.68	7.69±5.34	5.47±4.22	
Metastatic	2.61±3.19	4.61±3.80	1.79±2.48	
Number of CLN				<0.001*
≤5 (small volume)	525	116	409	
>5 (large volume)	108	68	40	
Undefined	19	10	9	
Autoimmune thyroid disease				0.936
Yes	93	28	65	
No	559	166	393	
Multifocality				0.017
Yes	246	89	157	
No	405	105	300	
Undefined	1	0	1	

Table 1 (continued)

Table 1 (Continued)

Characteristics	No. of patients —	Results		5
		LLNM	Non-LLNM	Р
Bilaterality				0.082
Yes	182	65	117	
No	468	129	339	
Undefined	2	0	2	
BRAF V600E mutation				0.004*
Positive	434	122	312	
Negative	102	44	58	
Undefined	116	28	88	
CLNM				
Yes	447	171	276	
No	205	23	182	
LLNM				
Yes	194			
No	458			

*, symbol for statistically significance. LLNM, lateral lymph node metastasis; CPTC, conventional papillary thyroid carcinoma; CLN, central lymph node.

Characteristics	P value	OR	95% CI
Number of CLNs (≤5/>5)	<0.001	8.604	3.630–20.390
Primary tumor location (upper/others)	0.037	2.127	1.046-4.328
Multifocality (yes/no)	0.017	4.325	1.298-14.408
Maximum Tumor diameter (≤2/>2 cm)	<0.001	4.076	1.945-8.540
BRAF V600E mutation (+/-)	0.001	3.913	1.803-8.496

+, positive; -, negative. LLNM, lateral lymph node metastasis; CPTC, conventional papillary thyroid carcinoma; CLN, central lymph node.

tumors were found in 182 patients, and 93 patients were diagnosed with autoimmune thyroid disease pathologically. *BRAF* V600E mutation-positive was found in 434 (66.56%) patients while 102 (15.64%) were negative. Among all the patients, 194 were diagnosed as LLNM.

Predictive factors of LLNM in CPTC

As shown in *Table 1*, univariate analyses revealed that LLNM in CPTC was significantly related to gender (P=0.005), age (P<0.001), maximum tumor diameter

(P<0.001), primary tumor location (P=0.001), multifocality (P=0.017), *BRAF* V600E mutation (P=0.004), and the number of CLNs (P<0.001). There were no significant differences in other clinicopathological factors such as capsule invasion (P=0.348), extracapsular extension (P=0.604), autoimmune thyroid disease (P=0.936), or bilaterality (P=0.082). The multivariate analysis results are shown in *Table 2*. A binary logistic regression revealed that multifocality (P=0.017, OR =4.325, 95% CI: 1.298–14.408), maximum tumor diameter (P<0.001, OR =4.076, 95% CI: 1.945–8.540), primary tumor location (P=0.037, OR

=2.127, 95% CI: 1.046–4.328), number of CLNM (P<0.001, OR =8.604, 95% CI: 3.630–20.390) and *BRAF* V600E mutation (P=0.001, OR =3.913, 95% CI: 1.803–8.496) were independent predictors of LLNM in CPTC.

Discussion

We analyzed 652 patients with pathologically diagnosed CPTC and found that the incidence rate of LLNM was 29.75% (194 of 652 patients). In our study, we found that a large volume of CLNM was an independent risk factor of LLNM (P<0.001, OR =8.604, 95% CI: 3.630-20.390). CLNM was also confirmed to be a predictive factor of LLNM in PTC patients by other researchers (16-18). Ducoudray et al. demonstrated that the incidence of LLNM in patients with 3 or more metastatic CLNs was significantly higher than those without CLNM (P<0.0001, OR =6.416) (1,2,19). According to the 2015 American Thyroid Association (ATA) Risk Stratification System, patients with more than 5 pathologically confirmed lymph nodes with the largest dimension less than 3 cm were classified as intermediate risk of recurrence, and those with any lymph nodes equal or larger than 3 cm in largest dimension were classified as high risk (10). This shows the relationship between large volume LNM and recurrence risk, while our study found a relation between large volume LNM with the and the risk of LLNM in CPTC. Moreover, a large-scale study comprising 69,757 patients reported that as the number of metastatic cervical lymph nodes increased (up to 6 lymph nodes) survival decreased (3).

With univariate and multivariate analyses, we found tumors located in the upper lobe showed a significantly higher rate of LLNM than those located in the other side of the lobe (P=0.037, OR =2.127, 95% CI: 1.046-4.328). This finding was consistent with the previous studies of PTMC (20,21), and higher tumor burden might be one of the explanations for this. Compared to the other sides, the upper lobe has a relatively small amount of tissue, due to the butterfly shape of the thyroid gland. Consequently, same side of the tumor in the upper lobe has a higher tumor burden than that in the middle and lower lobe (22). Another explanation is that the tumor located in the upper lobe is able to spread directly to the ipsilateral lateral lymph compartment via lymphatic ducts along the superior venous vessels (8,23,24). Through these channels, tumors can metastasize directly to the lateral compartment by bypassing the central compartment, which is defined as skip metastasis. Our study found skip metastasis in in 23 tumors.

Multifocality of tumor was also a risk factor for LLNM in CPTC (P=0.017, OR =4.325, 95% CI: 1.298–14.408). Previous studies have already correlated multifocality with regional LNM (25,26). It was believed that the clonal origin of multifocality is caused by the intraglandular dissemination of a single primary tumor, which indicates increased aggressiveness (27). However, Nam *et al.* thought that multiple foci might arise as distinct cancers and have individual characteristics of their own (7). In a study of 60 patients, it was found that at least 30% of multifocal PTCs developed through distinct molecular alterations (28). Thus, the increased number of tumors, rather than the aggressiveness of the primary tumor, might result in the increased possibility of LNM.

CPTC has been proven to exhibit a significantly higher rate in LLNM than PTMC (13,29). Our study used 2 cm as the cutoff point of tumor diameter, according to the American Joint Committee on Cancer (AJCC) 8th edition of tumor-node-metastasis (TNM) classification system, and found tumor diameter ≥ 2 cm was a predictive factor for LLNM in CPTC patients (P<0.001, OR =4.076, 95% CI: 1.945-8.540). Another finding was that BRAF V600E mutation-negative was more likely to present with LLNM than mutation-positive (P=0.001, OR =3.913, 95% CI: 1.803-8.496). This was unexpected because previous reports demonstrated the association of BRAF V600E mutation with aggressive clinicopathologic characteristics in PTC (30-32). In addition, univariate analysis revealed male and younger (age <40 years) patients were statistically risk factors, although multivariate analysis found no significant difference.

Our study aimed to identify predictive factors of LLNM in CPTC in order to help surgeons build a strategy when facing tumors with a maximum diameter of more than 10 mm. However, there were several limitations in our study. Firstly, our study was only a single-center retrospective analysis. Also, selection bias was inevitable because prophylactic lateral compartment dissection was not recommended by international guidelines, and so all cases enrolled were already confirmed with LLNM. Therefore, some of our findings need to be further explored by multicenter prospective studies.

Conclusions

In our study, LLNM of CPTC was significantly associated with gender, age, number of CLN, primary tumor location, multifocality, maximum tumor diameter, and BRAF V600E mutation. The independent predictors of LLNM in CPTC were large volume of CLNM, tumor located in the upper lobe, multifocality, tumor diameter >2 cm, and *BRAF* V600E mutation-negative. These risk factors could help us devise individualized therapy strategies when facing patients suspicious of LLNM. However, larger multicenter studies still need to be conducted to further confirm our study of LLNM in CPTC.

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Footnote

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Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013), and was approved by the Research Ethics Committee of Guangdong Provincial People's Hospital, Guangdong Academy of Medical Sciences (No. GDREC2020097H). Because of the retrospective nature of the research, the requirement for informed consent was waived.

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