

Immune responses of dendritic cells combined with tumor-derived autophagosome vaccine on hepatocellular carcinoma

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Background: To induce and collect tumor-derived autophagosomes (DRibbles) from tumor cells as an antitumor vaccine by inhibiting the functions of proteasomes and lysosomes.

Methods: Dendritic cells (DCs) generated from peripheral blood mononuclear cell (PBMC) of hepatocellular carcinoma (HCC) patients were cocultured with DRibbles, and then surface molecules of DCs, as well as surface molecules on DCs, were determined by flow cytometry. Meanwhile, immune responses of the DCs-DRibbles were examined by mixed lymphocyte reactions.

Results: DRibbles significantly induced the expression of CD80, CD83, CD86 and HLA-DR on DCs. The enzyme-linked immunosorbent assay (ELISA) showed that IFN- γ levels after vaccination increased than before in most patients, but CD8+ proportion of PBMC increased only in nine patients. Higher levels of IFN- γ were detected in the CD8+ cells than CD4+ T cells. These results suggested that DCs-DRibbles vaccine could induce antigen-specific cellular immune response on HCC and could prime strong CD8+ T cell responses, supporting it as a tumor vaccine candidate.

Conclusions: Our results demonstrate that HCC/DRibbles-pulsed DCs immunotherapy might be deployed as an effective antitumor vaccine for HCC immunotherapy in clinical trials.

Keywords: Autophagy; dendritic cells (DCs); antitumor immunity; hepatocellular carcinoma (HCC)

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Introduction

Liver cancer is one of the most common malignancies in China with 358,840 incident cases and 312,432 deaths in 2010 (1). In 2012, half of all new cases of liver cancer and related deaths worldwide were estimated to occur in China (2). Hepatocellular carcinoma (HCC) accounts for 70–80% of all liver cancers. The clinical evidence shows that the majority of liver cancer patients have poor responses to chemotherapy and radiotherapy. Therefore, new methods and means are needed for the clinical treatment of liver cancer.

Cross-presentation is the ability of host professional antigen-presenting cells (APCs) to capture, process,

and present exogenous antigens to T cells (3). Antigen cross-presentation is pivotal for the initiation of T-cell immune responses, and is necessary for the elimination of many pathogens (4,5). Evidence in the literature suggests that cross-presentation of melanoma antigens during vaccination was essential for an effective anti-tumor therapy. These data indicate that targeting cross-presentation may be a unique approach for immunotherapy for cancer (6). Therefore, the first critical component of successful therapeutic cancer vaccines is to maximize the efficiency of cross-presentation (7).

Autophagy is a basic cellular process in which unnecessary or dysfunctional cellular components are

sequestered by autophagosomes and delivered to lysosomes for degradation (8,9). As we know, autophagy in tumor-targeted therapy is thought to be a “double-edged sword”, which plays a prodeath or prosurvival role (10-12). On the other hand, it has been reported that autophagy can be induced by metabolic stress and antitumor therapies (13,14). Although the role of autophagy in tumor therapy is complicated and not yet fully understood, it has been considered an attractive approach for anticancer therapy.

Accumulating evidences suggest that autophagy plays an important role in both innate and adaptive immunity (15,16). Moreover, it has been shown that autophagy in tumor cells is essential for cross-presentation of tumor antigens and subsequent induction of tumor immunity (17). As we know, proteins in tumor cells are degraded either by the autophagy-lysosome pathway or the ubiquitin-proteasome system. Though little about the transition between the two pathways is known, it is believed that short-lived proteins, including defective ribosomal products (DRiPs) are ubiquitinated and degraded by proteasomes and long-lived proteins are separated into autophagosomes for lysosomal degradation. Autophagosomes have been identified as critical tumor-antigen carriers for cross-presentation (18). Recently, some results showed the enhanced antigen presentation was related to autophagy (19,20). In this study, autophagosomes from SMMC7721, a human HCC cell line, were induced by rapamycin (autophagy revulsive), bortezomib (proteasome inhibitor), and ammonium chloride (lysosomotropic agent), by which the fusion of lysosomes and phagosomes would be prevented according to previous reports (18,21).

Autophagosomes of tumor cells were isolated from both the cells and the culture medium, and these DRiPs containing autophagosome-rich blebs were termed “DRibbles”. Recently, it has been reported that DRibbles act as a potent antigen source and induce enhanced immune responses in vaccine studies (21). Our present study was to induce and characterize DRibbles from the SMMC7721 cell line, and further to elucidate its possible therapeutic antitumor efficacy on HCC.

Materials and methods

Patients

In this study, 17 HCC patients, who were treated in Department of Hepatobiliary Surgery, the Second Hospital of Nanjing from Feb 2011 to Feb 2012, were included.

Inclusion criteria included primary liver cancer and Eastern Cooperative Oncology Group (ECOG) score 0-3. Exclusion criteria included pregnancy, active liver disease, or metastatic liver cancer. The study was approved by the Ethics Committee of the Second Hospital of Nanjing, and conducted in accordance with the ethical standards described in the Helsinki Declaration (revised in 1983), using Good Clinical Practices (GCP) after approval by the hospital. All patients were gave written informed consent prior to participation. Key eligibility criteria included previously untreated HCC that was not considered curable by resection or liver transplant.

Culture of DCs and SMMC7721 cell line

CS-3000 blood cell separator was used to collect peripheral blood mononuclear cell (PBMC) of HCC patients. PBMCs (1×10^6 /well) were cultured in RPMI 1640 complete medium containing 10% fetal bovine serum (FBS; Invitrogen, Grand Island, NY, USA), 10 ng/mL murine granulocyte-macrophage colony-stimulating factor (GM-CSF; eBioscience, San Diego, CA, USA), and 1 ng/mL murine interleukin-4 (IL-4; eBioscience) for 5 d. Half the medium with GM-CSF and IL-4 was gently replaced on d 2 and d 4. SMMC7721 human HCC cell line was cultured in DMEM supplemented with 10% FBS, 2 mmol/L L-glutamine, 100 U/mL penicillin, and 100 mg/mL streptomycin (Invitrogen, Grand Island, NY, USA).

Preparation of DRibbles

SMMC7721 cells were cultured in DMEM complete medium containing 10% FBS, and treated with rapamycin (Enzo Life Sciences), bortezomib (Velcade, Millennium Pharmaceuticals) and NH_4Cl for 16–24 h. The resulting suspension was pre-cleared by centrifugation at 1,600 r/min for 10 min, and the supernatant was then separated from the crude autophagosome-containing large vesicles (DRibbles) consisting of cytosolic components by a 30-min centrifugation at 12,000 r/min followed by washing with phosphate-buffered saline (PBS) and a second 30 min centrifugation at 12,000 r/min. The DRibbles were then aliquoted into tubes for freezing. The total protein concentration was measured by bicinchoninic acid (BCA) assay according to the manufacturer’s protocol (BCA Protein Assay Kit, Thermo). DRibbles were resuspended by PBS with the total concentration of 1 mg/mL and kept at -20°C for short-term storage (less than 1 month) or -80°C for long-term storage.

Treatment

The blood was sampled on the first day. On the 5th day, before injection, DRibble vaccine was prepared under 10 Gy γ -ray irradiation for 30 min to remove non-specific antigen. Then the patients were vaccinated with DCs (1×10^6) loaded with DRibbles (25 $\mu\text{g}/\text{mL}$) in a total volume of 40 μL PBS by B ultrasound-guided percutaneous injection of bilateral inguinal lymph node. On the 8th day, the second treatment circle began, and generally 4–5 times of 7-day treatment were given as a course. After treatment, the patients' blood was used for immunological detection by the following methods. The patients were followed up for an average of 25.4 months (range, 12–48 months), and 1, 2 and 3-year survival was calculated.

Flow cytometry

For analysis of surface markers, DCs were incubated with DRibbles (0, 5, 25 and 50 $\mu\text{g}/\text{mL}$) for 24 h (treated with PBS as a control). Then, cells were harvested, thoroughly washed, and incubated with fluorochrome-conjugated specific antibodies for CD80, CD83, CD86 and HLA-DR (eBioscience) at recommended dilutions for 30 min at 4 °C. Meanwhile, mononuclear cells obtained from lymph nodes and spleens were loaded with DRibbles (25 $\mu\text{g}/\text{mL}$) for 72 h and incubated with fluorochrome-conjugated specific antibodies (CD80-PE, CD83-APC, CD86-FITC, HLA-DR-perCp, eBioscience) under the same conditions.

Analysis of total number of T cells

After collecting 5 mL peripheral blood of patients and centrifuging at 1,000 r/min for 8 min, the cells was washed with 5 mL PBS and centrifuged to remove serum specific antigens, and then suspended in PBS to 1×10^6 cells/mL. Then 20 μL of mouse antihuman monoclonal antibodies against CD3-PE, CD4-APC, CD8-FITC and CD56-perCp were added to 1,000 μL cell suspension, and labeled at 4 °C for 30 min. After centrifuging at 1,000 r/min for 5 min, the cells were washed with 3 mL PBS twice and finally suspended in PBS to 1×10^6 cells/mL. Flow cytometry was used to detect the phenotype.

Immunization and detection of immune responses

DCs (20 μL samples containing 10^6 cells) pulsed by SMMC7721-derived DRibbles (20 μg total protein) and

the same volume of PBS were injected directly into both inguinal lymph nodes of patient on d 1, and were boosted by 100 μL DCs-DRibbles or PBS subcutaneously on d 2, d 3 and d 7. PBMC were collected, red cells were lysed by Red Cell Lyse Buffer, and lymphocytes were resuspended in RPMI 1640 complete medium and seeded into 24-well plates. SMMC7721-derived DRibbles (30, 10, 3, and 0 μg total protein/mL), inactivated SMMC7721 cells and SMMC7721 cell lysates (30 μg total protein/mL) were added to the cell cultures. After 72 h of incubation, the cell culture supernatants were collected, and the concentration of IFN- γ was measured by enzyme-linked immunosorbent assay (ELISA) (eBioscience). The lymphocytes were selected using CD8+ cells and CD4+ cells on magnetic beads (Dynabeads FlowComp Mouse CD8, Invitrogen) and co-cultured with different antigens. IFN- γ was measured after 72 h of co-incubation.

Statistical analysis

All data were expressed as $\bar{x} \pm s$, and T cell counts before and after the injection of DCs-DRibbles vaccine were analyzed by paired *t*-test using SPSS 19.0 (SPSS Inc., Chicago, IL, USA). $P < 0.05$ was considered statistically significant.

Results

Patient characteristics

Among the enrolled patients, 9 (52.9%) were males, and the median age was 56 years old [interquartile range (IQR), 45–64 years]. At diagnosis, 10 (58.8%) were classified into Child-Pugh class A, 6 (35.3%) into class B, and 1 (5.9%) into class C. There were 4 (23.5%) patients at TNM stage I, 6 (35.3%) at stage II, 6 (35.3%) at stage III, and 1 (5.9%) at stage IV. The median tumor size was 6.2 cm (IQR, 2.5–19.5 cm), and the number of patients with solitary tumor was 11 (64.7%). The case numbers of presence of portal vein thrombosis (PVT) and extra-hepatic metastasis were 5 (29.4%) and 3 (17.6%), respectively.

Morphology of DC cells

Adherent cells were obtained after 3 h. After 3–4 d of cell factor culture, the cell morphology was irregular. With the extension of time, the suspended cells increased gradually, neurites extended from the cells, and cells showed the trend of cluster formation. As shown in *Figure 1*, there are many

short and small burrs on the surface of DCs.

DRibbles-induced expression of surface molecules on DCs

To investigate the role of DRibbles in modulating DC function, we evaluated the effects of DRibbles on the expressions of CD80, CD83, CD86 and HLA-DR of DCs. As shown in *Figure 2*, DRibbles significantly induced the expression of CD80, CD83, CD86 and HLA-DR of DCs.

Analysis of total number of T cells

CD4+ T cell increased significantly after injection of DCs-

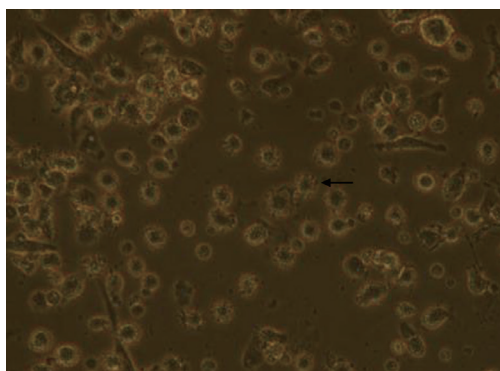


Figure 1 DCs 3-4 d after adding cell factors (200 \times). “ \leftarrow ” shows the burrs. DC, dendritic cell.

DRibbles vaccine compared with before ($t=2.18$, $P<0.05$) (*Table 1*).

Immune responses of lymphocytes induced by DCs-DRibbles

IFN- γ was detected in the supernatant after 72 h of incubation. The ELISA showed that IFN- γ post vaccination increased than before. Higher levels of IFN- γ were detected in the CD8+ cells than in CD4+ T cells. These results suggested that DCs-DRibbles vaccine could induce antigen-specific cellular immune response on HCC and could prime strong CD8+ T cell responses, supporting it as a tumor vaccine candidate. CD8+ proportion of PBMC increased in 9 patients. IFN- γ spot increased in 14 patients post vaccination (*Table 2*).

Survival rate

The 1-, 2- and 3-year survival was 17/17, 16/17 and 12/17, respectively. Eight patients achieved partial remission (PR), 5 stable disease (SD), and 4 progressive disease (PD).

Safety assessment

The majority of patients had grade 1–2 toxicity (*Table 3*) and only one patient had grade 3 toxicity, indicating the vaccine was safe.

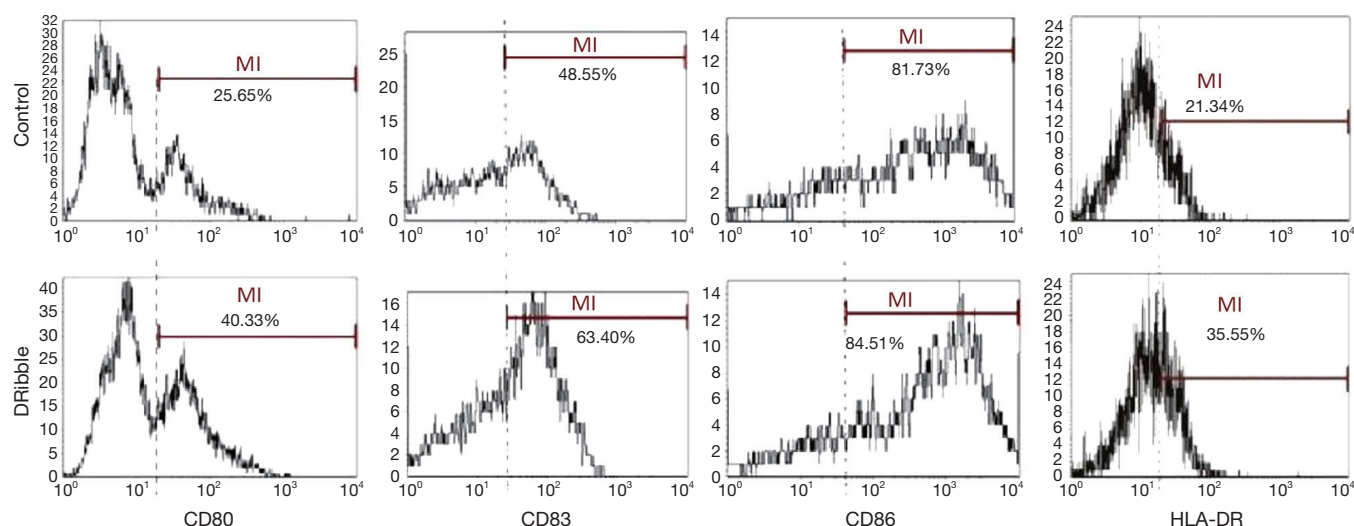


Figure 2 Surface molecules of DCs loaded with DRibbles. DCs were incubated with DRibbles (25 $\mu\text{g}/\text{mL}$) for 12 h. CD80, CD83, CD86 and HLA-DR were analyzed by flow cytometry. DC, dendritic cell.

Table 1 T cell counts before and after injection of DCs-DRibbles vaccine ($\bar{x} \pm s$)

T cell subset	Pre-vaccination	Post-vaccination	P*
CD3+	57.49±9.43	58.94±18.76	>0.05
CD3+ CD4+ CD8-	55.35±11.31	45.15±15.66	<0.05
CD3+ CD4- CD8+	36.34±10.65	42.24±16.06	>0.05
CD3+ CD56+	9.01±7.33	12.47±7.00	>0.05

*, paired *t*-test. DC, dendritic cell.

Table 2 Immune responses of lymphocytes induced by DCs-DRibbles

Patient No.	IFN- γ spot		CD8+ proportion of PBMC
	Pre-vaccination	Post-vaccination	
HCC01	/	+	Unchanged
HCC02	/	-	↓
HCC03	/	+	↑
HCC04	/	+	↑
HCC05	/	-	↓
HCC07	/	+	↑
HCC08	/	+	↑
HCC09	-	weak +	↓
HCC10	+	+	↑
HCC11	-	+	↑
HCC12	-	+	↓
HCC13	-	+	↑
HCC14	-	+	Unchanged
HCC15	-	+	Unchanged
HCC16	-	+	↑
HCC17	-	+	↑

DC, dendritic cell; PBMC, peripheral blood mononuclear cell; HCC, hepatocellular carcinoma.

Discussion

HCC is one of the most deadly cancers in the world, ranking third among all cancer-related deaths. Potentially curative therapies can be offered to approximately 30% of patients, and are complicated by a high rate of recurrence (22). Although many kinds of antitumor strategies have been developed, HCC is still treated traditionally with surgery, radiotherapy and chemotherapy (23). The limitations in treatment efficacy of current therapeutic modalities point to the urgent need of new efficient therapeutic strategies to decrease the incidence and mortality of HCC. There

Table 3 Toxic effect caused by DCs-DRibbles (N=17)

Adverse reactions	n	Incidence rate (%)
Weak toxicity		47.06
Grade 1	4	
Grade 2	4	
Rash		52.94
Grade 1	5	
Grade 2	3	
Grade 3	1	
Diarrhea		23.53
Grade 1	2	
Grade 2	2	
Nausea		35.29
Grade 1	3	
Grade 2	3	

DC, dendritic cell.

is thus an urgent need of multiple, successive treatment options for HCC, including immunotherapy. In a previous study, we documented the unique characteristics and potent antitumor efficacy of an autophagosome-based DRibble vaccine (24).

In past years, a variety of cancer vaccines have been used in immunotherapy trials (25,26). These vaccines highlight new therapeutic approaches to enhance the patients' own immunity. Therefore, tumor-antigen inclusion and efficient cross-presentation are important elements for successful treatment with cancer vaccines (25). In this study, we demonstrated that DRibbles deriving from the SMMC-7721 cell line could enhance co-stimulatory molecule expression in DCs and B cells and strengthen the immunostimulatory function of DCs, and explored the efficacy of DRibbles in clinical trials.

In this study, we focused on DRibble modulation on APCs, especially on DCs. The features of DCs shown

in *Figure 1* were in accordance with autophagosomes in previous research (25,26). Our study showed that DRibbles could induce expression of CD80, CD83, CD86 and HLA-DR on DCs (*Figure 2*). These co-stimulatory molecules were upregulated to engage in the APC-T cell interaction, which were key factors for the activity of APCs and subsequent immune responses. This study also found a trend toward higher production of IFN- γ by CD8+ T cells from vaccinated patients, indicating the priming of tumor antigen-specific cytotoxic T lymphocyte (CTL) responses by this novel form of antigen (27).

Conclusions

We identified the unique characteristics and potential antitumor efficacy of DRibbles, and provided insights into the mechanism of DRibbles which could be responsible for their efficacy as a novel cancer immunotherapy. The efficacy of DRibbles should be further determined in future clinical trials.

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Footnote

Conflicts of Interest: The authors have no conflicts of interest to declare.

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