# Transpulmonary pressure: importance and limits

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Abstract: Transpulmonary pressure (PL) is computed as the difference between airway pressure and pleural pressure and separates the pressure delivered to the lung from the one acting on chest wall and abdomen. Pleural pressure is measured as esophageal pressure (PES) through dedicated catheters provided with esophageal balloons. We discuss the role of  $P_L$  in assessing the effects of mechanical ventilation in patients with acute respiratory distress syndrome (ARDS). In the supine position, directly measured  $P_{\rm L}$  represents the pressure acting on the alveoli and airways. Because there is a pressure gradient in the pleural space from the non-dependent to the dependent zones, the pressure in the esophagus probably represents the pressure at a mid-level between sternal and vertebral regions. For this reason, it has been proposed to set the endexpiratory pressure in order to get a positive value of P<sub>L</sub>. This improves oxygenation and compliance. P<sub>L</sub> can also be estimated from airway pressure plateau and the ratio of lung to respiratory elastance (elastance-derived method). Some data suggest that this latter calculation may better estimate  $P_{1}$  in the nondependent lung zones, at risk for hyperinflation. Elastance-derived P<sub>L</sub> at end-inspiration (P<sub>Lend-insp</sub>) may be a good surrogate of end-inspiratory lung stress for the "baby lung", at least in non-obese patients. Limiting end-inspiratory P<sub>L</sub> to 20–25 cmH<sub>2</sub>O appears physiologically sound to mitigate ventilator-induced lung injury (VILI). Last, lung driving pressure ( $\Delta P_L$ ) reflects the tidal distending pressure. Changes in  $P_L$  may also be assessed during assisted breathing to take into account the additive effects of spontaneous breathing and mechanical breaths on lung distension. In summary, despite limitations, assessment of P<sub>L</sub> allows a deeper understanding of the risk of VILI and may potentially help tailor ventilator settings.

**Keywords:** Acute respiratory distress syndrome (ARDS); ventilator-induced lung injury (VILI); respiratory mechanics; driving pressure; mechanical ventilation; esophageal pressure (P<sub>ES</sub>)

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#### Introduction

Mechanical ventilation to restore aeration in the collapsed lung and reverse hypoxemia is a life-saving treatment for patients with the acute respiratory distress syndrome (ARDS). However, despite recent advances in the diagnosis and management, ARDS mortality remain as high as 30–45%, with inappropriate ventilatory settings contributing to morbidity through the so-called ventilator-induced lung injury (VILI) (1,2). Ventilator induced lung injury is a dysregulated inflammatory response that occurs as a means of excessive volume/pressure (volu- and barotrauma) load in the aerated lung (i.e., the baby lung) along with the cyclic opening and closing of distal airways and/or flooded or collapsed alveoli during tidal ventilation (atelectrauma) (1,3,4). Since its introduction in 1950s, mechanical ventilation was aimed at treating the impairment in gas exchange; recent years have witnessed a radical shift towards

a mechanistic 'lung protective' approach, so that nowadays limiting VILI has arisen as a priority in the management of patients with ARDS (5). Limiting tidal volume ( $V_T$ ) to 6 mL/kg of predicted body weight (PBW) with plateau pressure ( $P_{PLAT}$ ) within 30 cmH<sub>2</sub>O for most patients has been shown to improve survival as compared to higher  $V_T$  (12 mL/kg of PBW) (6). Subsequent physiological studies have also suggested that further reduction of  $V_T$  to 3–4 mL/kg may benefit patients who are at higher risk of overdistension (7-10).

Respiratory system compliance  $(C_{RS})$  is directly affected by the size of the aerated lung. Amato et al. suggested that the impact of tidal ventilation on lung injury could be better predicted if  $V_T$  is normalized to  $C_{RS}$  rather than to PBW. The ratio  $V_T/C_{RS}$  is the driving pressure of the respiratory system ( $\Delta P$ ), and can be easily calculated at the bedside as P<sub>PLAT</sub>-PEEP. It was shown to be the final mediator of the effects of lowering  $V_T$  and  $P_{PLAT}$  on mortality (11). Essentially,  $\Delta P$  estimates the mechanical distortion provided by  $V_{T}$  to the baby lung (i.e., the dynamic strain), while P<sub>PLAT</sub> roughly measures the pressure delivered to the baby lung with  $V_T$  and PEEP (the lung stress): both contribute to rate the risk of barotrauma. Introducing transpulmonary pressure  $(P_1)$  into the bedside management has then been proposed for two main purposes: know the influence of the chest wall on airway pressure and determine the pressure needed to keep the lung open. In addition, esophageal pressure  $(P_{ES})$  is essential to assess patient's effort and the P<sub>L</sub> generated during partial ventilatory support.

In the present review, we will describe how  $P_L$  helps assessing mechanical ventilation harms and benefits, its importance and limitations in respiratory mechanics monitoring and its possible usefulness in tailoring patient's management. Because  $P_L$  is the pressure distending the lungs, it is referred to as  $P_L$ .

### P<sub>L</sub> allows to differentiate lungs and chest wall

Airway pressure is the sum of the pressure delivered to move the lung, the chest wall and the pressure required to overcome the resistive forces (when flow is present). In the absence of flow and if airways are open, airway pressure is equilibrated with alveolar pressure. This pressure, being also needed to overcome chest wall, does not always reliably reflect the pressure load the lung is exposed to.

Consequently,  $P_{PLAT}$  during a short end-inspiratory occlusion (0.3–0.5 s) and total PEEP (and consequently  $\Delta P$ ) displayed by the ventilator, being measured at airway

opening, represent alveolar pressure but are only surrogates of the actual pressure acting on the lung, which is best assessed with  $P_L$ .

 $P_L$  is the pressure delivered to the lung independently from the effects of the chest wall and the abdomen and is computed as the difference between airway pressure and pleural pressure. While alveolar pressure is applied to overcome the elastic recoil of the respiratory system [(respiratory system elastance ( $E_{RS}$ )], that is the sum of the elastance of the lung ( $E_L$ ) and the elastance of the chest wall ( $E_{CW}$ ),  $P_L$ , if measured in the absence of flow, represents the actual pressure dissipated across the lung tissue.

Given that both pleural pressure absolute values and chest wall elastance (changes in the pleural pressure due to changes in lung volume) are often impaired in a variable proportion during ARDS (12-15), this concept has relevant implications in clinical practice.

First, PEEP applied on the alveoli needs to overcome pleural pressure to generate recruitment. The effective recruiting PEEP is the one acting on the lung independently from pleural pressure (16,17).

Second, during tidal ventilation, high alveolar pressure may not be injurious *per se* if it is required to overcome  $E_{CW}$ rather than being dissipated across the alveoli: in a 'proof of concept' study, in 1988 Dreyfuss et al. showed that lesional pulmonary edema occurs in paralyzed healthy animals during pressure-control ventilation with high  $V_T$  and airway pressures, but not in those ventilated with similar airway pressures and lower V<sub>T</sub> because of straps applied around their abdomens and chests. The straps were a simple way to raise  $E_{CW}$ ; therefore, for the same airway pressure or  $P_{PLAT}$ , the lung distending pressure (i.e., P<sub>1</sub>) was lower and noninjurious. Their experiments showed that volume (i.e., lung stretching), not airway pressure, was the most important factor in determining injury, a finding that led them to coin the term 'volutrauma' (18). We now interpret these findings as the indirect demonstration of the importance of P<sub>L</sub> in determining 'lung trauma' and injury, which indeed do not occur if P<sub>L</sub> is maintained within safe limits, no matter how high airway and alveolar pressures are.

# **P**<sub>ES</sub> vs. pleural pressure

Direct pleural pressure measurement is complex in experimental conditions and even harder in the clinical setting. Since 1950s,  $P_{ES}$  measured through dedicated balloons has been proposed to estimate pleural pressure and compute  $P_L$  (19). Esophageal manometry has drawbacks



Figure 1 Negative pressure occlusion test during spontaneous breathing. During an end-expiratory occlusion, the patient generated three spontaneously inspiratory efforts (negative pleural pressure) against the occluded airway. The negative change in airway pressure ( $\Delta$ Paw) is identical with the negative change in esophageal pressure ( $\Delta$ Pes). Also, the transpulmonary pressure remains unchanged during end-expiratory occlusion. In this case, the change in esophageal pressure can be used to surrogate the change in pleural pressure. P<sub>L</sub>, transpulmonary pressure.

that indeed have limited its use in the clinical field until the last decade: a great effort has been made to standardize the technical issues concerning catheter placement and signal validation (20). In addition, the recent renewed interest in the topic has provided data that allow a deeper understanding of  $P_{ES}$  meaning and validity in estimating pleural pressure, computing  $P_L$  and understanding respiratory mechanics (21-24).

#### P<sub>L</sub> vs. transalveolar pressure

 $P_L$  is calculated as the difference between the airway pressure and  $P_{ES}$ . When flow is absent, the role of resistive forces is ruled out and airway pressure is equilibrated with alveolar pressure, with  $P_L$  corresponding to transalveolar pressure, provided that airways are fully open (25). Essentially, during end-inspiratory and end-expiratory occlusions, the difference between airway pressure and  $P_{ES}$ is the actual pressure the alveoli are exposed to.

#### Technique

 $P_{\text{ES}}$  is measured through dedicated catheters endowed with esophageal balloon associated or not with gastric balloon

for contemporaneous measurement of gastric pressure.

Briefly, the esophageal catheter is transorally/transnasally inserted in the esophagus, gently advanced to the stomach (usual depth 55-60 cm) and then the balloon is inflated with the minimum non-stress volume recommended by the manufacturer. An underfilled balloon does not properly transmit  $P_{\text{ES}}$ , whereas an overfilled balloon overestimates the value of the surrounding pressure. One technique is to start with inserting the catheter down in the stomach and, after confirming the intragastric placement of the balloon with a gentle epigastric compression, the catheter is progressively withdrawn into the esophagus, as suggested by the appearance of cardiac artifacts on the signal. The validity of the measured P<sub>ES</sub> can be confirmed by either a negative pressure occlusion test (26) during spontaneous breathing (Figure 1) or a positive pressure occlusion test during passive ventilation (Figure 2). In spontaneous breathing patients, during an end-expiratory occlusion, a negative change in the intrathoracic pressure by patient's inspiratory effort generates a consistent change in the airway pressure, as P<sub>L</sub> is necessarily unmodified given the constant lung volume. In patients without spontaneous breathing the change in the intrathoracic pressure is provided by a gentle compression on the chest and is positive, with similar



**Figure 2** Positive pressure occlusion test during passive ventilation. During an end-expiratory occlusion, the patient was not able to generate any inspiratory effort since the patient was ventilated passively (no spontaneous effort for inspiration). A clinician need to manually compress the chest wall or abdomen to generate a transit increase in pleural pressure ( $\Delta Pes$ ). The positive change in airway pressure ( $\Delta Paw$ ) is identical with the positive change in esophageal pressure. Also, the transpulmonary pressure remains unchanged during end-expiratory occlusion. In this case, the change in esophageal pressure can be used to surrogate the change in pleural pressure. P<sub>L</sub>, transpulmonary pressure.

principle and mechanism of action. Ratio of the change in  $P_{ES}$  to the change in airway pressure within 0.8–1.2 is an accepted value to confirm the validity of the measure.

A more detailed technical description of the procedure along with a precise instructional video (https://www.edgecdn.net/video\_1059118?playerskin=37016) have been recently published and made available online by the PLUG working group of the European Society of Intensive Care Medicine (21).

# Pleural pressure gradient

Uncertainties exist concerning the reliability of  $P_{ES}$  in estimating pleural pressure. A vertical gradient in the pleural pressure in the supine patient has been documented in several experimental conditions, with higher values documented in dorsal (dependent) and lower in ventral (nondependent) lung regions (27,28). This raised concerns about the lung regions in which  $P_{ES}$  allows to compute the actual distending pressure. Experimental data and translational results from our group showed that in the supine position pleural pressure increases from sternal to vertebral regions because of a vertical gradient generated by superimposed pressure. This gradient appears magnified by lung injury but is also present in the healthy lung. In such a context,  $P_{ES}$  reliably estimates pleural pressure in the area surrounding the esophagus, which is at a mid-value between ventral non-dependent and dorsal dependent lung regions (24,29).

## Direct measurement of P<sub>L</sub> at end-expiration

#### Description

Directly measured  $P_L$  at end expiration is computed as follows (14):

$$P_{\text{Lend-exp}} = PEEP_{\text{TOT}} - P_{\text{ESend-exp}}$$

where  $PEEP_{TOT}$  and  $P_{ESend-exp}$  are airway and esophageal pressure during an end-expiratory occlusion.

Some authors have proposed to subtract 5 cmH<sub>2</sub>O from the value of  $P_{ES}$  to account for the weight of the mediastinum, but uncertainties exist regarding the validity of this approximation in ICU patients (16,30).

## **Application**

The optimal PEEP setting protocol during ARDS is hotly debated. Low-tidal volumes tend to reduce alveolar

#### Annals of Translational Medicine, Vol 5, No 14 July 2017

recruitment and further impair oxygenation: both effects can be reversed by PEEP (31,32). Moreover, PEEP-induced lung recruitment increases the size of the baby lung (i.e., the functional residual capacity) and, for a given  $V_T$ , may reduce lung dynamic strain and mitigate lung injury (33-35).

Nevertheless, it is widely accepted that PEEP setting should aim to a balance between its capability to re-open the collapsed lung and the unavoidable damage generated in the already open alveoli that occurs as a means of static stress and strain in the baby lung. Hence, over the last decade, great effort has been made to identify the PEEP-setting strategy that best optimizes lung recruitment without producing excessive alveolar overdistension; PEEP titration methods based on  $C_{RS}$  (36-38), oxygenation and shunt values (39,40) and pressure-volume curve (41) have been proposed. Three different randomized studies comparing higher versus lower levels of PEEP, in which higher PEEP values were set according to respiratory mechanics (37) or oxygenation impairment (39,40), failed to detect a significant effect on survival, although some benefits (less use of rescue therapy, reduced ventilation duration) were demonstrated in some studies. A meta-analysis showed a significant survival benefit in most severe patients treated with higher PEEP (42), but the most relevant drawback of such 'universal' approach stays in the fact that lung recruitability (increase in the size of the baby lung as a response to PEEP) may significantly vary among patients according to different degrees of lung inhomogeneity: high PEEP in patients with low recruitability may enhance lung injury in the aerated lung, while low PEEP in potentially recruiting patients cannot fully exert its beneficial effects (41,43,44).

Thus, it appears physiologically sound that PEEP setting should be rather mechanistically individualized on patient's needs and requirements. In this sense, in 2008, Talmor et al. reported the results of a pilot mono centre randomized trial in patients with ARDS (PaO<sub>2</sub>/FiO<sub>2</sub> ≤300 mmHg) assessing the effect on oxygenation of a PEEP-setting protocol measuring  $P_{\text{ES}}$  in all patients to achieve a positive P<sub>L</sub>, computed with the directlymeasured method with no correction for the weight of the mediastinum (17). The authors showed a significant increase in oxygenation, compliance of the respiratory system and a trend to an improved clinical outcome in patients receiving higher PEEP based on P<sub>L</sub>. Despite the interest of these results, it is not possible to discriminate whether the positive  $P_L$  or the higher absolute PEEP values irrespectively of P<sub>ES</sub> drove the observed results. A larger multicentre study with similar design is currently ongoing and will allow to refine more concrete conclusions (45).

Given that the directly-measured method allows to measure  $P_L$  in the lung surrounding the esophagus, setting PEEP according to the directly-measured  $P_{ES}$  may allow to overcome the superimposed pressure in that specific area, which likely is at the edge between dependent and nondependent lung regions and could be worthy recruiting to minimize the risk of recurrent alveolar opening and closure. Results from clinical trials will shed some light on this important clinical question.

The safety of such approach may be also limited by the risk of hyperinflation of the baby lung, which indeed is the most relevant mechanism of lung injury (46).

In addition, some controversies have been raised around the concept of the absolute value of  $P_{ES}$  for this titration, highlighting that it did not represent the lung weight measured by CT scan nor correlates with ARDS severity; importantly, PEEP set to achieve a positive  $P_L$  according to this protocol provides settings that seem unrelated to lung recruitability (44,47).

## The elastance derived method at end-inspiration

Regional overdistension is probably the key mediator of VILI and the global effect of PEEP and  $V_T$  needs to be addressed with criteria assessing tidal hyperinflation (35,46).  $P_L$  and the  $P_{ES}$  may help rate the degree of overdistension, as explained below.

# Definition

The most accepted clinical method for measuring regional overdistention in the baby lung is the total inflation pressure ( $P_{PLAT}$ ) due to  $V_T$  and PEEP.  $P_{PLAT}$  and the change in pressure (driving pressure,  $\Delta P$ ) during tidal ventilation have been proposed to better assess this risk, as they respectively surrogate the measure of lung stress and dynamic strain.

 $P_{PLAT}$  and  $\Delta P$  are measured in the airways:  $P_L$  at end-inspiration  $(P_{Lend\text{-}insp})$  and lung driving pressure  $(\Delta P_L)$  are the parameters representing the corresponding pressure loads in the lung independently from the effects of the chest wall.

Three approaches are available for computing  $P_{\mbox{\tiny Lend-insp}}$ :

 (I) The directly-measured method already discussed, using absolute values (17):

 $P_{\text{Lend-insp}} = P_{\text{PLAT}} - P_{\text{ESend-insp}}$ 

where  $P_{PLAT}$  and  $P_{ESend-insp}$  are airway and esophageal pressure during an end-expiratory occlusion.

#### Page 6 of 10

(II) The release-derived method (47):

 $P_{\text{Lend-insp}} = (P_{\text{PLAT}} - P_{\text{ESend-insp}}) + P_{\text{ESzeep}}$ 

The release-derived  $P_{Lend-exp}$  represents the total amount of  $P_L$  increase from ZEEP to PEEP.

(III) The elastance-derived method: this method does not require the measurement of  $P_{ES}$  atmospheric pressure but simply the change in  $P_{ES}$  (33):

 $P_{\text{Lend-insp}} = P_{\text{PLAT}} \times (E_{\text{L}}/E_{\text{RS}})$ 

with  $E_{\rm L}$  and  $E_{\rm RS}$  respectively representing the  $E_{\rm L}$  and of the respiratory system.  $E_{\rm L}$  can be measured from changes in  $P_{\rm L}$   $E_{\rm CW}$  can also be calculated from  $P_{\rm ES}$  and subsequently  $E_{\rm L}$  calculated as  $E_{\rm RS}$ – $E_{\rm CW}$ .

$$\begin{split} E_{L} &= [(P_{PLAT} - P_{ESend\text{-}insp}) - (PEEP_{TOT} - P_{ESend\text{-}exp})]/V_{T} \\ E_{RS} &= (P_{PLAT} - PEEP_{TOT})/V_{T} \end{split}$$

Given that

 $\Delta P = (P_{PLAT} - PEEP_{TOT})$ 

 $P_{\mbox{\tiny Lend-insp}}$  according to the elastance derived method can be also expressed as

$$P_{\text{Lend-insp}} = P_{\text{PLAT}} \times (\Delta P_{\text{L}} / \Delta P)$$

According to the elastance- and release-derived methods,  $P_L$  and  $P_{ES}$  are interpreted to partition the change in the elastic pressure of the respiratory system between the lung and the chest wall. Both these methods rely on the assumption that  $P_L$  is 0 at atmospheric pressure, are highly correlated and consistently represent the total increase in lung stress due to PEEP and  $V_T$  (i.e., static and dynamic) from atmospheric to the inflation pressure.

Conversely, the directly measured method provides significantly lower values, potentially underestimating the risk of overdistension (47).

Assessment of  $P_{Lend-insp}$  seems important to fully understand the effect of different ventilator settings and to stratify patients' severity, in order to optimize interventions and define the need for rescue therapies (48).

A debate has arisen from the evidence that the direct measurement and the release-derived methods provide values that are not interchangeable (47,49). Recent preliminary data from our group suggest that both approaches may give interesting results for clinical application but with different meanings: in particular, the directly measured  $P_{Lend-insp}$  describes the actual  $P_{Lend-insp}$  in the area situated at the level of the esophagus. This is a region that is often collapsed, at risk for repeated closing

and opening, and less exposed to the risk of overdistension than non-dependent regions. On the contrary, in non-obese patients, the elastance derived  $P_{Lend-insp}$  could surrogate the  $P_L$  mostly in the non-dependent lung regions, which are most exposed to lung injury due to hyperinflation (29).

#### **Application**

The elastance-derived  $P_{Lend-insp}$  is the lung stress and is mathematically coupled to the  $\Delta P_L$ , being itself a surrogate of lung strain (50,51). Large datasets describing the epidemiology of  $P_{Lend-insp}$  are not available, but it could represent a novel tool to better target and determine the effects of mechanical ventilation during ARDS. It may potentially be also used during assisted ventilation to assess the risk of patient selfinflicted lung injury, since  $P_{PLAT}$  and  $P_{Lend-insp}$  measurement seems feasible in such context (52,53).

Limiting elastance-derived  $P_{Lend-insp}$  lower than 20-25 cmH<sub>2</sub>O is probably a reasonable approach (21,33,48): unfortunately, setting PEEP to achieve a positive directly measured  $P_{Lend-exp}$  while keeping  $P_{Lend-insp}$  below 20–25 cmH<sub>2</sub>O is not always possible (49) when  $V_T$  is set at 6 mL/kg IBW (45).

# $\Delta \mathbf{P}_{\mathsf{L}}$

#### Definition

 $\Delta P_L$ , defined as the difference between  $P_{Lend-insp}$  and  $P_{Lend-exp}$ , stands for the  $V_T$ -induced lung stress and reflects the distending pressure taken by the lungs when  $V_T$  delivered. This parameter provides two potential advantages: first and similar to  $\Delta P$ ,  $\Delta P_L$  removes the stress caused by PEEP from transpulmonary  $P_{PLAT}$ , which does not necessarily contribute to lung injury and sometimes can mitigate it (35). Second,  $\Delta P_L$  has removed the distending pressure taken by the chest wall from  $\Delta P$ , which is barely relevant to the risk of VILI. Hence, it sounds reasonable to suspect that  $\Delta P_L$  might be better associated with the risk of VILI and even clinical outcomes than  $\Delta P$ .

It is computed as:

$$\Delta P = (P_{PLAT} - PEEP_{TOT})$$
  
$$\Delta P_{L} = (P_{PLAT} - P_{ESend-insp}) - (PEEP_{TOT} - P_{ESend-exp})$$

# **Application**

A retrospective analysis on 56 patients by Baedorf Kassis *et al.* (54) suggested that  $\Delta P_L$ , after 24 h receiving two different PEEP strategies is associated with 28-day mortality. The

#### Annals of Translational Medicine, Vol 5, No 14 July 2017

 $\Delta P$  demonstrated similar association with mortality in this interventional study. In an ongoing prospective, observational study for investigating epidemiology of respiratory mechanics in ARDS (NCT02623192),  $\Delta P$  and  $\Delta P_L$  had similar statistical power and did not differ, suggested by receiver-operatingcharacteristic curve analysis (55).

From a physiological view,  $\Delta P_L$  represents lung stress and should be a better surrogate of lung strain comparing to  $\Delta P$ . However, both the cardiac and pulmonary circulation effects of pleural pressure may also play a role in the outcome and are not represented by  $\Delta P_L$ . In addition, some studies suggested that the chest wall compliance is not so widely affected in patients with ARDS; the change in pleural pressure induced by  $V_T$  is relatively similar among the majority of patients (23), so that the  $\Delta P$  may be sufficient to represent the  $\Delta P_L$  in many circumstances (50).

Further physiological and epidemiological studies are required to thoroughly elucidate the potential association and/or causation between transpulmonary driving pressure, VILI and clinical outcomes.

Changes in  $P_L$  may also be assessed during assisted breathing to take into account the combined effects of spontaneous breathing and of mechanical breaths on lung distension. Indeed, the pressure generated by the patient is added to the ventilator pressure. It was shown that under similar conditions of flow and volume,  $P_L$  change is similar between controlled mechanical ventilation and pressure support ventilation. Spontaneous breathing during mechanical ventilation can cause remarkably negative swings in alveolar pressure, a mechanism by which spontaneous breathing might potentially induce lung injury on top of high changes in  $P_L(52)$ .

## Limitations

Although  $P_L$  provides useful information concerning respiratory physiology that may potentially help clinical decision making, a large observational study dealing with patients' management in the clinical field recently showed that  $P_{ES}$  is monitored only in approximately 1% of ARDS patients (1). Even if esophageal manometry is an old technique, different aspects have hampered the widespread diffusion in the clinical setting.

## Technical aspects

Recently, newer equipment facilitates the use in the clinical setting. Naso- or oro-gastric feeding tubes equipped with one or two balloons are now available to foster the clinical feasibility of esophageal manometry; similarly, some modern ventilators have been equipped with an auxiliary port connected to a pressure transducer that allows plugging an external pressure whose signal is displayed on the ventilator screen in phase with airway pressure and flow.

#### Signal validation

Assessment of P<sub>ES</sub> requires accuracy in esophageal balloon positioning and precision in signal validation. In order to enhance the usefulness of  $\boldsymbol{P}_{\text{ES}}$  measurement, techniques for in vivo calibration of the esophageal balloon taking into account intra-thoracic pressure and esophageal elastance have been recently reported and appear of interest (56,57). In particular, the optimal filling volume of the balloon may significantly differ from the one reported by the manufacturer (often reported for standing spontaneously breathing patients) and is dependent on the intrathoracic pressure, i.e., needing higher volumes in the supine patient. Balloon overinflation warrants complete transmission of P<sub>ES</sub> swings, but is associated with significant elevation of absolute values; conversely, balloon underfilling generates incomplete transmission of P<sub>ES</sub> swings (with consequent overestimation of lung elastance) and lower absolute values. To identify the optimal individual filling volume and obtain reliable measurements, an in vivo calibration is necessary. The full technique suggests to record static P<sub>ES</sub> at endexpiration as the balloon is inflated with increasing volumes from 0 to 8 mL: afterwards, a pressure-volume curve of the balloon is generated and its intermediate linear section graphically identified. The limits of this intermediate section are the minimum and maximum filling volumes of the balloon, while the filling volume that generates the maximum change in PES during the occlusion test represents the best filling volume (57). More simply, finding the inflation volume which gives the largest tidal swing in  $P_{ES}$  usually allows to find the best filling volume (57).

## Pleural pressure gradient and interpretation

 $P_{ES}$  reliably measures pleural pressure in the lung surrounding the esophagus. It may therefore underestimate pleural pressure of the dependent regions and overestimate pleural pressure of the nondependent zones (24). Accordingly,  $P_L$  computed as the absolute difference between airway pressure and  $P_{ES}$  represents the  $P_L$  at midchest. As already discussed, the elastance-derived method

#### Grieco et al. Transpulmonary pressure in ARDS

#### Page 8 of 10

may give an estimate of the  $P_L$  in the non-dependent baby lung, although these data are still preliminary and may not be applicable to wide categories of patients (obese, patients in the prone position) (29).

# Clinical outcome

Respiratory mechanics measurements allow better stratify severity of illness and optimize ventilator settings (58). Data fostering the clinical usefulness of  $P_{ES}$  in supporting decision making during ARDS are limited to few studies, although the results appear encouraging (17,48). We recently reported that a bundle for the assessment of respiratory mechanics including esophageal manometry leads to significant adjustments in the ventilator settings in two thirds of ARDS patients, with the final effect of improving oxygenation and reducing the risk of overdistension at the same time (58).

# Conclusions

In patients with ARDS, assessment of  $P_L$  is a minimally invasive technique that allows accurate respiratory monitoring and better assessment of the physiological effects of mechanical ventilation.

Ongoing research will clarify whether and to what extent  $P_L$  is more effective than airway pressure in stratifying patients' severity, assessing the risk of VILI and predicting outcome. Preliminary data regarding its use to tailor ventilator settings appear encouraging but further adequately powered studies are warranted.

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# Footnote

*Conflicts of Interest:* The authors have no conflicts of interest to declare.

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## Annals of Translational Medicine, Vol 5, No 14 July 2017

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# Page 10 of 10

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