Advanced small cell lung cancer (SCLC): new challenges and new expectations

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Abstract: Small cell lung cancer (SCLC) remains one of the most lethal malignancies and a major health riddle. The therapeutic options are limited. The combination of etoposide or irinotecan with platinum chemotherapy is the standard of care at any stage. The last decade systemic efforts have been done to reveal specific therapeutic targets for small cell lung carcinomas. In this review, we focus on the new therapeutic strategies of SCLC, including immune-related treatment that may change the prognosis of the disease. The main genetic mutations observed in SCLC are TP53 and RB1 mutations; however, it is well known that these molecules are not yet targetable. In recent years, research has revealed other frequent genetic alterations and activated signaling pathways that might be an effective treatment target. Loss of PTEN, activating PI3K mutations, inhibition of NOTCH pathway and aurora kinase activation are among them. Moreover, FDGFR1 amplification, activation of the Hedgehog pathway and repair-protein PARP1 seem to participate in SCLC tumorigenesis. These new findings have identified some interesting targets. Moreover, immunotherapy tries to find its place in the treatment of SCLC. Immune checkpoint inhibitors are under investigation in phase I to III clinical trials. We hope that in next years the treatment of SCLC patients will be improved with the administration of targeting therapy and the introduction of immunotherapy.

Keywords: Small cell lung cancer (SCLC); biomarkers; immunotherapy; new treatments; new advances

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Introduction

Small cell lung cancer (SCLC) remains one of the most lethal malignancies and a major health riddle. Indeed the 5-year survival rate is less than 7% (1). Smoking is the main risk factor that is responsible for the high mutation burden of SCLC (2). The decrease of cigarette habit in Western societies has influenced the incidence of SCLC which has been diminished the last 20 years (3). The lack of specific symptoms at early tumor stages and the lack of screening methods remain the main obstacles for the early detection of the disease.

In contrast with non-small cell lung cancer (NSCLC), the origin of SCLC is unknown (4). Neuroendocrine cells (NECs) or neuroendocrine progenitors (NEPs) are the most possible progenitors of SCLC (1). On the other hand,

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the mixed type of SCLC and NSCLC may imply a common progenitor between these two entities (5). Ki67 is usually >50–70%, which indicates the high proliferation dynamic of this type of lung cancer; mitoses and necrosis are extensive (6). The tumor-suppressor genes TP53 and RB1 are mutated in the majority of patients with SCLC while PTEN mutations are present in 10–18% of SCLC (7,8).

The therapeutic options are limited. Lobectomy is recommended in stage I (T1–2N0M0) without mediastinal and supraclavicular disease (9). The combination of etoposide or irinotecan with platinum chemotherapy is the standard of care at any stage. In limited disease this schedule is combined with radiotherapy to thorax and mediastinum. In patients with complete response to first line treatment, prophylactic cerebral irradiation is indicated in order to prevent the progression of SCLC in brain. In extensive stage, chemotherapy without radiation is recommended. Unfortunately, the landscape in second line treatment is cloudy. Until now topotecan is the acceptance treatment but with modest results. Overall survival (OS) is 26 versus 14 weeks compared with best supportive care (10).

A better understanding of pathophysiologic mechanisms of initiation and progression of SCLC is necessary in order to develop more effective therapeutic options. The last decade systemic efforts have been done to reveal specific therapeutic targets for lung cancer. We hope that in next years the treatment of SCLC patients will be improved with the application of targeting therapy and the introduction of immunotherapy. In this review we focus on the new therapeutic strategies of SCLC, including immune-related treatment that may change the prognosis of the disease.

New challenges/new treatments

The low rates of PFS and OS in SCLC with the conventional treatments lead the scientists to the quest of other therapeutic approaches. Several well-known chemotherapeutic drugs including paclitaxel, docetaxel, gemcitabine, vinorelbine, temozolomide and ifosfamide has been studied in phase II clinical trials in second line therapy but the results are modest.

The most frequent genetic alterations observed in SCLC are TP53 and RB1 mutations; however, it is well known that these molecules cannot be a treatment target. In recent years, research has revealed other frequent genetic alterations and activated signaling pathways that might be an effective treatment target. Loss of PTEN, activating PI3K mutations, inhibition of NOTCH pathway and aurora kinase activation are some of them (8,11-14). Moreover, FDGFR1 amplification, activation of the Hedgehog pathway and repair-protein PARP1 seem to participate in tumorigenesis (7,15,16). These new findings have identified some interesting targets. *Table 1* summarizes the most important investigated and probably promising drugs.

Rovalpituzumab tesirine (Rova-T, or S16LD6.5) is a humanized monoclonal antibody against the mammalian NOTCH family ligand delta-like 3 (DLL3) which is localized to the Golgi apparatus. DLL3 suppresses NOTCH signaling (17-19). In SCLC NOTCH signaling inhibits cancer development in contrast with other tumor types, therefore Rova-T enhances NOTCH signaling pathway (20,21). High expression of DLL3 has been observed in two thirds of patients with SCLC (19). Thus Rova-T in a phase Ia/Ib trial of patients with SCLC who received this agent as monotherapy after PD of first or second line chemotherapy showed efficacy and low toxicity in pretreated patients with DLL3 positive SCLC (19). Results of phase II clinical studies of Rova-T as first or second line therapy in patients with SCLC who express DLL3 are awaited. Moreover, the results of a phase III randomized, double blind placebo controlled trial of Rova-T as maintenance therapy following first line platinum based chemotherapy are ongoing.

PI3K/AKT/mTOR pathway is activated in great proportion in SCLC (22). Therefore, the molecules of this pathway are potentially targets of the treatment of SCLC. Indicatively, the most promising drugs: VS-5584, a selective dual inhibitor of mTORC1/2 and class I PI3-kinases (23) and BEZ235, another dual PI3K and mTORC1/2 inhibitor are investigating currently in early phase clinical trials. The key in the approach of SCLC with targeting the PI3K/ AKT/mTOR pathway is the identification of predictive biomarkers.

Another interesting approach of the treatment of SCLC is the administration of aurora kinase inhibitor. Amplification of aurora A kinase lead the cell to proliferation, as it has been noticed in several cancer types. Moreover, aurora kinase A might have a predictive role in taxanes, as its amplification is associated with resistance to these drugs. Alisertib (MLN8237) is a reversible aurora kinase inhibitor that it is combined with paclitaxel in second line treatment and reveals an overall response rate of 21% in patients with advanced SCLC (24).

Several receptor tyrosine kinases inhibitors (TKIs) have been studied in clinical trials in patients with SCLC. Unfortunately, a lot of studies had negative results, such

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Investigated drugs	Clinical trials	Comments	ClinicalTrials.gov identifier
TKIs			
Imatinib mesylate	Phase II	Negative results	NCT00154388
Linsitinib	Phase II	Negative results	NCT01533181
Cixutumumab	Phase II	Awaiting the results	NCT00887159
Dalotuzumab	Phase I/II	Awaiting the results	NCT00869752
Anti-FGFR family			
Ponatinib	Phase II	Awaiting the results	NCT01935336
Lucitinib	Phase II	Awaiting the results	NCT02109016
NOTCH family ligand			
Rovalpituzumab tesirine	Phase I/ II/III	Awaiting the results	NCT02674568; NCT02674568 NCT02819999; NCT03033511
Aurora kinase inhibitor			
Alisertib	Phase II	Need further investigation	NCT01045421
Heat shock protein 90 (Hsp90)			
Ganetespib	phase I/II	Awaiting the results	NCT02261805
Inhibitors of neuroendocrine markers			
Lorvotuzumab mertansine (BB-10901)	Phase I/II	Negative results	NCT00065429
Hedgehog (Hh) signaling pathway			
Vismodegib	Phase I/II	Negative results	NCT00887159
PARP inhibitors			
ABT-888 (veliparib)	Phase I/II	Awaiting the results	NCT02289690
Talazoparib (BMN 673)	Phase I	Positive results	NCT01286987
Anti-angiogenesis agents			
Bevacizumab	Phase II/III	Improvement in PFS, not statistically significant increase in OS	NCT00930891
Immunotherapy			
Ipilimumab	Phase III	Awaiting the results	NCT01450761
Nivolumab	Phase III	Awaiting the results	NCT02481830
Pembrolizumab	Phase III	Awaiting the results	NCT03066778
Combination of novel agents			
Nivolumab & ipilimumab	Phase III	Awaiting the results	NCT02538666
Rova-T & nivolumab +/- ipilimumab	Phase I	Awaiting the results	NCT03026166

SCLC, small cell lung cancer.

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as the phase II trial of imatinib mesylate (STI571), an oral small molecule inhibitor of c-kit, which was studied as single agent or in combination with chemotherapy in previously untreated patients (25). Similarly, erlotinib, gefitinib, afatinib and linsitinib (OSI-906), an inhibitor of the IGF-1R tyrosine kinase did not show benefit in response rates of patients with relapsed SCLC (26). However, we are waiting the results of phase II clinical trial of cixutumumab and dalotuzumab (MK-0646), humanized monoclonal antibodies against IGF-I (27).

Another promising targeted therapy of SCLC is the anti-FGFR family, which is amplified in 5–6% of SCLC (28). Ponatinib, an FGFR inhibitor and BIBF1120, an FGFR, VEGFR and PDGFR inhibitor, are being investigated. Lucitanib another anti-FGFR1-3, anti-VEGFR1-3 and PDGFR α/β is studied in a recent phase II trial and the results are in progress. Further analysis of FGFR and FGFR ligand will help understanding better the behavior of SCLC and select the proper targeted treatment.

Targeting anti-apoptotic molecules in SCLC has not given any hoping result yet. The most well-known anti-apoptotic target is BCL-2, as it is overexpressed in SCLC (29). It has been observed in cell lines that BCL-2 is a potential a predictive marker for the combination of cisplatin with etoposide, as the inhibition of BCL-2 seems to increase the efficacy of this chemotherapeutic schedule (30). Several BCL-2 inhibitors have been studied till now, but despite the good results in preclinical trials and in phase I clinical trials, they give discouraging response rates in phase II trials (31-33).

Rinociclib is a CDK7 inhibitor that is evaluated in a phase Ib/II clinical trial in combination with chemotherapy as first line treatment in patients with extended disease SCLC (34). Histone deacetylase (HDAC) inhibitors induce apoptosis in cancer cells. Preclinical data gave hope to scientists, but the results of phase II clinical trial did not show effectiveness (35). Targeting heat shock protein 90 (Hsp90) in mouse xenograft models seems to inhibit the progression of SCLC. The efficacy and toxicity of ganetespib, an HSP90 inhibitor, in phase I and II clinical studies is awaited (36). Another interesting approach of SCLC is the inhibition of neuroendocrine markers (37). More precisely, lorvotuzumab mertansine (BB-10901), a humanized anti-CD56 monoclonal antibody has already been used in xenograft models with promising results. A phase II clinical trial tried to evaluate the safety and efficacy of BB-10901 in patients with CD56 expressing tumors but the results were negative (38).

The finding of the Hedgehog (Hh) signaling pathway gave hope to the scientific society that its molecule cascade might be effective targets for the treatment of SCLC. Several preclinical trials have been done in order to inhibit Hh pathway, revealing a synergistic role between chemotherapy and Hh pathway (15). Subsequently, several inhibitors of Hh signaling pathway are being studying in clinical trials phase I or II (39). The most promising drug is vismodegib (GDC-0449), a small molecule that blocks Hhligand cell surface receptors PTCH and/or SMO and thus suppresses Hh signaling. Vismodegib has been evaluated in a phase II clinical trial with combination with cisplatin and etoposide in patients with extensive disease SCLC, but with no statistically benefit in PFS and OS compared with chemotherapy alone (40).

Poly ADP ribose polymerase 1 (PARP) inhibitors are also used in clinical trials of SCLC and a variety of predictive biomarkers are investigated. These clinical trials are based on the fact that PARP1 expression in SCLC is higher among all the subtypes of lung cancer (41). Moreover, it is found that PARP inhibition may enhance the sensitivity of platinum-based chemotherapy and radiation (40). A phase I trial (ECOG-ACRIN E2518) of patients with SCLC who received the PARP inhibitor ABT-888 (veliparib) in combination with cisplatin and etoposide had promising results and now phase 2 study is ongoing (42,43). Talazoparib (BMN 673), a newer PARP1/2 inhibitor is studied in preclinical models with promising results (44) and phase I study revealed some clinical benefit with acceptable toxicity.

Anti-angiogenesis agents and particularly the humanized monoclonal antibody targeting VEGF bevacizumab are used in clinical trials either as a second line treatment in combination with standard chemotherapy, or as a first line option. More precisely, in phase II clinical studies in patients with extensive SCLC bevacizumab seems to have some benefit (45-48). The results of phase III trial in patients with extensive SCLC who receive either bevacizumab with chemotherapy or chemotherapy alone in the first line therapy were disappointing. There was an improvement in PFS, but not a statistically significant increase in OS (49). Moreover, besides bevacizumab, other anti-angiogenetic agents such as sunitinib, sorafenib, vandetanib, pazopanib, aflibercept and thalidomide, have been studying in SCLC in phase I or II clinical trials with controversial results. These molecules have been studying as monotherapy in maintenance treatment after the standard schedule or in combination with chemotherapy,

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but they show none or minor clinical benefit and high toxicity (50-52).

The field of immunotherapy in several types of cancer has recently gives hope for cancer treatment. Immunotherapy tries to find its place in the treatment of SCLC (53). It has been observed increased T-cells infiltration in patients with limited SCLC that was reversed in those with advanced disease (54). Furthermore, increased PD-L1 expression was found in SCLC, underlying potential efficacy of the anti PD-1/PD-L1 agents. Studies in NSCLC and melanoma showed that immune checkpoint inhibitors are effective in the treatment of smoking related cancers with high somatic mutations (55,56).

Therefore, immune checkpoint inhibitors are under investigation in phase I and II clinical trials. More precisely, ipilimumab, an anti-CTL4 inhibitor, is studied in a phase II clinical trial in advanced SCLC showing improved PFS and OS compared to standard chemotherapy without the addition of immunotherapy (57). In an ongoing phase III trial, the addition of ipilimumab to standard etoposide-platinum chemotherapy in patients with extensive SCLC is being investigated. Anti PD-1 monoclonal antibodies, pembrolizumab and nivolumab, are also under study in several clinical trials. Two interesting phase III studies are ongoing: nivolumab versus topotecan as second line treatment of SCLC and pembrolizumab with etoposide/platinum in patients with extensive stage of SCLC. It is observed that PDL-1 expression is associated with longer survival in SCLC patients (58). However, it is uncertain if PDL-1 expression is a determinant predictive biomarker.

An interesting view of all the above novel agents is their combination. More precisely, the combination of ipilimumab and nivolumab or Rova-T plus nivolumab plus ipilimumab is under investigation. The efficacy of these schedules is unknown and even the toxicity is unclear. All the above studied molecules give hope in scientific committee that the physical progression of SCLC will be change soon.

Conclusions/expectations

SCLC is a fatal disease and a challenge for modern researchers. The exact pathogenesis is unclear and diagnostic, prognostic or predictive biomarkers are unknown. That makes the field of study even more difficult. This review is an effort to describe comprehensively the most important and promising therapeutic agents that could hopefully stop the mortal progression of the disease. The main clinical studies that showed effectiveness and might be a crucial step for the next level of clinical trials are reported.

The first line treatment, including etoposide in Western countries or irinotecan in Eastern countries with cisplatin or carboplatin, is unchangeable the last decades and no other known agent has managed to give better response rates. However, all patient present local recurrence or distant metastasis thus the disease is uncontrolled. As far as the second line treatment is concern there is a cloudy field.

Now it is known that SCLC is not one entity as it was thought before, but there is heterogeneity in patients. There is a necessity to continue basic research and to develop more clinical trials for better understand and control this disease. We should be able to distinguish the groups of patients that will be benefit for specific treatments. For example, patients with limited disease might have different mutational burden in comparison with patients in extended disease and scientists should find these trigger mutations in order to develop new effective drugs (59).

New drugs and combinations of a variety of novel agents such as aurora kinase inhibitors, anti-apoptotic agents and PARP inhibitors are investigating in phase I or II clinical trials but the results are controversial. Unfortunately, the majority of trials did not fulfill the expectations. Immunotherapy seems to change the progression of the disease but there is needed time to reveal its exact role in the treatment of SCLC. Scientists must take into account all the available genetic information and the results from ex vivo and in vivo studies in order to perceive the clinically effective information. Bioinformatics is a useful tool that we have to take advantage. Liquid biopsies, next generation sequencing technics and the tumor genotyping not only in baseline but also in advanced stages will help us find predictive biomarkers and new more effective drugs. There is hope that the next years the approach of patients with SCLC will change and their OS will be increased.

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Footnote

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