Lung ultrasound for diagnosis and monitoring of ventilator-associated pneumonia

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Abstract: Ventilator-associated pneumonia (VAP) is the most frequent nosocomial infection in intensive care units (ICU) and is associated with increased mortality, use of antimicrobials, longer mechanical ventilation, and higher healthcare costs. Lung ultrasonography (LUS) can be used at the bedside and gained widespread acceptance in ICU. Although the visualization of a single LUS sign cannot be considered specific for a diagnosis, clinically-driven LUS examination in particular setting and clinical conditions allow ruling in or out quickly and accurately several causes of acute respiratory failure. This article reviews LUS signs for VAP diagnosis and summarizes the studies testing LUS for VAP diagnosis and monitoring. Many VAP occurs in already injured regions, thus presence of lobar consolidation is not enough to affirm VAP. However, a linear/arborescent air-bronchogram confirms the diagnosis of VAP with a good specificity, a normal LUS rules out the diagnosis of VAP (in experimented hands). LUS, thanks to its bedside ready availability, has the potential to become a key tool in early VAP diagnosis. LUS could ideally represent the decision-making tool for antimicrobial therapy administration in the timeframe of the technical time required for bronchoalveolar lavage analysis. A systematic approach for diagnosis and monitoring of VAP with LUS is also proposed in this review. But specific data on LUS specificity and sensitivity for the diagnosis of VAP are still lacking and should be investigated.

Keywords: Diagnostic imaging; echography; ventilator associated pneumonia (VAP); lung monitoring; lung ultrasonography (LUS)

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Introduction

Ventilator-associated pneumonia (VAP) is the most frequent nosocomial infection in intensive care units (ICUs) and is associated with increased mortality, use of antimicrobials, longer mechanical ventilation, and higher healthcare costs (1,2). In developing countries, VAP occurs in 30% of patients under mechanical ventilation and is a leading cause of death, being associated to 16–94% mortality (3,4).

In histopathological studies, VAP is characterized by

an infiltration of neutrophils, fibrin exudates and cellular debris into the intra-alveolar spaces, particularly around terminal bronchioles, caused by the invasion of the bacterial pathogens (5). In clinical practice, VAP diagnosis relies usually on a microbiological sample of distal airways (6). However, reliability of these samples for differentiating lung parenchyma invasion from lower airway colonization is still debated. Moreover a sample requires 24 to 48 hours for preliminary/definitive results.

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Table 1 Clinical pulmonary infection score (CPIS)

Parameter	CPS
Temperature (°C)	
≥36.5 and ≤38.5	0
≥38.5 and ≤38.9	1
≥39 and ≤36.0	2
Blood leukocytes per mm ³	
≥4,000 or ≤11,000	0
<4,000 or >11,000	1
Tracheal secretions	
Few	0
Moderate	1
Large	2
Purulent	+1
Oxygenation PaO ₂ /FiO ₂ , mmHg	
>240 or presence of ARDS	0
≤240 and absence of ARDS	2
Chest radiograph	
No infiltrate	0
Patchy or diffuse infiltrate	1
Localized infiltrate	2
*EA quantitative culture	
No or light quantity	0
Moderate/heavy quantity	1
Same pathogenic bacteria of Gram stain	2

*, additional parameters to be added in for modified versions of the score. ARDS, acute respiratory distress syndrome; PaO₂/ FiO₂, arterial oxygen partial pressure/fraction of inspired oxygen; CFU, colony forming unit; EA, endotracheal aspirate.

To this day, there is no consensus in early VAP diagnosis; deciding whether to treat a patient is often subjective and debated within teams when a VAP is clinically suspected on the basis of clinical, biochemical and imaging parameters (7). In such patients, inappropriate or delayed antibiotics lead to higher mortality (64.5% vs. 29.2%) (8). On the other hand, overexposure to antibiotic also results in increased morbi-mortality and is responsible for the emergence of bacterial resistance. Therefore, an accurate and early diagnosis of VAP is crucial to reach the optimal balance

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between mortality reduction and multi-drug resistance prevention (8,9).

Among imaging techniques, chest radiography (CXR) is widely used despite its limited performance in VAP diagnosis (10,11). Computed tomography (CT) remains the gold standard (12,13). However, CT implies patient transportation to radiology department, radiation exposure and costs. Point-of-care ultrasound is a non-invasive non-irradiating technique performed at the bedside; its use has largely spread in the last years in critical care, making this technique widely available in ICU (14-16). Lung ultrasonography (LUS) helps in the differential diagnosis of acute respiratory failure when integrated in clinically driven protocols (17). Moreover, LUS features make it suitable for multiple repeated examinations, therefore providing a powerful monitoring tool.

This article reviews criteria for VAP diagnosis and summarizes the studies testing ultrasound application for VAP diagnosis and monitoring.

Diagnosis of VAP

VAP is suspected when a new radiographic infiltrate develops in a patient with fever/hypothermia, leukocytosis/ leukopenia, purulent tracheal secretions, and impaired oxygenation. A combination of clinical criteria [Clinical Pulmonary Infection Score (CPIS)] has been proposed for VAP diagnosis (*Table 1*) (7). However, many non-infectious processes can cause fever and pulmonary infiltrate in patients under mechanical ventilation, making the diagnosis of VAP difficult (18). Previous study shows CPIS poor diagnostic performance, with low specificity and sensibility, thus limiting its daily use (19). This is also true when the CPIS is enhanced by direct gram-stain examination of the tracheal aspirate and/or procalcitonin (20).

Quantitative bacterial cultures of specimen obtained from the lower airways using bronchoscopic and nonbronchoscopic techniques were proposed for helping in VAP diagnosis. A cut off value of 10^4 colony-forming unit/ml has been set to identify positive samples; however, the presence of no or lower bacterial charge when antibiotics have been introduced or modified in the previous 48 hours also confirms pneumonia, in presence of all the suggestive clinical criteria.

Fiber-bronchoscopy is however not always easy to perform in hypoxemic patients and not promptly available in all ICUs; it could therefore be replaced by tracheal aspirate microbiological samples. Usefulness of tracheal

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supine position directly by the intensivist (14). Ultrasound

aspirate microbiological samples has been investigated and they are considered in some countries a reliable alternative to distal samples. It is recommended that for most patients a clinical approach enhanced by non-invasive quantitative cultures of respiratory tract samples is sufficient to guide appropriate antibiotic choice (21,22). However, this attitude is still debated.

Microbiological results are essential not only to confirm the diagnosis but also to target antibiotic therapy; they require 24 hours for preliminary results and 48 hours for definitive results and antibiogram. Therefore, microbiological cultures cannot guide the early clinical management of patients with a suspected VAP and deciding whether to start antibiotics remains a challenge

Classical imaging in VAP

Current guidelines recommend CXR and CT for VAP diagnosis (12,13). However, in mechanically ventilated patients pulmonary infiltrates are frequent and may be associated to multiple etiologies, including non-infectious diseases such as de-recruitment and atelectasis. Imaging detection of VAP in critical care setting is therefore difficult, especially when a single morphological evaluation is performed, since providing limited information.

CXR has low diagnosis performances for pneumonia in the critically ill. Indeed, at ICU admission CXR is abnormal in 38% of mechanically ventilated patients (23). Furthermore, in a recent study evaluating CXR compared with CT in the emergency department, up to 30% false positive and false negative results were reported. Finally, portable CXR has limited resolution: pulmonary opacity specificity for the diagnosis of VAP has been reported to be as low as 27% to 35% (10,11).

CT provides a more precise interpretation of chest infiltrates in ICU. However, there is no specific CT sign of pneumonia described in mechanically ventilated (24) and CT is mostly used for its negative predictive value. In addition, CT is irradiating, less readily available and requires patients' transportation to radiology department, which may be harmful in the critically ill.

Thus, the interest in developing an alternative imaging technique for a reliable detection of pneumonia is high.

Lung ultrasound signs

Lung examination

Lung ultrasound examination is performed at the bedside in

machines are nowadays available in most of the ICUs and both simple and advanced machines are suitable to perform lung ultrasound. For each hemithorax, 6 regions are examined: 3 areas are delimited from the front to the back by sternum and anterior and posterior axillary lines; each area is divided into a superior and an inferior region (Figure 1). The choice of the probe depends on patient morphology and suspected pathology. The examination can be started by anterior fields with a high-frequency linear probe, suitable for superficial examination and therefore for the visualization of the pleural line and derived artifacts; the operator can switch to a low-frequency probe (convex or phased-array) if real images are visualized (consolidations, effusions) or the pleural line is too deep to be assessed with a linear probe. A micro-convex probe, if available, is suitable for both types of examination.

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A-lines

In healthy lung, air does not allow ultrasound penetration and only the pleural line is visualized. During tidal ventilation, the lungs expand and the visceral pleura slide against the parietal one, corresponding to a sparkling movement of the pleural line synchronous with inspiration (lung sliding). Beyond this pleural line, regularly spaced motionless horizontal lines (A-lines) are reverberation artifacts of the pleural line; they are generated when lung density is normal (25,26). Normal lung aeration is defined by both lung sliding and A-lines.

B-lines

Abnormal interlobular septa or alveoli edema provide a gastissue interface with the pleural line that alters ultrasound reflection. The result is an ultrasound artifact known as B-line: a hyperechoic laser-shaped vertical artifact arising from the pleural line, moving synchronous with lung sliding, reaching the edge of the screen and erasing the A-lines. B-lines are generated when the lung density increases (25) and are considered significant when \geq 3 B-lines are visualized in a scan (B-pattern). \geq 3 well-spaced B-lines correspond to moderate lung loss of aeration whereas coalescent B-lines, resulting from partial filling of alveolar spaces, indicate severe loss of aeration (27). Those two patterns are classically observed in increased extra vascular lung water either due to increased hydrostatic pressure or altered capillary permeability (*Figure 2*).



Figure 1 Lung ultrasound examination and report. (A) Each hemithorax is divided in six regions: 2 anterior, 2 lateral, 2 posterior, according to anatomical landmarks set by anterior and posterior axillary lines (red dotted lines). Each region is divided in half, superior, and inferior. To perform a comprehensive examination, all adjacent intercostal spaces must be explored in each region of interest, sliding the probe along the space. (B) Examples of simplified report form for rapid reporting and monitoring. For each explored region, the worst finding is reported in simple checkboxes according to the following rating: normal: 0; well-separated B-lines: 1; coalescent B-lines: 2; and consolidation: 3. The cumulative lung ultrasound score corresponds to the sum of each examined region score (minimum score, normal lungs: 0; maximum score, both consolidated lungs: 36). ANT, anterior; INF, inferior; LAT, lateral; POST, posterior; SUP, superior.

Lung consolidation

Lung lobar/hemilobar consolidations are visualized when the loss of aeration is complete and the lung appears as a tissue-like structure. Lung consolidations have a welldelimited external margin, while the inner limit can be either irregular if aerated lung is in continuity or regular in case of complete lobe consolidation. Within consolidations, air-bronchograms are visualized as hyperechoic images. During inspiration, penetration of gas through the bronchial tree into consolidations reinforces their appearance, giving a dynamic airbronchogram. A dynamic air-bronchogram allows ruling out obstructive atelectasis (28). If the air-bronchogram is static or absent, it suggests non-patent airways: however, it is not specific for a diagnosis. Air-bronchogram morphology (punctiform or linear/arborescent) may provide additional information for further differential diagnosis of consolidations (Figures 3,4).

Smaller consolidations are visualized as echo-poor images juxtaposed to the pleura with irregular boundaries (shred sign), the so-called subpleural consolidations (*Figures 3,5*).

Lung ultrasound for community acquired pneumonia (CAP) diagnosis

In emergency departments, a recent study compared CXR to chest CT scan for the diagnosis of suspected CAP: CXR showed 30% false positive and false negative rate (31). On the opposite, LUS showed good results in CAP diagnosis. LUS visualization of a consolidation yielded a sensitivity of 93% and a specificity of 98% for the diagnosis of community-acquired pneumonia in the emergency department (32). The visualization of a lung consolidation with LUS was confirmed to be a valid alternative for the bedside diagnosis of CAP in adults in multiple successive studies (33-40). This was also affirmed by recent meta-analyses (41,42).

Although the visualization of a single ultrasound sign cannot be considered specific for a diagnosis, clinicallydriven LUS examination in particular setting and clinical conditions allow ruling in or out quickly and accurately several causes of acute respiratory failure in ED (32,43,44). A recent single-blind, randomized controlled trial demonstrated that point-of-care ultrasound is superior to standard diagnostic tests alone for establishing a correct diagnosis of acute respiratory failure, also allowing a better



Figure 2 Lung ultrasound score patterns. (A) Normal pattern. The pleural line (white arrow) with multiple horizontal A-lines (thin arrows). (B) The pleural line is visible (white arrow) with separated B-lines (*) arising from the pleural line and spreading up to the edge of the screen. This pattern corresponds to moderate lung aeration loss resulting from interstitial syndrome. (C) The pleural line is visible (white arrow) with coalescent B-lines (between °) arising from the pleural line and spreading up to the edge of the screen. This pattern corresponds to severe lung aeration loss resulting from partial filling of alveolar spaces. (D) Transversal view of a consolidated lower lobe. Lung has a tissue-like echotexture (L). Hyperechoic images (*) can be seen within the consolidation corresponding to dynamic air bronchograms (air-filled bronchi). Pe, pleural effusion.

use of advanced diagnostic tests (17).

Lung ultrasound for VAP diagnosis

The diagnosis of VAP is trickier than the simple visualization of consolidations; in fact, patients under mechanical ventilation may present severe loss of aeration due to other etiologies, mainly in dependent regions.

LUS has all the features to be suitable for VAP detection, but only three original works focused so far on its application for VAP diagnosis. In a retrospective study by Zagli *et al.*, they investigated the diagnostic value of chest infiltrates with ultrasound, considering both tissue-like pattern visualization and subpleural consolidation (45). Ultrasound was integrated in a complex score also including

clinical parameters, PCT and quantitative microbiological analysis of endotracheal aspirate (*Table 2*). This score showed sensitivity 80.5% and specificity 85.2%, but results were necessarily boosted by the integration in the score of the quantitative microbiological parameter. Moreover, problematic patients such as with other potential sources of infection, COPD decompensation or with ongoing antibiotics were excluded, thus limiting its applicability.

If considered alone, chest infiltrates showed acceptable specificity (84.6%) but poor sensitivity (59.3%), as expected. However, this corresponded to a first signal on the potential use of ultrasound visualization of chest infiltrates for VAP diagnosis.

A second study by Berlet et al focused on the monitoring of modifications of consolidations' pattern in patients



Figure 3 Lung ultrasound signs of VAP. (A) A subpleural consolidation is visualized on the left as an echopoor image juxtaposed to the pleura and generating hyperechoic artifacts (longitudinal scan – linear probe). (B) Within a consolidated lung, a dynamic arborescent airbornchogram is visualized as a hyperechoic image moving synchronous with tidal ventilation and shaping the bronchial tree.



Figure 4 Longitudinal scan with linear probe (29): the bat sign is clearly visible; 0.5 cm below the rib line, the pleural line is visualized as a hyperechoic line, moving synchronous with tidal ventilation. A subpleural consolidation is visualized on the left as an echopoor image juxtaposed to the pleura and generating hyperechoic artifacts.

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considered at risk of VAP (46). While limited by the small sample size (only 12 patients affected by VAP) and by a simplified LUS examination (only posterior regions), an interest in the identification of dynamic air-bronchogram for VAP diagnosis was underlined.

A successive prospective multicenter study by Mongodi



Figure 5 Longitudinal scan with phased-array probe (30): the diaphragm cupola is visualized separating abdominal parenchyma (on the left) and a consolidated lung (on the right). Within the lung, the hyperechoic image moving synchronous with tidal ventilation and shaping the bronchial tree corresponds to a dynamic arborescent air-bronchogram.

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et al. (47) enrolled 99 consecutive patients with suspected VAP on the basis of classical clinical and biochemical parameters; the performances of LUS, CPIS, direct gramstain examination and quantitative analysis of tracheal aspirate were compared to the gold standard, a distal airways microbiological sample. A complete 12-region

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 Table 2 Chest echography and procalcitonin pulmonary infection score (CEPPIS)

Parameter	CEPPIS
Temperature (°C)	
≥36.0 and <38.4	0
≥38.5 and <38.9	1
≥39 and <36.0	2
Procalcitonin (ng/mL)	
<0.5	0
≥0.5 and <1	1
≥1	2
Tracheal secretions	
Non purulent	0
Purulent	2
Oxygenation PaO ₂ /FiO ₂ , mmHg	
>240 or presence of ARDS	0
≤240 and absence of ARDS	2
Infiltrates on LUS	
Negative	0
Positive	2
EA quantitative culture	
≤10 ⁴ CFU/mL	0
>10 ⁴ CFU/mL	2

*, additional parameters to be added in for modified versions of the score. ARDS, acute respiratory distress syndrome; PaO₂/ FiO₂, arterial oxygen partial pressure/fraction of inspired oxygen; CFU, colony forming unit; EA, endotracheal aspirate.

 Table 3 Ventilator-associated pneumonia lung ultrasound score (VPLUS)

Parameter	VPLUS
Purulent tracheal secretions	1
≥ Areas with subpleural consolidations	1
≥ Area with dynamic linear/ arborescent air-bronchogram	2
EA positive quantitative/ qualitative culture*	1

*, additional parameters to be added in for modified versions of the score. EA, endotracheal aspirate.

LUS examination was performed, focusing on the presence of consolidations (both tissue-like pattern and subpleural consolidations) and on the air-bronchogram features (present or absent, static or dynamic, punctiform or linear/ arborescent). The combination of clinical and biochemical parameter was confirmed to have poor performances for early VAP diagnosis: a CPIS \geq 6 carried 68% sensitivity and 50% specificity. Direct gram-stain examination and quantitative culture of tracheal aspirate showed 57%/77% and 83%/71% of sensitivity/specificity, thus confirming a reliable but not perfect role of quantitative analysis of tracheal aspirate to confirm VAP diagnosis.

Concerning LUS signs, the presence of a tissue-like pattern alone had 93% sensitivity but 0% specificity, thus confirming that other etiologies than VAP may induce complete loss of aeration in mechanically ventilated patients. On the contrary, the visualization of one consolidated region with linear/arborescent dynamic airbronchogram showed greater specificity (81%). Subpleural consolidations resulted to be a sensible sign, with limited specificity (at least one subpleural consolidation: sensitivity 81%, specificity 41%).

A new simple score, easy to compute at the bedside, was tested post-hoc, the VAP lung ultrasound score (VPLUS, *Table 3*): it's AUC was higher than the CPIS (0.743 vs. 0.574) and was improved by the association of direct gram-stain examination or quantitative analysis of endotracheal aspirate (0.832 and 0.874, respectively). A VPLUS \geq 2 identified VAP with 71% sensitivity and 69% specificity.

In pathology, disseminated associated VAP injuries extend from the center to the periphery. Wherever those lesions reach the pleural line, they become identifiable by LUS. As VAP extends, normal pattern is replaced by focal areas of interstitial syndrome represented by well-spaced B lines, progressively becoming confluent; this is then replaced by small subpleural consolidations, disseminated to anterior and lateral chest wall. If not treated, those small consolidations merge to become larger lobar consolidation. The air-bronchogram might be visualized within large consolidations.

Being VAP an evolutive process reaching the chest wall, lung ultrasound examination seems to perfectly match VAP diagnosis features. However, despite the description of specific ultrasound patterns for VAP, to this day, a prospective application of LUS signs in early VAP diagnosis is lacking. Because many VAP occurs in already injured

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regions, we believe that the LUS examination should focus on lesions evolution rather than on appearance of new lesions.

To summarize LUS signs of VAP are given in *Figure 3*. In patients suspected of VAP, LUS can be a valuable help by visualizing lobar or subpleural consolidation quickly and reliably. A linear/arborescent air-bronchogram confirms the diagnosis of VAP. In experimented hands, a normal LUS rules out the diagnosis of VAP.

Lung ultrasound for VAP monitoring

Once diagnosed, LUS can also be used to monitor VAP recovery and antibiotic efficacy (48). Effective treatment should result in progressive disappearance of VAP signs whereas when inappropriately treated, subpleural consolidations are expected to keep appearing and to extend.

For this purpose, a LUS score can be used to quantify the global loss of aeration and monitor its modification in days. An aeration score is attributed to each of the 12 standard regions: 0 if A-lines or maximum 2 B-lines are visualized, score 1: \geq 3 B-lines (well-spaced or involving \leq 50% of the pleura, depending on the approach), score 2: B-lines becoming coalescent or involving >50% of the pleura, score 3: tissue-like pattern (49,50). The global LUS score is computed as the sum of the regional scores; it showed good correlation with lung density as assessed by CT scan (25) and was so far applied to quantify and monitor aeration in weaning from mechanical ventilation (50), in acute respiratory distress syndrome (ARDS) on extra-corporeal membrane oxygenation (51) and to guide fluid resuscitation in ARDS patients in shock (52).

A regional re-aeration score can be computed in each area to monitor the effectiveness of a treatment aiming at increasing lung aeration, as antibiotics in VAP(46) or recruitment maneuvers (53): the aeration improvement will give a positive score of +1, +3 or +5 points if a switch of 1, 2 or 3 steps in LUS score is observed. A worsening in lung aeration will give a negative score of -1, -3 or -5 points is a switch of 1, 2 or 3 steps is remarked. The global re-aeration score is computed as the sum of each regional re-aeration score.

When computed after 7 days of antibiotics in VAP patients, global LUS re-aeration score showed a significant correlation with re-aeration as assessed by quantitative CT scan (rho =0.85). In particular, a re-aeration score equal or superior to 5 corresponded to a gain of lung volume of

at least 400 mL, corresponding to a successful antibiotic therapy, while a score inferior to -10 corresponded to a loss of aeration of at least 400 ml, thus indicating antibiotic failure. A systematic approach for diagnosis and monitoring of VAP with LUS is proposed in *Figure 6*.

Moreover, LUS score can be easily learnt with a 25-exam training (54) and showed good repeatability both in terms of images acquisition (25) and images interpretation (49).

Non-resolution of infection with development of abscesses or septated effusions can also be detected (*Figure 7*). Abscesses with pleural contact or included inside a lung consolidation (55-58) appear as rounded hypo-echoic lesions with regular outer margins (*Figure 3*). If a cavity is present, additional B-lines are generated by the gas/tissue interface. Ultrasound-guided percutaneous drainage of lung abscesses has proven to be a safe and effective alternative to CT-guided drainage (55-58).

Limitations

Classical limitations in LUS application are the presence of subcutaneous emphysema or thoracic dressings, since preventing ultrasound penetration. For the specific application of VAP diagnosis and monitoring, although previous study suggested the presence of specific and sensible ultrasound signs, a prospective application is still lacking. Moreover, while the dynamic linear/arborescent air-bronchogram is a specific sign, it's poorly sensible and false negative exist; a second LUS examination after disobstructive fiber-bronchoscopy has been suggested to detect previously masked air-bronchogram, but still has to be confirmed in a wider population (59).

Conclusions

The routine use of LUS is now an attractive alternative to bedside CXR in diagnosing lung consolidation and pleural effusion, and its value is recognized in CAP diagnosis. On the other hand, accuracy of lung ultrasound for diagnosing of VAP is still under evaluation. In ICU patients with pre-existing injured lung areas, the presence of a lung consolidation is not enough to affirm VAP. LUS imaging can show lung infiltrate and sign of VAP, more reliably and quickly using LUS than chest X-ray, but it cannot attest the presence of lung bacteria in the lung and cannot replace microbiological sampling. However, it seems that some LUS signs are specific of lung infection and can accurately predict VAP when combined to simple clinical parameters.



Figure 6 A systematic approach for diagnosis and monitoring of ventilator-associated pneumonia. BAL, broncho-alveolar lavage; ATB, antibiotics; VPLUS, ventilator-associated pneumonia lung ultrasound score; LUS, lung ultrasound.



Figure 7 Lung abscesses and adhesiolysis. (A) Frontal view of lung abscesses (*) inside a lung consolidation (C); (B) transversal view of a lung consolidation with pleural effusion (Pe) and adhesiolysis (*). Pe, pleural effusion; dia, diaphragm; Li, liver.

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Footnote

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