

# Placebo-driven clinical trials of yeast-derived $\beta$ -(1,3) glucan in children with chronic respiratory problems

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**Background:** The role of glucan in stimulation of immune reactions has been studied for several decades. In this report we focused on the effects of orally administered glucan in children with chronic respiratory problems.

**Materials and methods:** We measured the levels of albumin, lysozyme and CRP in saliva of 40 children aged 8–12 years and evaluate the effects of 100 mg/d oral dose of glucan.

**Results:** We found a significant increase in production of changes in production of lysozyme and CRP in glucan-treated children. In addition, a strong improvement in general conditions was found.

**Conclusions:** Short-term oral application of natural immunomodulator  $\beta$ -glucan stimulated mucosal immunity of children with chronic respiratory problems.

**Key Words:** Glucan; children; mucosal immunity; lysozyme; saliva



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## Introduction

Forty years ago,  $\beta$ -glucans were first described as biological response modifiers (BRM) that could stimulate tumor rejection in mice. As with many other BRM, they were classified as “non-specific” because their molecular target(s) were unknown and their effects appeared to be pleiotropic and unpredictable. Nevertheless, there is extensive literature regarding the activity of  $\beta$ -glucans in animal tumor models (1) and, for the past 30 years, Japan has used several forms of mushroom-derived  $\beta$ -glucan in cancer patients (2).

Our research has shown that CR3 serves as a major receptor for  $\beta$ -glucans with human or mouse leukocytes and is probably responsible for all reported functions of  $\beta$ -glucans. Unlike other non-specific BRM,  $\beta$ -glucan specifically targets macrophages, neutrophils, and NK cells to tumors that are opsonized with antibodies and C3 (3). Therefore,  $\beta$ -glucan has the same specificity as the tumor-opsonizing antibodies. This research, in particular, has

shown the therapeutic value in mice of small  $\beta$ -glucans that bind to CR3 and prime the receptor for subsequent cytotoxic activation if, and only if, membrane CR3 is subsequently clustered by contact with the clustered iC3b coating a tumor cell. Several studies have shown the safety of  $\beta$ -glucans and the absence of side effects.

The targets for  $\beta$ -glucan-primed CR3 include any iC3b-opsonized host cell or microbial pathogen. Tumors appear to be frequently opsonized with IgM and/or IgG Abs and iC3b as the result of an ineffective humoral response and enhancement could occur with either vaccines or mAbs to tumor antigens. Cells infected with viruses or intracellular bacteria also often activate C, either because they have become activators of the alternative pathway or through Abs that activate the classical pathway of C. The common feature of target cell bound iC3b appears to explain the wide range of diseases that have been reported to respond to therapy with  $\beta$ -glucans (4,5).

Our data on mice have shown that resistance to  $\beta$ -glucan therapy corresponds to the absence of tumor cell-bound C3 and that the success of  $\beta$ -glucan therapy can be assured by antibodies to tumor antigens that enhance the target cell density of bound antibodies and C3 (6). Normal human and mouse sera contain low levels of Abs reactive with syngeneic or allogeneic tumor lines that activate complement, depositing iC3b onto tumors. Tumors implanted in mice became coated with IgM, IgG, and C3 and the absent C3 deposition on tumors in SCID mice was reconstituted with IgM or IgG isolated from normal syngeneic sera.

Rodent studies indicate that glucan supplements offset the increased risk of infection, either with or without stress association, mostly via augmentation of immunological activities, including cellular immunity (7). The defensive mechanisms of the lungs involve surface fluids (such as mucous and other material contained in the surface lining of the lungs; epithelial resources including cilia and mucous glands and alveolar macrophages; and immunocytologic reserves including the blood leukocytes and various immunoglobulins). Glucans were found effective in most of these cases (8,9), but the effects on mucosal immunity, thus far, have not been studied. At the same time, respiratory infections, particularly upper respiratory infections, are the highest-incidence acute illnesses in the developed world. According to the estimates, in the United States alone, the average adult has 2-to-4 colds per year and the average schoolchild 6-to-10 (10). Although patients with complications, such as bronchospasm or otitis media may benefit from antibiotic or inhaler treatment, medical science has little to offer for uncomplicated infections. Nevertheless, antibiotics are commonly prescribed, despite the well-established knowledge of little benefit. Clearly, there is a need for effective, safe, and inexpensive treatment of chronic respiratory problems.  $\beta$ -Glucan can be just the right solution.

## Materials and methods

### Protocol

A randomized, double-blind, placebo-controlled trial compared  $\beta$ -glucan #300 and placebo in children. Forty children (24 females, 16 males, age 8-12, average  $10.7 \pm 2.3$ ) from the sanatorium for respiratory diseases EDEL were enrolled in 4-week trial. The entire trial was conducted at the Sanatorium EDEL (Zlate Hory, Czech Republic) and the study was approved by the Ethics committee of the Public Health Institute and Sanatorium EDEL Czech

Republic. This study was performed in agreement with Helsinki declaration (revised version 2000.09.01) and in full agreement of rules for clinical testing for the Czech Republic. Parental consent was given in all cases.

Subjects were randomly assigned to groups which were blinded to intervention. During the intervention period, subject consumed 100 mg/d of  $\beta$ -glucan or placebo. Both glucan and placebo capsules looked identical. Subjects were routinely evaluated by the medical staff.

### Glucan

Yeast-derived insoluble glucan #300 were purchased from Transfer Point (Columbia, SC), this glucan is over 85% pure.

### Tests

In all subjects we obtained saliva at the beginning of the study and at the endpoint of their stay in Sanatorium. We used identical times (between 8 and 9 AM) for sampling, so the possible influence of circadian rhythms could be eliminated.

Saliva was collected using a commercial Salivette device (Sarstead, Orsay, France). A cotton swab was added into a sterile container and centrifuged at 2,000 g for 15 minutes and stored at  $-20^{\circ}\text{C}$ . We measured the levels of albumin, and C-reactive protein (CRP) in saliva using nephelometer Siemens BM II as suggested by the manufacturer. Lysozyme was measured using photometer Dynex MRX (The Microtiter Comp.) using egg lysozyme as a standard.

### Statistical analysis

Statistical significance was evaluated by a pair t-test using a GraphPad Prism 502 software (GraphPad Software, USA).

## Results

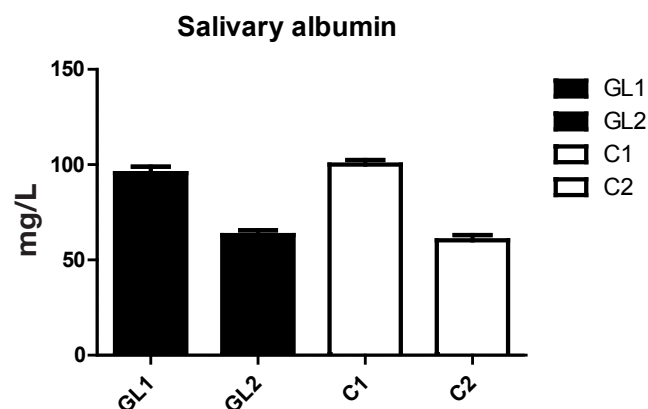
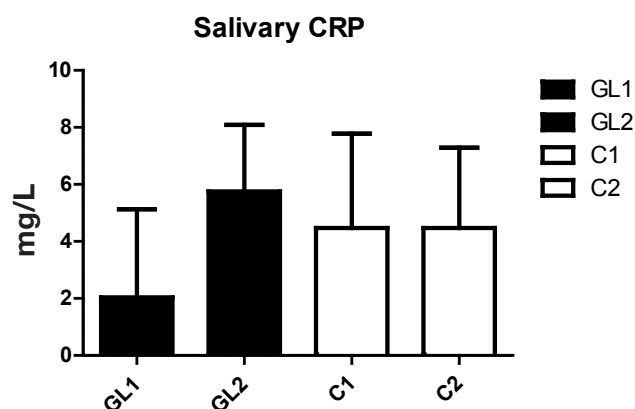
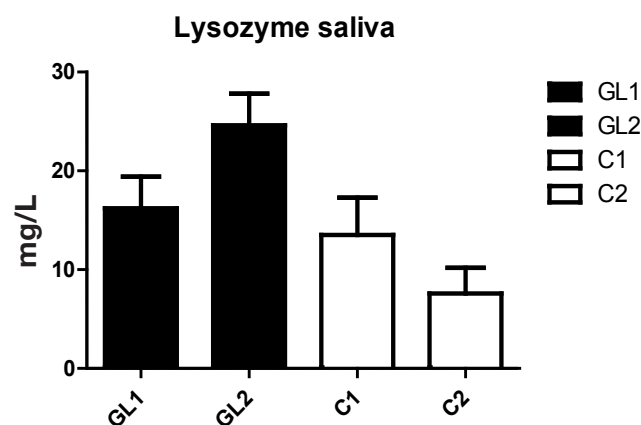
All children participating in our study are living at the same locality at Northern Moravia, which is known for its extremely high level of pollution. Only children diagnosed with repeated upper airways infections, chronic bronchitis, allergies or asthma were used in this study.

All subjects were given identical food and were identically treated using climatotherapy and speleotherapy. In addition, the full medical examination was given at the beginning and at the end of the trial.

**Table 1** Mean concentration of C-reactive protein, albumin and lysozyme in saliva of children at baseline (day 1) and after completion of oral administration of glucan (day 30)

	GL 1 (n=21)	GL 2 (n=21)	C 1 (n=19)	C 2 (n=19)
CRP (mg/L)	2.04±3.09	5.75±2.34	4.47±3.31	4.47±2.82
ALBUMIN (mg/L)	95.5±3.4	63.1±2.5	100.0±2.45	60.3±2.7
LYSOZYME (mg/L)	16.2±3.2	24.6±3.2	13.5±3.8	7.6±2.6

GL 1 represents glucan group at day 1; GL 2 at day 30. C 1 represents control (placebo) group at day 1; C 2 at day 30

**Figure 1** Effects of 4-week oral administration of glucan (100 mg/day) on albumin levels in saliva. GL 1 represents glucan group at day 1; GL 2 at day 30. C 1 represents control (placebo) group at day 1; C 2 at day 30. Significant at  $P < 0.05$  between groups**Figure 2** Effects of 4-week oral administration of glucan (100 mg/day) on CRP levels in saliva. GL 1 represents glucan group at day 1; GL 2 at day 30. C 1 represents control (placebo) group at day 1; C 2 at day 30. Significant at  $P < 0.05$  between groups**Figure 3** Effects of 4-week oral administration of glucan (100 mg/day) on lysozyme levels in saliva. GL 1 represents glucan group at day 1; GL 2 at day 30. C 1 represents control (placebo) group at day 1; C 2 at day 30. Significant at  $P < 0.05$  between groups

The level of albumin in saliva at the beginning of the trial was elevated in both groups, which corresponds to the

actual clinical state of children living in heavily polluted areas. A month of treatment resulted in significant decrease of albumin levels in both groups (Table 1, Figure 1).

With respect to CRP, the levels did not significantly change during the study (Figure 2). However, the changes in lysozyme levels were very strong. In the glucan group, we observed significant increase (from 13.2 to 24.6 mg/L), whereas control group showed significant decrease (from 13.5 to 7.6 mg/mL; Figure 3).

## Discussion

$\beta$ -Glucan used in this study is one of the most studied glucans on the current market. Series of studies showed that it stimulates the cellular and humoral branches of immune system (11), protects against mercury poisoning (12), positively influences levels of cholesterol and blood sugar (13), inhibits cancer growth (14), and potentiates wound healing (15,16). In addition, these effects were similarly profound when administered orally or intraperitoneally (17).

This is the first placebo-driven clinical study to assess the effects of orally-administered glucan in children with chronic respiratory problems. As glucan can influence levels of secretory proteins in saliva (18), we decided to test the effects of orally-administered glucan on changes in some immunologically important proteins in saliva.

Albumin is a known indicator of inflammation (19), as albumin levels in saliva and other body fluids correspond to the degree of inflammation of mucose and are influenced by diffusion from capillary bed (20). Sanatorium for respiratory diseases is localized in area of extremely low pollution. As inflammation influenced by infection, environmental pollution and/or passive smoking increases diffusion of albumin into saliva (21,22), decrease in albumin levels in both tested groups corresponds with positive changes in atmospheric pollution during the tested interval. Another positive factor is the ending the influence of passive smoking (over 40% of children evaluated in this study was exposed to passive smoking by their parents).

Our findings of levels of C-reactive proteins in children's saliva showed no significant results even after a month of treatment, with only slight increase in children with manifestation of infection of upper respiratory tract. We expect that higher levels of CRP at the beginning of the trial is influenced by passive smoking (21,23). Steady CRP levels suggest minimal effects of stress reaction and monitor positive effects of climatotherapy and speleotherapy in tested children (24).

The most important response to tested glucan was found in lysozyme levels. Monocytes are the source of lysozyme in saliva. Lysozyme represents an important component of innate non-immunoglobulin immunity with antimicrobial properties, ability to inhibit bacterial growth and metabolism (25). In addition, salivary levels of lysozyme can be influence by stress (26,27). However, glucan has been found to strongly increase the lysozyme production (28) on both protein and genomic level (29).

Salivary defense factors, including factors such as C-reactive protein and lysozyme, represent significant part of mucosal immunity, particularly in immunodeficient patients (30) and children prone to respiratory infections (31). In areas of heavy environmental pollution, the situation remains serious despite several compensatory actions including short-time moving to rural areas (32). Stimulation of immune system by well-established immunomodulator remains one of possible remedies. Our findings showed that short term oral administration of glucan significantly increased the salivary levels of CRP and lysozyme in

children with chronic respiratory problems suggesting that this treatment stimulated mucosal immunity. From our results we can conclude that glucan administration might be considered as an inexpensive method in the treatment of chronic respiratory problems in children.

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*Disclosure:* The authors declare no conflict of interest.

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