

# Clinical epidemiology and outcomes of biliary tract infections caused by *Klebsiella pneumoniae*

## Lanyu Li, Changqing Zhu, Huan Huang

Department of Emergency Medicine, Ren Ji Hospital, School of Medicine, Shanghai Jiaotong University, Shanghai 200127, China *Contributions:* (I) Conception and design: H Huang; (II) Administrative support: C Zhu; (III) Provision of study materials or patients: H Huang; (IV) Collection and assembly of data: L Li; (V) Data analysis and interpretation: L Li; (VI) Manuscript writing: All authors; (VII) Final approval of manuscript: All authors.

*Correspondence to:* Huan Huang, MD. Department of Emergency Medicine, Ren Ji Hospital, School of Medicine, Shanghai Jiaotong University, 160# Pujian Rd, Pudong New District, Shanghai 200127, China. Email: Dr\_huan@163.com.

**Background:** Biliary tract infection (BTI) is a common cause of bacteremia, and is associated with high morbidity and mortality. The clinical epidemiology and outcomes of BTI caused by *Klebsiella pneumoniae* (*KP*) have not been well investigated.

**Methods:** This was a retrospective study performed at a university teaching hospital in China from May 2012 to June 2017 that analyzed data for 119 patients with BTI caused by *KP*. We identified *KP* from bile cultures obtained before endoscopic or surgical treatment. Patients' demographic characteristics and clinical outcomes were also recorded.

**Results:** Forty-seven KP strains (39.5%) were positive for the extended spectrum beta-lactamase (ESBL) phenotype. The ESBL-positive group had a higher rate of stay in ICU [12.8% vs. 1.4% (ESBL-negative group); P=0.015] and a significantly longer hospital stay (30.79±31.512 vs. 20.06±23.945 days, respectively; P=0.037). There were no significant differences for 30-day mortality between the two groups; 112 (94.1%) patients survived and 7 (5.9%) died within 30-days of onset. Univariate analysis showed that nonsurvivors were significantly more likely to be older (66.46±22.34 vs. 46±14.84 years, respectively; P=0.001), and have hypoproteinemia (5/7, 71.4% vs. 21/112, 18.8%; P=0.006), immunosuppression (3/7, 42.9% vs. 4/112, 3.6%; P=0.004), solid tumors (5/7, 71.4% vs. 20/112, 17.9%; P=0.004), bloodstream infections (6/7, 85.7% vs. 22/112, 19.6%; P=0.001), and lower surgery rates (1/7, 14.3% vs. 66/112, 58.9%; P=0.042) compared with survivors, respectively. However, we found no significant independent risk factor for mortality. The malignant biliary obstruction group was significantly more likely to have chronic liver disease (P=0.035) than the benign biliary obstruction group, and mortality was higher for the malignant biliary obstruction group (5/25, 20% vs. 2/94, 2.1%, respectively; P=0.05). The malignant biliary group also had higher alkaline phosphatase, and direct and total bilirubin direct levels. Multivariate analysis showed that chronic liver disease was an independent risk factor in patients with malignant biliary disease [odds ratio (OR), 2.431; 95% confidence interval, 1.834-4.031; P=0.001].

**Conclusions:** Patients with BTI caused by *KP* were more likely to have the ESBL phenotype, and antibiotic resistance was not associated with overall survival. Patients with malignant biliary obstruction had higher mortality, and chronic liver disease was an independent risk factor.

Keywords: Biliary tract; infection; Klebsiella pneumoniae (KP); extended-spectrum beta-lactamases (ESBL)

Submitted Jan 10, 2019. Accepted for publication May 10, 2019. doi: 10.21037/atm.2019.06.03 View this article at: http://dx.doi.org/10.21037/atm.2019.06.03

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## Introduction

Biliary tract infection (BTI), including cholangitis and cholecystitis, is a common cause of bacteremia, especially for patients with underlying structural abnormalities (1). BTI is associated with high morbidity and mortality especially when diagnosis or treatment is delayed (2-4). Acute BTI requires a combination of medical and surgical therapy, including adequate antimicrobial therapy and biliary decompression. Mortality for patients with BTIs and bacteremia has been reported to be 10–20% (5-7), despite newer antibiotics and biliary decompression methods.

The pathogens in BTIs are frequently polymicrobial, but the most common organisms are Enterobacteriaceae (i.e., Escherichia coli and Klebsiella spp.) ascending from the gut flora (8,9). Klebsiella pneumoniae (KP) is known to asymptomatically colonize the skin, and respiratory and gastrointestinal tracts and is recognized to have a wide range of clinical manifestations including urinary tract infections, pneumonia, soft tissue infections, bacteremia, abscesses, and intra-abdominal infections. Additionally, in recent decades, the numbers of antibiotic-resistant pathogens have increased steadily. Winokur et al. (10) reported that 8% (in the US) to 45% (in Latin America) of KP isolates and 3.3% (in the US) to 8.8% (in Latin America) of E. coli isolates were extended-spectrum beta-lactamase (ESBL) phenotypes. Furthermore, the emergence of multidrugresistant KP has become a great concern worldwide and has resulted in a dramatic increase in research into reservoirs and risk factors for KP infection (11,12).

Several previous studies have evaluated bacteremia in BTI; however, information regarding BTIs caused by *KP*, especially those confirmed with bile culture, susceptibility testing, bacterial species identification, or specific antiinfection strategies are rare. Therefore, improving BTI treatments requires further research. Furthermore, the impact of ESBL-producing *KP* on patients' clinical outcomes has also not been well described in patients with BTIs.

Because inadequate antimicrobial therapy is associated with an increased risk of overall mortality, it is necessary to determine the pathogen and its drug-susceptibility early in the disease process. For this purpose, we performed a single-center retrospective study to evaluate the clinical epidemiology of patients with BTIs caused by *KP*. We described patients' demographics, susceptibility profiles, 30-day mortality, and the prognostic factors related to survival in these patients. In addition, we investigated the

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different clinical outcomes in patients with malignant *vs*. nonmalignant biliary obstructions.

## **Methods**

## Study setting

This retrospective study was performed at a tertiary care university teaching hospital, Ren Ji Hospital, affiliated with the Shanghai Jiaotong University of Medicine, in Shanghai, China. The study period was from May 1, 2012 to June 30, 2017. We reviewed patients' medical and microbiological records to identify consecutive patients with BTIs. All patients were  $\geq 16$  years old and had positive bile culture results. Infections were confirmed by two clinical microbiologists and managed in conjunction with their medical or surgical teams. The specimens for bile culture were obtained surgically in 66/119 (55.5%) patients, by endoscopic retrograde cholangiopancreatography in 13/119 (10.9%) patients, and by percutaneous transhepatic biliary drainage in 40/119 (33.6%) patients. The bile specimen sampling method was chosen by the attending gastroenterologist, not by the investigators. Patients with recurrent infections and other sources of infection were excluded. Clinical manifestations were determined from patients' medical charts.

## Definition

Cholecystitis was diagnosed based on the presence of fever, right upper quadrant pain, and imaging findings, such as those of ultrasonography or computed tomography. Cholangitis was diagnosed based on the following: (I) the presence of fever with upper quadrant pain; (II) radiological (computed tomographic or sonographic) or endoscopic evidence of biliary tract obstruction secondary to a stone or a benign or malignant stricture; and (III) laboratory findings of hyperbilirubinemia and an elevated serum alkaline phosphatase level.

Data describing patients' clinical variables were collected from their computerized medical records and included age, sex, underlying medical conditions (malignancy, hypoproteinemia, chronic liver disease, diabetes mellitus, solid organ transplantation), and laboratory findings (C-reactive protein, procalcitonin, erythrocyte sedimentation rate, direct and total bilirubin direct, alanine aminotransferase). Patients with BTI (benign/malignant) were admitted to our institution with a positive culture for

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an ESBL-producing or nonproducing isolate of *KP* (ESBL-positive and ESBL-negative, respectively).

Initial empirical antimicrobial therapy was defined as treatment with at least one agent to which the isolate was susceptible *in vitro* according to the Clinical and Laboratory Standards Institute breakpoints (13).

Septic shock was defined as sepsis associated with systemic inflammatory response syndrome (any two of the following: tachypnea >20 breaths/min, white blood cell count <4,000 or >1,200 cells/µL, heart rate >90 beats/min, and fever >38.0 °C or hypothermia <36.0 °C), organ dysfunction (renal failure, liver dysfunction, changes in mental status, and elevated serum lactate) and persistent hypotension after volume replacement (14).

Immunosuppression was defined as leukopenia (granulocytes  $<0.5\times10^{9}$ /L), and otherwise impaired immunity (e.g., chronic corticosteroid therapy, chemotherapy and underlying malignancy, dialysis or systemic disease such as leukemia, solid organ transplantation, or acquired immunodeficiency in patients with granulocytes  $>0.5\times10^{9}$ /L).

Mortality was defined as death from any cause within 30 days from the onset of symptoms. Chronic liver disease was defined as liver disease of >6 months' duration, namely, chronic hepatitis, autoimmune liver disease, or cirrhosis.

#### Microbiology

*KP* isolates were confirmed using the Vitek 2 Advanced Expert System (bioMèrieux, Marcy l'Etoile, France), and antibiotic susceptibility was performed using the agar disc diffusion method (Kirby-Bauer). Antibiotic susceptibility was interpreted according to the European Committee on Antimicrobial Susceptibility Testing guidelines (15).

## Data analysis

Continuous variables were compared using the Mann-Whitney U test, and the chi-square test or Fisher's exact test was used to compare categorical variables. All significant variables with P<0.10 in the univariate analysis were considered candidates for entry into a forward stepwise multivariate logistic regression model; forward stepwise selection was performed to develop the final model. A P value less than 0.05 was considered statistically significant. All data were analyzed using the IBM SPSS Statistics for Windows (version 19.0; IBM Corp., Armonk, NY, USA). ORs and 95% CIs were calculated to evaluate the strength of any association.

#### **Results**

A total of 119 patients had confirmed *KP* isolates during the study period. Among these patients, the median age was 65.15 years (range, 16–94 years) and 60 (50.4%) were men.

The demographic and clinical characteristics of both survivors and nonsurvivors with *KP*-related BTIs are shown in *Table 1*. Of the 119 patients, 112 (94.1%) survived and 7 (5.9%) died within 30 days of onset. When we compared the comorbid conditions in the two groups using univariate analysis, nonsurvivors were significantly more likely to be older ( $66.46\pm22.34 vs. 46\pm14.84$  years; P=0.001), and have hypoproteinemia (5/7, 71.4% vs. 21/112, 18.8%; P=0.006), immunosuppression (3/7, 42.9% vs. 4/112, 3.6%; P=0.004), solid tumors (5/7, 71.4% vs. 20/112, 17.9%; P=0.004), bloodstream infections (6/7, 85.7% vs. 22/112, 19.6%; P=0.001) and lower surgery rates (1/7, 14.3% vs. 66/112, 58.9%; P=0.042) compared with survivors, respectively. However, we found no significant independent risk factor for mortality in the multivariate analysis.

The demographic and clinical features of the patients with malignant biliary obstruction are summarized in *Table 2*. Univariate analysis revealed that the patients with malignant biliary obstruction were significantly more likely to have chronic liver disease (P=0.035) compared with controls, and the mortality rate was higher in patients with malignant biliary obstruction (P=0.05) (*Figure 1*). Comparing patients' laboratory findings, the malignant biliary group had higher alkaline phosphatase, and direct and total bilirubin levels. Multivariate logistic regression analysis showed that chronic liver disease was an independent risk factor in patients with malignant biliary disease (OR, 2.431; 95% CI, 1.834–4.031; P=0.001).

Forty-seven KP strains (39.5%) were ESBL-positive (*Table 3*), and the ESBL-positive group had a higher rate of stay in ICU (P=0.015). The ESBL-positive group also had a significantly longer hospital stay than ESBL-negative patients (P=0.037). The initial empirical antimicrobial drugs and the number of drug-resistant KP isolates were not statistically significantly different between the ESBL-positive group had a higher 30-day mortality rate than the ESBL-negative group, but no association was found between ESBL-positive isolates and 30-day mortality. The rate of antibiotic resistance to beta-lactam/lactamase inhibitors and carbapenems for ESBL-producing KP was 95.7% and 21.3%, respectively, but there was no statistically significant difference between the two groups (*Figure 2*).

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Table 1 Comparison of demographic and clinical characteristics between 30-day survivors and nor
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Characteristics	Survivors, n=112	Non-survivors, n=7	Р
Demographic data			
Male sex (male, %)	58 (51.8)	2 (28.6)	0.272
Age (mean ± SD)	46±14.84	66.46±22.34	0.001
Time in hospital (mean ± SD)	24.62±28.26	19.14±11.71	0.612
Septic shock (%)	5 (4.5)	1 (14.3)	0.311
Stay in ICU (%)	5 (4.5)	2 (28.6)	0.054
Underlying diseases (%)			
Diabetes mellitus	10 (8.9)	0 (0.0)	0.532
Hypoproteinemia	21 (18.8)	5 (71.4)	0.006
Chronic liver disease	6 (5.4)	1 (14.3)	0.353
Immunosuppression	4 (3.6)	3 (42.9)	0.004
ESBL-producing (%)	44 (39.3)	3 (42.9)	0.573
nitial empirical antimicrobial therapy (%)	98 (87.5)	7 (100.0)	0.406
Laboratory finding			
PCT (mean ± SD, ng/mL)	127.43±129.28	106.00±186.65	0.733
CRP (mean ± SD, md/dL)	50.03±47.41	91.82±67.81	0.121
ALP (mean ± SD, U/L)	48.51±62.39	53.09±51.67	0.85
Bilirubin direct (mean $\pm$ SD,U/L)	36.95±67.66	59.42±52.03	0.469
Total bilirubin (mean $\pm$ SD, mg/dL)	56.55±92.78	68.43±64.18	0.74
Bloodstream infections (%)	22 (19.6)	6 (85.7)	0.001
Solid tumor (%)	20 (17.9)	5 (71.4)	0.004
Drugs resistant isolates of KP (%)			
Quiolones	2 (1.8)	1 (14.3)	0.846
Beta-lactam/lactamase inhibitors	107 (95.5)	6 (85.7)	0.735
Carbapenems	14 (12.5)	3 (42.9)	0.059
nterventions (%)			
Surgery	66 (58.9)	1 (14.3)	0.042
ERCP/PTCD/PTBD	48 (42.9)	5 (71.4)	

ICU, intensive care unit; SD, standard deviation; CRP, C-reactive protein; PCT, procalcitonin; ALP, alanine transaminase; ERCP, endoscopic retrograde cholangiopancreatography; PTCD, percutaneous transhepatic catheter drainage; PTBD, percutaneous transhepatic biliary drainage; ESBL, extended-spectrum beta-lactamase.

## Discussion

The most common infecting organisms in BTIs are E. coli and KP (1), but few studies have focused specifically on the clinical epidemiology and outcomes of BTIs caused by KP. Kim et al. (16) reported in 2013 that the prevalence of the

ESBL-positive phenotype in KP and E. coli isolated from blood and/or bile cultures was 13.2% (21/159 isolates), and that the prevalence of ESBL-producing KP was 25.3% (19/75 isolates). Increased bacterial antibiotic exposure from antibiotic overuse, misuse, or even appropriate use,

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Table 2 Comparison of demographic and clinical characteristics between patients with benign biliary obstruction and those with malignant biliary obstruction

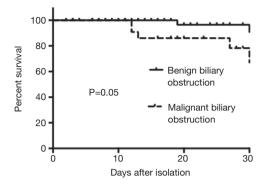
Characteristics	Benign biliary obstruction, n=94	Malignant biliary obstruction, n=25	Р
Demographic data			
Male sex (male, %)	46 (48.9)	14 (56.0)	0.53
Age (mean ± SD)	65.15±16.47	65.16±14.34	0.998
Time in hospital (mean $\pm$ SD)	23.25±27.75	28.6±26.97	0.378
Septic shock (%)	6 (6.4)	0 (0.0)	0.235
Stay in ICU (%)	7 (7.4)	0 (0.0)	0.183
Underlying diseases (%)			
Diabetes mellitus	8 (8.5)	2 (8.0)	0.649
Hypoproteinemia	18 (19.1)	8 (32.0)	0.176
Chronic liver disease	3 (3.2)	4 (16.0)	0.035
Immunosuppression	4 (4.3)	3 (12.0)	0.16
ESBL-producing (%)	38 (40.4)	9 (36.0)	0.435
Initial empirical antimicrobial therapy (%)	83 (88.3)	22 (88.0)	0.967
PCT (mean ± SD, ng/mL)	116.45±122.93	143.12±175.83	0.609
CRP (mean ± SD, md/dL)	56.07±57.38	51.04±28.31	0.794
ALP (mean $\pm$ SD, U/L)	43.016±39.58	70.12±109.50	0.047
Bilirubin direct (mean $\pm$ SD, mg/dL)	28.56±61.10	78.12±76.15	0.005
Total bilirubin (mean $\pm$ SD, mg/dL)	46.14±85.65	99.00±100.75	0.009
Bloodstream infections (%)	19 (20.2)	9 (36.0)	0.098
Death in hospital (%)	2 (2.1)	5 (20.0)	0.05
Drugs resistant isolates of KP (%)			
Quiolones	1 (1.1)	1 (4.0)	0.731
Beta-lactam/lactamase inhibitors	90 (95.7)	24 (96.0)	0.955
Carbapenems	14 (14.9)	3 (12.0)	0.5
Monotherapy	58 (61.7)	14 (56.0)	0.763
Combination therapy	35 (37.2)	10 (40.0)	0.69
Interventions (%)			
Surgery	55 (58.5)	12 (48.0)	0.346
ERCP/PTCD/PTBD	43 (45.7)	10 (40.0)	

SD, standard deviation; OR, odds ratio; 95% CI, 95% confidence intervals; CRP, C-reactive protein; PCT, procalcitonin; ALP, alanine transaminase; ERCP, endoscopic retrograde cholangiopancreatography; PTCD, percutaneous transhepatic catheter drainage; PTBD, percutaneous transhepatic biliary drainage; ESBL, extended-spectrum beta-lactamase.

has led to increased antibiotic resistance (17). Sung *et al.* (18) found that the prevalence of ESBL-producing E. coli and *KP* strains isolated from patients' blood cultures increased markedly from 2.3% (2/86) in 2000–2004 to 43.9% (58/132)

in 2005–2009. In the present study, we found 39.5% (47/119 isolates) of *KP* isolated from bile cultures in patients with BTIs were ESBL-producing strains, while the percentage of ESBL-producing *KP* was 10.7% (3/28 isolates) in another

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**Figure 1** There was significant difference in overall survival between the patients with benign biliary obstruction and malignant biliary obstruction.

study from China (19). ESBL-producing *KP* is widespread throughout the world, but the prevalence of clinical isolates varies from area to area; clonal spread may be the key cause (20).

Few studies have discussed 30-day mortality in patients with ESBL-positive KP. Kim et al. reported that 30-day mortality was significantly higher for ESBL-positive strains (3/21, 14.3%) compared with ESBL-negative strains (4/138, 2.9%) (16). Additionally, Tumbarello et al. (21) reported that a delay in beginning effective antibiotic therapy was a significant predictor of 21-day mortality in patients with bloodstream infections caused by ESBL-producing Enterobacteriaceae. Mezler and Peterson (22) reported that the length of hospital stay between patients with ESBL-producing and nonESBLproducing E. coli was not significantly different. In our study, the ESBL-positive group had a higher rate of stay in ICU and a significantly longer hospital stay as well as a 30-day mortality of 6.4% (3/47), which was lower than in the cited studies. The differences in 30-day mortality and other clinical outcomes between our study and previous studies may have several explanations. First, patients' characteristics differed between studies. In our study, patients had BTIs caused by KP, while other studies focused on adult patients with Enterobacteriaceae (E. coli and KP). Second, the study by Mezler and Peterson (22) evaluated only E. coli bacteremia, and Kim et al.'s study included patients with blood (88/159, 55.3%) and/or bile (71/159, 44.7%) cultures positive for KP and E. coli. However, the proportions of patients with bacteremia in our study were 23.5% (28/119) and 27.7% (13/47) in the ESBL-positive group and ESBL-negative group,

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respectively (16). Third, over half of patients with ESBLproducing Enterobacteriaceae were not provided with adequate antibiotic therapy (11/21, 52.4%) within 72 hours in Kim *et al.*'s study (16); in our study, this proportion was 85.1% (40/47).

In our study, nonsurvivors were significantly more likely to be older, and have hypoproteinemia, solid organ transplantation, solid tumors, bloodstream infections, and surgery than were survivors. However, we found no significant difference in antibiotic resistance between the two groups. Some investigators have concluded that antibiotic resistance is a significant independent predictor of mortality in bacteremia (23,24). However, mortality related to ESBL-producing KP bacteremia was similar to that of nonESBL-producing KP bacteremia in other studies (25,26). Notably, mortality for patients with biliary infection was significantly lower than for other patients in one study; none died from ESBL-KP bacteremia with BTI (25). Two previous studies also showed that antibiotic resistance was not significantly associated with overall survival in patients with BTIs (16,18). These contrary findings likely reflect that a nonantibiotic approach, such as with effective biliary decompression and general supportive care, is also important during BTI treatment.

Malignant biliary obstruction is one of the most common complications of biliary malignant tumors (27), and malignant biliary obstruction with biliary infection can lead to poor clinical outcomes (28,29). Sung et al.'s study showed that 95.9% (47/49) of the study's patients experienced malignant biliary obstruction, and the presence of a solid tumor was considered the most powerful predictor of mortality (adjusted OR: 9.82) (18). This is consistent with our study, in which we found much higher mortality (5/25, 20%) in patients with malignant biliary obstruction than that in the benign biliary obstruction group (2/94, 2.1%). Although there was a significant difference in overall survival between patients with benign biliary obstruction vs. malignant biliary obstruction, malignant biliary obstruction was not an independent risk factor for mortality by multivariate analysis. The potential causes for this difference may be that some severe patients were treated with biliary decompression, and that the duration of observation in this study was limited to hospitalization.

This study has several potential limitations. First, this was a retrospective study with limited patient numbers, and was performed in a single center. Second, patients with BTIs in this study were mainly determined by bile culture, while only a small number of patients were complicated

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 Table 3 Epidemiologic and clinical characteristics of patients with Klebsiella pneumoniae biliary tract infection stratified by extended-spectrum beta-lactamase positivity

Characteristics	ESBL-negative group, n=72	ESBL-positive group, n=47	Р
Demographic data			
Male sex (male, %)	32 (44.4)	28 (59.6)	0.107
Age (mean ± SD)	63.35±17.056	67.91±13.914	0.128
Time in hospital (mean $\pm$ SD)	20.06±23.945	30.79±31.512	0.037
Solid tumor (%)	16 (22.2)	9 (19.1)	0.687
Septic shock (%)	2 (2.8)	4 (8.5)	0.162
Stay in ICU (%)	1 (1.4)	6 (12.8)	0.015
Death in hospital (%)	4 (5.6)	3 (6.4)	0.569
Underlying diseases (%)			
Diabetes mellitus	5 (6.9)	5 (10.6)	0.514
Hypoproteinemia	17 (23.6)	9 (19.1)	0.605
Chronic liver disease	4 (5.6)	3 (6.4)	0.531
Immunosuppression	3 (4.2)	4 (8.5)	0.432
Initial empirical antimicrobial therapy (%)	65 (90.3)	40 (85.1)	0.392
PCT (mean ± SD, ng/mL)	114.87±148.44	133.91±127.79	0.687
CRP (mean ± SD, md/dL)	47.93±49.16	59.55±52.28	0.502
ALP (mean ± SD, U/L)	46.93±48.45	51.62±78.16	0.687
Bilirubin direct (mean $\pm$ SD, U/L)	39.09±71.44	37.08±60.95	0.89
Total bilirubin (mean ± SD, mg/dL)	59.43±98.02	53.91±80.49	0.749
Bloodstream infections (%)	15 (20.8)	13 (27.7)	0.391
Drugs resistant isolates of KP (%)			
Beta-lactam/lactamase inhibitors	67 (93.1)	45 (95.7)	0.065
Carbapenems	7 (9.7)	10 (21.3)	0.078
Quiolones	2 (2.8)	1 (2.1)	0.462
Monotherapy	45 (62.5)	27 (57.4)	0.518
Combination therapy	26 (36.1)	19 (40.4)	0.677
Interventions (%)			0.839
Surgery	40 (55.6)	27 (57.4)	
ERCP/PTCD/PTBD	29 (40.3)	24 (51.1)	

SD standard deviation; OR, odds ratio; 95% CI, 95% confidence intervals; CRP, C-reactive protein; PCT, procalcitonin; ALP, alanine transaminase; ERCP, endoscopic retrograde cholangiopancreatography; PTCD, percutaneous transhepatic catheter drainage; PTBD, percutaneous transhepatic biliary drainage; ESBL, extended-spectrum beta-lactamase.

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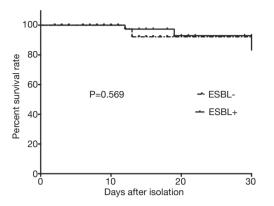


Figure 2 There was no significant difference in overall survival between the patients with ESBL-positive and ESBL-negative strains. ESBL, extended spectrum beta-lactamase.

with bloodstream infection. Therefore, the mortality rate of BTIs caused by *KP* may be underestimated. Finally, we did not distinguish patients as having community-acquired *vs.* nosocomial BTIs, and the impact of previous antibiotic use on emergence of antibiotic resistance is unknown.

## Conclusions

We performed an observational study of *KP*-related BTIs based on bile culture. Patients with BTIs caused by *KP* had a high prevalence of the ESBL-positive *KP* phenotype, while antibiotic resistance was not significantly associated with overall survival. Patients with malignant biliary obstruction had higher mortality, and chronic liver disease was an independent risk factor.

## **Acknowledgments**

We thank Jane Charbonneau, DVM, from Liwen Bianji, Edanz Group China (www.liwenbianji.cn/ac), for editing the English text of a draft of this manuscript.

## Footnote

*Conflicts of Interest:* The authors have no conflicts of interest to declare.

*Ethical Statement:* This study was approved by the Ethics Committee of Renji Hospital (Shanghai Jiao Tong University School of Medicine), and the study met the guidelines of the Declaration of Helsinki.

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**Cite this article as:** Li L, Zhu C, Huang H. Clinical epidemiology and outcomes of biliary tract infections caused by *Klebsiella pneumoniae*. Ann Transl Med 2019;7(14):304. doi: 10.21037/atm.2019.06.03

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