



Value of computed tomography evaluation in pathologic classification and prognosis prediction of gastric neuroendocrine tumors

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Background: The study aims to investigate the correlation of CT characteristics with pathological classifications and the prognostic value of CT features in patients with gastric neuroendocrine neoplasms (g-NENs).

Methods: Ninety-one cases of pathologically diagnosed g-NENs, including 15 cases of well-differentiated neuroendocrine tumors (NETs) (G1 and G2) and 76 cases of poor-differentiated neuroendocrine carcinomas (NECs) (G3 and MANEC) were retrospectively studied. All cases were included in correlation analysis of CT characteristics with pathologic grades. Among them, 76 patients who had fulfilled follow-up data were included for overall survival (OS) and disease-free survival (DFS) analysis.

Results: CT characteristics that favor poor differentiation include tumor location (fundus and cardia), larger tumor size (>3.0 cm), infiltrative growth, unclear tumor margin, serosa involvement, ulceration and lymph node metastasis ($P < 0.05$). Most variables had sensitivities >80% and specificities >60% to distinguish NECs from NETs. Through log-rank analysis, it was revealed that serosa involvement, cystic degeneration, necrosis, heterogeneous enhancement and lymph node metastasis led to worse DFS and OS for patients with g-NENs ($P < 0.05$). COX regression analysis showed that serosa involvement and lymph node metastasis were independent risk factor for DFS and OS, respectively, despite of grading, staging and therapeutic choices ($P < 0.05$). Moreover, high Ki-67 index (>55%) in G3 g-NENs is in correlation with serosa involvement and lymph node metastasis; accordingly, patients with higher Ki-67 index had worse 1-year DFS (61.7% *vs.* 92.3%; $P < 0.05$).

Conclusions: CT characteristics can be useful discriminators and prognostic factors for g-NENs and may help identify G3 g-NEC from G3 g-NEN by revealing its poor differentiation and high invasive potential.

Keywords: Stomach neoplasms; neuroendocrine tumors (NETs); multidetector computed tomography (CT); pathology; survival analysis

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Introduction

Gastric neuroendocrine neoplasms (g-NENs) are a heterogeneous group of tumors that are located at stomach and arise from cells of the diffuse neuroendocrine system. Once called as “carcinoid”, it is currently defined as “neuroendocrine tumor” based on 2010 WHO classification of tumours of the digestive system (1) due to its properties of hormones and amines secretion and its malignant potential which further classifies it into three tiers: G1, G2 and G3. It is of clinical importance to discriminate the grade as it will affect the management strategy and prognosis (2). Furthermore, recent opinions suggest that G3 gastroenteropancreatic (GEP) NENs should be subdivided into G3 neuroendocrine tumor (NET) and G3 neuroendocrine carcinomas (NEC) (3), which has been applied in the classification of pancreatic NENs (4). The update is based on the findings that high-grade GEP-NENs are heterogeneous diseases with diverse genetic and biological behaviors, as well as different therapeutic options and prognosis. The more specific and clear classification reveals its importance to clinical practices and treatment benefits. Therefore, it is necessary to further depict characteristics of NEN G3 from diverse dimensions (5), including CT imaging characteristics.

According to data from the Surveillance, Epidemiology, and End Results (SEER) program, the incidence rate of GEP-NETs is 3.56 per 100,000 in 2012 and the gastric NETs had the greatest rise in incidence with a 15-fold increment from 1973 to 2012, possibly due to the increased diagnosis of patients with asymptomatic and early-stage disease by widely use of endoscopic procedures (6). In China, the stomach is one of the most common primary sites of GEP-NET (20.7–27.0%), which has a higher proportion than those in western countries (7,8). Prognosis of G-NENs is relatively satisfying as the 1-year overall survival (OS) rate >80% and the 5-year OS rate >50%, based on SEER data (9,10); data from Chinese population shows no major difference (8,11).

Computed tomography (CT) has been the standard imaging technique for complete staging and systematic evaluation of patients with g-NENs (12,13). Although the preoperative pathological grading mainly depends on endoscopic biopsy, a small specimen from biopsy might cause misdiagnosis due to the nature of heterogeneity in g-NEN; therefore, CT still has its value of assisting diagnosis. However, the CT characteristics of g-NENs have not been thoroughly investigated, especially the relationship between CT features and pathologic grades, as well as the

predictive value of CT features on prognosis. In our study, we retrospectively analyzed the CT features of 98 cases of g-NENs treated in our hospital to discovery the role of CT imaging in distinguishing different pathological grades and predicting patients’ clinical outcomes.

Methods

Patients

Between January 2010 and January 2017, a total of 98 patients with g-NENs treated in Cancer Hospital, Chinese Academy of Medical Sciences were collected in our study. Patients who fulfilled the following inclusion criteria were included: (I) initial treatments and preoperative enhanced CT scanning were performed in our hospital; (II) the surgical operations were performed in our hospital and the postoperative pathological diagnoses were gastric NETs, which were graded according to the 2010 WHO classification of tumors of the digestive system; (III) for patients who received neoadjuvant treatments, the pathological diagnoses based on preoperative biopsies and surgical specimens should coincide; (IV) patients’ clinical and image data should be detailed and intact; and (V) patients whose primary gastric lesions should be detectable on CT images. Besides, patients (I) who received chemotherapies, radiotherapies or other cancer-related treatments before CT scanning and (II) whose gastric contents were too much or gastric lesions were too small, which affected observation and measurement, were excluded. Among them, 7 patients who didn’t receive surgery were excluded and 15 patients were lost to follow-up, left 76 cases for survival analysis. For each patient, the demographic information, clinical symptom, location of lesions, pathological characteristics, CT features, TNM stage, type of surgery, neoadjuvant and adjuvant treatment choice, recurrence-free survival and OS were recorded and analyzed. The study was approved by the institutional review board at our medical center.

CT technique

All patients underwent GE Highspeed MDCT with 64 detector-rows 6–8 hours after fasting. To achieve gastric distention, 500–800 mL of water was ingested before the examination. After unenhanced CT was performed, the patient would be injected nonionic contrast agent (300 mgI/mL) at a dose of 1.5 mL/kg and a speed of 3.0–4.0 mL/s by high pressure injector through the cubital

vein. Then after 30–35 and 60–65 s, CT images in the arterial phase and the portal venous phase were collected respectively. The scan range was from the diaphragmatic dome to the line between the anterior superior iliac spines, with the section thickness of 0.5 mm. However, due to the retrospective design of this study, most patients didn't receive standard enhanced multiple-phase scans. Among 91 patients, only 1 patient had intact dual-phase and unenhanced scans, while 18 only underwent dual-phase scans without unenhanced scans, 49 only had images in the portal venous phase, 12 only had images in the arterial phase and the rest 11 patients didn't receive enhanced scans.

Image analysis

Two senior radiologists (14 and 26 years of experience in abdominal CT, respectively) performed the image analysis. They were blinded to the gastroscopic results, lesion size, macroscopic features and stage of g-NENs. Difference in assessment were asked to be reevaluated by the chief radiologist with over 30 years of experience in abdominal CT and agreement was made with their consensus. The following parameters were assessed and recorded: lesion location, lesion size, growth pattern, boundary, invasion of the serosa layer, intratumoral areas of cystic change or necrosis, ulceration, adjacent organ involvement, enhancement pattern, lymph node and distant metastasis. The definitions of these features are described below.

For masslike tumors, the lesion size was recorded as the maximal diameter in the axial plane; while for tumors that grew infiltratively, the length of the involved stomach wall was recorded as the lesion size. The boundary was described as clear or unclear. The growth pattern was described as either infiltrative type or circumscribed type. The serosa layer wasn't considered to be invaded only if it was intact and has clear boundary with surrounding tissues, otherwise it was invaded. A lesion was considered to show areas of cystic change or necrosis if it contained a non-enhancing water-density area. The ulceration was considered when the gastric mucosa was discontinuous, had irregular morphology or had local depression. The enhancement pattern was described as homogeneous enhancement or inhomogeneous enhancement. A nodular soft-tissue lesion larger than 10 mm in short-axis diameter was considered as lymph node metastasis, referring to previous studies (14,15) and our clinical experience. By comparing to pathological N stage, the diagnostic sensitivity and specificity of lymph node metastasis by CT was 89.5% and 81.8%, respectively.

The representative CT images with descriptions are shown in *Figures S1* and *S2* in the supplementary material.

Pathological classification

The 2010 WHO classification (1) divides NETs into three histopathologic subtypes: NETs, NECs, and mixed adenoneuroendocrine carcinomas (MANECs). NETs are composed of well-differentiated cells with similar features of normal gastrointestinal endocrine cells and express neuroendocrine markers and hormones. According to ENETS consensus of grading proposal for foregut NETs (16), NETs have two tier, G1 and G2, based on mitotic count and Ki-67 index: G1, mitotic count <2 per 10 HPF and/or $\leq 2\%$ Ki-67 index; and G2, mitotic count 2–20 per 10 HPF and/or 3–20% Ki-67 index. In the meantime, NECs belong to G3, defined as mitotic count >20 per 10 HPF and/or >20% Ki-67 index. Moreover, NECs encompass small cell and large cell types, which both are poorly differentiated and highly malignant neoplasm, with highly cellular atypia, extensive necrosis and higher mitotic figures. MANECs are complexes of adenocarcinoma and NET or NEC, and by arbitrary definition, each part should comprise at least 30% of the whole neoplasm.

Statistical analysis

Among demographic and clinical information, continuous variables were assessed using Mann-Whitney U test while categorical variables were compared by χ^2 test or Fisher's exact test. Among imaging parameters, continuous variables were compared using non-parametric Mann-Whitney U test and χ^2 test or Fisher's exact test was used for categorical variables. The OS was described using the Kaplan-Meier method. Univariate survival analysis was performed by the log-rank test. Multivariate survival analysis was performed by the COX regression model. Receiver operating characteristic (ROC) analysis was performed to determine the cutoff values and the sensitivity and specificity were calculated. Two-sided P values were computed and $P < 0.050$ was considered statistically significant. All aforementioned statistical analyses were implemented using SPSS version 22.0 for Windows (SPSS Inc., Chicago, IL, USA).

Results

General characteristics and clinical presentations

Among the 91 patients, there were 57 males and 34 females,

with a M:F ratio of 1.68:1. The median age was 60 years old (range, 28–83 years). Based on the 2010 WHO pathological grading classification, 15 cases were confirmed as g-NETs (13 cases of G1 and 2 cases of G2), 54 cases were G3 g-NECs and 22 were MANECs. Due to the unawareness of neuroendocrine neoplasms before, all patients didn't perform the test for gastrin and somatostatin receptor imaging, therefore classifying clinical subtypes was unable to fulfill. However, according to clinical classification from the ENETS guideline of gastroduodenal neoplasms (17), based on the fact of the absent of atrophic gastritis under endoscope and solitary lesion in all patients, we deemed they were all type III. For TNM staging, the majority belonged to stage III (58, 63.7%) and most patients with g-NETs were early stage (13 out of 15). Eighty-two cases (90.1%) showed tumor-related clinical symptoms. The most common symptom was dysphagia (36, 39.6%), the remain common symptoms included abdominal pain (28, 30.8%), black stool (12, 13.2%), abdominal distention (10, 11.0%), vomit (4, 4.4%) and regurgitation (4, 4.4%). The rest 9 who didn't have any clinical manifestation included 7 patients with g-NETs and 2 patients with g-NECs, which showed statistically difference among the correlation between clinical symptoms and pathological types ($P < 0.001$).

All patients underwent operations, including 62 radical subtotal gastrectomies (1 patient also had its hepatic metastasis resected), 9 radical total gastrectomies, 7 endoscopic submucosal dissections (ESD), 5 endoscopic mucosal resections (EMR) and 1 partial gastrectomy. Nearly all cases had R0 resections (86, 94.5%). After operations, almost 70% received adjuvant chemotherapy and regimens for small cell lung cancer, including EP (etoposide + cisplatin) and EC (etoposide + carboplatin) were mostly applied. Besides, 12 patients received neoadjuvant treatments, which involved 10 with neoadjuvant platinum-based chemotherapy, 2 with somatostatin analog (octreotide). The detailed clinical characteristics were listed in *Table 1*.

Correlations between CT features and pathological grades

To discuss the predictive role of CT features for pathological diagnosis, patients with g-NECs or g-MANECs were compared with patients with g-NETs. The detailed variables involved in the analysis and results were listed in *Table 2*. Through the analyses using Chi-square test or Fisher exact test, we discovered that compared to g-NETs, g-NECs or g-MANECs would more

likely to be found in the fundus and cardia, have larger size, grow infiltratively, have unclear tumor margin, have serosa involved, have ulceration, have heterogeneous enhancement and have metastatic lymph nodes detected in the CT images ($P < 0.001$). However, variables including cystic degeneration and necrosis, surrounding organ invasion and distant organ metastasis found in the CT didn't show distinctly difference between two groups ($P > 0.05$).

Besides, in regard to the tumor size, we measure the maximum diameter in the axial views and it showed that patients with g-NETs had the average tumor size of 2.31 ± 1.54 cm while the other group's average tumor size was 4.38 ± 1.74 cm ($P < 0.001$). ROC curve analysis and Youden index calculation manifested the tumor size of 3.0 cm was the best cutoff point, with an area under curve (AUC) of 0.836. Using it to distinguish g-NETs with g-NECs and g-MANECs had the sensitivity of 76.3% and the specificity of 80.0%. We also calculated the sensitivities and specificities of other variables that significantly differed g-NETs with g-NECs and g-MANECs and listed in the *Table 3*. Most variables had sensitivities over 80% and specificities over 60%. The growth pattern (localized or infiltrative) had the highest sensitivity (98.7%) and the serosa involvement had the highest specificity (93.3%).

Moreover, patients with g-NEC ($N = 47$, 7 patients without Ki-67 data), which were diagnosed based on 2010 WHO classification, were subdivided into two groups according to the Ki-67 index. The cut-off point was chosen to be 55% followed by previous literatures (3,4). Interestingly, by comparing imaging characteristics between two subgroups, serosa involvement and lymph node metastasis were proved to be significantly more frequent in G3 g-NENs with Ki-67 index $> 55\%$ (*Table 4*; $P < 0.05$).

CT features and survival analysis

Among 76 patients who received surgeries and had intact follow-up data (*Table 5*), most of them had lesions in the fundus and cardia (44, 57.9%), while the majority were TNM stage III (49, 64.5%) and pathologically diagnosed as NECs or MANECs (62, 81.6%). Towards tumor sizes, the average maximum diameter of the lesion in the axial plane was 4.03 cm (range, 0.8–8.0 cm). Moreover, since 3.0 cm was the best cutoff point to differentiate G3 NEC from G1/2 NET (mentioned previously), we still used it as the cutoff point for survival analysis and found most cases had tumors ≥ 3.0 cm (51, 67.1%). Towards other imaging characteristics, most lesions grew infiltratively (68, 89.5%)

Table 1 Clinicopathological characteristics of 91 cases of gastric neuroendocrine neoplasms

Variables	No. (%)
Age, mean [range]	60 [28–83]
Gender	
Male	57 (62.6)
Female	34 (37.4)
Clinical symptom	
Dysphagia	36 (39.6)
Abdominal pain	28 (30.8)
Black stool	12 (13.2)
Abdominal distention	10 (11.0)
Vomit	4 (4.4)
Regurgitation	4 (4.4)
None	9 (9.9)
TNM stage	
I	13 (14.3)
II	15 (16.5)
III	58 (63.7)
IV	4 (4.4)
Unknown	1 (1.1)
Pathological pattern	
G1	13 (14.3)
G2	2 (2.2)
G3	54 (59.3)
MANEC	22 (24.2)
Operation	
Radical subtotal gastrectomy	61 (67.0)
Proximal	47 (51.6)
Distal	14 (15.4)
Distal & hepatic metastasis resection	1 (1.1)
Radical total gastrectomy	9 (9.9)
Partial gastrectomy	1 (1.1)
Endoscopic submucosal dissection	7 (7.7)
Endoscopic mucosal resection	5 (5.5)
Unknown	7 (7.7)

Table 1 (continued)**Table 1** (continued)

Variables	No. (%)
Resection margin	
R0	86 (94.5)
R1	1 (1.1)
R2	4 (4.4)
Neoadjuvant treatment	
Neoadjuvant chemotherapy	10 (11.0)
Somatostatin analog	2 (2.2)
None	79 (86.8)
Adjuvant chemotherapy	
Yes	63 (69.2)
No	21 (23.1)
Unknown	7 (7.7)

and had unclear margins (65, 85.5%); in the meantime, they mostly had serosa involved (47, 61.8%), heterogeneous enhancement (54, 79.4%), ulceration (53, 69.7%) and metastatic lymph node detected (48, 63.2%), but cystic degeneration and necrosis (18, 23.7%), surrounding organ invasion (2, 2.6%) and distant metastasis (5, 6.6%) were less common.

The disease-free survival (DFS) analysis was performed and the 1-, 3- and 5-year DFS rates were 80.7%, 72.0% and 66.1%, respectively. Similarly, the 1-, 3- and 5-year OS rates were 89.2%, 68.7% and 56.7%, respectively. Moreover, univariate survival analysis revealed that diagnostic variables including TNM stage and pathological grade had prognostic significance for DFS and OS ($P < 0.05$). Towards imaging features, the absence of serosa involvement, heterogeneous enhancement and lymph node metastasis had protective prognostic value (*Figure 1*; $P < 0.05$), however, the lesion site, tumor size, growth pattern, tumor margin integrity, ulceration surrounding organ invasion and distant metastasis didn't show the same effect ($P > 0.05$). Besides, the presence of cystic degeneration and necrosis had a significantly negative prognostic value for DFS (*Figure 1C*; $P < 0.05$), but for OS, it only had nearly significant trend (*Figure 1G*; $P = 0.053$). Then we assumed other variables including treatment, staging and grading might confuse the real findings. Among complex therapeutic choices in our patients, whether radical resection and adjuvant chemotherapy were performed were the only

Table 2 Univariate analysis of CT characteristics with pathological classifications

Variables	G1/2 (N=15)	G3/MANEC (N=76)	P value
Lesion site			<0.001
Fundus and cardia	2	54	
Body	10	12	
Antrum	3	10	
Tumor size [†]	2.31±1.54	4.38±1.74	<0.001
Growth pattern			<0.001
Localized	8	1	
Infiltrative	7	75	
Tumor margin			<0.001
Clear	10	2	
Unclear	5	74	
Serosa involvement			<0.001
Yes	1	57	
No	14	19	
Cystic degeneration & necrosis			0.176
Yes	1	19	
No	14	57	
Ulceration			<0.001
Yes	2	61	
No	13	15	
Surrounding organ invasion			1.000
Yes	0	3	
No	15	73	
Homogeneous enhancement [‡]			<0.001
Yes	9	6	
No	5	60	
Lymph node metastasis			<0.001
Yes	3	54	
No	12	22	
Distant metastasis			1.000
Yes	1	4	
No	14	72	

[†], the maximum diameter. [‡], 11 patients lacked enhanced CT images.

Table 3 Sensitivities and specificities of significant CT discriminators for pathological grades

Variables	Sensitivity (%)	Specificity (%)
Tumor size >3.0 cm	76.3	80.0
Infiltrative growth	98.7	53.3
Unclear margin	97.4	66.7
Serosa involvement	75.0	93.3
Ulceration	80.3	86.7
Heterogeneous enhancement	90.9	64.3
Lymph node metastasis	71.1	80.0

Table 4 CT characteristics comparison in G3 patients based on Ki-67 index (N=47, 7 patients lost their Ki-67 data)

Variables	Ki-67 ≤55% (N=17)	Ki-67 >55% (N=30)	P value
Serosa involvement			0.007
Yes	10	28	
No	7	2	
Lymph node metastasis			0.004
Yes	8	27	
No	9	3	

two variables that significantly affected OS and DFS ($P < 0.05$). Then multivariate COX regression analyses were implemented and variables that were selected including pathological grade, TNM stage, serosa involvement, homogeneous enhancement, cystic degeneration and necrosis, lymph node metastasis detected in the CT, radical resection and adjuvant chemotherapy. Interestingly, serosa involvement was found to be the independent risk factor associated with DFS (HR 3.949; $P = 0.031$), but for OS, lymph node metastasis was the only significant risk factor (HR 4.254; $P = 0.021$) (Figure 2).

Besides, to further investigate the heterogeneity in G3 g-NECs, the DFS analysis was performed in subgroups of G3 g-NECs with high or low Ki-67 index (55% as the cutoff point) and it showed a great significance, with the 1-year DFS rates of 61.7% and 92.3%, respectively (Figure 3; $P < 0.05$). However, unlike DFS, OS comparison between two subgroups had no significant difference ($P > 0.05$).

Discussion

The g-NEN is a type of rare and heterogeneous disease

which is derived from the diffuse neuroendocrine system in the stomach. Though the incidence is increasing (6,7), early diagnosis and treatment are still difficult due to its nonspecific clinical manifestation and the lack of early detection means. Clarifying the diagnosis of a g-NEN mostly depends on histopathology and the pathological grading is the most important indicator that affect the treatment choice and prognosis (18). However, the acquisition of the pathologic specimen mainly relies on surgical resection while the endoscopic biopsy can only obtain a small amount of tumor tissues which cannot reveal the true pathological diagnosis due to its heterogeneity. As a conventional and noninvasive examination method in clinical practice, though CT cannot replace the value of pathological examination in diagnosis, CT manifestations could evaluate the invasiveness of a lesion and help early diagnosis before the surgery. Meanwhile, CT features have the potential to indicate disease prognosis, which helps formulate appropriate therapeutic strategies at the early stage. Nevertheless, few researches evaluated the value of CT images on predicting pathological grades and prognosis in g-NENs. In the present study, we recruited 98 patients with g-NENs, with most of them were g-NECs. To our

Table 5 Univariate analysis of CT characteristics with survival outcomes

Variables	No. (N=76, %)	P value	
		DFS	OS
Lesion site		0.364	0.377
Fundus and cardia	44 (57.9)		
Body	21 (27.6)		
Antrum	11 (14.5)		
Tumor size [†]		0.423	0.298
<3.0 cm	25 (32.9)		
≥3.0 cm	51 (67.1)		
Growth pattern		0.082	0.062
Localized	8 (10.5)		
Infiltrative	68 (89.5)		
Tumor margin		0.126	0.229
Clear	11 (14.5)		
Unclear	65 (85.5)		
Serosa involvement		0.007	0.012
Yes	47 (61.8)		
No	29 (38.2)		
Cystic degeneration & necrosis		0.012	0.053
Yes	18 (23.7)		
No	58 (76.3)		
Ulceration		0.055	0.159
Yes	53 (69.7)		
No	23 (30.3)		
Surrounding organ invasion		0.682	0.861
Yes	2 (2.6)		
No	74 (97.4)		
Homogeneous enhancement [‡]		0.013	0.032
Yes	14 (20.6)		
No	54 (79.4)		
Lymph node metastasis		0.026	0.008
Yes	48 (63.2)		
No	28 (36.8)		
Distant metastasis		0.072	0.659
Yes	5 (6.6)		
No	71 (93.4)		

[†], the maximum diameter. [‡], 8 patients lacked enhanced CT images for survival analysis. DFS, disease-free survival; OS, overall survival.

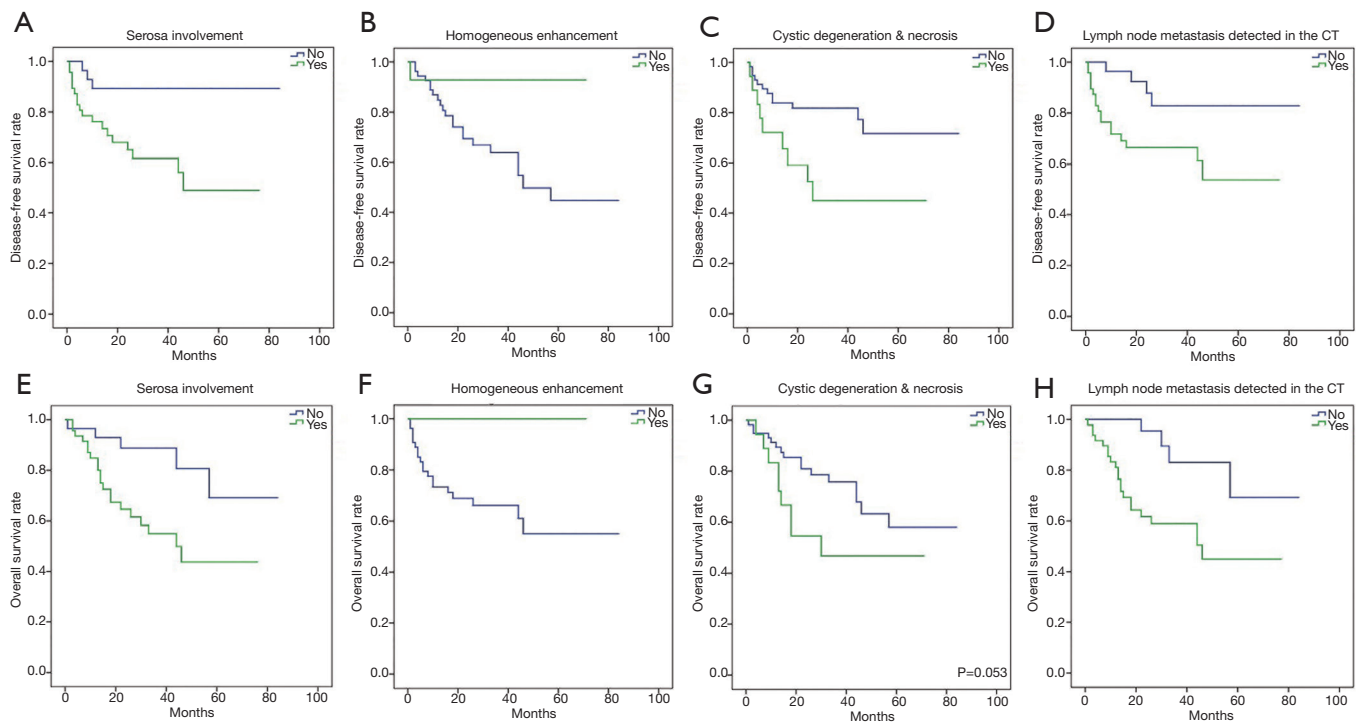


Figure 1 Kaplan-Meier curves for disease-free survival and overall survival based on serosa involvement (A,E), homogeneous enhancement (B,F), cystic degeneration & necrosis (C,G) and lymph node metastasis (D,H).

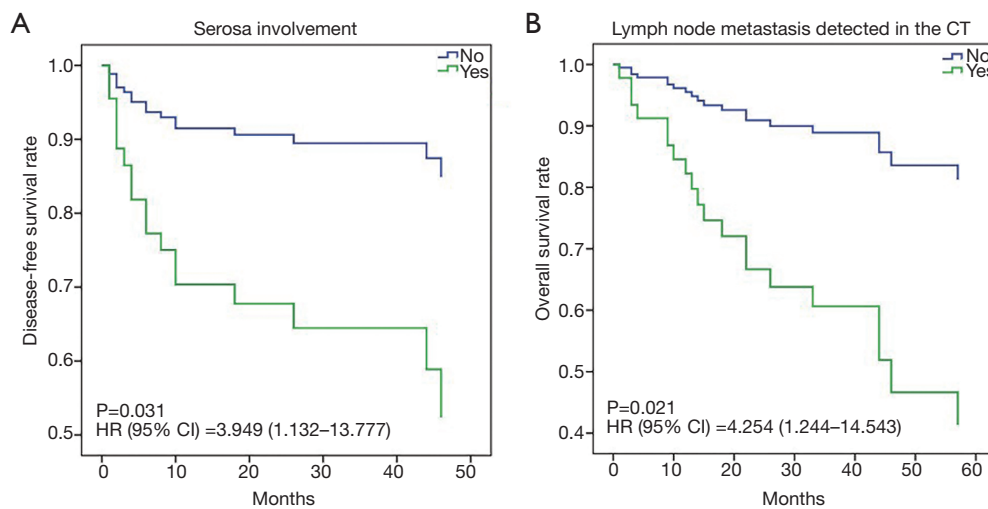


Figure 2 COX regression analysis showed serosa involvement (A) and lymph node metastasis (B) were independent risk factor for DFS and OS, respectively. DFS, disease-free survival; OS, overall survival.

knowledge, this is the first study that comprehensively depicts the correlation of CT findings with pathological grades and prognosis within a relatively large cohort.

The patients demographic characteristics, including a

male predominance (1.68:1) and an average age of 60 years old (range, 28–83 years), were in consistent with previous studies (19,20), but data from SEER database showed a reasonable difference (6). The majority of lesions were

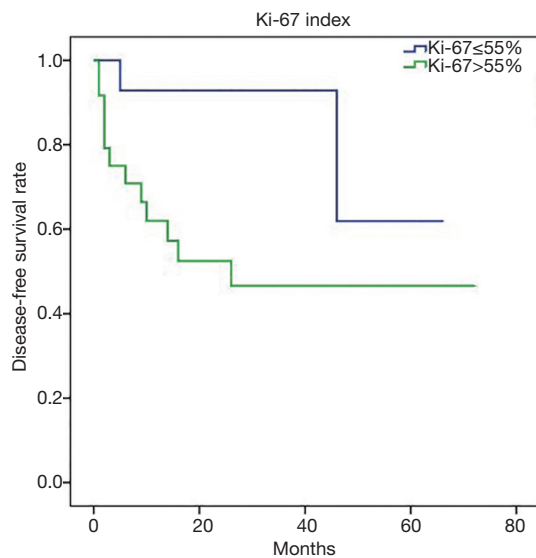


Figure 3 Kaplan-Meier curves for disease-free survival in G3 patients based on Ki-67 index.

detected in the gastric fundus and cardia. Besides, g-NECs usually arose in the gastric fundus and cardia, with a statistic significance ($P < 0.01$), indicated that the lesion location could predict its malignancy, to a degree (19). The tumor size also shows the same tendency as the pathological grade increases. Kim *et al.* (21) and Feng *et al.* (22) provided the optimal cut-off tumor size of 3.05 and 4.0 cm to distinguish poor-differentiated NETs from well-differentiated NETs, respectively, which were in agreement with our data. By ROC analysis, the cut-off tumor maximum diameter of our 98 patients was 3.0 cm, with an AUC, sensitivity, and specificity of 0.836, 76.3% and 80.0%, respectively, demonstrated a remarkable value of predicting tumor malignancy before surgeries.

Based on our analysis, other imaging characteristics that could suggest aggressive lesions, i.e., g-NECs or g-MANECs, included infiltrative growth pattern, unclear tumor margin, serosa involvement, ulceration, heterogeneous enhancement and lymph node metastasis. Theoretically, as a malignant lesion, g-NEC would be more likely to grow infiltratively and invasively, more possible to have ulcer and metastasis as well, which explained our results (23). However, no significant distinction was showed for variables including cystic degeneration, necrosis, surrounding organ invasion and distant metastasis, which might be related to the fairly small sample size. Furthermore, researches revealed that tissues of pancreatic NECs have low micro-vessel density and are more likely to

have carcinoma cell embolus, which would affect intratumoral blood flow and heterogenous enhancement would appear (24). Forty-five patients in our study were discovered carcinoma cell embolus in their pathologic specimens, while 36 of them had heterogenous enhancement during enhanced CT. Therefore, we assumed it might partly explain the reason of relevance between heterogenous enhancement and NECs. Besides, although lymph node metastasis is associated with high pathological grade, metastatic lymph nodes still appear in G1 and G2 digestive NETs (25,26). Hence, regardless of tumor size and pathological grade, the possibility of lymph node metastasis should be aware.

The prognosis of g-NEN, especially type III g-NEN, is rather unfavorable. According to the SEER database, the 5-year OS of g-NENs was 53.7% (9), while a clinicopathological study in China revealed the 5-year OS in Chinese was nearly 60% (8). Besides, another study from SEER database found the OS for g-NENs improved over decades, reflecting the improvement of early diagnosis and treatment (6). The 5-year DFS and OS in our cohort were 66.1% and 56.7%, respectively, which coincided with previous analyses. Our study also found CT features could predict DFS and OS in patients with g-NENs. Several distinct characteristics showed significantly prognostic value for DFS and OS, such as serosa involvement, enhancement pattern and lymph node metastasis. This result is consistent with previous studies of GEP NENs. Multiple researches demonstrated the correlation of metastatic lymph nodes with prognosis (27-29); moreover, the number, ratio and site of metastatic lymph nodes were also of prognostic significance (27), which indicated the importance of subdividing patients with g-NENs according to the situation of lymph node metastasis. Besides, previously we mentioned the potential reason and diagnostic value of heterogenous enhancement, while survival analysis supported the importance of it as a prognostic variable. Researches on pancreatic NENs had revealed the relationship of the degree of enhancement with prognosis, which was explained by the high proliferative index and associated low microvessel density in NECs (24,30). However, study on the enhancement pattern in g-NENs is lacking and the correlation between enhancement pattern and prognosis of g-NENs needs to be further investigated.

To eliminate the impact of confounding factors for prognosis analysis, multivariate COX regression analyses were performed, which demonstrated that serosa involvement and lymph node metastasis were the only independent risk factor for DFS and OS, respectively. This finding reveals that despite of pathological grading, TNM

staging and diverse therapeutic strategies, CT characteristics still have remarkable prognostic value for g-NENs.

Furthermore, the G3 g-NENs have been found to be a relatively heterogeneous group concerning therapeutic options and prognosis. As the G3 well-differentiated NET was presented in 2017 WHO classification of pancreatic NEN (31), G3 GEP-NEN was also proved better to subdivide into G3 NEC and G3 NET, based on morphologic differentiation, Ki-67 index, genetic background and clinical history (3). Therefore, further distinguishing G3 g-NETs from G3 g-NECs is still an unmet need in high-grade GEP-NENs (5) and CT manifestations could be useful to identify. The G3 patients in our cohort were roughly parted according to Ki-67 index. It turned out that G3 patients with Ki-67 index >55% were significantly more likely to have serosa involved and metastatic lymph nodes, which indicates its invasiveness. Accordingly, the 1-year DFS of G3 NECs with Ki-67 >55% was significantly worse than the opposite group (61.7% vs. 92.3%; $P < 0.05$). Hence, as a preliminary result, we found the necessity to define a new subgroup of gastric NEN G3 and to precisely distinguish G3 g-NET with G3 g-NEC; moreover, CT characteristics could help make the final diagnosis by revealing its tendency of invasiveness.

This study has several limitations. Firstly, g-NEN is a relatively rare disease and as a single-center study, our case number is limited. As a rare disease, the awareness of standard diagnosis and treatment is lacking in clinical practice for g-NENs, which led to the confusion and imperfection in the original data, such as the lack of necessary examination for clinical diagnosis and the complex therapeutic choices. It reminds us the necessity of strengthening the awareness of the disease, g-NEN, and further investigating a better diagnosis and treatment strategy. Secondly, as a tertiary medical center, most patients had already been advanced stages and high pathological grades when administrated to our hospital. Therefore, cases of g-NETs were relatively less and selection bias might present. Third, as a retrospective study, standard contrast-enhanced CT scans were not performed in all patients, hence the characteristics of the enhancement pattern and blood supply of g-NENs could not be analyzed thoroughly. In conclusion, perspective studies with larger sample size are warranted to better evaluate CT features of g-NEN and its correlation with pathological characteristics and prognosis.

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None.

Footnote

Conflicts of Interest: The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study protocol has been approved by the research institute's committee on human research (No. 19/259-2043). Written informed consent was obtained from the patient for publication of this manuscript and any accompanying images.

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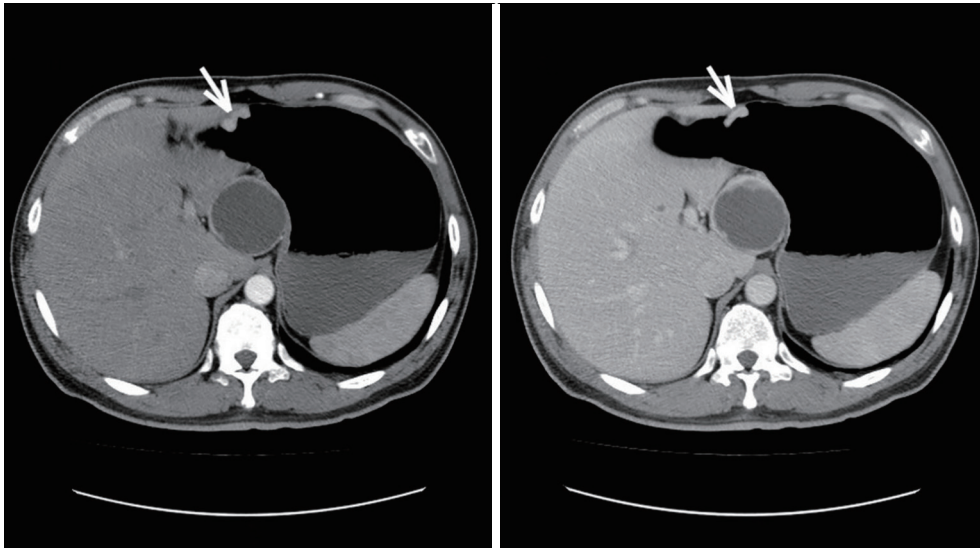


Figure S1 The representative CT image of g-NET. A 46-year-old male diagnosed with gastric neuroendocrine tumor (G1). Enhanced abdominal CT showed a lesion at anterior wall of gastric body (white arrows), with clear margin and significant enhancement during enhanced scan; another solid-cystic lesion at serosa side of lesser curvature of stomach (black arrows), the solid part was enhanced while the cystic part was not.

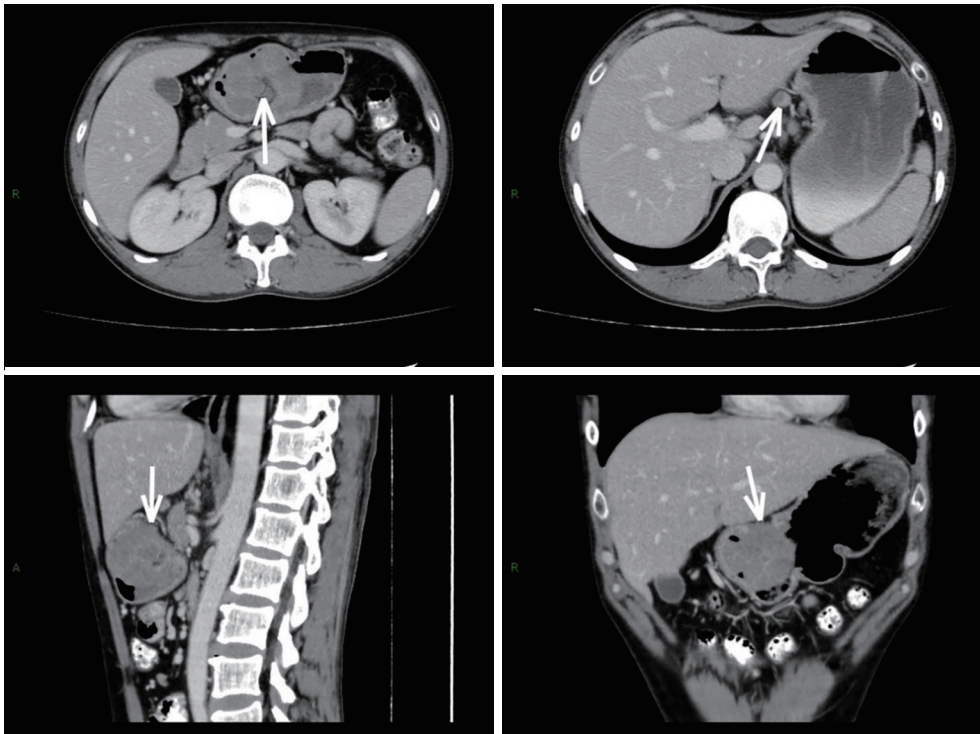


Figure S2 The representative CT image of g-NEC. A 33-year-old male diagnosed with gastric neuroendocrine carcinoma (G3). The enhanced abdominal CT showed an irregular mass at the antrum (white arrows), with unclear margin and rough serosa partly. The lesion had irregular enhancement during enhanced scan. Multiple enlarged lymph nodes were seen at the left side of stomach.