

# Long-term outcomes of immediate autologous breast reconstruction after definite adjuvant therapy in intermediate and locally advanced breast cancer

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**Background:** To analyze oncologic outcomes and reconstruction quality in locally advanced stage breast cancer after performing immediate autologous breast reconstruction (IABR).

**Methods:** From 2007 to 2014, data of patients aged ≤70 years old with stage II–III breast cancer who received total mastectomy (TM) were extracted from medical records. Exclusion criteria were: previous contralateral breast cancer, follow-up loss before adjuvant therapy completion, and artificial reconstruction. Patients were divided into two groups: (I) TM alone, and (II) TM + IABR. Overall survival (OS) and locoregional recurrence free survival (LRRFS) were calculated. Times of minor revision, abnormal image findings in breast, and change of breast height were observed.

**Results:** Sixty-one of 188 patients received IABR after TM. Stage IIIB–C was the most important prognostic factor for OS (P<0.001) and LRRFS (P<0.001). For stage II, five-year TM and TM + IABR OS rates were 96.8% and 100% (P=0.324), respectively. For stage IIIB–C, five-year TM and TM + IABR OS rates were 57.6% and 62.5% (P=0.544), respectively. For stage II, five-year TM and TM + IABR LRRFS were 98.1% and 95.7% (P=0.998), respectively. For stage IIIB–C, five-year TM and TM + IABR LRRFS were 70.8% and 62.5% (P=0.378), respectively. Two major complications were observed after IABR. Minor revisions, abnormal image findings, and change of breast height were common without showing significant relation with adjuvant radiotherapy or tumor stage.

**Conclusions:** IABR showed feasible oncologic outcomes in 5-year follow-up. Adjuvant radiotherapy had little effect on quality of reconstruction. However, IABR in advanced stage should be cautiously applied considering expected survival and minor problems after IABR.

Keywords: Breast cancer; mastectomy; radiotherapy; reconstruction; survival

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# Introduction

Breast cancer is common in women worldwide. According to 2013 data, one in 18 women developed breast cancer until 79 years, with 1.8 million incident cases of breast cancer and 464,000 deaths globally (1). In Korea between 2001 and 2012, 10-year overall survivals (OS) of stages I, II, III, and IV breast cancer were 92.7%, 84.8%, 63.4%, and 22.2%, respectively (2). In the Netherlands, contemporary groups from 2006 to 2012 had better OS than those from 1999 to 2005 because various effective medicines have been developed (3). Survivorship including breast preservation and quality of life are currently rising issues in the management of breast cancer survivals.

Breast conserving surgery was done in 67% of overall surgical procedures in Korea from 2012 to 2013 (4). However, mastectomy rate has arisen slightly since 2014 because the widespread use of preoperative MRI that can accurately detect tumor extent and genetic tests that can increase prophylactic mastectomy. Breast reconstruction is another option after mastectomy to preserve breast shape. It has been commonly done in early breast cancer or prophylactic mastectomy. However, some cases need unexpected adjuvant radiotherapy and chemotherapy due to discordance between clinical and pathologic stages. Besides, some patients desire breast reconstruction after mastectomy in spite of their advanced stage.

Immediate autologous breast reconstruction (IABR) is one of reconstruction methods using a patient's own tissue. A meta-analysis has shown that reconstructive failure and surgical-site infection are lower in IABR than those in reconstructions by tissue expander or implant (5). Another meta-analysis has reported that IABR has less morbidity than implants in the setting of adjuvant radiotherapy, although radiotherapy can increase overall risks of morbidity after breast reconstruction (6). In addition, patient-reported outcomes of IABR are superior to those of implants (7). Therefore, IABR could be highly recommended for advanced stage breast cancer patients who want breast reconstruction. Although clinical safety of IABR has been well-established in early stage breast cancer, the clinical effectiveness of IABR for locally advanced stage that needs more intensive chemotherapy and radiotherapy has been rarely presented. Thus, the objective of this study was to analyze oncologic outcomes and reconstruction quality in locally advanced stage breast cancer after performing IABR.

# **Methods**

Patient data from two institutions were included in this retrospective study. Patients from January 2007 to December 2014 in one institution and from January 2013 to December 2014 in the other institution reflecting the general implementation of IABR procedure were included. Eligible criteria were: (I) those who were pathologically or clinically [if neoadjuvant chemotherapy (NACT) was done] confirmed intermediate or locally advanced breast cancer (stage II–III of AJCC 7th edition); (II) age ≤70 years; (III) definite surgical resection (R0 or R1 resection) of total mastectomy (TM). Exclusive criteria were: (I) those who had artificial or delayed breast reconstruction; (II) insufficient follow-up information before completion of adjuvant chemotherapy or radiotherapy; (III) previous breast cancer history of carcinoma in situ or invasive carcinoma; (IV) other malignancies except early stage thyroid cancer or squamous skin cancer. Institutional Review Board (IRB) of our hospital approved this study (IRB No. K2018-1002) with an informed consent waiver.

The possibility of IABR was sufficiently explained to patients who needed TM by oncologic and plastic surgeons. After discussing various topics related to the expected effect and sequelae, IABR was performed after patients agreed to receive it. According to pathologic outcomes and molecular findings, adjuvant therapies such as chemotherapy, radiotherapy, hormonal therapy, and target therapy were determined based on boundaries of universal guidelines for breast cancer and national insurance policy of actual treatment period. For some advanced stage cases, NACT was tried before TM.

Personal and clinical information was acquired through medical record review. Because patients with NACT were included in this study, clinical staging of the 7th edition AJCC was applied. Pathologic staging was applied to other patients. Molecular subtype was determined using the 8th edition AJCC guidelines. In cases with two positives for HER-2 receptor in immunohistochemistry tests without in situ hybridization test, the group with histologic grade 3 was referred to as a positive finding while grade 1 or 2 was considered a negative finding.

For primary endpoints of oncologic outcomes, OS and loco-regional recurrence free survival (LRRFS) were calculated and compared between the TM group and the TM + IABR group. As secondary endpoints, disease

free survival (DFS) and distant metastases free survival (DMFS) were calculated. Survival observation started on the date of initial biopsy and finished on the date of specific events or the last follow-up. Locoregional event included ipsilateral chest wall and regional lymph nodes. Distant metastases included contralateral breast and regional nodes and metastases to other organs. For cases with adjuvant chemotherapy, the time-to-chemotherapy (TTC) between TM and the first chemotherapy was calculated as another secondary endpoint.

For morbidities of IABR, major complications and minor surgical revisions were reviewed. Complete flap failure or destruction of reconstruction over half was regarded as a major complication while other revisions after 3 months of IABR such as excision of focal fat necrosis, correction of asymmetry, and so on were regarded as minor revisions, including cases of major complications. Abnormal image findings of fat necrosis, seroma, and adhesive mass on CT were sequentially observed at about 6, 18, and 42 months after IABR. In addition, breast height change was observed on CT. Breast height was defined as maximum distance from chest wall to breast skin. Three breast heights of central axis (contralateral nipple level) and 4 cm upper and 4 cm lower axes from central axis were summed. A reduction of 10% during the observation period was regarded as positive finding.

Chi-square test was performed to evaluate TM and TM + IABR. Survival was calculated using Kaplan-Meier method. Survival difference in terms of risk factors was compared using log-rank test. Prognostic factors with a P value less than 0.1 in univariate analyses and a default of treatment group were entered into Cox-regression multivariate analyses using step-wise method. *T*-test was done to compare TTC and volume change of abnormal image findings. Chi-square test was done for minor revisions and height changes in terms of additional therapeutic methods and tumor stage. SPSS 20.0 (IBM SPSS Inc., Chicago, IL, USA) was used for all statistical analyses. Two-sided P values of less than 0.05 were considered significant.

#### **Results**

IABR was done in 61 of 188 patients with TM. NACT and postoperative radiotherapy (PORT) were done in 27 and 80 patients, respectively. Median age of the TM + IABR group was 43 years (range, 28–67 years). It was 50 years (range, 31–70 years) for the TM group. Stage II, IIIA, and IIIB–C patients accounted for 59.0%, 19.1%, and 21.8%,

respectively. The rate of stage IIIB–C in TM was slightly higher than that in TM + IABR (26.0% vs. 13.1%, P=0.078). For TM + IABR, PORT was done in 37.7% (23/61) of overall patients and 71.4% (15/21) of stage III patients (*Table 1*). Transverse rectus myocutaneous flap was usually done except that latissimus dorsi flap was done for 5 patients.

Nineteen loco-regional recurrences (including 8 chest wall recurrences), 28 distant metastases, and 20 deaths were observed during a median follow-up of 56.8 months. In univariate analyses, T-stage, N-stage, tumor stage, surgical margin, lymphovascular invasion, molecular subtype, and histologic grade were significantly related to OS (Table 2). In multivariate analyses, tumor stage was the most significant prognostic factor for both OS (stage IIIB-C, HR: 11.18, 95% CI: 3.15-39.65, P<0.001) and LRRFS (stage IIIB-C, HR: 12.07, 95% CI: 4.27-34.09, P<0.001). In addition, T-stage (T4, HR: 2.60, 95% CI: 0.94-7.19, P=0.065) was prognostic factor for OS and molecular subtype (basal type, HR: 3.12, 95% CI: 1.08-9.00, P=0.036) was prognostic factor for LRRFS. Five-year OS rates in TM and TM + IABR groups were 96.8% and 100% (P=0.324) for stage II, 95.5% and 91.7% (P=0.698) for stage IIIA, and 57.6% and 62.5% (P=0.544) for stage IIIB-C, respectively (Figure 1). Five-year LRRFS in TM and TM + IABR groups were 98.1% and 95.7% (P=0.998) for stage II, 91.1% and 100% (P=0.277) for stage IIIA, and 70.8% and 62.5% (P=0.378) for stage IIIB-C, respectively. However, two locoregional failures were developed in stage IIIB-C of TM + IABR at 71 and 94 months (Figure 2). For TTC, TM + IABR (median 35.5 days) was relatively longer than TM (median 25 days) (mean difference: 10.7 days, 95 % CI: 7.9-13.4 days, P<0.001).

Two major complications were observed. One was immediately after IABR and the other was after five months of PORT due to aggravation of fat necrosis. Minor revisions at three months after IABR, including two major complications after IABR, were done in 49.2% of patients. Radiologic evaluations were possible in 85.2% between 6 and 18 months (early-phase observation) and in 77.1% between 6 and 42 months (middle-phase observation). The reduction of breast height was 21.2% (11/52) and 31.9% (15/47) in early-phase and middle-phase observations, respectively. The volume of abnormal imaging was over 10 cc in 27.3% (15/55) on CT at 6 months after IABR. The volume of abnormal imaging was well regressed, with a mean regression of 38.3% (SE: 5.1%) and 59.3% (SE: 4.1%) in early-phase and middle-phase observations, respectively. Minor revision, reduction of breast height, or volume

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Table 1 Patients characteristics

Characteristics	TM (N=127)	TM + IABR (N=61)	P value
Age, years (median [range])	50 [31–70]	43 [28–67]	
≤50:>50	65:62	45:16	0.002
Laterality (right:left)	60:67	32:29	0.536
Multiplicity (single:multiple)	53:74	26:35	1.000
Pathology (IDC:others)	115:12	52:9	0.325
Histologic Grade (1:2:3)	17:62:48	8:36:17	0.348
Molecular type (Lum-A:Lum-B:HER-2:Basal)	44:15:49:19	28:5:24:4	0.110
T-stage (T1:T2:T3:T4)	20:72:19:16	10:43:5:3	0.073
N-stage (N0:N1:N2:N3)	24:61:22:20	15:28:11:7	0.359
Stage (II:IIIA:IIIB-C)	71:23:33	40:13:8	0.078
Resection margin (positive:close:free)	2:19 :106	0:21:40	0.020
Lymphovascular invasion (no:yes)	73:54	36:24*	0.874
Chemotherapy (no:yes)	5:122	2:59	1.000
Neoadjuvant	23	5	0.083
Adjuvant	116	58	0.554
Taxane	95	45	0.860
Radiotherapy (no:yes)	70:57	38:23	0.431
Stage II:IIIA:IIIB-C (in yes)	18:15:24	8:8:7	0.078
Hormonal therapy (no:yes)	39:88	14:47	0.302
Target therapy (no:yes)	92:35	45:16	1.000

\*, missing data. TM, total mastectomy; IABR, immediate autologous breast reconstruction; IDC, invasive ductal carcinoma.

Table 2 Comparison of survival in terms of prognostic factors

Characteristics	LRRFS		OS		Γ	DMFS	DFS	
	5 years, %	Univariate P value						
Age (years)		0.277		0.401		0.271		0.023
≤50	89.2		87		83.1		74.8	
>50	93.4		90		88.5		88.5	
Laterality		0.182		0.119		0.075		0.165
Right	89.9		84.6		79.4		76.7	
Left	91.6		91.6		90.7		83.4	
Histologic type		0.357		0.097		0.154		0.212
IDC	90.5		86.9		83.3		79.2	
Others	93.3		100		100		86.7	

Table 2 (continued)

Table 2 (continued)

	LRRFS		OS		Γ	DMFS	DFS	
Characteristics	5 years, %	Univariate P value						
Multiplicity of tumor		0.911		0.065		0.22		0.34
Single	90.2		94.7		89.3		82.4	
Multiple	91.4		83.2		82		78.2	
T stage		<0.001		<0.001		<0.001		<0.001
1–2	95.1		94.4		90.6		86.9	
3	87.5		87		73.5		73.5	
4	58.2		44.7		54.3		36.8	
N stage		0.001		<0.001		0.203		<0.001
0–1	94.5		94.2		89.1		86.8	
2	90.2		83.6		78.7		70.7	
3	73.9		68		74.3		60.3	
Tumor stage		<0.001		<0.001		<0.001		<0.001
П	97.3		97.8		93.9		91.2	
IIIA	94.4		94.2		84.3		81.4	
IIIB-C	68.8		58.6		61.5		49.7	
Histologic grade		0.061		0.007		0.099		0.033
1	100		100		95.8		91	
2	92.6		91		86.7		81.9	
3	84.3		79.7		78.5		72.9	
Resection margin		0.022		0.011		0.014		0.01
Free	92.7		91.2		88.9		84.5	
Positive & close	84.3		78.6		72.1		65	
LVI		0.016		0.007		0.015		0.001
No	95.8		95		89.8		88.4	
Yes	83.6		79.2		78.8		68.9	
Molecular subtype		0.077		0.005		0.225		0.181
Luminal A	95		94.8		89.7		84.4	
Luminal B	94.4		69.6		74.1		74.1	
HER-2	89.6		91		84.9		79.2	
Basal	78		73.9		81.8		73.9	
Definite surgery		0.766		0.192		0.51		0.596
ТМ	90		86.6		84.3		78.9	
TM + IABR	92.4		91.8		87.2		82.2	

LRRFS, locoregional recurrence free survival; OS, overall survival; DMFS, distant metastases free survival; DFS, disease free survival; IDC, invasive ductal carcinoma; LVI, lymphovascular invasion; TM, total mastectomy; IABR, immediate autologous breast reconstruction.



Figure 1 Comparisons of overall survival between TM and TM + IABR. (A) Stage II; (B) stage IIIA; and (C) stage IIIB–C. TM, total mastectomy; IABR, immediate autologous breast reconstruction.



**Figure 2** Comparisons of locoregional recurrence free survival between TM and TM + IABR. (A) Stage II; (B) stage IIIA; and (C) stage IIIB–C. TM, total mastectomy, IABR, immediate autologous breast reconstruction.

change of abnormal imaging was not related to therapeutic methods, including PORT or tumor stage (*Table 3*).

#### Discussion

We compared 188 patients receiving TM or TM + IABR in intermediate and locally advanced stage breast cancer. Tumor stage was the most important risk factor in multivariate analyses. For stage II, good oncologic outcomes were presented, with 5-year OS, DFS, and DMFS over 90% and 5-year LRRFS reaching up to 97.3%. While limited survival deteriorations of about 3–10%

occurred in stage IIIA, poor oncologic outcomes (68.8% of 5-year OS and 58.6% of 5-year LRRFS) were presented in stage IIIB–C. Although additional IABR after TM did not aggravate oncologic outcomes in stage IIIB–C, the LRRFS rate was high and the possibility of salvage therapeutics after standard therapies could be high in locally advanced stage. This situation could diminish subjective satisfaction of body images after IABR. In a French single institutional study (stage IIIA 28.8%), 10-year OS and DFS after NACT and preoperative radiotherapy were 66.7% and 59.0%, respectively, with a total local recurrence of 2.2% (8). A Japanese long-term observation over 5-year showed that

Table 3 Minor revisions and breast height reduction of immediate autologous breast reconstruction in terms of therapeutic methods and tumor stage

Characteristics	Minor revisions (N=61)			Reduction of breast height in early phase (N=52)			Reduction of breast height in middle phase (N=47)		
	No	Yes	P value	No	Yes	P value	No	Yes	P value
Radiotherapy			0.372			0.7			0.211
No	21	17		25	6		23	8	
Yes	10	13		16	5		9	7	
Neoadjuvant chemotherapy			0.614			0.278			0.756
No	29	27		38	9		29	14	
Yes	2	3		3	2		3	1	
Taxane chemotherapy			0.939			0.556			0.552
No	8	8		11	2		9	3	
Yes	23	22		30	9		23	12	
Hormonal therapy			0.198			0.215			0.706
No	5	9		11	1		8	3	
Yes	26	21		30	10		24	12	
Target therapy			0.613			0.897			0.552
No	22	23		29	8		24	10	
Yes	9	7		12	3		8	5	
Stage			0.717			0.217			0.465
II	21	19		27	14		20	11	
III	10	11		5	6		12	4	

disease recurrence was 4/10 and death by breast cancer was 3/10 in stage III after IABR and PORT (9). Of 22 patients with locally advanced cancer receiving NACT and preoperative radiotherapy with immediate reconstruction in a German report, 2-year OS and 2-year LRRFS were 89.3% and 95.2%, respectively (10). In a Korean single institutional study including 75 cases of stage IIIA among 1000 IABR cases, there were only 3 cases of local recurrence in stage IIIA with insignificant influence of local recurrence on OS (11). There was no statistical difference in OS or LRRFS between TM and immediate breast reconstruction in another Korean case-control study including 6.2% of stage III (12). Our study was unique in that it showed comparison outcomes of OS, DFS, DMFS, and LRRFS for TM + IABR vs. TM according to tumor stage because most reports showed single-group outcomes or focused on local recurrence or surgical methods.

Of our secondary end-point, TTC was delayed in TM

+ IABR. The National database from the United States of America showed that IABR was a risk factor for a delay of adjuvant chemotherapy (13). A large-scale study has emphasized the delay of adjuvant chemotherapy beyond 8 weeks can negatively affect DFS in a comparison group within 4 weeks in terms of all molecular subtypes except luminal-A type (14). Therefore, it is more important to reduce postoperative complications and recover performance status in advanced stage with IABR.

Regarding reconstruction quality after radiotherapy, several studies have reported that morbidities of fat necrosis and volume loss are increased. Mirzabeigi *et al.* have reported that fat necrosis and volume loss of IABR are increased by about 5 and 6 times, respectively, after PORT (15). Garvey *et al.* have reported that PORT can increase fat necrosis after IABR (22.5% *vs.* 9.2%) without showing difference in overall complication rate (16). Another database study has shown that PORT can increase infection

rates beyond 6 months of radiotherapy (17). However, subjective reports by patients after IABR and PORT are not inferior in comparison with non-irradiated or delayed reconstruction groups (18-20). Except for one case of major complication, new lesion or continuous progression of abnormal image finding was not observed in our 23 patients with IABR plus radiotherapy. Volumes of these lesions continuously reduced and remained calcified regardless of radiotherapy or other therapeutic methods. Breast flap contracture was roughly measured by breast height change in our study. It might have progressed with the passage of time. In patients with locally advanced stage, because repeated minor revisions could cause more severe anxiety than early stage and negatively influence subjective oriented assessments, it should be sufficiently explained to patients before IABR.

Most of our patients received chemotherapy, including five patients with NACT in TM + IABR group. Therapeutic methods showed no influence on the quality of reconstruction in our study. Of 247 patients receiving chemotherapy and immediate breast reconstruction, there was no significant difference in infection rate according to chemotherapy timing or reconstruction method in a US study (21). In other small-sized studies, there were no significant differences in complications or wound infections receiving IABR after NACT (22,23). In addition, two studies have analyzed immediate breast reconstruction after NACT and preoperative radiotherapy and found that the morbidity rate is acceptable (24,25).

In our study, all tumor recurrences occurred in the chest wall. It is anticipated that tumor contamination of the central flap is rare. Our previous study suggested a technique to spare the central flap area for reducing breast contracture after PORT (26). Implant reconstruction does not compromise the technique quality of radiotherapy in comparison with TM except cases including internalmammary lymph node that increase mean and maximum heart doses in the implant reconstruction group (27). A customized bolus was suggested to reduce skin fibrosis, volume loss and contour deformities by minimizing radiation dose to internal-mammary vessels, anastomoses and skin (28).

Although our study was of middle size in scale for IABR and radiotherapy, it had some limitations. First, the followup period was not long enough because locoregional recurrences and distant metastases could persistently happen after five years of observation in breast cancer. Therefore, continuous follow-up is needed. Second, measurement methods such as breast height and abnormal volume findings were not absolute or systematic because periodic examination was done for tumor evaluation, not for examining the quality of reconstruction. Last, the sample size of stage III patients was too small to have sufficient statistical power. Therefore, caution should be exercised when interpreting our results. Another systematic review gathering these groups is warranted to clarify their oncologic outcomes.

# Conclusions

With a close to five years of follow-up, TM + IABR did not aggravate oncologic outcomes in comparison with TM. PORT did not deteriorate quality of reconstruction regarding the possibility of minor revisions, breast height change, or abnormal image findings. For stage II cancer, IABR could be a feasible option for patients who want to preserve body image. However, it should be kept in mind that absolute oncologic outcomes of advanced stage might not be sufficient as common locoregional recurrences and minor problems could frequently develop after IABR.

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#### Footnote

*Conflicts of Interest:* The authors have no conflicts of interest to declare.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. Institutional Review Board of Ansan Hospital approved this study (IRB No. K2018-1002) with an informed consent waiver.

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