

# Health status of middle-aged and older cancer survivors: a nationwide cross-sectional study from the China Health and Retirement Longitudinal Study (CHARLS)

## Jiarui Li<sup>1</sup>, Haiyu Pang<sup>2</sup>, Zhao Sun<sup>1</sup>, Lin Zhao<sup>1</sup>, Chunmei Bai<sup>1</sup>

<sup>1</sup>Department of Medical Oncology, Peking Union Medical College Hospital, Chinese Academy of Medical Sciences and Peking Union Medical College, Beijing 100032, China; <sup>2</sup>Central Research Laboratory, Peking Union Medical College Hospital, Chinese Academy of Medical Sciences and Peking Union Medical College, Beijing 100730, China

*Contributions:* (I) Conception and design: J Li, L Zhao, C Bai; (II) Administrative support: J Li, L Zhao, C Bai; (III) Provision of study materials or patients: J Li; (IV) Collection and assembly of data: J Li; (V) Data analysis and interpretation: J Li, H Pang; (VI) Manuscript writing: All authors; (VII) Final approval of manuscript: All authors.

*Correspondence to:* Lin Zhao; Chunmei Bai. Department of Medical Oncology, Peking Union Medical College Hospital, Chinese Academy of Medical Sciences and Peking Union Medical College, Beijing 100032, China. Email: wz20010727@aliyun.com; baichunmei1964@163.com.

**Background:** The number of Chinese cancer survivors has increased bolstered by the combined trends of an aging population and improved cancer survival; however, related research on cancer survivorship remains limited. Therefore, this study aimed to provide an overview of the health status of middle-aged and older cancer survivors in China.

**Methods:** We used the cross-sectional self-reported survey data from wave 4 in the China Health and Retirement Longitudinal Study (CHARLS). A total of 354 cancer survivors and 16,664 participants without cancer were identified from CHARLS. Physical and mental health, health behavior, and health care information collected by questionnaire were compared between these two groups.

**Results:** Compared with the general middle-aged and older population, cancer survivors had more concomitant chronic diseases (2.75 *vs.* 2.00, P<0.001). In addition, cancer survivors were found to be more statistically likely to have difficulties with activity (3.53 *vs.* 2.39, P<0.001) and have depressive symptoms (10.07 *vs.* 8.01, P<0.001) compared with participants without cancer. Also, cancer survivors were less likely to drink compared to those without a cancer diagnosis (OR 0.49; 95% CI, 0.36–0.66, P<0.001), but smoking behavior and physical activity did not show a significant difference. Coexisting chronic diseases and smoking harmed the physical and mental health of middle-aged and older people. We also found that cancer survivors had higher medical care expenses when compared with participants without cancer.

**Conclusions:** Cancer survivors older than 45 years in China have poorer outcomes in comorbidities and physical and mental health than their age-matched individual counterparts without cancer. Therefore, a higher quality and more cost-effective supportive care for these individuals is needed.

**Keywords:** Cancer survivor; health status; quality of life; China Health and Retirement Longitudinal Study (CHARLS)

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## Introduction

In 2015, about 4.3 million Chinese people were diagnosed with cancer and the prevalence of this malignant disease was predicted to increase in the future due to population growth and aging (1). Meanwhile, the rate of cancer survival in China has increased remarkably in the past 10 years (2). In China, about 40.5% of cancer survivors can live for more than 5 years and some even view their cancer as a chronic disease. However, cancer survivors live with continuous severe physical and mental health problems, which impair their quality of life (3-7). In addition, with the increasing cost of medical insurance coverage, the economic burden of cancer is also a severe challenge for the medical and health care system in China (8,9). Therefore, estimating the health status of Chinese cancer survivors and providing scientific evidence for future health care reform is urgently required. Previous studies have concentrated on the health-related quality of life among Chinese cancer survivors, but most studies have focused on a single cancer type and lacked age-matched comparison groups, making it difficult to determine whether it was the cancer diagnosis or senescence that was responsible for the poor condition of the patients (10-15).

Therefore, this study was designed to provide an overview of the health status of middle-aged and older cancer survivors from a prospective population-based study in China. We will investigate the physical and mental health, health behavior, and health care information of cancer survivors older than 45 years.

## Methods

#### Study population

Data for analysis were collected from the Harmonized China Health and Retirement Longitudinal Study (CHARLS). The CHARLS, run by the China Center for Economic Research at Peking University, contains the national records of individuals older than 45 years in China and aims to provide a high-quality national database of Chinese residents. The study serves the needs of scientific research for age-related issues. The detail of the sampling procedure and descriptions from the CHARLS are described elsewhere (16). The baseline wave of the CHARLS was conducted in 2011–2012, and the individuals involved were followed up every 2 years. This initial sample covered 10,257 households in 150 counties/ districts and 450 villages or urban communities across 28 provinces. All participants had a face-to-face interview using a structured questionnaire, which included assessments on the participants social, economic, and health status, among other issues. The latest survey (Wave 4) data in 2015 was adopted for the current cross-sectional study, and the response rate was 82.7%. A total of 17,018 individuals were analyzed in this study after 4,079 participants were excluded because data were missing from the cancer history report.

The CHARLS was approved by the ethics committee of Peking University Health Science Center. Written informed consent was obtained from each participant.

## Measurements and definitions

## Demographic characteristics and health status

Participants reported their age, gender, marital status, living region, and education. Participants were asked if a doctor had ever informed them that they had cancer or a malignant tumor. Participants who answered "yes" were defined as cancer survivors. The participants were also asked if they had been diagnosed with any other chronic diseases. In this study, we considered hypertension, diabetes or high blood sugar, chronic lung disease (chronic bronchitis or emphysema), heart problems (heart attack, coronary heart disease, angina, and congestive heart failure), stroke, psychiatric problems, arthritis, dyslipidemia (elevation of low-density lipoprotein, triglycerides, and total cholesterol, or a low high-density lipoprotein level), liver disease (except fatty liver and tumor), kidney disease (except for tumor), stomach or other digestive disease (except for tumor), and asthma as chronic diseases.

Participants were asked about their current health condition by using a scale, ranging from 1 for excellent (very good) to 5 for poor (very bad). Daily activity was evaluated by using health variables combined from the Harmonized CHARLS database, and included activities of daily life, instrumental activities of daily life, and mobility summaries. A higher daily activity score suggested that the participants had more difficulties in physical activities in their daily life. Cognitive function was assessed by the Telephone Interview of Cognitive Status (TICS) test and by examining the figure drawings in CHARLS, with a higher cognitive function score representing a better performance by a participant in the cognition function test (17). Depression was assessed using the Center for Epidemiologic Studies Depression Scale (CES-D) with 10 items (18). The CES-D-10 ranges from 0 to 30 with a higher score indicating that the respondent has experienced more depressive symptoms in the previous week.

#### Health behavior

Participants who had ever smoked in the past were defined as former smokers, and participants who were still smoking were defined as current smokers. Similarly, participants who reported they had an alcoholic drink in the past were defined as former drinkers, and participants who consumed any alcohol in the last year were defined as current drinkers. Physical activity status was categorized as taking part in vigorous or moderate activity more than once a week.

### Health care use and costs

Health care information from CHARLS was obtained through interviewing participants. All participants were asked whether they received inpatient care in the past year or outpatient care in the past month. If the participant received inpatient or outpatient care, they were asked to provide information on the value of the out-of-pocket and total medical cost.

## Statistical analysis

The statistical analyses were performed with Stata (version 15.1, Stata Corp., College Station, TX, USA) and SPSS (version 25, IBM Corp., Armonk, NY, USA). Characteristics of cancer survivors and participants without cancer were compared using Student's t-test for normally distributed continuous variables and chi-squared test or Mann-Whitney rank-sum test for categorical variables. The results of self-reported health, daily activity, cognitive function, and depression were also presented, and adjusted for age, sex, living region, and concomitant diseases using multivariate logistic regression analysis. We used binary logistic regression to calculate the odds ratio (OR) for health behavior in cancer survivors versus those with no cancer history and then adjusted for variables that might affect health behavior. We employed a multiple linear regression model to examine the influence of related demographic characteristics (age, sex and living region), coexisting chronic conditions, and health behavior (smoking and drinking) on daily activity, cognitive function and depression scores of cancer survivors and participants without cancer. A P value less than 0.05 (two-sided test) was considered to be statistically significant.

## Results

#### Demographic characteristics and health status

There were 354 cancer survivors in this analysis (2.1%) and 16,664 participants who did not report a history of cancer. The five most diagnosed cancers were breast (18.5%), endometrium (14.8%), colorectum (11.0%), cervix (9.5%), and esophagus (9.0%), accounting for more than 60% of all reported cancer cases. In the past two years, more than half of these survivors (55.7%) reported that they took Western modern medicine to relieve related symptoms, and a third of the survivors took Chinese traditional medicine. About 48.1% of cancer survivors received surgery, 28.6% of them received chemotherapy, and 31.0% of them reported they did not receive any treatment in the past 2 years.

The demographic characteristics of cancer survivors and participants without cancer are shown in *Table 1*. The mean age of cancer survivors was 61.0 years. A higher percentage of cancer survivors were female (68.9% vs. 52.3%, P<0.001) and lived in a rural region (43.8% vs. 38.4%, P=0.039) than those without cancer. Cancer survivors also had more concomitant chronic diseases than participants without cancer (2.75 vs. 2.00, P<0.001). Cancer survivors and participants without cancer did not show significant differences in age, marital status, education, or body mass index.

The current health condition reported by cancer survivors themselves was poorer than that reported by participants without cancer (P<0.001). The daily activity score was higher in the cancer group (P<0.001), which indicated that cancer survivors had more difficulties in their daily life than other middle-aged and older participants. There was no statistically significant difference in cognition between these two groups even after adjustment (P=0.612). Cancer survivors had a significantly higher CES-D score after adjustment, which means they had more depressive symptoms than participants without cancer (P=0.003).

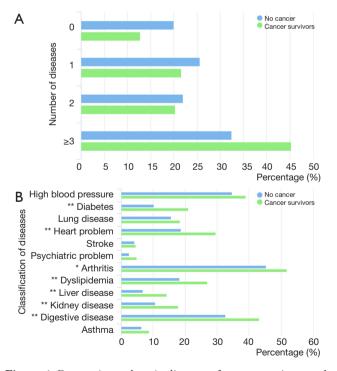
The coexisting diseases of cancer survivors and other middle-aged and older participants are shown in *Figure 1*. More than 45% of cancer survivors had 3 or more chronic diseases. Cancer survivors more frequently reported having arthritis (51.8% vs. 45.4%, P=0.020), stomach or digestive disease (43.1% vs. 32.6%, P<0.001), cardiovascular disorders (29.6% vs. 18.7%, P<0.001), dyslipidemia (27.0% vs. 18.2%, P<0.001), diabetes or high blood sugar (21.0% vs. 10.2%,

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Table 1 Baseline characteristics of middle-aged and older cancer survivors and participants without cancer from CHARLS
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Characteristic	Total (n=17,018)	Cancer survivors (n=354)	No cancer (n=16,664)	P value	P value <sup>†</sup>
Age (years), mean ± SD	61.02±10.06	60.97±10.35	61.02±10.05	0.355	
Sex, n (%)				< 0.001	
Male	8,062 (47.4)	110 (31.1)	7,952 (47.7)		
Female	8,956 (52.6)	224 (68.9)	8,712 (52.3)		
Region, n (%)				0.039	
Rural	6,554 (38.5)	155 (43.8)	6,399 (38.4)		
Urban	10,464 (61.5)	199 (56.2)	10,265 (61.6)		
Married, n (%)	13,619 (80.0)	295 (83.3)	13,324 (80.0)	0.116	
Education, n (%)				0.181	
≤Primary school	11,309 (66.5)	247 (69.8)	11,062 (66.4)		
>Primary school	57,099 (33.5)	107 (30.2)	5,602 (33.6)		
Body mass index (kg/m <sup>2</sup> ), mean $\pm$ SD	23.84±3.97	23.94±4.20	23.84±3.97	0.698	
Number of concomitant chronic diseases, mean $\pm$ SD	2.02±1.70	2.75±2.13	2.00±1.69	<0.001	
Self-reported health score $[1-5]$ , mean $\pm$ SD	3.39±0.38	3.87±0.82	3.38±0.87	<0.001	<0.001
Daily activity, mean ± SD	2.41±3.36	3.53±3.85	2.39±3.35	<0.001	<0.001
Cognitive function, mean ± SD	12.89±5.69	12.61±5.60	12.90±5.69	0.629	0.612
Depression, mean ± SD	8.05±6.41	10.07±7.06	8.01±6.39	<0.001	0.003

<sup>†</sup>, adjusted for age, sex, region, and number of concomitant chronic diseases. CHARLS, China Health and Retirement Longitudinal Study.



**Figure 1** Concomitant chronic diseases of cancer survivors and participants without cancer. (A) Number of chronic diseases (except for cancer); (B) prevalence of different types of chronic diseases. \*, P<0.05; \*\*, P<0.001.

P<0.001), renal diseases (17.9% vs. 10.6%, P<0.001), and liver diseases (14.2% vs. 6.8%, P<0.001) than participants without cancer. The prevalence of other chronic diseases was similar between the two groups.

#### Health behavior

The health behaviors of the participants are presented in Table 2. After adjusting for age, sex, living region and chronic diseases, there were no significant differences found among former (OR 0.97; 95% CI, 0.67-1.39, P=0.853) and current smoking behavior (OR 0.75; 95% CI, 0.52-1.08, P=0.121) between cancer survivors and participants without cancer. The proportion of cancer survivors who reported having an alcoholic beverage in the last 12 months was lower compared with those with no history of cancer (17.3% vs. 34.7%, P<0.001), and the difference was significant after adjusting for age, sex, living region and chronic diseases (OR 0.49; 95% CI, 0.36-0.66, P<0.001). In addition, 58.6% of cancer survivors engaged in vigorous or moderately energetic physical activity for at least 10 minutes every week compared with 65.4% of those without a cancer diagnosis, but this difference was not significant.

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Table 2 Health behaviors of middle-aged	and older cancer survivors and	participants without canc	er from CHARLS
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Characteristic	n (%)	OR (95% CI)	OR (95% CI) <sup>†</sup>
Former smoker			
No cancer	7,380 (44.3)	1.00	1.00
Cancer survivors	115 (32.7)	0.61 (0.49–0.76)*	0.97 (0.67–1.39)
Current smoker			
No cancer	4,640 (27.9)	1.00	1.00
Cancer survivors	58 (16.5)	0.51 (0.38–0.68)*	0.75 (0.52–1.08)
Former drinker			
No cancer	7,671 (46.1)	1.00	1.00
Cancer survivors	127 (36.1)	0.66 (0.53–0.82)*	0.88 (0.67–1.16)
Current drinker			
No cancer	5,778 (34.7)	1.00	1.00
Cancer survivors	61 (17.3)	0.39 (0.30–0.52)*	0.51 (0.37–0.72) *
Physical activity			
No cancer	5,142 (65.4)	1.00	1.00
Cancer survivors	99 (58.6)	0.75 (0.55–1.02)	0.75 (0.53–1.06)

<sup>†</sup>, adjusted for age, sex, region and number of concomitant chronic diseases; \*, P<0.001.

## Daily activity, cognitive function and depression

The multiple linear regression results of daily activity, cognitive function, and depression are shown in *Table 3*. The number of concomitant chronic diseases was associated with more physical limitations in daily activities and depressive symptoms in both the cancer and without cancer groups (all P<0.001). However, such an association was not found in cognitive function. Current smoking behavior had a negative impact on cognitive function and depression in both the cancer and without cancer group. In participants without cancer, drinking alcohol had a minor protective effect on daily activity, cognitive function, and depression.

## Health care use and costs

Table 4 provides data on medical care utilization and expenditure. Cancer survivors received inpatient or outpatient care more frequently than participants without cancer (P<0.001). In addition, cancer survivors had significantly higher out-of-pocket or total medical costs of the hospitalization or doctor visit compared to those without cancer (P<0.001). More than 90% of participants were covered by public health insurance, but only a minority of participants (2.3%) had private health insurance.

## **Discussion**

This study used prospective population-based samples of middle-aged and older participants to evaluate the general health status of cancer survivors in China. Cancer survivors reported poor physical and mental health status. They had more physical limitations in completing activities in their daily life and experienced more depressive symptoms than the general population; however, it was found that they did not have a higher prevalence of cognitive impairment. Cancer survivors also had higher medical expenditures than participants without cancer.

Previous studies have suggested that cancer survivors are physically active and are more prone to developing psychosocial problems and cognitive impairment than those without cancer; furthermore, their needs have not been addressed appropriately (19-25). Unfortunately, cancer survivors older than 45 years have a high prevalence of co-existing chronic diseases, and more than 45% of the present study's population had 3 or more comorbidities. A

		Daily â	Daily activity			Cognitiv	Cognitive function			Depr	Depression	
	Cancer survivors	ivors	No cancer	er	Cancer survivors	ivors	No cancer	er	Cancer survivors	ivors	No cancer	cer .
Characteristic	Regression coefficient β P value (SE)	P value	Regression coefficient β (SE)	P value	Regression coefficient β (SE)	P value	Regression coefficient β (SE)	P value	Regression coefficient β P value (SE)	P value	Regression coefficient β (SE)	P value
Age	0.274 (0.022)	<0.001	0.265 (0.002)	<0.001	0.274 (0.022) <0.001 0.265 (0.002) <0.001 -0.314 (0.034) <0.001	<0.001	-0.359 (0.005)	<0.001	-0.359 (0.005) <0.001 -0.019 (0.044) 0.754	0.754	0.022 (0.005)	0.005
Sex⁺	0.106 (0.530)	060.0	0.106 (0.530) 0.090 0.121 (0.060) <0.001	<0.001	-0.293 (0.799) <0.001	<0.001	-0.173 (0.111)	<0.001	0.206 (1.058)	0.003	0.176 (0.126)	<0.001
Region⁺	0.184 (0.428) <0.001	<0.001	0.098 (0.049) <0.001	<0.001	-0.299 (0.618) <0.001	<0.001	-0.222 (0.090)	<0.001	0.181 (0.825)	0.002	0.136 (0.103)	<0.001
Concomitant chronic diseases	0.271 (0.101)	<0.001	0.271 (0.101) <0.001 0.260 (0.014) <0.001	<0.001	0.039 (0.144) 0.501	0.501	0.005 (0.026)	0.537	0.261 (0.193) <0.001	<0.001	0.258 (0.030)	<0.001
Smoking <sup>†</sup>	0.013 (0.637)		0.826 -0.017 (0.063)	0.053	-0.163 (0.928)	0.011	-0.049 (0.114)	<0.001	0.139 (1.250)	0.033	0.042 (0.131)	<0.001
Drinking <sup>†</sup>	-0.010 (0.602) 0.868 -0.0	0.868	-0.047 (0.056) <0.001	<0.001	0.020 (0.864) 0.745	0.745	0.049 (0.103) <0.001	<0.001	0.041 (1.150) 0.503		-0.023 (0.117)	0.010
Adjusted	r <sup>2</sup> =0.169	(	r <sup>2</sup> =0.201	F	r <sup>2</sup> =0.192	0	$r^2 = 0.200$	C	r <sup>2</sup> =0.127	~	r <sup>2</sup> =0.119	6

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similar concomitant illness burden of cancer survivors has also been reported in other countries, but chronic diseases beyond cancer have just begun to receive attention in clinical research (6,7,26,27). Several studies have indicated that chronic diseases, such as diabetes, could increase the risk of all-cause mortality in patients with cancer (28-30). The regression model from this present study showed that concomitant chronic diseases had a negative impact on daily activities, cognitive functions, and the mental health of the middle-aged and older population, which indicate that cancer survivors with chronic diseases have more difficulty performing self-care tasks and lacked the ability to live independently. Therefore, collaborative care is essential to ensuring medical conditions beyond cancer are well treated, and this collaboration should include not only oncologists but also supportive care providers and mental health specialists.

Health behaviors, such as smoking, drinking, and physical inactivity, have a large impact on health status not only in the middle-aged and older populations but also in cancer survivors (31-34). In addition, this research indicated that smoking behavior has an unfavorable impact on cognition function and mental health in both cancer and no-cancer groups, which has been reported in several prior studies (35-37). Our study found that there were no statistically significant differences in cigarette smoking between cancer survivors and those without cancer after adjustment. Also, cancer survivors who continue to smoke after a cancer diagnosis are at an increased risk for subsequent cancers and have a significantly higher symptom burden than nonsmokers (31,38,39). Although the public recognition of the hazards of smoking has improved in the past 15 years in China, the government still needs to broaden the awareness and intensify the education concerning the effects of smoking on the physical and psychosocial outcomes (40).

Compared with participants without cancer, Chinese cancer survivors were less likely to be current drinkers, which was different from western cancer survivors (19,41-43). The linear regression model from the present study showed that drinking had a minor benefit on the physical and mental health of participants without cancer; however, such a benefit was not seen in cancer survivors, and previous studies have observed that alcohol intake increased cancerrelated mortality (32,44). A recent nationwide study showed that smoking, infections, and poor diet were the largest contributors to the total cancer burden in China (45). Therefore, it is still imperative for clinicians to encourage cancer survivors to engage in a healthy lifestyle to improve

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Characteristic	Total	Cancer survivors	No cancer	P value	P value <sup>†</sup>
Hospital stay last year, n (%)	2,485 (14.7)	105 (29.9)	2,380 (14.3)	<0.001	<0.001
Doctor visit/outpatient last month, n (%)	3,193 (18.8)	110 (32.3)	3,083 (19.1)	<0.001	<0.001
Hospitalization out-of-pocket expenditure last year (yuan), mean ± SD	7,736.5±16,677.0	17,547.2±26,958.6	7,282.1±15,906.1	<0.001	<0.001
Hospitalization total expenditure last year (yuan), mean $\pm$ SD	13,724.7±25,497.9	28,147.5±37,338.7	13,081.2±24,655.1	<0.001	<0.001
Doctor visit out-of-pocket expenditure last month (yuan), mean $\pm$ SD	1,056.2±3,963.4	3,047.6±9,190.9	987.7±3,627.8	<0.001	<0.001
Doctor visit total expenditure last month (yuan), mean $\pm$ SD	1,488.5±5,228.0	3,952.4±10,521.2	1,401.8±4,921.2	<0.001	<0.001
Covered by public health insurance, n (%)	14,913 (90.7)	297 (91.1)	14,616 (90.7)	0.066	0.558
Covered by private health insurance, n (%)	371 (2.3)	10 (3.1)	361 (2.2)	0.322	0.919
*					

<sup>†</sup>, adjusted for age, sex, region, and number of concomitant chronic diseases.

their health status (46,47).

Cancer inflicts a heavy health burden on middle-aged and older people. Surprisingly, we found about 70% of cancer survivors did not visit hospitals in their last year of followup. Although public health insurance was available to almost all middle-aged and older people and paid on average 40% of the total medical cost for insured patients, the inpatient and outpatient payments were still a substantial economic burden for patients who went to the hospital. The largest component of the total economic burden was the cost of hospitalization, and the out-of-pocket medical expense for hospitalization in the last year for those with cancer was ¥17,547 compared with ¥7,282 for those without cancer. According to a 2015 Chinese study, the total payments on cancer treatments were estimated to be 221.4 billion RMB per year, accounting for 17.7% of the government health expenditure (8). In our study, only 30% of cancer patients visited the hospital last year, and the total expenditure on cancer treatment would become much higher if these patients were insured. The weighty economic burden of cancer is not affordable to the current Chinese government, which indicates that China should maintain a high growth rate and deepen the reforms of the current health care system. We observed that private health insurance covers only a few middle-aged and older people. To further reduce the economic burden of health care, private insurance might provide extra financial protection to some wealthy people with the greatest need.

This study has several limitations. First, cancer diagnosis

and other health status information were reported only by the participants themselves. Second, some important factors, such as the age of cancer diagnosis, tumor stage, and cancer-related treatment regimen, were not available in the CHARLS study, which might have an impact on the health status and health service utilization of cancer survivors. Third, health behaviors were also self-reported, and some participants might have concealed their real cigarette and alcohol consumption. Finally, the CHARLS included only 21,097 participants, which limited the generalization of this study.

Nonetheless, our study is a first step toward providing a comprehensive and up-to-date assessment of the general health status of middle-aged and older cancer survivors in China. The poor health status reported in our study highlights the need for collaboration between oncologists and supportive care providers (such as general practitioners) to find effective strategies to improve the physical and psychological well-being of the growing numbers of cancer survivors.

## Conclusions

In conclusion, Chinese cancer survivors older than 45 years report poor general health status in physical and mental health. They also have higher medical expenses than noncancer participants. Because of the growing number of cancer survivors and their heavy illness burden, high-quality and cost-effective supportive care provided by the health

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care system is needed in the future.

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#### Footnote

*Conflicts of Interest:* The authors have no conflicts of interest to declare.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The CHARLS was approved by the ethics committee of Peking University Health Science Center. Written informed consent was obtained from each participant.

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