Objective tumor distinction in 5-aminolevulinic acid-based endoscopic photodynamic diagnosis, using a spectrometer with a liquid crystal tunable filter

Taro Yamashita, Hidehito Kinoshita, Takuki Sakaguchi, Hajime Isomoto

Faculty of Medicine, Division of Medicine and Clinical Science, Department of Multidisciplinary Internal Medicine, Tottori University, Tottori, Japan

Contributions: (I) Conception and design: T Yamashita; (II) Administrative support: T Yamashita; (III) Provision of study materials or patients: H Kinoshita, T Sakaguchi; (IV) Collection and assembly of data: H Kinoshita, T Sakaguchi; (V) Data analysis and interpretation: T Yamashita, H Isomoto; (VI) Manuscript writing: All authors; (VII) Final approval of manuscript: All authors.

Correspondence to: Hajime Isomoto. Faculty of Medicine, Division of Medicine and Clinical Science, Department of Multidisciplinary Internal Medicine, Tottori University, Nishicho 36-1, Yonago City, Tottori Prefecture, Japan. Email: isomoto@med.tottori-u.ac.jp.

Background: Recent improvement of the endoscopic system such as image enhancement has led to the better accuracy of the diagnosis of the gastric cancer. However, the objective and efficient detection method of the gastric cancer is still needed because the detection efficiency could sometimes be low due to the fact that the image enhancement diagnosis needs magnification for its full utilization. The photodynamic diagnosis (PDD) with oral intake of 5-aminolevulinic acid (5-ALA) has been widely used for the detection of the cancerous region for bladder cancer and glioblastoma. The application of the 5-ALA based PDD (5-ALA PDD) to the diagnosis of gastric cancer is recently reported. The efficiency of the detection is reported to be good, however, the objectivity of the method can be impaired by the photobleaching effect with fast decreasing of the intensity of the fluorescence under light exposure. In this article, we investigated the fluorescence spectrum of the gastric tumor and non-tumor mucosa of 5-ALA PDD and revealed the property of the photobleaching effect.

Methods: Example cases of PDD endoscopy of gastric tumor were investigated for cases of endoscopic submucosal dissection (ESD). Newly developed spectrometer using a spectrometer with a liquid crystal tunable filter was used for investigating the fluorescence spectrum of 5-ALA PDD. The assumed tumor region and non-tumor region in gastric mucosa were biopsied and the fluorescence spectrum was measured using the spectrometer consecutively several times, to estimate the photobleaching effect.

Results: The fluorescence spectrum has a primary peak at 630 nm, with a broad peak ranging from 660 to 700 nm. The 630 nm peak diminished quickly upon ultraviolet light exposure, whereas the broad peak from 660 to 700 nm diminished slowly. The sum of the altitudes at 660–700 nm, normalized to the altitude at 600 nm, was not as affected by the photobleaching effect as the 630 nm peak was, and can thus be used for 5-ALA-based PDD.

Conclusions: The 5-ALA PDD using the average fluorescence altitude of 660–700 nm instead of the peak altitude at 630 nm, is shown to be more effective in distinguishing between tumorous and non-tumorous tissues, because of the lower photobleaching effect at this specific spectral range. The finding is expected to greatly improve the objective diagnosis of gastrointestinal cancers by 5-ALA-based photodynamic diagnostic endoscopy.

Keywords: Photodynamic diagnosis (PDD); 5-aminolevulinic acid (5-ALA); photobleaching effect

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Introduction

The fluorescence properties of gastric tumors and the gastric mucosa, following oral intake of 5-aminolevulinic acid (5-ALA) by the patients, were investigated using a newly developed spectrometer with a liquid crystal tunable filter. The fluorescence spectrum has a primary peak at 630 nm, with a broad peak ranging from 660 to 700 nm. One of the sources of the spectrum is known to be protoporphyrin IX, a metabolite of 5-ALA. The intensity of fluorescent light diminishes upon exposure to ultraviolet light, which is a property known as the photobleaching effect. In this study, the photobleaching effect on the fluorescence intensity and spectrum of gastric tumors was investigated. The 630 nm peak diminished quickly upon ultraviolet light exposure, whereas the broad peak from 660 to 700 nm diminished slowly. The sum of the altitudes at 660-700 nm, normalized to the altitude at 600 nm, was not as affected by the photobleaching effect as the 630 nm peak was, and can thus be used for 5-ALA-based photodynamic diagnosis (PDD). The method was investigated and successfully tested for biopsied samples in example cases of gastric tumors, using newly developed spectrometer with liquid crystal tunable filter.

The diagnosis of gastric cancer has been progressing together with the development of the endoscopic system. Recently, an image-enhanced endoscopic system named narrow band imaging (NBI) was developed for the improved detection of blood vessels, resulting in the better diagnosis of gastric cancers (1-3). In stomach, multiple tumor regions frequently exist, since background mucosa has high cancer risk due to the inflammation with the infection to Helicobacter pylori. Additionally, it is reported that the accurate determination of the border of the tumor region is sometimes difficult (4). The detection and diagnosis of gastric tumor by image-enhanced endoscopy are still not good enough partly because the full utilization of the technique often needs magnification of the target region. For bladder cancer and glioblastoma, PDD has been widely used for the detection of the cancerous region (5,6). The patient first takes 5-ALA orally from 2 to 4 h before the diagnosis. Then, the patient undergoes cystoscopic inspection for bladder cancer, or the tissue specimen is exposed by its fluorescence under a microscope for glioblastoma. The cancerous region is identified as a reddish area compared with the normal tissue. One potential problem of PDD is the difficulty in quantifying the intensity of the fluorescence (7), owing to the so-called

photobleaching effect, which is a phenomenon where the fluorescent light intensity decreases under ultraviolet (UV) light exposure. The effectiveness of PDD with oral intake of 5-ALA in digestive disease is studied for intraoperative diagnosis of gallbladder cancer (8), peritoneal metastasis in colorectal cancer (9) and metastatic lymph node of gastric cancer (10), and so on. With regard to PDD for gastric tumors, it has been shown that this technique together with 5-ALA is useful for the early detection of the disease (11). However, one of the problems encountered is that the nontumorous mucosa also emits fluorescence in PDD. Thus, the fact that the fluorescence quickly diminishes upon exposure to UV light is problematic in PDD, since UV light inspection of the tumor region sometimes causes the fluorescence difference between the tumorous and nontumorous areas to be weak. For PDD using 5-ALA and UV light exposure, it is well known that the spectrum peaks at 630 nm, which is the fluorescence peak of protoporphyrin IX (PPIX), the main metabolite of 5-ALA in the living cell.

The photobleaching effect of 5-ALA PDD or PPIX itself has been studied by several researchers, for rat and human esophagus (12), for mouse skin with topical application of 5-ALA (13), and for PPIX itself (14). They found that the primary peak at around 630 nm of the fluorescence of the PPIX decays quickly as exposed with light, while secondary broad peak with maximum at around 670 nm arises. One research group deduced that the secondary peak originates from the photoproducts of PPIX, possibly porphyrinchlorin dimer (14). The photobleaching effect has not vet been studied for 5-ALA PDD for human gastric sample, in which the photobleaching effect can have significant effect on the objectivity of 5-ALA PDD. In this regard, the normal gastric mucosa emits fluorescence in 5-ALA PDD. We have studied the photobleaching effect in 5-ALA PDD for human gastric samples in detail, to propose the method for possibly minimizing the photobleaching effect of the 5-ALA PDD.

Methods

New endoscopic system for PDD

The new endoscopic system (Sie-P2[®]) for PDD of the upper gastrointestinal tract was developed by Fujifilm Corporation (Tokyo, Japan). The endoscope has white light, NBI, and PDD inspection modes. With the newly equipped PDD mode, UV light of 410 nm wavelength is emitted from the distal end of the endoscopy. The source of the UV light is



Figure 1 Pictures of (A) white light (B) PDD endoscopy of the II-a region(adenocarcinoma) in the lesser curvature of the lower body of the stomach. Pictures of the PDD endoscopy of II-a region(adenocarcinoma) in the lesser curvature of the angle of the stomach, showing photobleaching effect: (C) initial view at the start of the PDD endoscopy, (D) after observing about 2 minutes inspection of the PDD endoscopy.

a laser, which has a higher emission intensity than that of the previous system (Sie-P1[®]). The fluorescence is detected with the complementary metal oxide semiconductor (CMOS) detector of the endoscope. Upon exposure to UV light, the tumorous region is expected to be visualized as a brighter spot compared with the non-tumorous region, reflecting the fact that the tumor is expected to emit more fluorescent light.

Example case of PDD endoscopy of gastric tumor with Si2-P2[®] system is reported in the literature (15). Example cases of PDD using Si2 P2[®] system are presented in *Figure 1*. The region spotted as tumor before the PDD endoscopy is the 0-IIa region (adenoma) in the lesser curvature of the lower body of the stomach. The picture of the white light endoscopy of the region is presented in *Figure 1A*. The picture of the fluorescent light endoscopy is presented in *Figure 1B*, in which the diagnosed tumor region is depicted as reddish region in the fluorescent light endoscopy. *Figure 1C* shows picture of the PDD endoscopy of II-a region(adenocarcinoma) in the lesser curvature of the angle of the stomach. *Figure 1D* shows the picture after about

2 minutes inspection of the PDD endoscopy, the brightness of the region becomes weak such that the contrast between tumor region and non-tumor region becomes weak.

Two-dimensional spectrometer using a liquid crystal tunable filter and CMOS detector

In this study, the fluorescence spectra of gastric tumor and non-tumorous samples in PDD with oral intake of 5-ALA was investigated using a two-dimensional (2D) spectrometer, which is newly developed by Murata Corporation, Kyoto, Japan, that utilizes a liquid crystal tunable filter (LCTF). The spectrometer is schematically depicted in *Figure 2*. In this system, the sample examined is exposed to a light source, which can be either white light or UV light. The light emitted from the sample is detected with a CMOS detector through the tunable bandpass filter whose center frequency is controlled by the voltage applied. The root mean square of the resolution of the bandpass filter is in the order of several nanometers. The range of the detected wavelength is from 500 to 800 nm. Hereinafter,



Figure 2 Schematic view of the 2-dimentional spectrometer using LCTF (developed by Murata Corporation, Kyoto, Japan).

the system is referred to as the LCTF system.

Application of the developed photodynamic diagnostic endoscope and spectrometer to gastric tumor cases

We investigated six cases of gastric tumors (early gastric cancer or adenoma) that were to be resected by endoscopic submucosal dissection (ESD). The region to be resected was diagnosed by biopsy as being tumorous (adenoma or cancer) before the PDD and ESD were performed. One case of gastric tumor presented as follows. The region spotted as being tumorous before the PDD was the 0-IIa region in the lesser curvature of the lower body of the stomach. The white-light endoscopic image of the region is presented in *Figure 2A* and the fluorescence endoscopic image is presented in *Figure 2B*. The diagnosed tumorous region was depicted as a reddish area by the fluorescence endoscopy.

Prior to the ESD, the upper gastrointestinal tract of the patient was first inspected using the newly developed photodynamic diagnostic endoscopic system. Then, the region diagnosed as the tumor (cancerous or adenomatous) was biopsied. The assumed non-tumorous region was also biopsied for comparison. Then, the biopsied specimens were inspected with the newly developed LCTF system to obtain the fluorescence spectrum of the specimen exposed to UV light. The center frequency of the LCTF was scanned from 580 to 715 nm at 5-nm intervals, which took approximately 150 sec, and thus the exposure time to the UV light was 150 sec per single measurement. Using this LCTF system, several consecutive measurements of each sample were taken for estimation of the photobleaching effect.

The biopsied specimens are pathologically diagnosed

according to the group classification of biopsied specimen, described in the Japanese classification of gastric cancer, 3rd English edition (16). In this classification, the group 1 sample denotes non-tumor, group 3 sample denotes adenoma and group 5 sample denotes cancer.

We also investigated the intrinsic spectrum of PPIX using the LCTF system. The PPIX was purchased from Frontier Scientific, Inc., (Logan, UT, USA) and dissolved in saline to a concentration of $0.01 \,\mu\text{g/mL}$.

Calculation of the spectral data obtained by the liquid crystal tunable filter system

The raw data from the CMOS detector of the LCTF system consisted of 772 pixels for the x-axis and 580 pixels for the y-axis. First, to avoid instability, the values of four points [viz., r(x,y), r(x+1,y), r(x,y+1), and r(x+1,y+1)] were averaged, resulting in 193 points for the x-axis and 145 points for the y-axis [denoted as R(X,Y)]. The value of the raw measurement R(X,Y,f) was then subtracted by the background [viz., B(f), where f is the center tuning frequency of the LCTF], which is assumed to be constant in the CMOS plane.

B(f) was calculated as the average of the four corner points of the CMOS plane (*Figure S1* shows examples of the raw measurements R(X,Y,f) for fixed x and y, the background values B(f), and the background-subtracted values Rsub(X,Y,f) [i.e., R(X,Y,f) - B(f)], over the spectral range measured. The fluorescence spectrum had a primarily peak at 630 nm and a second broad peak from 660 to 700 nm.

Results

Evaluation of the photobleaching effect in biopsied tissue samples

Figure 3A shows the typical change of the consecutive measurements of the spectrum for the group 5 sample, for the same point in the 2-dimentional plane. *Figure 3B* shows the average ratio of the altitude of the specified wavelength, at the second to fourth measurement relative to that of the first measurement. The ratio is averaged over points in one sample. It is shown that the primary peak of the fluorescent light at 630 nm decreases quickly by the exposure on the UV light of the consecutive measurements. The average altitude of the spectrum from 660 to 700 nm also decreases by the exposure, but the degree of the decrease is not so prominent



Figure 3 Spectrums and their properties in consecutive measurements by the LCTF system. (A) Typical spectrum of group 5 sample measured consecutively by the LCTF system: first measurement (circle), second measurement (triangle), third measurement (square), fourth measurement (diamond); (B) average altitude ratio of each (first to fourth) measurement relative to the first measurement for the average of 660–700 nm (square), 600 nm (diamond), 630 nm (circle), the average of 660–700 nm normalized by 600 nm (triangle), for group 5 sample. Error bar shows root mean square of the distribution.



Figure 4 Properties of (660–700 nm) altitude in consecutive measurements by the LCTF system. (A) Correlation between first (x-axis) *vs.* third (y-axis) measurement for the average altitude from 660 to 700 nm, normalized by 600 nm altitude. Each point corresponds to point in x-y plane, for group 5 sample; (B) correlation between altitude of 630 nm normalized by altitude of 600 nm (x-axis) *vs.* average altitude from 660 to 700 nm normalized by altitude of 600 nm (x-axis) *vs.* average altitude from 660 to 700 nm normalized by altitude of 600 nm (y-axis), for each consecutive measurement of first (circle), second (triangle), third (square) and fourth (cross) for group 5 sample.

compared to the decrease of the altitude at 630 nm. Additionally, the average altitude of the spectrum from 660 to 700 nm normalized by the altitude of 600 nm, is almost constant up-to third measurement.

Figure 4A shows the scattered plot of the average altitude of the spectrum from 660 to 700 nm of the first measurement vs. that of the third measurement, for group 5 sample. Each scattered point corresponds to each point at the x-y plane of the CMOS, with requirement that the 630 nm altitude be more than 2.2 times higher than the altitude at 600 nm. The correlation between the altitude of the first measurement and the third measurement is good.

Figure 4B shows the scattered plot of the average altitude of the spectrum from 660 to 700 nm vs. the altitude of

630 nm normalized by the altitude of 600 nm, for each measurement, for group 5 sample. Each scattered point corresponds to each point at the x-y plane of the CMOS, with the same requirement that the 630 nm altitude be more than 2.2 times higher than the altitude at 600 nm. The correlation between the average altitude of the spectrum from 660 to 700 nm and the altitude of 630 nm is good for each point, in each measurement.

Figure 5A,B show the ratio of the altitude of the specified wavelength, at the second to third measurement relative to that of the first measurement, for three group 5 samples (Figure 5A), for three samples group 1 samples (Figure 5B). Average altitude from 660 to 700 nm normalized to that of the 600 nm is relatively constant from first to third

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Figure 5 Average altitude ratio of each (first to three) measurement relative to the first measurement, for 630 nm altitude (solid), and average altitude from 660 to 700 nm (open) for three group 5 samples (A) and for three group 1 samples (B).



Figure 6 Comparison of (660-700 nm) altitude between group 1 and group 5 samples. (A) Two-dimensional plot for the two group 1 samples and two group 5 samples of case 1. Each point is attributed to corresponding color according to the ratio of average altitude from 660 to 700 nm to the altitude of 600 nm: red: 3.0 < (660-700 nm)/600 nm, orange: 2.6 < (660-700 nm)/600 nm < 3.0, green: (660-700 nm)/600 nm < 2.6. The plots are overlaid by the white light picture of the samples; (B) distribution of the average altitude from 660 to 700 nm normalized to the altitude of 600 nm, for two group 1 samples and two group 5 samples.

measurement, within 5% deviation, compared to the fact that the altitude of 630 nm normalized to that of the 600 nm decreases as the sample is exposed to ultraviolet light. The above statement is also valid for group 3 samples (*Figure S2*). In summary, we see similar property of the photobleaching effect described above, for group 1, group 3 and group 5 samples.

Case examples

Next, we compare the average altitude from 660 to 700 nm normalized to the altitude of 600 nm, which is well correlated to the altitude of 630 nm, between group 5 sample and group 1 sample for the case 1, and between group 3 and group 1 sample for the other case 2.

Case example 1: a 72-year-old male

Figure 6A show the 2-dimentional plot for two group 1 samples, for two group 5 samples, with the condition that altitude at 630 nm is higher than 2.2 times of altitude at 600 nm. The requirement eliminates the background, effectively selecting tissue. Each point is attributed to corresponding color according to the ratio of average altitude from 660 to 700 nm normalized to the altitude of 600 nm: red: 3.0< (660–700 nm)/600 nm, orange: 2.6< (660–700 nm)/600 nm <3.0, green: (660–700 nm)/600 nm <2.6.

Figure 6B shows the distribution of the average altitude from 660 to 700 nm normalized to the altitude of 600 nm, for same samples with the same requirement as in *Figure 6A*. Distributions of the ratio for group 5 samples tend to



Figure 7 Two-dimensional plot for the group 5 sample (same sample as *Figure 6A*) for four consecutive measurements (1st, 2nd, 3rd, 4th). Each point is attributed to corresponding color according to the ratio of average altitude from 660 to 700 nm to the altitude of 600 nm: red: 3.0 < (660-700 nm)/600 nm, orange: 2.6 < (660-700 nm)/600 nm < 3.0, green: (660-700 nm)/600 nm < 2.6.

have higher value compared to group 1 samples. It can be estimated that the group 5 samples have higher altitude of 630 nm, in the same condition of photo-bleaching, since the average altitude from 660 to 700 nm has good correlation to the altitude of 630 nm.

Figure 7 shows the same plot as *Figure 6A*, with the consecutive 4 times measurements by the system, in which the point has color in the same way as in *Figure 6A*. The plots of the consecutive measurements have similar view between measurements, which reflects the fact that the photobleaching effect is small by using the average altitude from 660 to 700 nm normalized to the altitude of 600 nm.

Case example 2: a 60-year-old male

Figure 8A show the 2-dimentional plot for two group 1 samples, for two group 3 samples, with the condition that altitude at 630 nm is higher than 2.2 times of altitude at 600 nm. Each point is attributed to corresponding color in the same way as in *Figure 6A*. *Figure 8B* shows the distribution of the average altitude from 660 to 700 nm normalized to the altitude of 600 nm, for same samples with

the same requirement as in *Figure 8A*. Distributions of the ratio for group 3 samples tend to have higher tail compared to group 1 samples.

Figure 9 shows the HE-stained sample. Adenoma ductal cells can be spotted. By comparing HE stained sample (Figure 9B) and 2-dimentional plot of the ratio of the altitude (Figure 9A), it can be stated that region where the adenoma ductal cells are dominant has higher average altitude from 660 to 700 nm normalized to the altitude of 600 nm, compared to the non-tumor region. The plots of the consecutive measurements have similar view between measurements, especially up to third measurement, as in case 1 (Figure S3), which shows that the photobleaching effect is small by using the average altitude from 660 to 700 nm.

Discussion

In this study, it is shown that the average altitude between 660 and 700 nm is not so much influenced by the photobleaching effect as the 630 nm primary peak altitude,

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Figure 8 Comparison of (660-700 nm) altitude between group 1 and group 3 samples. (A) Two-dimensional plot for the two group 1 samples and two group 3 samples of case 2. Each point is attributed to corresponding color according to the ratio of average altitude from 660 to 700 nm to the altitude of 600 nm: red: 3.0 < (660-700 nm)/600 nm, orange: 2.6 < (660-700 nm)/600 nm < 3.0, green: (660-700 nm)/600 nm < 2.6. The plots are overlaid by the white light picture of the samples; (B) distribution of the average altitude from 660 to 700 nm normalized to the altitude of 600 nm, for two group 1 samples and two group 3 samples.



Figure 9 Comparison of (660–700 nm) altitude to histology. (A) Two-dimensional plot for the group 3 sample (same sample as *Figure 8A*). Each point is attributed to corresponding color according to the ratio of average altitude from 660 to 700 nm to the altitude of 600 nm: red: 3.0 < (660-700 nm)/600 nm, orange: 2.6 < (660-700 nm)/600 nm < 3.0, green: (660-700 nm)/600 nm < 2.6; (B) HE staining of the sample.

which is the property observed irrelevantly whether the tissue is tumor (adenoma or adenocarcinoma) or nontumor. Additionally, the 630 nm altitude and altitude from 660 to 700 nm of the fluorescent light in 5-ALA PDD correlates well. Therefore, altitude between 660 and 700 nm can be utilized in 5-ALA PDD such that there is less photobleaching effect, thus eligible for more reliable and efficient identification of the tumor region in PDD. One possible procedure is applying the correction method emphasizing the spectrum between 660 and 700 nm. The average altitude from 660 to 700 nm could also be used to define the value which reflects initial 630 nm peak altitude before photobleaching effect, which leads to quantification of PDD, opening new possibility of PDD using 5-ALA.

Figure 10 shows the intrinsic PPIX spectrum measured by the LCTF system in the same way as described. The second broad peak around 660 nm does not change much as exposed by the fluorescent light, whereas the

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Figure 10 Typical spectrum of PPIX (0.01 μ g/mL; diluted by saline) measured consecutively by the LCTF system: for first measurement (circle), second measurement (triangle), third measurement (square), fourth measurement (diamond).

primary 630 nm peak decreases quickly, which is similar behavior observed in the measurement of the tissue sample. Therefore, it is likely that the effect seen in the measurement of the tissue sample is the photobleaching effect of the PPIX itself. The observed effect is similar to that described in previous works from other groups (12-14), in which the second broad peak around 660 nm is possibly originated from the photoproducts of PPIX.

As it is shown in two cases of gastric tumor, there is the tendency that the tumor region has higher altitude from 660 to 700 nm than non-tumor region in same case. Since the 630 nm altitude of the fluorescent light and that from 660 to 700 nm in 5-ALA PDD correlates well, it could be argued that the original 630 nm altitude of the PPIX fluorescence is higher in tumor region than non-tumor region. The next thing to be explored is the comparison of the intensity of the PPIX fluorescence between tumor regions and non-tumor regions with larger samples to confirm this assumption, using the average altitude from 660 to 700 nm.

Conclusions

In conclusion, we have ascertained in this study that the 5-ALA PDD using the average fluorescence altitude of 660–700 nm (normalized to the altitude at 600 nm) instead of the peak altitude at 630 nm, is considered to be more effective in distinguishing between tumorous and non-tumorous tissues, because of the lower photobleaching effect at this specific spectral range. Our findings are expected to greatly improve the objective diagnosis of gastrointestinal cancers

by 5-ALA-based photodynamic diagnostic endoscopy.

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Footnote

Conflicts of Interest: HI serves as an unpaid editorial board member of Annals of Translational Medicine from Jan 2018 to Dec 2019. The other authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This clinical research is approved by the Tottori University ethics committee and written informed consent was obtained from all patients.

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Supplementary



Figure S1 Measured spectrum before background subtraction R(X,Y,f) (square); calculated background spectrum B(f) (round); Subtracted spectrum Rsub(X,Y,f) (triangle).



Figure S2 Average altitude ratio of each (first to three) measurement relative to the first measurement, for 630 nm altitude (solid), and average altitude from 660 to 700 nm (open) for three group 3 samples.



Figure S3 Two-dimensional plot for the group 3 sample (same sample as *Figure 8A*) for four consecutive measurements (1st, 2nd, 3rd, 4th). Each point is attributed to corresponding color according to the ratio of average altitude from 660 to 700 nm to the altitude of 600 nm: red: 3.0< (660–700 nm)/600 nm, orange: 2.6< (660–700 nm)/600 nm <3.0, green: (660–700 nm)/600 nm <2.6.