



# Efficacy evaluation of the combination therapy of sorafenib and transarterial chemoembolization for unresectable HCC: a systematic review and meta-analysis of comparative studies

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**Background:** Sorafenib and transarterial chemoembolization (TACE) are the standard treatments recommended by guidelines for unresectable hepatocellular carcinoma (HCC). Although previous studies have shown the combination therapy of sorafenib and TACE to be safe, there is no consensus regarding its efficacy. This systematic review and meta-analysis, which was based on the findings of comparative clinical trials, was conducted to provide up-to-date and comprehensive information about the efficacy of combination therapy versus TACE monotherapy in unresectable HCC.

**Methods:** Multiple databases were systematically reviewed to screen studies through particular inclusion criteria. Hazard ratio (HR) with 95% confidence intervals (95% CIs) was collected and analyzed by Revman 5.3 in a fixed or random effects meta-analysis model. Adverse events (AEs) were also evaluated.

**Results:** This review ultimately included 14 comparative studies focused on combination therapy versus TACE monotherapy. Of these: 5 studies conducted TACE plus sorafenib versus TACE with placebo; 9 studies provided overall survival (OS) in combination groups which ranged from 10.3 to 29.7 months; and 10 studies provided time to progression (TTP) in combination groups which ranged from 2.6 to 10.8 months. The disease control rate (DCR) in combination groups ranged from 9.7% to 89.2% in 7 of the studies. After performing a random effects meta-analysis model, our study showed that OS (HR =0.65, 95% CI: 0.54–0.79, P<0.0001) and TTP (HR =0.72, 95% CI: 0.59–0.88, P=0.001) have been significantly improved in the combination therapy group when compared with the TACE monotherapy group. AEs mainly included hand-foot skin reaction (HFSR), fatigue and diarrhea and the majority of these were in grade 1 or grade 2.

**Conclusions:** Combination therapy has significant advantages over TACE monotherapy in terms of improving TTP and OS.

**Keywords:** Sorafenib; transarterial chemoembolization (TACE); hepatocellular carcinoma (HCC); meta-analysis

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## Introduction

Hepatocellular carcinoma (HCC) is the third leading cause of cancer-related deaths and the fifth most common malignant tumor worldwide (1). Asian countries account for three-quarters of HCC-related deaths and, in most countries, 70 percent of patients are infected with chronic hepatitis B virus (HBV) (2,3).

The Barcelona Clinic Liver Cancer (BCLC) staging system is the most extensively adopted HCC classification system worldwide. According to the BCLC staging system, the major therapies for BCLC-A HCC patients are surgical section, liver transplantation and radiofrequency ablation. For BCLC-B patients, transarterial chemoembolization (TACE) is the recommended standard therapy, whereas for BCLC-C patients, sorafenib is the recommended targeted drug (4). Most patients are at BCLC-B/C stage when they are diagnosed.

Most previous clinical trials have proved that TACE can improve the survival of BCLC-B patients (5-8). It allows the direct delivery of the anticancer therapy to the tumor feeding arteries by preferentially blocking the arterial blood supply of liver tumors (9). However, due the potentially damaging effects of TACE on the hepatic arterial system, the long-term benefit is less effective for patients with worsening liver function (10). Moreover, after TACE treatment, overexpression of vascular endothelial growth factor (VEGF) and platelet-derived growth factor (PDGF) may lead to high recurrence of HCC (11). As a multi-kinase inhibitor, sorafenib targets and inhibits multiple the signal transduction pathways of HCC development and restrains tumor cell proliferation and angiogenesis (12). In addition, it works to inhibit VEGF and PDGF receptors (13). Therefore, in theory, by combining sorafenib with TACE, the expression of VEGF and PDGF after TACE may be significantly decreased (14). It remains a reasonable hypothesis whether sorafenib could regulate the upregulation of TACE-induced angiogenic factors and potentially enhance its efficacy (15).

The efficacy of combination therapy has already been investigated by previous systematic reviews. However, most of the included studies were non-comparative studies (16-18), and only a small proportion were randomized controlled trials (RCT) (19-23). According to data, the superiority of combination therapy over TACE monotherapy remains a controversial issue. We conducted this study using comparative trials to evaluate the efficacy of combination therapy versus TACE monotherapy in unresectable HCC.

## Methods

### *Eligibility of relevant studies*

To ensure all relevant literature was covered, PubMed, EMBASE, Scopus and Cochrane Library were comprehensively searched for studies published between January 2000 and December 2017. Search terms were: (“transarterial chemoembolization” or “chemoembolization” or “TACE”) AND (“hepatocellular carcinoma” or “HCC” or “liver cancer” or “liver tumor”) AND (sorafenib). To be eligible, the studies must have explored the efficacy of combination therapy versus TACE monotherapy for unresectable HCC. References of retrieved articles were also screened.

### *Inclusion and exclusion criteria*

#### **Inclusion criteria**

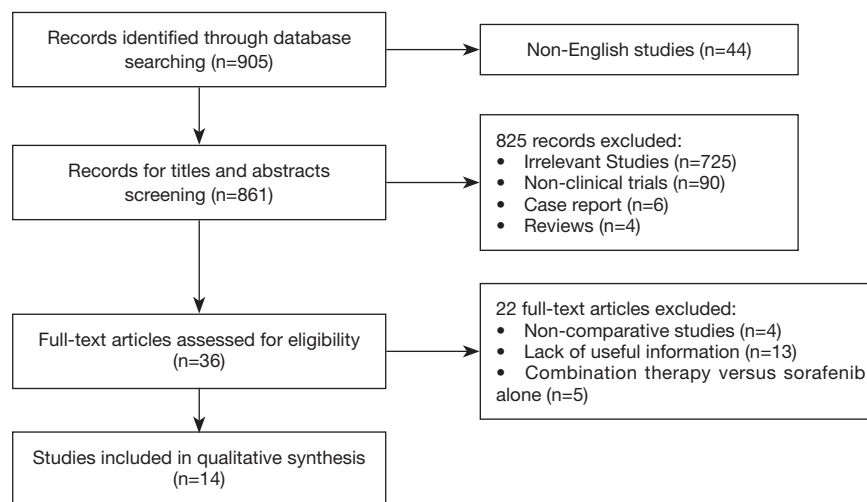
Comparative studies that explored the efficacy of sorafenib plus TACE (including conventional TACE and drug-eluting-beads TACE) versus TACE monotherapy (including TACE alone or TACE with placebo) of unresectable HCC patients were included. Studies were limited to English articles and adult patients. Necessary information, including overall survival (OS), time to progression (TTP), disease control rate (DCR), adverse events (AEs) and tumor response, should have been provided.

#### **Exclusion criteria**

Non-comparative studies or studies comparing the combination therapy versus sorafenib alone were precluded. Patients in BCLC-D were not included. Comments, editorials, letters, case reports, reviews, meta-analysis, low-level evidence and non-English literature were excluded. Studies unrelated to our topics or without useful information were also removed.

### *Data extraction*

After the initial identification of relevant articles from the databases mentioned previously, two researchers screened the studies according to the detailed criteria by reading titles and abstracts. The number of studies in each screening procedure was recorded along with the reasons for any exclusions. Working independently, the researchers then read the full texts of the included studies and extracted the necessary information, including baseline characteristics, treatment strategy, OS, TTP, DCR, AEs and tumor



**Figure 1** The study recruitment flowchart.

response. Finally, the data was aggregated and analyzed. When disagreements occurred between the two researchers, a consensus would be reached through a discussion involving all of the researchers.

### Statistical analysis

This meta-analysis was performed according to the Cochrane Handbook for Systematic Reviews of Interventions. Continuous variables, including OS and TTP, were presented with hazard ratio (HR) and 95% confidence interval (CI), categorical variables were as described as percentages and frequencies. The quality of included RCT studies was assessed using the Jadad scale (24), and non-RCT were adopted by methodological index for non-randomized studies (MINORS) (25). Forest plots were used to merge the weighted of effects. I<sub>h</sub> analysis was used to assess heterogeneity among studies. If the I<sub>h</sub> value was less than 50%, a fixed-effect meta-analysis model would be conducted. Otherwise, a random-effects model would be established. Subgroup analysis and sensitivity analysis were performed to explain the potential source of heterogeneity. Funnel plots were used to evaluate publication bias. For all outcomes, P value <0.05 indicated statistically significant. All analyses were conducted by Revman 5.3.

## Results

### Identification of eligible studies

Following a search of multiple databases, a total of 905

studies were identified for initial screening. Then, according to their titles and abstracts, 869 studies were excluded, and the full texts of the remaining 36 studies were carefully examined according to inclusion and exclusion criteria. Finally, 14 comparative studies were admitted to this meta-analysis, including 4 prospectively randomized controlled trials and 10 respective studies. The flowchart of the study recruitment was shown in *Figure 1*.

### Study characteristics

The 14 studies, which were published from 2011 to 2017, were categorized as 4 RCT and 10 non-RCT. A total of 2,602 patients were included. The sample size among the studies ranged from 13 to 245. DEB-TACE was used in 2 of the studies (22,26), and the others were conventional TACE (c-TACE). Five studies performed TACE plus sorafenib versus TACE with placebo (19-22,27). Sorafenib was initiated after TACE in 10 of the studies, with the majority starting sorafenib within a week. One study started sorafenib before TACE (22). Fifty percent patients of Kudo *et al.* performed sorafenib 9 weeks after TACE (19). For 8 studies which provided BCLC staging, 3 studies included all patients in BCLC-B stage (20,22,28). Nine studies described Eastern Cooperative Oncology Group (ECOG) scores, and patients in 7 studies are of 0-1. Eleven studies provided etiology of the patients and HBV was the primary reason of HCC, 1 study included only HCV patients (20) (*Table 1*). Quality assessment was shown in *Table 2*.

**Table 1** Baseline characteristics of 14 comparative studies and patients

Authors (year)	Study design	Region	Patients			CPS		BCLC		ECOG		Etiology	
			Combination	TACE alone	Combination	TACE alone	Combination	TACE alone	Combination	TACE alone	Combination	TACE alone	
Kudo et al. 2011	Randomized	Japan South; Korea	229	229	A =100%	A =100%	NA	NA	0=87.8%; 1=12.2%	0=88.2%; 1=11.8%	HBV =20%; HCV =60.7%	B =22.7%; C =64.6%	
Sansono et al. 2012	Prospective randomized	Italy	31	31	A =100%	A =100%	B =100%	B =100%	0=86%; 1=14%	0=77%; 1=23%	HCV =100%	HCV =100%	
Qu et al. 2012	Retrospective	China	45	45	A =65%; B =35%	A =78%; B =22%	B =35%; C =65%	B =38%; C =62%	0=95%; 1=5%	0=91%; 1=9%	HBV =84%	82%	
Bai et al. 2013	Prospective	China	82	222	A =77%; B =23%	A =73%; B =27%	B =23%; C =77%	B =36.5%; C =63.5%	0=36.6%; 1=46.4%	0=38.3%; 1=53.6%	HBV =87%; HCV =5%	HBV =84%; HCV =7.3%	
Muhammad et al. 2013	Retrospective	USA	13	30	A =85%; B =15%	A =77%; B =23%	A =46%; B =15%; C =38%	A =73%; B =27%	NA	NA	HCV =46%	HCV =56.6%	
Huang et al. 2013	Prospective	China	47	108	NA	NA	NA	NA	NA	NA	NA	NA	
Hu et al. 2014	Retrospective	China	82	164	A =70.7%; B =29.3%	A =68%; B =32%	B =100%	B =100%	NA	NA	HBV =82.9%; HCV =7.3%	HBV =84.8%; HCV =6.1%	
Takamasa et al. 2015	Retrospective	Japan	24	71	A =70.8%	A =56.3%	NA	NA	NA	NA	HCV =75.0%	HCV =67.6%	
Yao et al. 2015	Prospective	China	50	100	A =84%; B =16%	A =42%; B =58%	B =42%; C =58%	B =40%; C =60%	0=42%; 1=58%	0=34%; 1=66%	HBV =84%; HCV =4%	HBV =83%; HCV =4%	
Hoffmann et al. 2015	Prospective randomized	Germany	24	26	A =58%; B =36%	A =77%; B =23%	NA	NA	0=75%; 1=25%	0=85%; 1=15%	HBV =12.5%; HCV =45.8%	HBV =11.5%; HCV =26.9%	
Lencioni et al. 2016	Prospective randomized	Several countries	154	153	A =100%	A =100%	B =100%	B =100%	0=100%	0=100%	HBV =35.7%; HCV =25.3%	HBV =32.7%; HCV =26.8%	
Zhang et al. 2016	Retrospective	China	20	60	A =100%	A =100%	NA	NA	0=85%; 1=15%	0=87%; 1=13%	HBV =80%	HBV =88%	
Wan et al. 2016	Retrospective	China	245	245	A =87%; B =13%	A =89%; B =11%	NA	NA	0=1=91%; 2=9%	0=1=66%; 2=34%	NA	NA	
Lee et al. 2017	Retrospective	Taiwan	36	36	NA	NA	A =25%; B =75%	A =41.7%; B =58.3%	NA	NA	NA	NA	

BCLC, The Barcelona Clinic Liver Cancer; CPS, Child-Pugh classification; ECOG, Eastern Cooperative Oncology Group; NA, not available; HBV, hepatitis B virus; HCV, hepatitis C virus.

**Table 2** Quality assessment of the studies

Study	Scale
Kudo <i>et al.</i> 2011	4
Sansonno <i>et al.</i> 2012	4
Hoffmann <i>et al.</i> 2015	5
Lencioni <i>et al.</i> 2016	4
Qu <i>et al.</i> 2012	20
Bai <i>et al.</i> 2013	19
Muhammad <i>et al.</i> 2013	18
Huang <i>et al.</i> 2013	15
Hu <i>et al.</i> 2014	18
Takamasa <i>et al.</i> 2015	17
Yao <i>et al.</i> 2015	17
Zhang <i>et al.</i> 2016	19
Wan <i>et al.</i> 2016	20
Lee <i>et al.</i> 2017	19

RCT were assessed by Jadad scale. Comparative studies were assessed by MINORS. RCT, randomized controlled trials.

### Treatment outcome

#### TTP

Eleven studies provided median TTP, which ranged from 2.6 to 10.2 months, and 10 studies reported the data of HR and 95% CI (*Table 3*). The HR for TTP in a random effect model is 0.72 (95% CI: 0.59–0.88,  $P=0.0010$ ), I in a random effect model (*Figure 2*). Subgroup analysis was then conducted. A forest plot performed in a fixed effect model showed the HR for TTP in the RCT group was 0.84 (95% CI: 0.70–0.99,  $P=0.04$ ), and the HR for TTP in a random effect model in the non-RCT group was 0.66 (95% CI: 0.49–0.90,  $P=0.008$ ), indicating that combination therapy significantly prolonged TTP. Moreover, DEB-TACE showed no statistical difference for prolonging TTP when compared with c-TACE ( $P=0.15$ ).

#### OS

Ten studies reported that median OS ranged from 7.5 to 29.7 months. Nine studies presented HR for OS (*Table 3*). A forest plot concluded that the HR for OS was 0.65 (95% CI: 0.54–0.79,  $P<0.0001$ ), showing that the combination therapy prolonged survival compared with TACE monotherapy. The data was performed in a random effect model and  $I^2$  for heterogeneity is 51% (*Figure 3*).

#### DCR

DCR was defined as complete response (CR), partial response (PR) and stable disease (SD). CR was defined as the absence of contrast enhancement within the original tumor. Progression disease (PD) was defined as a 25% increase in tumor size or development of a new lesion. Five studies provided DCR ranging from 30% to 89.2% (21-23,27,28). For all studies, DCR in combination group were higher than TACE alone.

#### AEs

Ten studies provided AEs mainly including hand-foot skin reaction (HFSR), fatigue, diarrhea, alopecia, hypertension and nausea (*Table 4*). HFSR had the highest incidence in six studies. Fatigue and diarrhea were also in high incidence. The majority of the studies graded AEs according to the Criteria for Adverse Events (CTCAE) and found most AEs were mild to moderate. Severe AEs and disease progression was the major reason for sorafenib dose adjustments. No AEs-related death and disability were presented.

### Discussion

In this meta-analysis and systematic review, we have investigated 14 comparative studies, including 4 RCT trials and 10 retrospective comparative studies, to explore the effects of TACE plus sorafenib on the survival of HCC patients in comparison with those treated with TACE alone (19-31). Our study finally concluded that combination therapy of TACE plus sorafenib can not only improve TTP (HR =0.72, 95% CI: 0.59–0.88,  $P=0.001$ ) but also OS (HR =0.65, 95% CI: 0.54–0.79,  $P<0.0001$ ).

As the recommended therapy treated for BCLC-B HCC patients, TACE blocks the artery feeding the tumor. However, with increased embolization time and repeated application of TACE, tumor hypoxia and necrosis would result in disease progression and metastasis, which could result in HCC recurrence (32). Several studies found that sorafenib therapy extends the interval between courses of TACE, and may better preserve liver function in patients with HCC (23,24). Moreover, better liver function can not only extend the treatment's duration but also improve the quality of life of the patient.

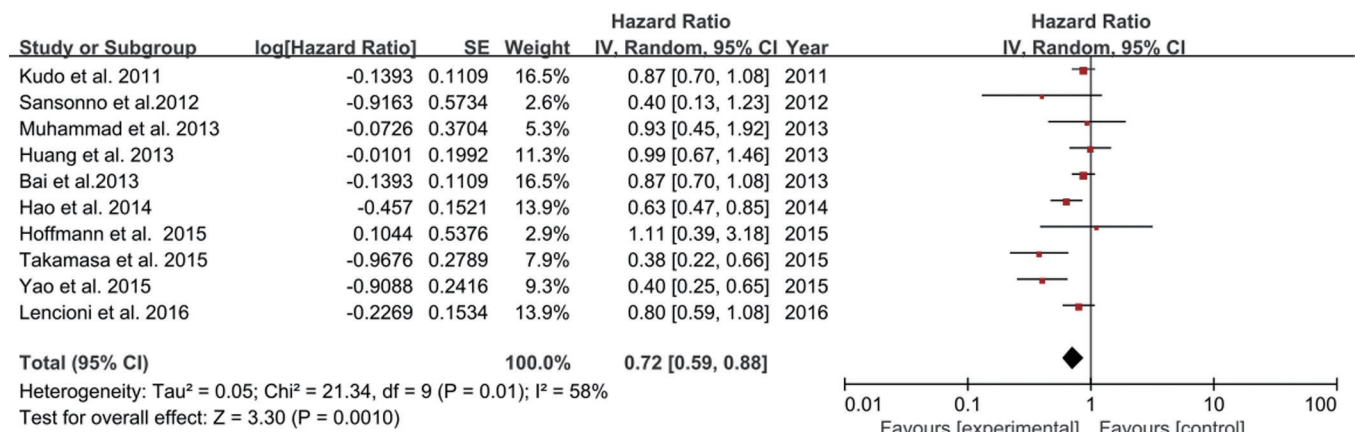
The first global randomized controlled study with a large sample size by Lencioni *et al.* has shown that sorafenib plus TACE failed to improve TTP in a clinically meaningful manner when compared with TACE mono-therapy (22).

**Table 3** TTP, OS and DCR in 14 comparative studies

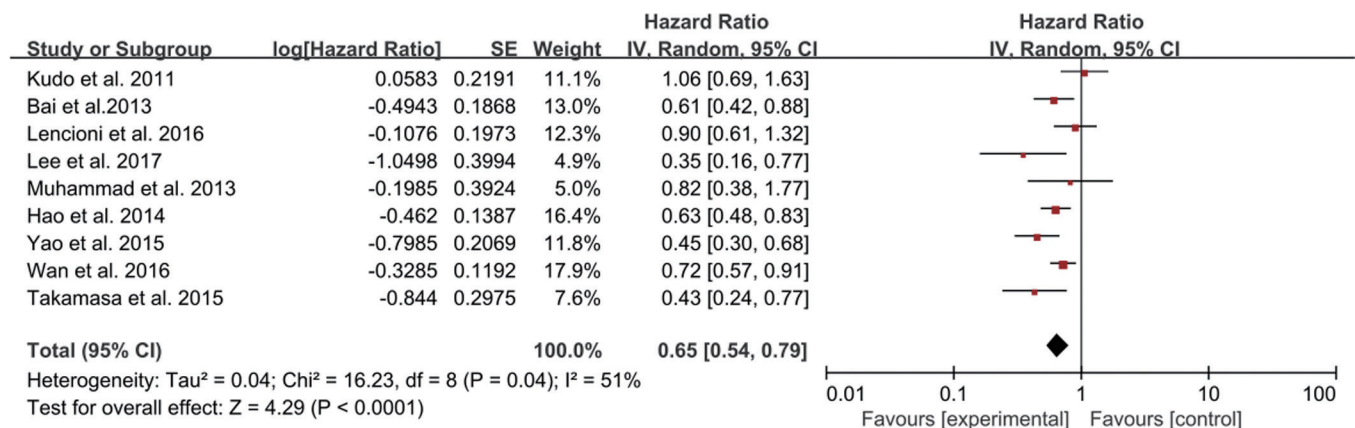
Authors (year)	Median TTP (month)			Median OS (month)			DCR (%)	
	Combination group	TACE alone group	HR (95% CI)	Combination group	TACE alone group	HR (95% CI)	Combination group	TACE alone group
Kudo et al. 2011	5.4 (3.8–7.2)	3.7 (3.5–4.0)	0.87 (0.70–1.09)	29.7 (28.6–NA)	NA	1.06 (0.69–1.64)	62	62
Sansono et al. 2012	9.2	4.9	2.5 (1.66–7.56)	NA	NA	NA	NA	NA
Qu et al. 2012	NA	NA	NA	27 (21.9–32.1)	17 (8.9–25.0)	NA	NA	NA
Bai et al. 2013	6.3	4.3	0.6 (0.42–0.853)	7.5	5.1	0.61 (0.423–0.884)	9.7	3.4
Muhammad et al. 2013	NA	NA	0.93 (0.45–1.89)	20.6 (13.4–38.4)	18.3 (11.8–32.9)	0.82 (0.38–1.77)	NA	NA
Huang et al. 2013	9 (6.6–11.42)	6.3 (4.7–7.8)	0.99 (0.67–1.47)	NA	NA	NA	NA	NA
Hu et al. 2014	2.6	1.9	0.62 (0.47–0.82)	7.0	4.9	0.63 (0.48–0.84)	NA	NA
Takamasa et al. 2015	6.3	3.5	0.38 (0.22–0.63)	28.7	15.6	0.43 (0.24–0.76)	NA	NA
Yao et al. 2015	10.2	6.7	0.40 (0.25–0.65)	21.7	11.5	0.45 (0.30–0.67)	32	24
Hoffmann et al. 2015	2.83	2.4	1.11 (0.387–3.16)	NA	NA	NA	66.7 (44.7–84.4)	73.1 (52.2–88.4)
Lencioni et al. 2016	5.63	5.53	0.797 (0.59–1.08)	NA	NA	0.898 (0.61–1.33)	89.2	76.1
Zhang et al. 2016	4.9 (3.7–6.0)	2.4 (1.3–3.4)	NA	14.9 (6.8–23.0)	6.1 (4.0–8.1)	NA	80	43.3
Wan et al. 2016	NA	NA	NA	20.23	13.97	0.72 (0.57–0.89)	NA	NA
Lee et al. 2017	7.8	4.56	NA	10.32	7.68	0.35 (0.16–0.81)	100	83.3

TTP, time to progression; OS, overall survival; DCR, disease control rate; CI, confidence interval; NA: not available.





**Figure 2** Forest plot of TTP outcome between TACE alone and combination therapy for unresectable HCC. TTP, time to progression; TACE, transarterial chemoembolization; HCC, hepatocellular carcinoma.



**Figure 3** Forest plot of OS outcome between TACE alone and combination therapy for unresectable HCC. OS, overall survival; TACE, transarterial chemoembolization; HCC, hepatocellular carcinoma.

In contrast, however, later studies demonstrated that combination therapy showed superiority of survival and TTP over TACE mono-therapy (20,24,25,28). It may be because that SPACE trial had shorter treatment duration and TACE was discontinued earlier. Similarly, in the study of Kudo *et al.*, subgroup analysis in the Korean subgroup suggested that longer sorafenib treatment duration was associated with improved TTP, in contrast with there being no difference in the Japanese subgroup, the duration of whose treatment was substantially shorter (31 versus 16 weeks) (19). This indicated that longer treatment duration makes a difference in prolonging survival outcomes and that the amount of combined treatment received may be a critical determinant of the clinical outcome.

With regard to combination therapy being preferable to

treatment with TACE alone, the study by Kudo *et al.* had negative results (19). However, 50% patients included in this study received sorafenib 9 weeks after TACE, while most positive studies initiated sorafenib within 3–7 days (11,27,29). Thus, we inferred that the timing of post-TACE sorafenib may also have contributed to the absence of a positive effect of sorafenib.

AEs induced by sorafenib were mainly mild to moderate and could be managed by dose reduction or interruption. However, excessive drug withdrawal could result in a much lower dose than planned and hence bring negative effects on the normal functioning of drug efficacy.

In our studies, we concluded that DEB-TACE showed no statistical difference for prolonging TTP compared with c-TACE (P=0.15), which was consistent with outcome of

**Table 4** The AEs occurred during combination therapy in 14 comparative studies

Authors	HFSR (%)	Diarrhea (%)	Fatigue (%)	Hematological events (%)	Alopecia (%)	Hypertension (%)	Nausea (%)	Rash/desquamation (%)
Kudo <i>et al.</i>	82	31	NA	NA	41	31	NA	40
Sansono <i>et al.</i>	10	10	22.5	13	0	15.3	17.5	20
Qu <i>et al.</i>	82.2	48.9	55.6	NA	46.6	55.6	26.6	57.7
Bai <i>et al.</i>	63.4	36.6	24.4	NA	45.1	8.5	NA	NA
Muhammad <i>et al.</i>	15.3	7.7	7.7	NA	NA	7.7	0	NA
Huang <i>et al.</i>	NA	NA	NA	NAT	NA	NA	NA	NA
Hu <i>et al.</i>	14.6	6.1	NA	NA	NA	4.9	NA	NA
Takamasa <i>et al.</i>	NA	NA	NA	NA	NA	NA	NA	NA
Yao <i>et al.</i>	58	38	52	NA	NA	36	NA	20
Hoffmann <i>et al.</i>	29.2	37.5	30.8	54.2	4.2	NA	12.5	NA
Lencioni <i>et al.</i>	46.4	52.9	43.1	20.9	28.1	30.1	37.9	21.6
Zhang <i>et al.</i>	NA	NA	NA	10	NA	NA	NA	NA
Wan <i>et al.</i>	NA	NA	NA	NA	NA	NA	NA	NA
Lee <i>et al.</i>	NA	NA	NA	NA	NA	NA	NA	NA

AEs, adverse events; HFSR, hand foot skin reaction; NA, not available.

Golfieriet *et al.* that the efficacy was equal between DEB-TACE and the c-TACE (33).

The major potential limitations of this study could be listed as follows. Firstly, we selected comparative studies, including both RCT and non-RCT trials, to conduct this meta-analysis. Secondly, the sample size differed greatly among different studies, and the quality of some studies was relatively lower. Thirdly, the use of different treatment options in the different studies might also influence the reliability of the conclusions. All of these factors bring potential heterogeneity to our final conclusion.

In conclusion, the combination therapy of TACE and sorafenib can significantly improve OS and TTP for unresectable HCC patients. To further support this conclusion, multicenter RCTs with large samples and good study design should be performed in future.

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## Footnote

*Conflicts of Interest:* All authors have completed the ICMJE

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*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

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