



Plasma concentrations of NOX4 are predictive of successful liberation from mechanical ventilation and 28-day mortality in intubated patients

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Background: Nicotinamide adenine dinucleotide phosphate (NADPH) oxidase (NOX) enzymes play important roles in generating reactive oxygen species (ROS); in particular, NOX4 plays a distinct role in regulating lung inflammation and apoptosis.

Methods: We determined whether plasma NOX4 level can be used as a prognostic biomarker to guide weaning from mechanical ventilation and to predict mortality in intubated patients. Plasma levels of NOX4 were measured at days 1 (NOX4 D1) and 7 (NOX4 D7) after initiation of mechanical ventilation in 184 patients.

Results: With increase in day 7 NOX4 quartile, the success of weaning tended to decrease and 28-day mortality tended to increase. On multivariate logistic regression, Acute Physiology, Age, Chronic Health Evaluation II (APACHE II) [odds ratio (OR): 1.10; 95% CI, 1.02–1.18], duration of mechanical ventilation (OR: 1.12; 95% CI: 1.06–1.18), and NOX4 D7 levels >18.2 ng/mL (OR: 4.40; 95% CI: 1.91–10.06) were independently associated with weaning failure. Also, Cox-hazard proportional model showed that NOX4 D7 level >18.2 ng/mL (hazard ratio [HR], 2.29; 95% CI, 1.26–4.16), APACHE II (HR: 1.07; 95% CI: 1.02–1.14), Sequential Organ Failure Assessment (SOFA) (HR: 1.10; 95% CI: 1.01–1.20) and coexisting cancer (HR: 1.99; 95% CI, 1.01–3.94), were independently associated with 28-day mortality. The longitudinal trend of NOX4 level varied according to the clinical outcomes.

Conclusions: An increased plasma NOX4 D7 level was associated with weaning failure and 28-day mortality in patients with mechanical ventilation. Our results suggest that NOX4-directed management may lead to improved outcomes in patients with mechanical ventilation.

Keywords: Biomarker; mechanical ventilation; mechanical ventilation weaning; NOX4; mortality

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Introduction

Extubation failure is associated with high mortality and prolonged intensive care unit (ICU) stay (1,2). Prolonged use of ventilator leads to various complications including ventilator-associated pneumonia, lung barotrauma, and muscle weakness; therefore, it is ideal to wean the patients from the ventilator as soon as possible (3-5).

Several studies have investigated the predictors of successful extubation; however, the sensitivity and specificity of these factors have been largely inconsistent (6,7). Even 2 h spontaneous breathing test (SBT), which is the current weaning practice, cannot completely predict successful extubation (8,9). The development of readily available point-of-care assays that can help predict weaning success or mortality is of immense clinical relevance.

Nicotinamide adenine dinucleotide phosphate (NADPH) oxidases (NOXs) are enzymes that generate reactive oxygen species (ROS); the role of NOXs has been studied in the context of several lung diseases (10). NOX4 was shown to be associated with lung inflammation and lung permeability (11). Oxidative stress plays a significant role in ventilator- and sepsis-induced diaphragm dysfunctions (12,13). In septic conditions, NOXs enzymes produced by skeletal muscle fiber were shown to increase ROS production (14,15). The mechanism of diaphragm weakness involves mitochondrial ROS production induced by pathogen-associated molecular patterns and danger-associated molecular patterns, which promotes degradation of sarcomeric proteins via calpains and upregulates the autophagy process (12).

However, the detailed mechanism of NOX4 in ventilator-induced lung inflammation and injury needs to be explored in further studies. Till date, no studies have assessed the association between plasma NOX4 level and clinical outcomes of critical ill patients with mechanical ventilation.

Based on the hypothesis that plasma NOX4 may predict clinical outcomes such as successful weaning from mechanical ventilation and 28-day mortality, we performed serial assessment of plasma NOX4 levels in intubated patients.

We present the following article in accordance with the Strengthening the Reporting of Observational studies in Epidemiology (STROBE) reporting checklist (available at <http://dx.doi.org/10.21037/atm-20-4252>).

Methods

Study design and subjects

The study was conducted at the comprehensive ICU of Chuncheon Sacred Heart Hospital. Patients who were initiated on mechanical ventilation at ICU admission between July 2017 and March 2019 were prospectively recruited and followed up. The exclusion criteria were: (I) age <18 years; (II) initiation of mechanical ventilation 48 hours after ICU admission; (III) presence of neuromuscular disease such as amyotrophic lateral sclerosis; (IV) inability to provide informed consent; (V) duration of mechanical ventilation <7 days.

Out of the 542 evaluated patients, 358 were excluded because of age criteria (n=15), intubation initiated 48 hours after ICU admission (n=203), presence of neuromuscular disease (n=5), inability to obtain consent (n=11), and duration of mechanical ventilation <7 days (n=124). Thus, 184 patients were enrolled in this study (Figure 1). This study was conducted in accordance with the Declaration of Helsinki (as revised in 2013) and harmonized Tripartite Guidelines for Good Clinical Practice from the International Conference on Harmonization. The study was approved by the local ethics committee (IRB number: 2017-47) and informed consent was obtained from each participant.

Data collection

Data pertaining to baseline demographic variables, comorbid diseases, indication for intubation, and clinical variables were collected. Severity of illness at ICU admission was assessed using the Acute Physiology and Chronic Health Evaluation (APACHE II) score and Sequential Organ Failure Assessment (SOFA) score. APACHE score includes 12 physiological variables, age and previous health status and can give a single score with a maximal of 71. The SOFA score uses the severity of six organ system (liver, lung coagulation, cardiovascular, renal and neurologic system) and offers a final score from 6 to 24. Type II diabetes, hypertension, chronic renal failure, history of coronary heart disease, heart failure, cerebrovascular disease, and malignancy were evaluated. Each comorbid condition is assigned a weight ranging from 1 to 6 based on the relative mortality risk and Charlson comorbidity index was calculated by totaling the assigned weight for each

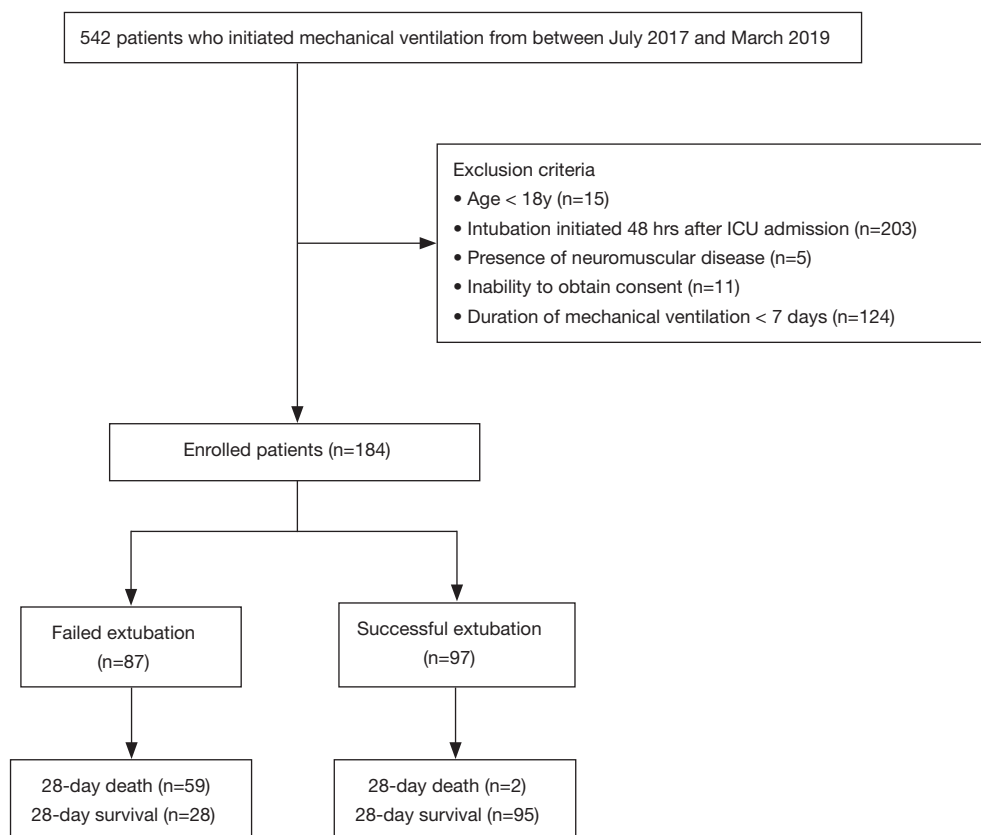


Figure 1 Flow chart of the study population.

comorbid condition (16). Glasgow Coma Scale (GCS) score is a neurologic scale to assess the patient's consciousness in the range from 3 to 15. Clinical outcomes included weaning success in 3 weeks and 28-day mortality.

The ICU attending physicians performed daily weaning assessment after enrollment; patients who qualified the following criteria were considered to have passed the weaning assessment: (I) improvement or resolution of the underlying condition; (II) $\text{PaO}_2 > 60$ mmHg at $\text{FiO}_2 < 0.4$ and positive end-expiratory pressure (PEEP) ≤ 5 cmH_2O along with other clinical criteria; (III) GCS score > 13 . All patients who passed weaning assessment underwent 2-hour SBT wherein the patients were placed on spontaneous mode of weaning with low pressure support (8 cmH_2O) and zero PEEP with the same $\text{FiO}_2 (< 40\%)$ for at least 2 h. SBT was passed if patients did not develop any of the following signs during the 2 hour-SBT: respiratory rate > 35 breaths per minute; arterial oxygen saturation $< 90\%$; heart rate > 140 beats/min or systolic blood pressure > 180 or < 90 mmHg; sustained increase or decrease in heart rate

$> 20\%$, or signs of respiratory distress such as agitation and diaphoresis.

Weaning failure was defined as either the failure of SBT or the need for reintubation within 48 hours of extubation. Rapid shallow breathing index (RSBI) score was obtained by dividing respiratory rate by tidal volume and was measured when the patients were presumed ready for extubation. The scoring was conducted by two clinicians with more than 5 years of experience in ICU and the investigators were blinded to patient identity.

Plasma NOX4 measurement

Plasma was obtained within 24 hours from initiation of mechanical ventilation (D1) and at day 7 (D7). After supine rest for at least 10 min, fasting blood samples were collected and centrifuged at 2,000 rpm for 15 min at room temperature. Plasma samples were stored at -80 °C until further processing and assayed using Human NOX4 (NADPH oxidase 4) ELISA kit (MyBiosource, USA).

Sample size determination

We calculated that 149 patients per group were required to have a 90% chance of detecting as significant at the 5% level a mean \pm SD difference of 15 ± 40 pg/mL in plasma NOX4 between groups.

Statistical analysis

Categorical variables are reported as frequency (percentage) and continuous variables are expressed as median [interquartile range (IQR)]. Categorical variables were compared using Chi-square test while continuous variables were compared using Mann-Whitney U test. The non-parametric Wilcoxon signed-rank test was used for comparisons between D1 and D7 measurements. Patients were stratified into groups according to low or high NOX4 concentrations using the median value for each as the cut-off point (D1 NOX4 level: 16.8 ng/mL, D7 NOX4 level: 18.2 ng/mL).

Multivariate logistic regression analysis was performed to evaluate the risk factors for weaning failure. The effect of plasma NOX4 level was assessed after adjusting for confounding factors and important risk factors. Variables that were associated with P values <0.1 in the univariate analysis were incorporated in the multiple logistic regression model. Kaplan-Meier survival curves were constructed for the 28-day period after ICU admission. Cumulative survival rates were compared using the log-rank test; the association between D7 NOX4 level and probability of 28-day mortality was assessed using Cox regression analysis. The non-parametric Wilcoxon signed-rank test was used for paired comparisons of the longitudinal change between D1 and D7 NOX4 levels.

Statistical analyses were performed using SPSS v. 20.0 (SPSS Inc., USA) and Prism 5.1 (Graphpad software, USA). Two-tailed P values <0.05 were considered indicative of statistical significance.

Results

Characteristics of the study population

The demographic and baseline characteristics of the study population are summarized in *Table 1*. A total of 184 patients were enrolled; of these, only 97 patients were successfully extubated within 3 weeks and 61 patients died within 28 days from ICU admission. Patients with extubation failure were significantly older (76 vs. 69 years, $P=0.003$). The APACHE

II score and SOFA score in the failed extubation group were significantly higher than that in the successful extubation group {median (IQR), APACHE: 23 [20–28] vs. 19 [15–23]; $P<0.001$, SOFA: 9 [7–12] vs. 6 [5–9]; $P<0.001$ }; however, no significant between-group difference was observed with respect to Charlson comorbidity index [2 (1, 3) vs. 2 (1, 3), $P=0.225$]. The indications for intubation and the proportion of patients with pneumonia at admission were not different between the two groups.

The duration of mechanical ventilation (MV) in the failed extubation group was longer than that in the successful extubation group. The failed extubation group showed higher 28-day mortality and ICU mortality than the successful extubation group. In addition, plasma NOX4 levels on D7 in the failed extubation group were significantly higher than those in the successful extubation group.

NOX4 level and clinical outcomes

NOX4 levels from D1 and D7 were analyzed to assess the association with weaning failure. Logistic regression analysis showed that APACHE score, duration of mechanical ventilation, and D7 plasma NOX4 levels >18.2 ng/mL were independently associated with weaning failure (*Table 2*). Higher D7 NOX4 level >18.2 ng/mL was associated with increased odds of weaning failure in both univariate (odds ratio [OR], 3.54; 95% CI, 1.93–6.51; $P<0.001$) and multivariate analyses (OR, 4.40; 95% CI, 1.91–10.06; $P<0.001$). Higher D1 NOX4 level was not significantly associated with increased odds of weaning failure ($P=0.185$).

We disaggregated the study population according to quartiles of D7 NOX4 levels and assessed their association with success of weaning and 28-day mortality (*Figure 2*). With increase in NOX4 quartile, the success of weaning tended to decrease and 28-day mortality tended to increase. As shown, each trend was statistically significant.

Kaplan-Meier survival analysis showed that the cumulative survival rate was significantly lower in patients with D7 NOX4 levels >18.2 ng/mL (53.8% vs. 80.2%, respectively; $P<0.001$) (*Figure 3*). Using Cox regression analysis, the ability of D7 NOX4 level and several variables to predict mortality was evaluated (*Table 3*). Coexisting cancer (HR, 1.99; 95% CI, 1.01–3.94), APACHE II score (HR, 1.07; 95% CI, 1.02–1.14), SOFA score (HR, 1.10; 95% CI, 1.01–1.20) and D7 NOX4 >18.2 ng/mL (HR, 2.29; 95% CI, 1.26–4.16) were independently associated with 28-day mortality.

Table 1 Demographics and baseline characteristics of the study population

Characteristics	Failed extubation (n=87)	Successful extubation (n=97)	P
Age [§]	76 (66, 83)	69 (57, 78)	0.003
Male [†]	66 (75.9)	66 (68.0)	0.255
Severity			
APACHE II score [¶]	23 (20, 28)	19 (15, 23)	<0.001
SOFA score [¶]	9 (7, 12)	6 (5, 9)	<0.001
Comorbidity [†]			
ESRD	5 (5.7)	2 (2.1)	0.258
Heart failure	16 (18.4)	15 (15.5)	0.694
Diabetes mellitus	31 (35.6)	27 (27.8)	0.27
Cancer	13(14.9)	6 (6.2)	0.057
Hypertension	40 (46.0)	47 (48.5)	0.769
A-fib	13 (14.9)	14 (14.4)	0.998
COPD	9 (10.3)	12 (12.4)	0.817
Liver cirrhosis	4 (4.6)	6 (6.2)	0.751
Stroke	16 (18.4)	13 (13.4)	0.42
Intracerebral hemorrhage	5 (5.7)	9 (9.3)	0.416
Charlson comorbidity index [¶]	2 (1, 3)	2 (1, 3)	0.225
Cause of intubation [†]			0.504
Cardiac arrest	7 (8.0)	7 (7.2)	
Neurosurgery	15 (17.2)	20 (20.6)	
Stroke	0 (0.0)	2 (2.1)	
Operation	1(1.1)	4 (4.1)	
Respiratory	62 (71.3)	63 (64.9)	
Sepsis	2 (2.3)	1 (1.0)	
Pneumonia [†]	72 (82.8)	77 (79.4)	0.579
CURB-65 [‡]	3 (2,4)	2 (2,3)	0.001
PaO ₂ /FIO ₂	196.7 (137.5, 313.3)	260 (178.5, 375)	0.013
GCS [¶]	6 (5, 10)	9 (6, 11)	0.001
28 days mortality [†]	59 (67.8)	2 (2.1)	<0.001
ICU mortality [†]	72 (82.8)	6 (6.2)	<0.001
MV duration [¶]	15 (9, 26)	8 (7, 15)	<0.001
Crp (mg/dL)	116.8 (41.6, 180)	69.6 (11.4, 184.9)	0.061
RSBI [¶]	73 (60, 83)	62 (49, 73)	<0.001
Day 1 plasma NOX4 level (ng/mL) [¶]	17.5 (13.8, 27.8)	16.1 (12.4, 23.3)	0.061
Day 1 NOX4 level above median (16.8 ng/mL) [†]	48 (55.2)	44 (45.4)	0.237
Day 7 plasma NOX4 level (ng/mL) [¶]	24.2 (15.3, 32.8)	15.2 (12.1, 21.2)	<0.001
Day 7 NOX4 level above median (18.2 ng/mL) [†]	58 (66.7)	35 (36.1)	<0.001

[¶], median (interquartile range); [†], frequency (%); [§], median (range); [‡], limited to patients with pneumonia. APACHE II, Acute Physiology, Age, Chronic Health Evaluation II; SOFA, Sequential Organ Failure Assessment; ESRD, end stage renal disease; GCS, Glasgow Coma Scale ICU, intensive care unit; RSBI, rapid shallow breathing index.

Table 2 Results of logistic regression model showing odds of weaning failure within 3 weeks (n=184)

	Univariate analysis		Multivariate analysis	
	OR (95% CI)	P value	OR (95% CI)	P value
RSBI	1.03 (1.00, 1.04)	0.003	1.01 (1.13, 1.03)	0.602
Age	1.04 (1.01, 1.06)	0.003	1.03 (0.99, 1.06)	0.131
APACHE II score	1.15 (1.09, 1.22)	<0.001	1.10 (1.02, 1.18)	0.009
SOFA score	1.28 (1.15, 1.42)	<0.001	1.12 (0.99, 1.28)	0.074
MV days	1.09 (1.05, 1.13)	<0.001	1.12 (1.06, 1.18)	<0.001
Day 1 NOX4 level above median (16.8 ng/mL)	1.48 (0.83, 2.65)	0.185		
Day 7 NOX4 level above median (18.2 ng/mL)	3.54 (1.93, 6.51)	<0.001	4.40 (1.91, 10.06)	<0.001

RSBI, rapid shallow breathing index; APACHE, Acute Physiology and Chronic Health Evaluation II; SOFA, Sequential Organ Failure Assessment; MV, mechanical ventilation; NOX4, NADPH oxidase 4; OR, odds ratio.

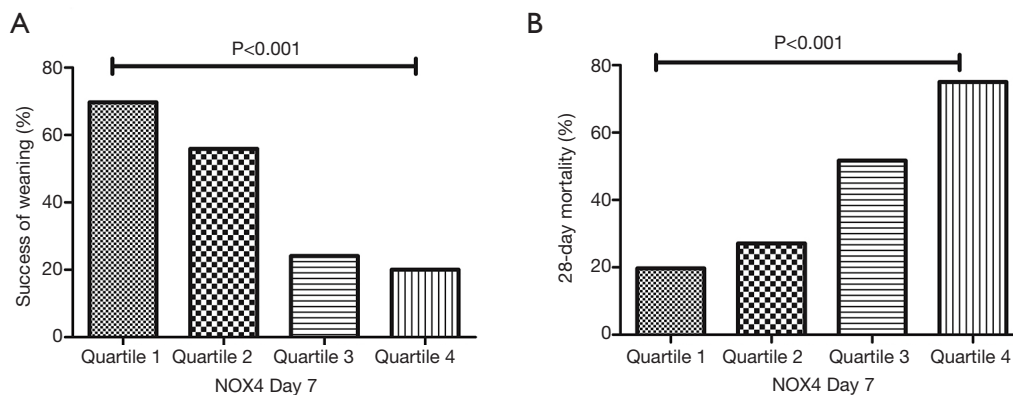


Figure 2 Day 7 NOX4 level and clinical outcomes. (A) Success of weaning within 3 weeks according to day 7 NOX4 quartiles; (B) 28-day mortality according to day 7 NOX4 quartiles (n=184). NOX4, NADPH oxidase 4.

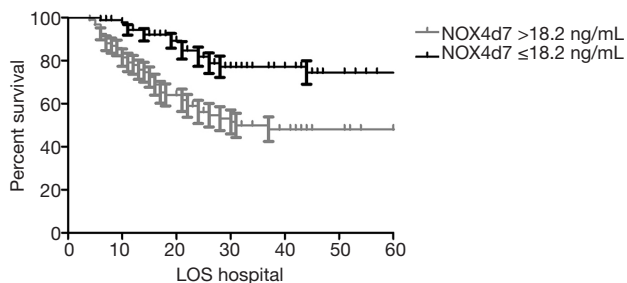


Figure 3 Kaplan-Meier survival curves in 28 days according to day 7 NOX4 levels. Kaplan-Meier survival analysis showed that the 28-day mortality of patients with plasma NOX4 level >18.2 ng/mL was higher than that of patients with plasma NOX4 level ≤18.2 ng/mL. NOX4, NADPH oxidase 4.

Trend of NOX4 levels between D1 and D7 according to weaning failure and 28-day mortality

We analyzed the longitudinal trend of NOX4 level according to clinical outcomes in the study population (Figure 4). In the failed extubation group, the baseline level of NOX4 significantly increased between D1 and D7 ($P<0.001$; median at D1: 17.5 $\mu\text{g/mL}$; IQR 13.8–27.8; median at D7: 24.2 $\mu\text{g/mL}$; IQR 15.3–32.8) but not in the successful extubation group ($P=0.081$; median at D1: 16.1 $\mu\text{g/mL}$; IQR 12.4–23.3; median at D7: 15.1 $\mu\text{g/mL}$; IQR 12.2–20.8).

Similarly, in the 28-day death group, the level of NOX4 significantly increased between D1 and D7 ($P=0.001$;

Table 3 Results of Cox regression analysis for survival prediction in intubated patients (n=184)

Variable	Hazard ratio	95% CI	P
Cancer	1.99	1.01, 3.94	0.045
Age	1.02	0.99, 1.04	0.133
APACHE II score	1.07	1.02, 1.14	0.006
SOFA score	1.1	1.01, 1.20	0.029
Day 1 NOX4 level above median (16.8 ng/mL)	0.81	0.45, 1.48	0.497
Day 7 NOX4 level above median (18.2 ng/mL)	2.29	1.26, 4.16	0.006

APACHE, Acute Physiology and Chronic Health Evaluation II; SOFA, Sequential Organ Failure Assessment; NOX4, NADPH oxidase 4.

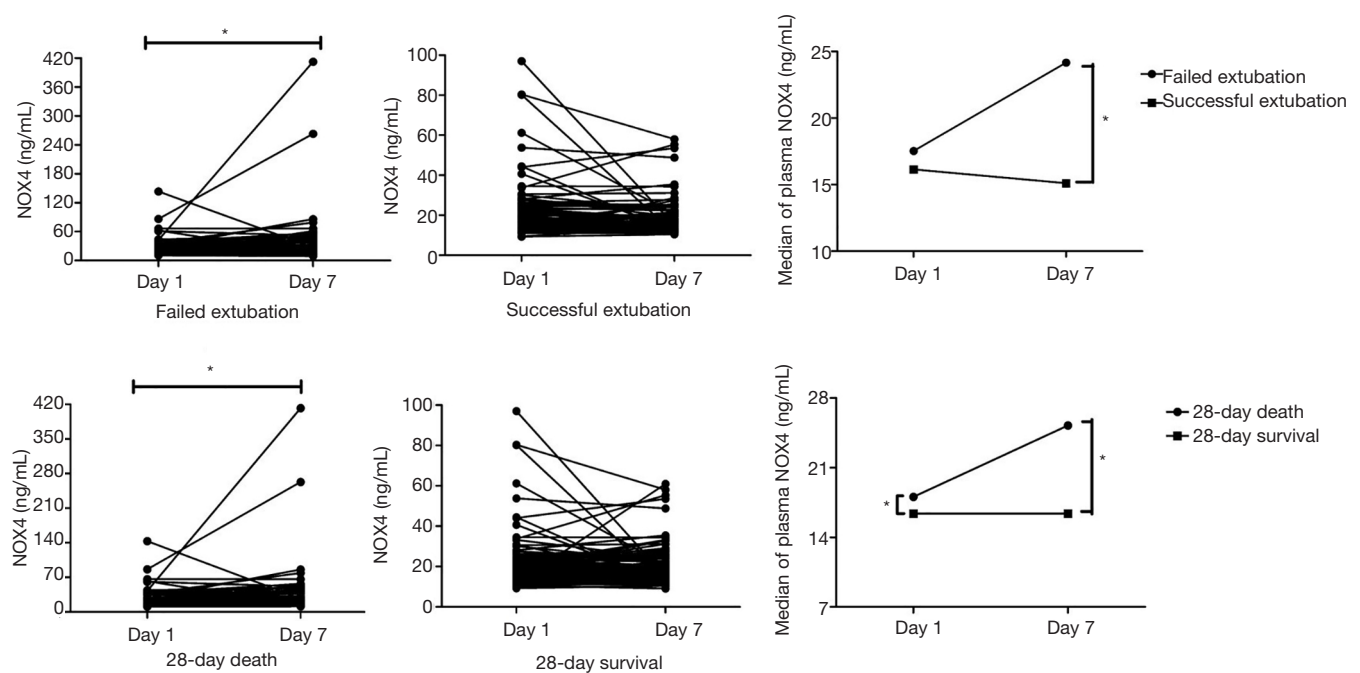


Figure 4 Longitudinal trend of plasma NOX4 level between day 1 and day 7 from start of mechanical ventilation according to weaning outcome (A) and 28-day mortality (B). *, $P < 0.05$. NOX4, NADPH oxidase 4.

median at D1: 18.1 $\mu\text{g/mL}$; IQR 13.8–34.4; median at D7: 25.2 $\mu\text{g/mL}$; IQR 15.9–41.7); however, this phenomenon was not observed in the 28-day survival group ($P=0.744$; median at D1: 16.4 $\mu\text{g/mL}$; IQR 12.5–22.7; median at D7: 16.4 $\mu\text{g/mL}$; IQR 12.4–22.0).

Discussion

Several indices have been used to predict successful extubation such as RSBI and maximal inspiratory pressure (MIP) (17–20). However, the reported efficacy of these parameters for predicting successful extubation has been

inconsistent; in addition, assessment of these indices requires a special device, which is a limitation (21–24).

McConville *et al.* reported that improvement in the underlying disease process is superior to general rules regarding readiness for initiation of SBT (25). Therefore, more objective measures are required to guide the recovery of underlying cause of respiratory failure and to predict the likelihood of extubation.

In the current study, we investigated the risk factors related to extubation failure and 28-day mortality in patients who initiated mechanical ventilation. In accordance with previous studies, our study showed that prolonged

duration of ventilation before extubation was a risk factor for extubation failure (24) and malignancy was a significant risk factor for in-hospital mortality in patients receiving mechanical ventilation support (26,27). The most important finding was that NOX4 level may help predict successful weaning off from mechanical ventilation and 28-day mortality.

Biologically, NOX4 is believed to play a role in endothelial signal transduction, cytoskeletal reorganization, and apoptosis of endothelial cells (13,28). NOX4 is upregulated in several pulmonary diseases including tuberculous fibrosis, idiopathic pulmonary fibrosis, and lung cancer (29,30). The previous studies demonstrated that NOX4 was shown to play a distinct role in ventilator-induced lung injury and *Pseudomonas aeruginosa*-induced lung inflammation (11,31).

While Canugovi *et al.* reported that NOX4 expression and ROS levels increase with age (32), our data showed no significant correlation between the plasma NOX4 level and age (D1 NOX4: $r=-0.015$, $P=0.839$, D7 NOX4: $r=0.069$, $P=0.355$). Patients with extubation failure were significantly older than those with extubation success. However, we found that NOX4 levels >18.2 ng/mL on D7 showed an independent association with extubation failure and 28-day mortality after adjusting for age and other important clinical variables. Also, the Kaplan-Meier survival curves and Cox-hazard proportional model supported the association between higher D7 NOX4 level and 28-day mortality.

To the best of our knowledge, this is the first study that investigated plasma NOX4 levels in critically ill patients. Despite the complex biological mechanisms associated with NOX4, we found obvious clinical relevance of NOX4 levels in our patients.

Our data demonstrated a positive correlation between plasma NOX4 level and the severity markers such as APACHE II score and SOFA score (APACHE II, $r=0.220$, $P=0.003$; SOFA, $r=0.316$, $P<0.001$). These results suggest a correlation of NOX4 level with systemic inflammation and disease severity. Interestingly, ROC curve analysis showed that the area under the curve of D7 NOX4 level was similar with that of APACHE II or SOFA (weaning failure; NOX4: 0.721, APACHE II: 0.722, SOFA: 0.720, 28-day mortality; NOX4: 0.719, APACHE II: 0.699, SOFA: 0.722) (Figure S1).

In multivariate analysis of our data, while APACHE II score was independently associated with both clinical outcomes, SOFA score was independently associated with only 28-day mortality. Similarly, the previous studies

showed that APACHE II scoring system predicted early weaning as well as mortality (33,34). While Matic *et al.* reported that an APACHE II score of <20 indicated greater success in weaning the patient from the ventilator (35), some studies found that APACHE II failed to predict successful weaning from mechanical ventilation (36,37). A multicenter study conducted in surgical ICUs reported that SOFA score was a risk factor of extubation failure (38). The discrepancy between studies may be explained by heterogeneity with respect to subjects and the size of the study population.

As shown in Figure 4, we observed that NOX4 levels were not significantly different between the failed extubation group and the successful extubation group at initial assessment, but the difference between the two groups was particularly marked at D7 due to increasing trend in the failed extubation group. Interestingly, similar results were observed between survivors and nonsurvivors. Follow up of NOX4 levels to identify trends may help predict the clinical outcomes in intubated patients.

Use of NOX4 level as a prognostic biomarker may be more accurate because it is significantly related to both weaning outcome and mortality. This study is the first clinical study that employed human blood samples to evaluate the relationship between plasma NOX4 level and clinical outcomes including weaning failure and 28-day mortality.

However, some limitations of our study should be considered while interpreting the results. First, this study was conducted at a single university-affiliated hospital and the study population was relatively small. Therefore, further validation is required before our results can be generalized to other clinical settings. Second, measurement of NOX4 level was done at D1 and D7 of ICU admission. However, analysis at multiple time points may be required to determine the most appropriate measurement time-point to predict clinical outcomes. Third, invitro experimental data to support the potential prognostic utility of plasma NOX4 level is lacking. The exact underlying mechanism by which NOX4 activation contributes to extubation failure and mortality needs to be explored in further studies.

In conclusion, this study showed that higher D7 plasma NOX4 level was significantly associated with weaning failure and 28-day mortality among intubated patients. Serial measurements of plasma NOX4 level may help predict the clinical outcomes. Further larger studies are needed to determine whether plasma NOX4 level may be a

potential prognostic biomarker in patients with mechanical ventilation.

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Footnote

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Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This study was conducted in accordance with the Declaration of Helsinki (as revised in 2013) and harmonized Tripartite Guidelines for Good Clinical Practice from the International Conference on Harmonization. The study was approved by the local ethics committee (IRB number: 2017-47) and informed consent was obtained from each participant.

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