Preconceptional health behavior change in women with overweight and obesity: prototype for SMART strong healthy women intervention

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Background: The prevalence of maternal perinatal obesity is rising, and in turn, increases health risks and morbidity for both mother and child. Past evidence suggests the preconceptional Strong Healthy Women (SHW) intervention can reduce multiple biobehavioral risk factors for adverse perinatal health. The SHW intervention, however, was time- and resource-intensive to deliver. Mobile health (mHealth) technologies provide an opportunity to expand intervention reach while reducing implementation cost and burden. Previous research suggests that preconceptional women are broadly supportive of using smartphones for behavior change, yet few studies have elicited their specific preferences for a targeted mHealth intervention. The objective of this study was to evaluate women's preferences for receiving SHW content via smartphone to supplement the design of SMART SHW, a redeveloped version of the intervention that utilizes smartphones to enhance delivery.

Methods: Overweight/obese (mean BMI =31.4) preconceptional community women (N=40) participated in semi-structured focus group interviews. SHW components across four content areas (physical activity, nutrition, stress, weight management) were presented to participants; women provided preferences for program elements viewed as acceptable to convert to smartphone. Thematic analysis was used to analyze interview data. After the interviews were completed, an iterative review of the data to determine which aspects of SHW were feasible to modify for mobile delivery was conducted.

Results: Women preferred to receive SHW communications, surveys, and educational materials on their smartphones via texting, mobile websites, and a SMART SHW app; MyFitnessPal and a wearable pedometer were preferred methods for tracking nutrition and activity. Salient mHealth design themes included providing pop-ups as reminders, using web-based videos to supplement the curriculum, and presenting on-screen information in a concise format. In designing the final prototype, 87% of participant preferences were able to be incorporated.

Conclusions: Smartphone devices can enhance the reach of face-to-face behavioral interventions by reducing implementation burden. Engaging end-users (in this case, preconceptional women with overweight/ obesity) in the mHealth design process through semi-structured focus groups is a feasible and useful approach. Eliciting and leveraging user preferences guides the development of an intervention framework that is highly acceptable to the target participants.

Keywords: Preconception; pregnancy; obesity; mobile health (mHealth); smartphone

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Introduction

Over 50% of pregnancies in the United States are affected by obesity and its comorbidities (1-3). The average maternal weight entering into a first pregnancy has increased over 20% in the past three decades, with at least 25% of women weighing 200 pounds or more at the time of the first prenatal visit (4). Maternal obesity is associated with many complications including hypertensive disorders of pregnancy, gestational diabetes mellitus, sleep apnea, labor and delivery issues, birth complications, fetal macrosomia, and the development of childhood obesity in offspring (1-5). Given that obesity is a major public health concern, effective and acceptable efforts for improving overweight and obesity outcomes in the context of pregnancy are needed. Some obesity prevention advocates have argued that early intervention during the prenatal period (i.e., the period after conception and before birth) is warranted because mothers are particularly focused on their and their baby's health (6,7). Others suggest that the preconceptional period (i.e., the time before conception) is the optimal time in women's lives to focus on making health improvements (e.g., losing weight) because they are not yet experiencing the unique barriers of pregnancy and the early infancy periods (i.e., women are better equipped to make behavioral changes as they have fewer barriers, can focus more on personal needs, and likely have more available time to dedicate to change) (8,9).

One study that targeted multiple preconceptional risk factors, Strong Healthy Women (SHW), was delivered to 692 pre- and interconceptional women across 12 weeks via six face-to-face sessions (10,11). The sessions were held at community locations in low income and rural communities via a partnership with Family Health Council of Central Pennsylvania. The intervention group (compared to controls) had better pre-post intervention outcomes related to nutrition, physical activity, and stress management (11). At the 12-month follow-up, the intervention group had reduced weight (mean group difference of 4.33 pounds) and body mass index (BMI; mean difference of 0.75). Among the 45 women that became pregnant during the 12-month

follow-up period, the intervention group had significantly lower gestational weight gain than controls (mean difference of 17.95 pounds) (12). SHW's promising results for improving pre- and interconceptional weight gain make it a valuable program for promoting women's health before and between pregnancies, however, the SHW study team and community implementers noted that delivering all of the intervention components, activities, and content in face-to-face sessions was resource-intensive and expensive, and the time investment required for participation was burdensome for some women (11).

Exploring strategies to improve delivery of the SHW program, particularly among women with overweight and obesity, may enhance effectiveness for improving health before pregnancy. The goal of the present study was to revise SHW into SMART SHW, a version of the intervention that can be delivered to women via methods that will: (I) increase reach and delivery; (II) limit faceto-face session costs and resources; and (III) maintain participant acceptability of the intervention through decreasing participation burden (e.g., in-person time commitment). One strategy is to use mobile health (i.e., mHealth) technology to deliver some of the intervention content. Smartphones provide a unique platform for delivering health behavior change programs (13-15). Due to their increased adoption and availability in recent years, integrating smartphones into behavioral interventions to improve delivery has received increased attention because these devices can provide a multifaceted platform to present information and produce immediate feedback [e.g., via mobile web, online videos, text messaging, applications (i.e., apps)] (16).

There is precedent for using mobile technology, such as smartphones, to deliver mHealth interventions in the context of pregnancy (17). However, research harnessing smartphones to improve women's pre- and interconceptional health outcomes specifically is limited. Only one intervention ('Smarter Pregnancy') (18,19) of which we are aware has utilized mHealth components to address preconceptional health needs, however, this protocol is being implemented in the Netherlands where

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preconceptional healthcare is more readily available as compared to the U.S. Researchers have also documented that preconceptional women hold generally positive opinions about using mobile technology for promoting health (20). One study examined beliefs about using a smartphone device for engaging in a health behavior change program in women with overweight/obesity. Women reported smartphones to be convenient tools with great utility for health promotion and expressed high levels of acceptability for using a smartphone for this purpose (21).

Our focus is on redeveloping the SHW intervention to be delivered via smartphone (i.e., SMART SHW). The SHW intervention framework offers an evidence-based approach for improving women's health outcomes before and between pregnancy, and research suggests harnessing mobile technology can increase the program's reach, and decrease cost and burden, while maintaining (or enhancing) participant acceptability (17,20,21). To successfully construct SMART SHW's prototype, an imperative first step entails eliciting feedback from the target population about which aspects of the intervention they would prefer to engage with by smartphone. This affords the opportunity to develop SMART SHW in a fashion that is tailored to women with overweight/obesity to improve health before pregnancy. We conducted formative community-engaged research to elicit and characterize pre- and interconceptional overweight/obese women's preferences for the type of SHW components, activities, and content (e.g., education materials, motivational support, self-regulation tools) they would like to receive via smartphone in SMART SHW to decrease face-to-face participation burden while preserving acceptability. A secondary study purpose was to use these data to assess the feasibility of adapting components of the original SHW intervention for mobile delivery, and to develop and disseminate an evidence-informed prototype of SMART SHW.

Methods

Study procedures

The Pennsylvania State University Institutional Review Board approved this study. With the consent of the community providers, women were recruited through community YMCAs and Family Health Council of Central Pennsylvania sites. Recruitment materials [e.g., informational forms, folio cards in Women, Infants, and Children (WIC) envelopes, pull tab flyers], as well as study endorsement from the staff, were used at the sites to encourage recruitment. Interested women were screened for eligibility by study staff over the telephone. Eligibility criteria were age 18-35 years, English-speaking, BMI greater than 25, currently not pregnant, and still capable of becoming pregnant in the future (e.g., no hysterectomy or health condition preventing pregnancy). One hundred twenty-five women were interested in participating in the study, from which 32% (N=40) participated. Reasons for not participating among the other 68% included not eligible (29%), not interested (13%), no response (12%), no time to participate (10%), and 4% contacted us after recruitment ended. Interested and eligible women were asked to attend an interview at which time written informed consent and self-reported demographic information (i.e., age, education, race/ethnicity, family income, pregnancy status, height, weight) was obtained. Thirty-nine women participated in small group interviews (ranging from 2-5 women). One woman completed an individual interview due to a schedule conflict.

Semi-structured focus group interviews

A trained moderator explained the study goal and facilitated the interviews. Women were asked to envision a scenario in which they were participating in a health behavior change program and asked to identify which aspects of the program they would prefer to receive via smartphone. The moderator described the six session SHW intervention (10), focused on enhancing preconceptional health across four content areas: physical activity, nutrition/healthy eating, stress management, and weight management. Then, the core intervention components and activities (i.e., tracking and monitoring; homework, quizzes and surveys; prompts, reminders, and motivational messaging; education and knowledge; examples and demonstrations) were presented and explained to the participants. Women were asked to share their ideas on how a variety of smartphone-based tools (e.g., texting, mobile apps, internet, web-based videos) could be harnessed to enhance the delivery of, and engagement with, the program. Participants were also prompted to provide mobile design suggestions. It took women about 60 min to complete the review and discussion. The interviews were audio tape recorded using an Olympus DM-420 digital voice recorder and transcribed. Sample size was determined by data saturation (i.e., once no new information produced a change in the coding schemes, data saturation was reached and no further interviews were scheduled) (22).

Mobile redesign decision-making process

The study team, made up of experts from a variety of relevant fields (e.g., kinesiology, exercise and health psychology, obstetrics, prevention science) met extensively to review all SHW smartphone redevelopment and refinement preferences provided by the participants. All intervention components and activities were discussed, and relevant data from the interviews (e.g., smartphone delivery suggestions, salient themes, component and activity preferences) were examined collectively by the study team. Through this process, the researchers were able to make evidence-informed decisions regarding which aspects of the SMART SHW intervention should retain a face-to-face delivery mode, and which components and activities could be adapted for delivery via a smartphone.

Data analysis

Descriptive statistics were used to examine the types of intervention components and activities participants preferred to engage with via smartphone, as well as to determine what portion of SHW material from each content area would need to be redeveloped. Demographic data were analyzed with SPSS (v. 22). Interviews were downloaded and transcribed. Principles of thematic analysis were used to code the interviews (i.e., initial review/ coding main concepts, identify coding schemes, sort notes into subcategories, adapt/finalize schemes) (23). Two coauthors independently reviewed each transcribed interview and developed categories of responses from the data; all co-authors reviewed these data categories, clarified discrepancies, and finalized the themes.

Results

Participants and device ownership

A sample of 40 community women with overweight/obesity, ages 18–35, was recruited (see *Table 1*). The mean age at enrollment was 28.2 years (SD =5.3), and the mean BMI of the sample was 31.4 (SD =6.85). All participants were residents of counties in Central Pennsylvania, the majority of which are rural and low-income. The majority of the women in the sample was Caucasian (93%), had at least some college education (64%), were married (58%), and had a household income <\$40,000 (54%). All participants

Table 1 Sample characteristics

Variable Value (N=4		
Age, mean ± SD (years)	28.2±5.3	
BMI, mean \pm SD (kg/m ²)	31.36±6.85	
Weight status		
Overweight	50%	
Obese	50%	
Race/ethnicity		
Caucasian	93%	
Hispanic	5%	
African American	3%	
Marital status		
Married/common law	58%	
Single	35%	
Separated/divorced	8%	
Education		
4-year degree/grad school	46%	
Some college	18%	
High school/GED	15%	
Other	21%	
Family income		
\$40,000-\$100,000	45%	
\$20,000-\$40,000	18%	
<\$10,000	15%	
>\$100,000	13%	
\$10,000-\$20,000	8%	
Have children		
Yes	73%	
No	27%	
Number of children		
2	52%	
1	33%	
3	7%	
4	4%	
5	4%	

GED, general education diploma. BMI: 25.0–30 overweight; >30 obese.

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Table 2 Examples of general mobile intervention design suggestions provided during focus groups			
Content suggestions			
Longer communications sent digitally could be delivered in a bulleted, concise format			
Make a digital glossary of program related terms available			
Educational materials and handouts should be accessible via smartphone			
Provide audio recordings of educational material content			
Demonstrations of exercises could be provided via video			
Information that is logged and tracked can be used to customize digital information			
Process suggestions			
Surveys should be provided digitally			
Popups on the phone could be used as reminders			
QR/bar code scanners could be useful for nutrition information			
Size of participant phone screens should be considered during design			
Social media groups and pages could help with motivation			
Any logging or tracking on smartphone is more convenient than paper			
Popular apps that women are already familiar with can be integrated			

owned a cell phone (100%), and the majority (85%) had a smartphone device.

Mobile design suggestions

All participants, regardless of smartphone versus basic cell phone ownership, exhibited familiarity with the different capabilities and tools available via smartphone device (e.g., apps, video, mobile web). Women uniformly noted the utility of the smartphone for enhancing program delivery, but also stressed that SMART SHW should not lose all face-to-face sessions and engagements (see *Table 2*). A variety of salient themes emerged related to overall mobile intervention design. Many similar suggestions appeared across focus groups, and participants also shared ideas on specific delivery tools and mechanisms that could be harnessed.

One of the most salient emergent themes was that the smartphone is advantageous for streamlining the amount of information (e.g., program communications, educational handouts) associated with the program, making it all accessible on the same platform, and displayed in a concise manner. Women also noted the utility of mobile devices for tracking goals and monitoring their progress, and offered suggestions of apps they are already familiar with to enhance tracking (e.g., MyFitnessPal, social media applications). It was suggested that surveys and assessments could be provided via smartphone, as long as they were simple to respond to (e.g., tapping a button to indicate an answer as opposed to typing out a response). Women also gave support for harnessing a phone's ability for streaming audio/video to supplement curricular content that may have otherwise been provided face-to-face. Women supported the ability of the phone to push prompts and reminders about program progress or weekly goals, and suggested methods for delivery (e.g., pop-ups). They also noted this was a useful way to extend the reach of the program. Platforms that women noted for streamlining all of these digital capabilities on the smartphone included utilizing apps, text messaging, email, and/or a mobile compatible program website. Participants indicated that burden and barriers when using a smartphone as part of the intervention (e.g., data usage costs, technical help) should be considered when redeveloping SHW to harness mobile devices.

Intervention element delivery and acceptability preferences

All of the components, activities, and content from the six-session, 12-week SHW program were presented and explained to participants. During the interviews, women described their preferences for which intervention elements could be received on a mobile device. In the original SHW
 Table 3 Examples of women's preferences for intervention content to be delivered via smartphone (or other mobile device) by content area

	-		*	
Curricular component	Weight	Stress	Nutrition	Physical activity
Tracking & monitoring	Daily weight logging via MyFitnessPal	Tracking stressors via app	Tracking diet via MyFitnessPal	Wearing an external pedometer
Homework, quizzes & surveys	Complete weight contract online	Complete sleep survey online	Review MyPlate content online	Complete physical activity readiness questionnaire (PAR-Q) online
Prompts, reminders & motivational messaging	Daily weight maintenance reminder via MyFitnessPal	Coping and relaxing text message reminder	Meal tips text messages	Exercise reminder text messages
Education & knowledge	Web-based content on goal setting for weight management	Online video on managing conflicts	Web-based content on target nutrients	Determining target heart rate via app
Examples & demonstrations	Online video on healthy gestational weight gain	Web-based content on stressful thoughts	Web-based content on eating on the run	Online video on stretching
Handouts	Weight status by BMI category via app	Web-based content on social support scale	Snack worksheet via app	Online video on injury prevention

intervention (10), during in-person sessions, participants took part in six types of curricular components, including: (I) tracking/monitoring progress; (II) homework, quizzes, and surveys; (III) reminders and motivational messages; (IV) receiving psychoeducational materials; (V) seeing examples and demonstrations by group leaders; and (VI) receiving paper handouts with information. *Table 3* provides an overview of women's feedback on how material from the four content areas (weight management, stress management, nutrition, and physical activity) could be adapted for mobile administration in each of the six types of curricular components. Women's feedback fell into two overarching categories: suggestions related to content, and suggestions related to process.

Figure 1 presents the percentages of content women prefer to be delivered via smartphone by content area and curricular component (excluding handouts, as they are a delivery method used across multiple curricular components). All face-to-face content was presented to all participants, and they indicated which particular components and activities they prefer to engage with via smartphone instead of in-person. This serves as an indicator of the amount of SHW content that will need to be redeveloped to go mobile in SMART SHW, in turn informing an estimate of the amount of resources (e.g., computer programming, time) that prototype development will require. Overall, women most strongly preferred converting curricular elements related to education and knowledge to mobile, followed by homework, quizzes and surveys; tracking and monitoring; examples and

demonstrations; and prompts, reminders, and motivational messaging.

Incorporating participant mobile redesign preferences

Upon reviewing focus group data, the study team noted 31 higher order mobile redesign preferences that emerged from the interviews that met criteria for additional discussion (e.g., brainstorming of how a particular activity could be delivered via smartphone; study team member opinion differed strongly from participant preference; mobile redesign preference has not previously been attempted via smartphone). After discussing the feasibility of converting related SHW elements for access via smartphone, the team supported 27 of these particular participant suggestions, thus, 87% of participants' mobile redesign preferences and suggestions were incorporated into the final SMART SHW prototype.

Discussion

Study goals

In an effort to limit implementation costs and barriers, decrease participant burden, and enhance participant acceptability for the SHW intervention (10,11), this study attempted to synthesize pre- and interconceptional overweight and obese women's preferences for which aspects of SHW could be adapted for delivery via a smartphone device. Semi-structured focus group interviews

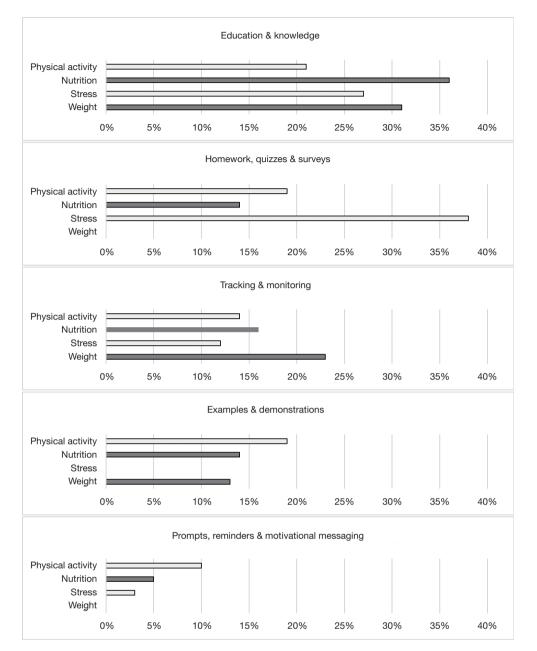


Figure 1 Percentage of SHW content women prefer to be delivered via smartphone in SMART SHW by curricular component.

provided women with an opportunity to examine SHW components and activities across all sessions and content areas. Descriptive statistics and thematic analyses of the transcribed interviews were used to examine participants' perceptions of how smartphone-based mediums (e.g., texting, apps) could be harnessed to refine intervention delivery, increase reach, decrease burden, and enhance acceptability. Participant ideas regarding the feasibility of engaging with a smartphone for different aspects of the SHW program, as well as initial design suggestions, were provided. Salient themes relevant to the delivery of a redeveloped program were identified. The study also sought to utilize the interview data to develop a prototype for the redesigned SMART SHW intervention.

This new iteration of the evidence-based SHW program aims to utilize multiple smartphone capabilities to enhance

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the accessibility and availability of the intervention, while decreasing financial and other barriers related to extensive face-to-face implementation and facilitate ongoing participant engagement. Smartphone delivery offers a mode through which SMART SHW content can potentially be easily accessed, allowing for broader dissemination of the program. Employing smartphones to provide some intervention components also decreases the time needed for face-to-face engagements, minimizing delivery costs. Reducing in-person obligations lessens engagement burden, which may increase the acceptability of taking part in the program among pre- and interconceptional women.

Key findings

Women examined all SHW intervention content, and provided feedback for which portions of the SHW curriculum they would like to receive via smartphone. These preferences were examined for each of the curricular content areas (i.e., weight, stress, nutrition, physical activity), and across all types of curricular components (e.g., handouts, homework, tracking). Mobile design suggestions were also provided, affording the study team valuable data on women's preferences for how they would like to engage with curricular components on a mobile device. Women prefer texting, mobile websites, and an app for receiving SMART SHW surveys, communications, and educational materials. For tracking nutrition and activity, women preferred to use MyFitnessPal and a wearable pedometer. Design themes that emerged in the interviews included using pop-up prompts for reminders, web-based video supplements, and displaying on-screen information in a concise format. Related to intervention delivery, findings suggested that women in the study had a strong desire to retain certain aspects of each of the six face-to-face sessions. Guided by accepted principles for conducting formative community-based research (24) and established standards from information science and human-centered design (25), the study team integrated and examined all collected data.

Proposed SMART SHW prototype

Through a comprehensive evidence-informed decisionmaking process, the study team developed a prototype for the newly proposed SMART SHW intervention that accounted for both the mobile and face-to-face delivery preferences of women. Although every effort was made to accommodate specific mobile redesign suggestions made by participants (overall incorporation of participant preferences =87.1%), for some decision points it was necessary for the study team to carefully consider participant preferences against: (I) feasibility for converting a particular component or activity to a mobile format; and (II) researcher expertise and experience in previous intervention design and deployment. For example, during the program, participants learn how to determine their target heart rate, a vital competency for achieving physical activity behavior change through the intervention. Although participants reported they would be comfortable learning this skill via a smartphone app, the study team felt that-due to the importance of this task (and need that it be performed correctly and accurately)-this content should be covered during a face-to-face session. In some instances where researcher expertise did not align with participant preferences, curricular activities could be offered in a hybrid fashion, with some of the engagement occurring on the smartphone device, but follow-up would be conducted faceto-face.

In the newly proposed SMART SHW prototype, women's preferences for attending six face-to-face sessions across twelve weeks have been retained, however the time and resources needed for the face-to-face portion of the intervention have been drastically reduced by introducing six highly acceptable smartphone-based intervention delivery and assessment modes that compliment face-toface meetings: online/web-based content; online videos; mobile apps; text messages; MyFitnessPal; and a wearable pedometer. Participants interact with the majority of the intervention's components and activities, across all content areas (i.e., weight, stress, nutrition, physical activity), via smartphone. *Figure 2* presents an infographic summarizing the SMART SHW prototype design.

Establishing the SMART SHW prototype is just the beginning of the ongoing intervention development process. For example, face-to-face curricular content across the six sessions must be streamlined, and must also provide instruction for engagement with the newly established smartphone components. Final SMART SHW development will require working with appropriate technology experts for converting content to mobile formats. Learning designers will need to provide input on developing informative online videos and web-based material. Computer programmers will need to be recruited to develop usable mobile app content and monitoring tools. Throughout the process, consideration to information security and the assurance of participant anonymity and data encryption is essential.

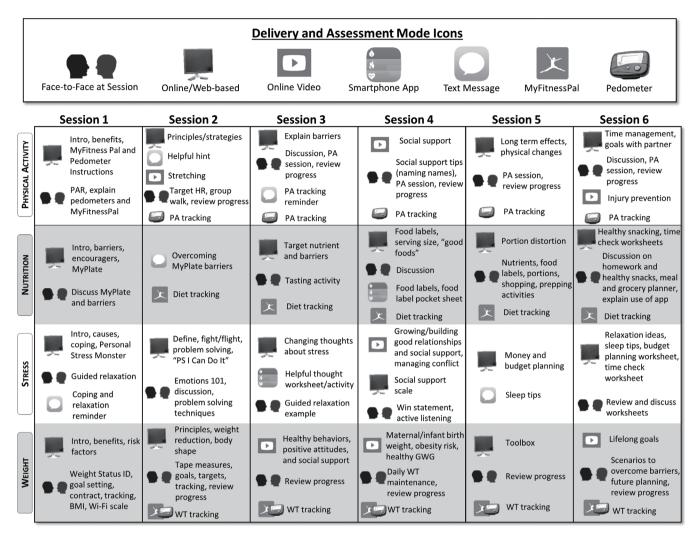


Figure 2 The six session SMART SHW intervention prototype. PAR, Assessment of Physical Activity Readiness; HR, heart rate; PA, physical activity; WT, weight.

Study limitations

Although the sample size was adequate as determined from data saturation, only a small group of mostly Caucasian women residing in communities in rural Pennsylvania participated. Additional adaptations to the intervention may be necessary to appropriately tailor it for other demographic groups (e.g., racial minorities, those residing in other geographic areas—such as urban settings). The women who participated were between the ages of 18–35; preferences of pre- and interconceptional women outside this age range (both younger and older) are not represented in this study. The work was cross-sectional in nature, so data regarding how these women's perceptions may change over time were not captured. All women were familiar with the smartphone device and its capabilities, so differences in mobile design suggestions between women who owned basic cell phones (15%) vs. smartphones (85%) were not examined. Finally, participants were presented with SHW session content before providing smartphone delivery preferences, which may have introduced self-report and ordering bias. A separate paper (21) addresses women's general preferences (outside the context of the SHW intervention) for using smartphones as tools for preconceptional health behavior change.

Conclusions

In summary, the SMART SHW intervention's purpose is

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to help address a strong public health call for improving pregnancy and early life outcomes for both mother and child, especially those related to maternal obesity (1-5,26). The preconceptional period may be a particularly important and advantageous time to provide women with evidence-based programming aimed at fostering healthy behavior change, while also reducing future adverse pregnancy outcomes (8,26). Through a redevelopment of the empirically supported and effective SHW intervention (11,12) to be extended to harness mHealth technology, the proposed SMART SHW prototype has the potential to expand intervention reach and delivery, limit implementation costs, and reduce participant burden. Moreover, our end-user design input approach helps ensure that the tailoring of mobile intervention components and activities will facilitate participant acceptability. These results demonstrate that pre- and interconceptional overweight and obese women report this mobile intervention approach to be feasible, and are open to receiving SMART SHW content via smartphoneparticularly in conjunction with in-person sessions. Next steps are to move forward with platform development and testing, followed by a randomized controlled clinical trial of the intervention's efficacy for improving a variety of biobehavioral health outcomes related to obesity and pregnancy.

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Footnote

Conflicts of Interest: The authors have no conflicts of interest to report.

Ethical Statement: The study was approved by the Institutional Review Board of The Pennsylvania State University (IRB approval #42308). Informed consent was obtained from all participants before enrollment into the study.

Disclaimer: The content is solely the responsibility of the

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