

# Balloon angioplasty or stent implantation for pulmonary vein stenosis caused by fibrosing mediastinitis: a systematic review

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**Abstract:** Fibrosing mediastinitis (FM) is a very rare disease, often caused by histoplasmosis capsulatum, tuberculosis, sarcoidosis, autoimmunity and other diseases, such as IgG 4-related diseases. Fibrous structures in the mediastinum compress the pulmonary artery, pulmonary vein, superior vena cava, esophagus, trachea and cardiac vessels, leading to clinical symptoms. Drug therapeutic modality for pulmonary vein stenosis (PVS) caused by FM is palliative in essence and with limited efficacy, whereas surgical treatment causes high mortality. In recent years, catheter-based treatment to FM-caused PVS has emerged as a promising therapeutic modality, however, the safety and effectiveness of this modality remain unclear. Therefore, a systematic review on the safety and efficacy of the catheter-based treatment for PVS caused by FM was performed, in the hope to shed lights on the alternative therapeutic strategy to this fatal disease.

**Keywords:** Fibrosing mediastinitis (FM); pulmonary vein stenosis (PVS); pulmonary vein stent; catheter; balloon angioplasty

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#### Introduction

Fibrosing mediastinitis (FM) is a very rare disease. It is often caused by histoplasmosis capsulatum, tuberculosis, sarcoidosis, autoimmunity and other diseases, such as IgG 4-related diseases (1-4), which lead to the celluloselike inflammatory exudation in the mediastinum and the compression of the mediastinal pulmonary artery (PA), pulmonary vein (PV), superior vena cava (SVC), esophagus, trachea and cardiac vessels (1,5-9). Compression of mediastinal structures caused by FM has been associated with high mortality (10-13) due to pulmonary hypertension and right heart failure (14).

It is reported that the average diagnostic age of FM ranges between 20 and 40 years old (1,13,15,16). Histoplasma capsulatum infection is the main cause of FM in North America, but patients of histoplasma capsulatum infection rarely develop FM (1,12). During an outbreak of this fungal infection in Indianapolis, only 3 of 100,000 patients with histoplasmosis developed FM (17). However,

Dines *et al.* found that 11 of 31 patients (35.5%) with mediastinal granuloma eventually developed FM (18). In Asia, particularly in developing countries, tuberculosis-related FM may be more frequent (19-21).

The symptoms of FM reflect the mechanical compression of the involved mediastinal structures (16). For example, esophageal compression may cause dysphagia and odynophagia; compression of thoracic duct leads to chylothorax; compressed damage of recurrent laryngeal nerves and phrenic nerve generates the symptoms of hoarseness or shortness of breath. More seriously, pulmonary vascular compression can cause pulmonary hypertension, hemoptysis, refractory pleural effusion, and pulmonary edema. Moreover, SVC compression results in facial swelling, superficial venous distention, headache, hoarseness, and shortness of breath. Bronchial compression can elicit cough, dyspnea, hemoptysis, obstructive pneumonia, and even shunting due to atelectasis (1,11,16).

Drug therapy for pulmonary vein stenosis (PVS) caused by FM is palliative and with limited efficacy, surgical treatment modality is accompanied with high mortality. In recent years, balloon angioplasty or stent implantation has been reported for the treatment of FM-induced PVS, but the sample size is small and the efficacy is not definitive. Therefore, a systematic review has been performed on the safety and efficacy of the catheter-based treatment for PVS caused by FM.

## Methods

#### Literature search

A systematic search from 1st January 1947 to 10th March 2019, from PubMed, Cochrane Library, and Embase was carried out using the medical subject headings (MeSH) terms for fibrosing mediastinitis, mediastinal fibrosis, sclerosing mediastinitis, idiopathic mediastinal fibrosis with boolean terms such as OR. Search strategies were modified for each database to achieve the broadest coverage. Details of the search strategy are available in the Supplementary file 1.

#### Selection criteria

Patients who had been diagnosed as FM by biopsy, computed tomography scanning (CT), and magnetic resonance imaging (MRI), and had received PV stent implantation or balloon angioplasty were include in the analysis.

#### Data extraction

Two reviewers independently searched the databases using the identical key words. All data were extracted from the text, tables, and figures, including supplemental material. Disagreements were resolved by consensus or consulting with a third reviewer.

#### Statistical analysis

Continuous variables are expressed as mean  $\pm$  SD or percentage. Quantitative analysis of the results was not done due to significant heterogeneity in the design, patient population, outcome definitions among the studies as well as data missing, therefore, a narrative summary of the available evidence was presented.

#### **Results**

The process of literature search was summarized in *Figure 1*. A total of 584 articles on FM were retrieved. Eight studies, including two retrospective studies (15,22) and six case reports (7,23-27), were analyzed. Only the information on the PV was extracted from these articles. The main characteristics of these patients were described in *Table 1*.

#### Diagnosis

There is no unified standard for the diagnosis of FM. Biopsy is the gold standard for FM diagnosis (2). It is undeniable that due to the invasiveness of biopsy, the diagnostic value of imaging examinations, such as CT and MRI (19,28-31), is increasing. The imaging features of FM include infiltrating mediastinal soft tissue (with or without calcification), compression or obstruction of mediastinal internal structures, including PA, PV, SVC, esophagus, trachea, and cardiac vessels (*Figure 2*) (31). Ventilation/ perfusion (V/Q) scintigraphy often indicates the perfusion defects caused by pulmonary embolism, however, this can also occur in FM (32,33).

Of the 31 patients receiving catheter-based treatment for FM-induced PVS, 4 cases were diagnosed by mediastinal biopsy, 1 by MRI and 25 by computed tomographic pulmonary angiography (1 was not mentioned in the

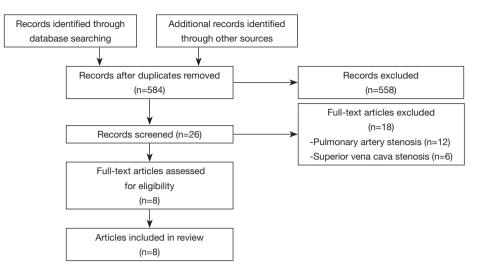


Figure 1 The process of literature selection.

Author	Year	Patients, n	Age, years	Gender	Lesion vessel	Balloon/stenting
Massumi A	1981	1	32	Female	Right lower PV	Balloon
					Right upper PV	
					Left upper PV	
					Left lower PV	
Doyle TP	2001	2	27	Male	Left lower PV	Stenting
					Right upper PV	
			31	Female	Left upper PV	Stenting
					Left lower PV	
Shapiro BP	2005	1	27	Female	Right inferior PV	Balloon
					Left superior PV	
					Right superior PV	
Ferguson ME	2010	1	43	Male	Left upper PV	Stenting
					Left lower PV	
					Right lower PV	
Albers EL	2011	16	N/A	N/A	N/A	Stenting
Doucet KM	2015	1	33	Male	Right inferior PV	Stenting
					Left superior PV	
					Right superior PV	
					Left inferior PV	
Unger P	2015	1	36	Female	Left upper PV	Stenting
					Left lower PV	

Table 1 (continued)

Table 1 (continued)

Author	Year	Patients, n	Age, years	Gender	Lesion vessel	Balloon/stenting
Ponamgi SP	2015	8	27	Female	Right lower PV	Balloon
					Left upper PV	
					Right upper PV	
			52	Male	Left lower PV	Balloon
					Left upper PV	
					Right upper PV	
					Right lower PV	
			47	Male	Left lower PV	Balloon
					Right middle PV	
			38	Female	Left upper PV	Balloon
					Left lower PV	
			59	Male	Right lower PV	Stenting
					Right upper PV	
					Left upper PV	
			42	Male	Right upper PV	Stenting
					Right middle PV	
			24	Female	Right lower PV	Stenting
					Right upper PV	
			43	Male	Right lower PV	Stenting
					Right upper PV	
					Left upper PV	
					Left lower PV	

article).

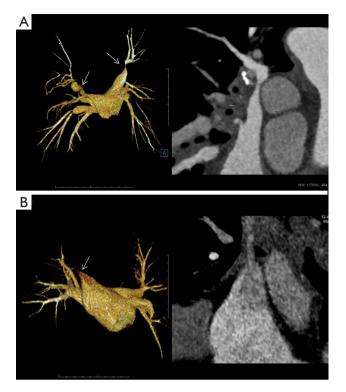
#### Balloon angioplasty or stent implantation

Catheter-based treatment included balloon angioplasty and stent implantation. Following balloon angioplasty, stenosis may recur. On the other hand, PV restenosis is a major complication after stent implantation. When restenosis occurs, balloon dilation or stent implantation will be performed based on the imaging examination results.

A total of 31 patients with FM-caused PVS received catheter-based treatment, of which 25 patients were subjected to stent implantation and 6 patients to balloon angioplasty.

# Antiplatelet or anticoagulant strategy following catheterbased treatments

After catheter-based treatments of PVS, the antiplatelets or anticoagulants should be administered to the patients. Sixteen patients after stent implantation received anticoagulation treatment of aspirin or coumadin for a minimum of 6 months. One patient received the regimen of life-long aspirin 80 mg and 1-month clopidogrel 75 mg. Another patient received warfarin and clopidogrel for 6 months. Other studies did not describe the detailed antiplatelet or anticoagulant strategy following PV interventions.



**Figure 2** Representative CT venography of fibrosing mediastinitis. (A) Pulmonary vein stenosis induced by fibrosing mediastinitis; (B) pulmonary vein occlusion caused by fibrosing mediastinitis. CT, computed tomography.

#### Clinical improvement

Except for those who died, the patients exhibited significant improvement during the follow-up period after catheterbased treatment. The clinical improvement was mainly reflected in WHO functional classification, 6-minute walking distance, and clinical symptoms. The detailed data are shown in *Table 2*.

#### Mortality

In the study with the largest sample size (15), the patients had stenosis in PAs, PVs, and SVC, the mortality of the patients received PV interventions was unknown. Of the 31 patients receiving catheter-based treatments for PVS (7,15,22-27), 15 had detailed information (7,22-27). Among these 15 patients, 7 died (22-24,27).

One patient was suggestive of infection because of uncontrollable high fever. Three days following the catheter-based treatment, the clinical symptoms deteriorated rapidly and the patient succumbed to death (23). Another two patients deceased at 4 days post catheter treatments because of massive hemoptysis incapacitating ventilation function (22,24). Another patient died of the deterioration of clinical symptoms without mentioning cause of death at 2 days post treatment (24). One patient died of newly diagnosed metastatic cancer at 1 month after catheter-based treatment (24); another died of a large embolic stroke with temporal lobe herniation (24); and one died of heart failure at 9 months following the catheter treatment during the operation of PV dilatation (27).

#### Complication

Short-term complications included bleeding from the puncture site, mild hemoptysis, phrenic nerve injury, and temporary ST segment elevation. These complications generally did not require additional treatments. Severe vascular injuries, such as PV perforation, pericardial tamponade, PV rupture, acute stent thrombosis complicated by stroke, intracerebral bleeding, hemothorax, stent dislodged, entailed urgent treatments and could cause periprocedural death. The main long-term complication is intra-stent restenosis.

Haemoptysis was noted in 5 (16.1%) of the 31 patients who had received catheter-based treatments for PVS. Restenosis occurred in 12 (38.7%) patients. One patient developed myocardial infarction during the operation and embolic stroke at 1 day post-operation.

#### Discussion

FM is a rare disease and FM-induced PA/PV stenosis can lead to pulmonary hypertension and right heart failure. Due to the limited efficacy of medicinal treatment and high risk of surgical intervention, catheter-based treatment has emerged as a promising modality to treat this increasingly fatal disease (16). Although balloon dilatation was initially performed in 1981 by Massumi *et al.* for PVS caused by FM (27), the efficacy and safety of the catheter-based treatment are still not clear. In this systematic review, the efficacy and safety of percutaneous PV balloon dilatation or stent for FM-caused PVS were collected from the literature and evaluated.

According to the literature, a total of 31 patients with PVS caused by FM who had undergone catheter-based treatments were included. Most of them underwent stent implantation, which was consistent with the interventional strategy of PVS induced by radiofrequency ablation for

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Table 2 The clinical outcomes of catheter-based treatment for fibrosing mediastinitis caused pulmonary vein stenosis	iical outcom	tes of cé	atheter-b	vased tre	atment for	fibrosing	mediastii	nitis cau	sed pulm	onary vein	stenosis					
Author	Patient	Ň	WHO FC	mPAI	mPAP, mmHg	PCWP,	PCWP, mmHg		ū	PV diam	PV diameter, mm	6MWD, m	D, m	PV mean pressure gradient, mmHg	oressure mmHg	Clinical outcome
		Pre	Post	Pre	Post	Pre	Post	Pre	Post	Pre	Post	Pre	Post	Pre	Post	
Massumi A	-	I	I	57	62	I	I	I	I	I	I	I	I	I	I	Death
Doyle TP	0	≥	-	52	39	I	I	I	I	I	I	I	I	I	I	Improvement
		≥	≡	62	37	I	I	I	I	1.5	10	I	I	I	I	Improvement
Shapiro BP	-	I	I	I	I	I	I	I	I	I	I	I	I	I	I	Death
Ferguson ME	-	I	I	51	57.6	I	I	I	I	I	I	I	I	I	I	Death*
Albers EL	16	I	I	I	I	I	I	I	I	5.1±2.2	11.0±3.6	I	I	14.5±8.5	1.3±1.7	Improvement
Doucet KM	-	I	I	54	41	30	18	1.9	2.76	I	I	351	425	I	I	Improvement
Unger P	-	I	I	I	I	I	I	I	I	I	I	I	I	I	I	Improvement
Ponamgi SP	80	I	I	I	I	I	I	I	I	I	I	I	I	I	I	Death*
		I	I	I	I	I	I	I	I	I	I	I	I	I	I	Death
		I	I	I	I	I	I	I	I	I	I	I	I	I	I	Improvement
		I	I	I	I	I	I	I	I	I	I	I	I	I	I	Improvement
		I	I	I	I	I	I	I	I	I	I	I	I	I	I	Death*
		I	I	I	I	I	I	I	I	I	I	I	I	I	I	Improvement
		I	I	I	I	I	I	I	I	I	I	I	I	I	I	Improvement
				I	I	I	I	I	I	I	I	I	I	I	I	Death*
*, procedure-related death. WHO FC, World Health Organization (WHO) function pressure; CI, cardiac index; PV, pulmonary vein; 6MWD, 6-minute walking distance.	ated death rdiac index;	. WHC PV, pu	D FC, W Ilmonary	orld He / vein; 6	alth Orgar MWD, 6-n	) ization (	(WHO) fu alking dis	nctiona tance.	ıl class;	mPAP, me	an pulmon	ary art	ery pres	sure; PCWF	, pulmona	*, procedure-related death. WHO FC, World Health Organization (WHO) functional class; mPAP, mean pulmonary artery pressure; PCWP, pulmonary capillary wedge pressure; CI, cardiac index; PV, pulmonary vein; 6MWD, 6-minute walking distance.

atrial fibrillation (34). Catheter-based treatments improved the hemodynamics and symptoms of the patients; however, the long-term data were absent.

In complications related to catheter-based treatments of PV caused by FM, restenosis appears to be the major one, followed by hemoptysis. For catheter-based treatment of PVS induced by radiofrequency ablation for atrial fibrillation, the restenosis rate following balloon angioplasty was 53% to 72% and that after stent implantation was 19% to 33% (34-40). The restenosis rate of stent implantation was significantly lower than that of balloon angioplasty, which supports stent implantation as the first choice for PVS caused by radiofrequency ablation for atrial fibrillation. This should also apply to PVS caused by FM. In catheter-based treatments of PVS caused by FM, some studies have shown that restenosis rates range from 43.75% to 50% (15,24). The discrepancy in the restenosis rates between the treatments for radio frequency-induced PVS and those for FMinduced PVS may be ascribed to the sample size and the patient conditions. Hemoptysis was also common after the catheter-based treatments of FM-induced PVS, nonetheless, most hemoptysis was mild.

Seven patients died after catheter-based treatment of PVS caused by FM, and four cases belonged to procedurerelated death (22,24). By contrast, no procedure-related death has been reported in the catheter-based treatments of the PVS induced by radiofrequency ablation for atrial fibrillation (34-40). Therefore, the relatively high mortality of catheter-based treatments for the FM-induced PVS might be due to the limited experience during the early stage of developing the catheter-based treatments in the new indication and the small sample size available in the literature. Therefore, large-scale, multi-center, randomized controlled studies are needed to further evaluate the longterm efficacy, safety, and complications of the catheterbased treatments to the FM-induced PVS.

#### Conclusions

Catheter-based treatment significantly improves hemodynamic parameters and relieves symptoms of the patients with PVS caused by FM, although the long-term efficacy, safety, and restenosis rate need to be further evaluated.

#### **Acknowledgments**

None.

#### Footnote

*Conflicts of Interest:* The authors have no conflicts of interest to declare.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

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# Supplementary file 1: Details of the search strategy

(((Fibrosing Mediastinitis[MeSH Terms]) OR Mediastinal Fibrosis[MeSH Terms]) OR Sclerosing mediastinitis[Text Word]) OR Idiopathic mediastinal fibrosis[Text Word]