

# Second line immune checkpoint inhibition in urothelial cancer

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*Comment on:* Fradet Y, Bellmunt J, Vaughn DJ, *et al.* Randomized phase III KEYNOTE-045 trial of pembrolizumab versus paclitaxel, docetaxel, or vinflunine in recurrent advanced urothelial cancer: results of > 2 years of follow-up. Ann Oncol 2019. [Epub ahead of print].

Submitted Aug 25, 2019. Accepted for publication Sep 10, 2019. doi: 10.21037/tau.2019.09.18 View this article at: http://dx.doi.org/10.21037/tau.2019.09.18

Urothelial cancer (UC) is devastating disease, once it reaches muscle invasive (MIBC) and metastatic stage. Even when performing radical cystectomy (RC) with pelvic lymphadenectomy in patients with MIBC, approximately half of the patients will develop metastasis and still no longterm cure for metastatic urothelial cancer (mUC) has been found (1). Neoadjuvant cisplatin-based chemotherapy is considered a standard approach in pT2-pT4a pN0 disease which can improve survival outcomes after surgery. Though, it is still underutilized. Platinum-based combination chemotherapies are also used to treat mUC in first line (2,3). Till 2016, the only approved second line drug for patients who failed during or after first line platinum-based chemotherapy was vinflunine. With limited treatment effect in a phase 3 trial compared to best supportive care (BSC), it has been approved in Europe only (4,5). In the US, taxane based chemotherapies were commonly used in this setting.

Prior to approval of immune checkpoint inhibitors (CPI), the median overall survival (mOS) for metastatic UC was poor with around 15 months using first line cisplatin-based combination chemotherapies (2,3) and roughly 10 months for patients being ineligible for cisplatin, and therefore receiving carboplatin-based combination chemotherapy (6). Patients receiving second line chemotherapy after platinumbased regimens reached a mOS of about 7 months (5).

With approval of the first CPI atezolizumab in 2016 in the second line setting, the treatment of mUC has changed dramatically (7). Although, response rates in unselected patient population are still not high, all substances have in common, that patients who respond to CPI treatment seem to have long-lasting responses, with the median duration of response (DoR) not being reached in most of trials (8-15). Several other targeting agents [i.e., erdafitinib (16), enfortumab vedotin (17)] are under evaluation in clinical trials and have shown promising results, that hopefully will enlarge treatment options in mUC and potentially in the neoadjuvant setting (18-20).

In first line setting, gemcitabine/cisplatin is still standard for metastatic and locally advanced, non-resectable mUC. Though, nearly half of patients are ineligible for cisplatinbased chemotherapy, which is commonly defined by the criteria proposed by Galsky *et al.* (21). For cisplatinineligible patients atezolizumab (9) and pembrolizumab (8) have been approved by the European Medicines Agency (EMA) and the North-American Food and Drug Administration (FDA) for patients with PD-L1 positive tumors, defined by the presence of PD-L1 positively stained cells and analyzed by either tumor infiltrating immune cells (IC)  $\geq$ 5% or the combined positive score (CPS)  $\geq$ 10 (22,23).

In second line, CPI have revolutionized the treatment mUC. Because of urgent medical need all substances were initially approved based on early phase I/II data independent of the PD-L1 status. In Europe, three substances are approved for treatment of mUC after failure of platinum-

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based chemotherapy [pembrolizumab (10), atezolizumab (13,24), and nivolumab (15)]. In addition, durvalumab (14) and avelumab (12) are approved in this indication in the US only.

Though, pembrolizumab is the only CPI with proven level 1 evidence from KEYNOTE-045 being superior to second line chemotherapy for mUC (10). In this trial, a total of 542 patients were randomly assigned to receive either pembrolizumab or investigators' choice of second line chemotherapy (vinflunine, docetaxel or paclitaxel). In the initial NE7M publication, Bellmunt et al. presented a beneficial mOS of 10.3 [95% confidence interval (CI), 8.0-11.8] months in the pembrolizumab group, as compared to 7.4 (95% CI: 6.1–8.3) months in the chemotherapy group [hazard ratio (HR) for death, 0.73; 95% CI: 0.59 to 0.91; P=0.002]. In the total population, the objective response rate (ORR) was significantly higher in the pembrolizumab group (21.1%; 95% CI: 16.4-26.5) than in the chemotherapy group (11.4%; 95% CI: 7.9-15.8; P=0.001). Pembrolizumab showed less treatment-related events of grade 3-5 than second line chemotherapy (15.0% vs. 49.4% of patients), which resulted in lower rate of treatmentrelated discontinuation of therapy (5.6% vs. 11.0%) (10).

Recently, Fradet et al. published updated results of this trial with a >2 years follow up (11). The mOS is nearly unchanged with 10.1 months for the pembrolizumab group as compared to 7.3 months for patients treated with chemotherapy (HR 0.70, 95% CI: 0.57-0.85; P=0.00015). Interestingly, no statistically significant difference in progression free survival (PFS) between the pembrolizumab and chemotherapy arms has been observed (2.1 months; 95% CI: 2.0-2.2 vs. 3.3 months; 95% CI: 2.4-3.6; HR 0.96; 95% CI: 0.79-1.16). However, the 2-yr PFS rates were higher in pembrolizumab treated patients (12.4%) than in the group treated with chemotherapy (3.0%) (11). The median DoR to treatment in patients responded to chemotherapy (n=30) is only 4.4 months (range, 1.4+ to 29.9+ months), while is still has not been reached for patients initially responded to pembrolizumab (1.6+ to 30.0+) (11). After a median follow-up of 27.7 months, this translates into one-year survival rates of 44.2% vs. 19.8% and two-year survival rates of 26.9% vs. 14.3% for patients treated with pembrolizumab vs. second line chemotherapy, respectively. Remarkably, the OS rate of 14.3% at 2 years for the chemotherapy arm, which hasn't been observed in previous second line clinical trials, could possibly be explained by the fact, that the majority of patients alive at 24 months (60.6%), received a third line CPI treatment (11).

In terms of safety, treatment-related adverse events (AEs) occurred less frequently in patients receiving pembrolizumab (62.0%) than in chemotherapy treated patients (90.6%). The most common AEs were pruritus for the pembrolizumab arm and alopecia, fatigue, anemia, nausea, constipation, decreased appetite, and neutropenia for the chemotherapy arm (11). The longer follow-up confirmed data from the initial publication, underlining the safety and better tolerability of pembrolizumab compared to chemotherapy in this therapeutic setting.

Atezolizumab is the other CPI that has been evaluated in a second line, phase III clinical trial (IMvigor211) (13). The phase II trial IMvigor210 (cohort 2) showed promising results, with an impressive mOS of 11.4 months in patients with PD-L1 positive tumors (IC2/3), based on staining with the antibody SP142 and analysis of tumor infiltrating immune cells (IC  $\geq$ 5%). Patients with low PD-L1 (IC1:  $\geq$ 1% and <5%) or no PD-L1 (IC0: <1%) expression had significantly worse outcome with a mOS of 6.7 (95% CI: 5.1–8.8) and 6.5 (95% CI: 4.4–8.3) months, respectively (24).

Though, the following IMvigor211 trial failed to proof superiority to second line chemotherapy (13). This large phase III clinical trial, enrolled 931 patients, who were randomly assigned to receive either atezolizumab or second line chemotherapy of investigators' choice. The primary endpoint of overall survival was tested hierarchically in prespecified populations: IC2/3, followed by IC1/2/3, followed by the intention-to-treat population.

The PD-L1 positive population (IC2/3) showed a comparable mOS of 11.1 (95% CI: 8.6–15.5) months (13) to the phase II data with 11.4 (95% CI: 9.0–NE) months (24), but the comparator arm showed a mOS of 10.6 (95% CI: 8.4–12.2) months, which exceeded the study expectations based on the phase III trial evaluating vinflunine compared to BSC (4,5). This resulted in a not significant survival benefit (HR 0.87, 95% CI: 0.63–1.21; P=0.41).

Though, there are distinct differences between both phase III trials evaluating pembrolizumab (10,11) and atezolizumab (13) compared to second line chemotherapy (*Table 1*).

# Comparison of KEYNOTE-045 and IMvigor211 based on PD-L1 status

The ORR based on PD-L1 status showed distinct differences between both trials. In KEYNOTE-045, 21.6% of PD-L1 positive (CPS  $\geq$ 10) and 21.1% of unselected

Table 1 Patient characteristics of phase III clinical trials evaluating pembrol	lizumab and atezolizumab in mUC
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	KEYNOTE-045 (10,11)		IMvigor211 (13)			
			IC2/3 population		ITT population	
	Pembrolizumab (n=270)	Chemotherapy (n=272)	Atezolizumab (n=116)	Chemotherapy (n=118)	Atezolizumab (n=467)	Chemotherapy (n=464)
Age [range]	67 [29–88]	65 [26–84]	67 [43–88]	67 [36–84]	67 [33–88]	67 [31–84]
Primary tumor site						
Bladder/urethra	85.9%	86.3%	75.0%	78.8%	71.3%	74.5%
Upper tract	14.1%	13.7%	25.0%	22.2%	28.7%	25.6%
Metastatic sites						
Visceral	89.3%	86.0%	67.2%	69.5%	77.3%	76.5%
Liver	33.7%	35.1%	24.1%	25.4%	29.6%	28.0%
Lymph node only	10.4%	13.6%	15.5%	22.9%	11.6%	14.2%
PD-L1 status	CPS <10 <i>vs.</i> ≥10 IC <5% (IC0/1) <i>vs.</i> ≥5%					
Positive	27.4%	33.1%	100%	100%	24.8%	25.4%
ECOG performance status						
0	44.4%	39.0%	52.6%	48.3%	46.7%	44.6%
1	52.6%	58.1%	52.6%	51.7%	53.3%	55.4%
2	1.1%	1.5%	0.0%	0.0%	0.0%	0.0%
Number of risk factors						
0	20.0%	16.5%	37.9%	34.7%	31.0%	30.2%
1	35.9%	37.5%	43.1%	40.7%	45.8%	44.8%
2	24.4%	29.4%	13.8%	21.2%	18.4%	20.7%
3–4	16.7%	16.5%	5.2%	3.4%	4.7%	4.3%
Previous systemic regimen setting						
Neoadjuvant or adjuvant with progression within ≤12 months	11.5%	19.5%	31.9%	31.4%	25.1%	23.3%
First line	68.1%	58.1%	46.6%	50.0%	53.3%	56.3%
Second line	20.4%	21.7%	15.5%	15.3%	16.9%	15.9%
Third line	0.0%	0.7%	0.9%	0.0%	1.7%	1.9%
Prior radical surgery	22.6%	18.8%	49.1%	49.2%	42.6%	43.1%
Chemotherapy on trial (safety popu	llation)					
Vinflunine	-	34.1% (87/255)	-	n.a.	-	54.6% (242/443
Docetaxel	-	32.9% (84/255)	-	n.a.	-	12.0% (53/443)
Paclitaxel	-	32.9% (84/255)	_	n.a.	_	33.4% (148/443

Table 1 (Continued)

	KEYNOTE-045 (10,11)		IMvigor211 (13)			
			IC2/3 population		ITT population	
	Pembrolizumab (n=270)	Chemotherapy (n=272)	Atezolizumab (n=116)	Chemotherapy (n=118)	Atezolizumab (n=467)	Chemotherapy (n=464)
Subsequent treatment (ITT population)	25.2%	33.5%	n.a.	n.a.	23.1%	25.4%
Chemotherapy	n.a.	n.a.	n.a.	n.a.	21.6%	19.8%
Immunotherapy	n.a.	n.a.	n.a.	n.a.	1.3%	6.0%
Others	n.a.	n.a.	n.a.	n.a.	4.5%	1.7%

Table 1 (Continued)

ITT, intention-to-treat; n.a., not available.

patients responded to pembrolizumab, while the ORR to chemotherapy was lower with 11.4% and 6.7% in the total population and the CPS  $\geq 10$  intention-to-treat (ITT) population, respectively (10). Opposingly, response rates to atezolizumab were higher in the IC2/3 (IC  $\geq$ 5%) population than in the unselected ITT population in IMvigor211 (23.0% vs. 13.4%) (13). Interestingly, also the response to chemotherapy was better in patients with PD-L1 positive tumors compared to unselected patients (21.6% vs. 13.4%) (13). Unexpectedly, overexpression of PD-L1 resulted in a more favorable outcome with increased ORR and longer overall survival for both, chemotherapy and atezolizumab in IMvigor211. This negates the potential predictive value of PD-L1, when stained with SP142 and analyzed for tumor infiltrating IC, and suggest a rather prognostic effect of PD-L1 in this setting (13).

In KEYNOTE-045 a significantly longer mOS for pembrolizumab over chemotherapy has been observed in unselected patients (10.1 vs. 7.3 months; 95% CI: 0.57– 0.85; HR 0.70) and patients harboring PD-L1 positive tumors (CPS  $\geq$ 10) with 8.0 vs. 4.9 months (P=0.00122), respectively (11). Remarkable, in KEYNOTE-045 PD-L1 expression (antibody 22C3; CPS  $\geq$ 10) seems to have a negative prognostic effect, while in IMvigor211 (antibody SP142; IC  $\geq$ 5%) in seems to have positive prognostic properties.

# Comparison of KEYNOTE-045 and IMvigor211 based on second line chemotherapeutic agents

In KEYNOTE-045, pembrolizumab showed a mOS 10.1 *vs.* 7.4 months in unselected patients for PD-L1 status and compared to second line chemotherapy of investigators'

choice (95% CI: 6.1–8.3) (10). Exploratory analysis of the IMvigor211 trial revealed a mOS of 8.6 (95% CI: 7.8–9.6) months for atezolizumab and 8.0 (95% CI: 7.2–8.6) months for second line chemotherapy in the ITT population (13). Further analysis, stratified by the choice of second line chemotherapy, showed a survival benefit of atezolizumab compared to taxane-based chemotherapy (docetaxel, paclitaxel) with a mOS of 8.3 *vs.* 7.5 months (HR 0.73; 95% CI: 0.58–0.92), but not over vinflunine with a mOS of 9.2 *vs.* 8.3 months (HR 0.97; 95% CI: 0.78–1.19) (25).

One of the major differences between both trials is the distribution of chemotherapeutic agents in the comparator arms. In IMvigor 211, the majority of patients in the chemotherapy arm (n=443) received vinflunine (55%), while paclitaxel (33%) and docetaxel (12%) where less frequently chosen by investigators in this trial (13). This might be related to the study population, with the majority of patients being recruited in Western Europe, where vinflunine is an approved second line chemotherapeutic agent (4,5). In KEYNOTE-045, distribution of chemotherapeutic agents was well balanced in the chemotherapy arm (10,11).

# Comparison of KEYNOTE-045 and IMvigor211 based on primary tumor and metastatic site

IMvigor211 included more upper tract urothelial tumors (UTUC) than KEYNOTE-045, which were evenly distributed between the treatment arms. While 25.3% of included patients in IMvigor211 had UTUC, only 13.8% of patients in KEYNOTE-045 harboring UTUCs (11,13).

Rates of patients with visceral and liver metastases were higher in KEYNOTE-045 with 87.8% and 34.3% (11) compared to IMvigor211 with 68.4% and 24.8% in the

1 <sup>st</sup> line			2 <sup>nd</sup> line	3 <sup>rd</sup> line	
Cisplatin eligible	Gemcitat MVAC	oine/cisplatin	Pembrolizumab Atezolizumab Nivolumab	Vinflunine <sup>°</sup> Docetaxel Paclitaxel	
	PD-L1- PD-L1+	Gemcitabine/carboplatin	Durvalumab <sup>²</sup> Avelumab <sup>²</sup> Erdafitinib (FGFR 2/3) <sup>²</sup>	Enfortumab vedotin <sup>⁴</sup>	
		<i>IC</i> ≥5%: atezolizumab <sup>1</sup> <i>CP</i> S ≥10: pembrolizumab <sup>1</sup>	Gemcitabine/carboplatin	Erdafitinib (FGFR 2/3) <sup>2</sup> Vinflunine <sup>3</sup> Enfortumab vedotin <sup>4</sup> Docetaxel Paclitaxel	

**Figure 1** Proposed treatment algorithm based on current evidence from clinical trials. <sup>1</sup>, FDA approved in platin ineligible pts irrespective of PD-L1 status; <sup>2</sup>, FDA approved only; <sup>3</sup>, EMA, approved only; <sup>4</sup>, awaiting approval.

IC2/3 and 76.9% and 28.8% in the ITT population (13). Patients with PD-L1 positive tumors (IC2/3) and liver metastasis showed a superior response to atezolizumab compared to chemotherapy in IMvigor211 (HR 0.51; 95% CI: 0.28-0.96). Rates of patients with lymph nodes (LN) only disease were comparable between both trials with 12.9% (IMvigor211) and 12.0% (KEYNOTE-045) (11,13). Though, the chemotherapy arm in IMvigor211 (IC2/3 population) included a higher rate of patients with LN only disease (22.9%) compared to only 15.5% in the PD-L1 positive population treated with atezolizumab (13). Nevertheless, this factor cannot explain the good response to chemotherapy in this trial, since LN only patients show favorable response to atezolizumab compared to chemotherapy in patients with PD-L1 positive tumors (HR 0.60, 95% CI: 0.26-1.36) and even better in the unselected ITT population (HR 0.59; 95% CI: 0.37-0.96).

### **Comparison of KEYNOTE-045 and IMvigor211** based on risk factors and previous and subsequent off-study treatments

IMvigor211 and KEYNOTE-045 recruited different baseline population with lower rates of patients without any Bajorin risk factors in IMvigor211 (10,13). In addition, more patients underwent prior surgery of the primary tumor in IMvigor211 than in KEYNOTE-045, with 42.9% vs. 20.7%, respectively (10,13). This raises the question of whether presence of the primary tumor plays a role in response to CPI in mUC.

The higher rate of primary tumor resection also explains the higher rate of patients, who underwent previous neoadjuvant and adjuvant chemotherapy in IMvigor211 (24.2% vs. 15.5%) compared to KEYNOTE-045 (10,13). This rate was even higher in the IC2/3 population of IMvigor211 with 31.6% of patients (13). In both phase III trials the rate of subsequent treatments was relatively high, with more than 20% of patients having received following treatments after on study treatment (10,11,13), which reflects the increasing therapeutic options for patients with mUC (*Figure 1*).

Both trials have evaluated a CPI in second line after failure of platinum-based chemotherapy. Though, comparison and interpretation of differences between those trials is difficult. These two, phase III trials studied distinct different patient populations, PD-L1 has been evaluated with different antibodies, staining platforms and scoring methods, and the comparator arms had a different distribution of second line chemotherapeutic agents (10,11,13). In addition, IMvigor211 used a biomarker driven design, using PD-L1 positivity in a hierarchal statistical design of several subgroup analysis (13). This was based on promising results from earlier phase clinical trials, where PD-L1 seemed to be a predictive marker of response to atezolizumab in this setting (24). All these factors might have influenced the outcome and failure of IMvigor211 to proof superiority of, the clinically active substance, atezolizumab over second line chemotherapy in a phase III trial.

Nevertheless, pembrolizumab is the only CPI with level 1 evidence of superiority over chemotherapy in second line treatment of mUC as proven in KEYNOTE-045 so far (10,11). The rapidly developing treatment landscape giving hope for our patients suffering from UC and urges the necessity to develop a biomarker driven clinical decision-

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making strategy, since by far not all patients benefit from the available therapeutic options.

#### **Acknowledgments**

None.

### Footnote

*Conflicts of Interest*: KM Gust has received advisory board fees from Cepheid, Roche, MSD, and Ferring; speaker fees from Amgen, Astellas, AstraZeneca, BMS, Ipsen, Janssen, MSD, and Roche; and meeting/travel expenses from Allergan, Astellas, AstraZeneca, Bayer, BMS, Janssen, MSD, Novartis, Pfizer, Pierre Fabre, and Roche. SF Shariat has received advisory board and/or speaker fees from Astellas, AstraZeneca, Bayer, BMS, Cepheid, Ferring, Ipsen, Janssen, Lilly, MSD, Olympus, Pfizer, Pierre Fabre, Roche, Sanochemia, Sanofi, UroGen, and Wolff. The other authors have no conflicts of interest to declare.

*Ethical Statement*: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

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**Cite this article as:** Gust KM, Abufaraj M, D'Andrea D, Moschini M, Soria F, Shariat SF. Second line immune checkpoint inhibition in urothelial cancer. Transl Androl Urol 2019;8(5):414-420. doi: 10.21037/tau.2019.09.18 01): An Open-label, Single-arm, Phase II Study. Eur Urol 2019;75:695-6.

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