



# Autophagy-related genes are potential diagnostic and prognostic biomarkers in prostate cancer

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**Background:** Recently, autophagy was found related to several malignances.

**Methods:** To explore the diagnostic and prognostic values of autophagy in prostate cancer (PCa), we first identified differentially expressed autophagy-related genes (DEARGs) based on The Cancer Genome Atlas (TCGA) Prostate Adenocarcinoma (PRAD) dataset. Gene ontology (GO) analysis and Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway enrichment were applied to perform gene functional annotation. Then, multivariate logistic regression analysis was applied to construct the risk index (RI). Receiver operating characteristic (ROC), calibration and decision curve analysis (DCA) curves were performed to identify the effectiveness of RI. Next, multivariate Cox regression analyses were performed to construct the prognostic index (PI) and autophagy-clinical prognostic index (ACPI).

**Results:** We identified 16 DEARGs and functional annotation demonstrated the relevance of these genes to autophagy and revealed the association of these DEARGs with digestive system, drug resistance and apoptosis. Then, the RI was constructed based on 5 DEARGs and the area under the ROC curve (AUC) was 0.9858. Validation based on Gene Expression Omnibus (GEO) datasets suggested that the RI was effective. Next, 7 ARGs were identified associated with overall survival (OS) and the PI was developed composed of 3 ARGs. Finally, ACPI was constructed based on PI and the M stage.

**Conclusions:** This study provided potential models for predicting the risk and prognosis of PCa and indicated the molecular insights of autophagy in PCa. While no other dataset was applied to test the effectiveness of the PI and ACPI models attribute to the well prognosis of PCa.

**Keywords:** Prostate cancer (PCa); prognosis; autophagy; The Cancer Genome Atlas; gene signature

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## Introduction

Prostate cancer (PCa) is the most common malignancy in genitourinary system, leading to the eighth cause of death in general population. There were 1,276,106 estimated new cases and 358,989 estimated deaths globally in 2018 (1). Since the late of 1980s, prostate-specific antigen (PSA) has been the most important biomarker for PCa screening (2). In 2012, the United States Preventive Services Task Force (USPSTF) recommended against PSA-based screening for PCa regardless of age groups (3). However, the USPSTF reviewed this recommendation in 2018 and proposed the opportunity of PSA screening should be discussed in men younger than 70 years old (4).

With the increasing awareness of diseases and the popularization of PSA screening, more and more patients were diagnosed at early stage. Even though, PCa acts as the third leading cause of death in men (5) and it remains to be a significant public health burden (6). Nowadays, localized PCa is treated in a variety of ways, including watchful waiting, active surveillance, surgery or radiotherapy, while management for advanced PCa remains to be a tricky problem for clinicians. Although a large number of clinical and molecular studies on PCa have emerged, the diagnostic and prognostic biomarkers have not been fully investigated.

Autophagy is the process of transporting damaged, denatured or aged proteins and organelles to lysosomes for digestion and degradation (7). Meanwhile, it can inhibit tumor formation by limiting inflammation, removing toxic unfolded proteins, and removing damaged mitochondria that produce reactive oxygen species (DNA damaging). Consequently, it is an important biological process which plays a crucial role in survival, differentiation and homeostasis (8). Under normal physiological conditions, autophagy is conducive to maintaining the homeostasis of the cell. During metabolic stress, autophagy can prevent the accumulation of toxic or carcinogenic damage proteins and organelles, and inhibit cell canceration (9-11). However, autophagy provides more nutrition for cancer cells and promotes tumor growth once the cancer has formed (12-14). Therefore, autophagy shows two sides in the process of tumorigenesis and development (15).

Numerous programs have explored the role of autophagy in the development and progression of PCa. Lu *et al.* (16) found that autophagy induced by overexpression of *DCTPP1* promoted tumor progression and predicted poor survival outcomes in PCa. Blessing *et al.* (17) demonstrated that transcriptional regulation of core autophagy and

lysosomal genes by the androgen receptor could promote the progression of PCa. On the contrary, Liu *et al.* (18) considered that *SGK1* inhibition-induced autophagy could impaired PCa metastasis by reversing EMT because of the amplified antimetastatic effects. Hence, it is of great value to investigate the role of the entire subset of autophagy genes in the diagnosis and prognosis of PCa.

Attributed to the advent of the era of big data and the development of high-throughput sequencing, it is convenient to study the diagnostic and prognostic values of autophagy-related genes (ARGs) in PCa patients from public databases. In our study, we identified five diagnostic ARGs and developed a risk index (RI) which could predict the risk of PCa with high accuracy. In addition, three survival related ARGs were investigated and a prognostic model was constructed. Furthermore, we developed a prognostic model based on both the ARGs and clinical characteristics. We present the following article in accordance with the TRIPOD reporting checklist (available at <http://dx.doi.org/10.21037/tau-20-498>).

## Methods

### Data processing

TCGA database of Prostate Adenocarcinoma (PRAD) including gene expression profiles and related clinical information were downloaded and extracted from the Genomic Data Commons Data Portal (GDC, <https://portal.gdc.cancer.gov>). The data of mRNA expression were transformed to values in transcripts per million (TPM). ARGs were obtained from The Human Autophagy Database (HADb, <http://www.autophagy.lu/index.html>), which is an autophagy-dedicated database aiming at reserving human genes involved in autophagy. mRNA expression profiles of GSE32571, GSE46602, GSE70768 were downloaded from Gene Expression Omnibus (GEO). All cases with missing values in required variables were deleted. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

### Differentially expressed ARGs and functional annotation

Genes exhibiting at least 2-fold changes along with an adjusted P value less than 0.05 were selected as significantly differentially expressed ARGs (DEARGs). Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway and gene ontology (GO) analysis of ARGs were carried

out using Database for Annotation, Visualization, and Integrated Discovery (DAVID, <http://david.abcc.ncifcrf.gov>, version 6.8) online tools: functional annotation. Enriched GO terms and KEGG pathways were identified according to the cut-off criterion of  $P < 0.05$ .

### **Construction of a RI based on ARGs**

Multicollinearity of DEARGs were evaluated by liner regression analysis. Then DEARGs having no collinearity with other variables were subjected to a multivariate logistic regression analysis using the method of Forward Stepwise (Likelihood Ratio) to retain the genes that might be an independent indicator in monitoring. Then, a RI composed of these genes was developed. The formula of RI based on a linear combination of the relative expression level of genes multiplied regression coefficients, which represented the relative weight of genes in the multiple logistic analysis. Next, receiver operating characteristic (ROC) and decision curve analysis (DCA) curves were performed to identify the effectiveness and benefits of RI. In addition, goodness-of-fit of RI was evaluated using Hosmer and Lemeshow tests (H-L Tests) and visualized with calibration curves. GEO datasets were used to validation the RI.

### **Survival related ARGs and construction of the prognostic index and autophagy-clinical prognostic index (ACPI)**

We first applied univariate Cox regression analyses to select the ARGs significantly associated with OS of patients with PCa. Then, a multivariate Cox regression analysis using the method of Forward Stepwise (Likelihood Ratio) was performed to screen the genes whose expression might be an independent factor related to prognosis. Subsequently, a prognostic index (PI) was constructed with a linear combination of the relative expression level of genes multiplied regression coefficients. Next, we separated PCa patients into high- and low-risk groups based on the median PI value to establish a survival curve plotted by Kaplan-Meier (K-M) method. Finally, we applied multivariate Cox regression analysis using the method of Forward Stepwise (Likelihood Ratio) to construct the ACPI. K-M method and ROC curve were used to assess ACPI.

### **Statistical analyses**

All statistical analyses were performed on R software (version 3.6.1). DEARGs were identified utilizing Wilcoxon

signed-rank test. Collinearities were defined as tolerances  $< 0.1$  or variance inflation factors (VIFs)  $> 10$ . Multivariate logistic regression analysis was used to construct the RI model. The ROC curves and the corresponding area under the curve (AUC) were performed by the package of “pROC” in R. The DCA curves were plotted using the package of “rmda” in R. Univariate Cox regression analyses were used to evaluate the relationship between expression profiles and OS. The PI and ACPI model were developed according to the results of multivariate Cox proportional hazards regression model. Both the univariate and the multivariate Cox regression analyses were performed with the package of “survival”. Nomogram and calibration curves were visualized using package of “rms”. All analyses were two sided and  $P < 0.05$  was statistically significant.

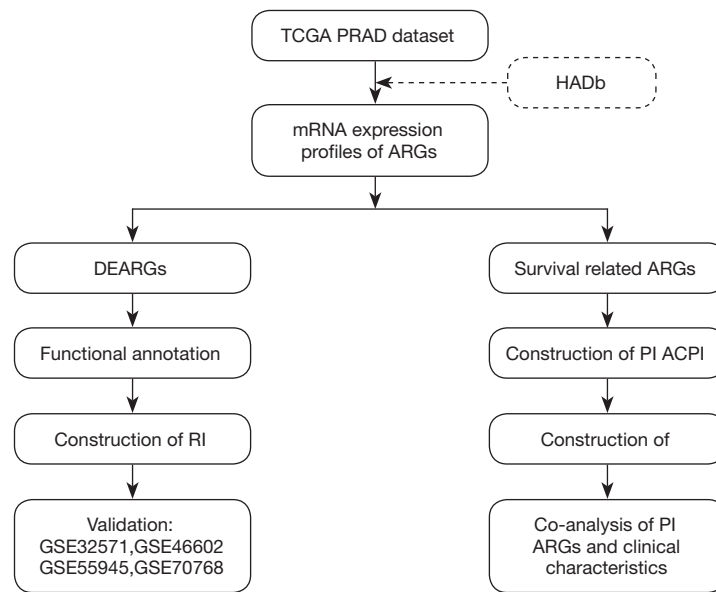
## **Results**

### **DEARGs**

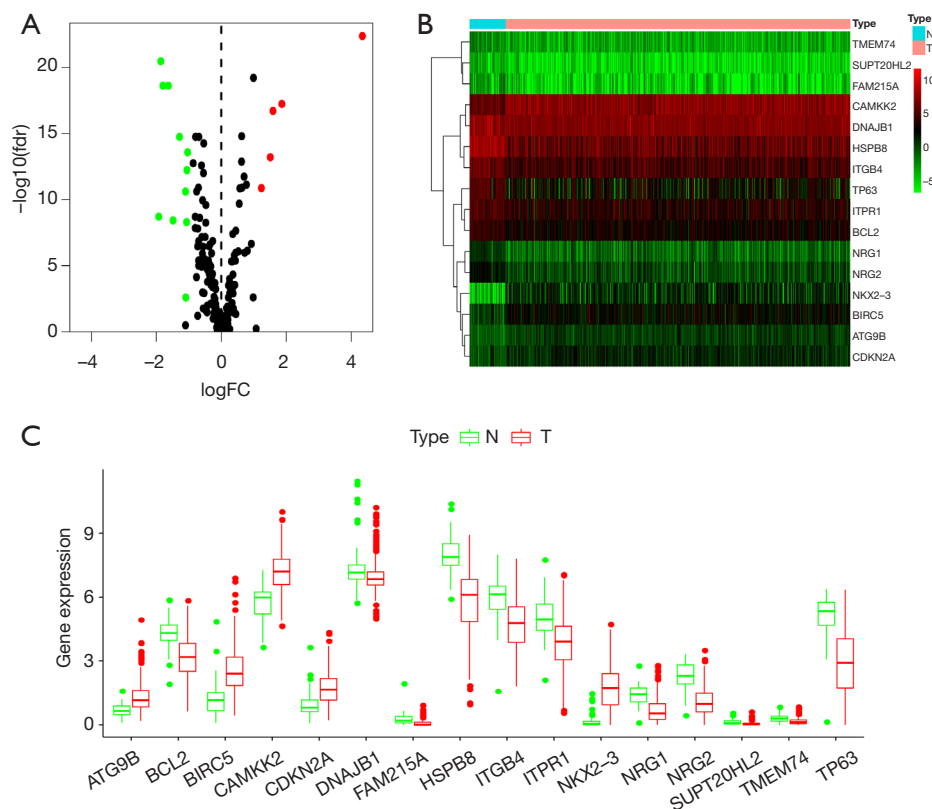
The flow chart of this study was exhibited in *Figure 1*. Gene expression profiles and corresponding clinical information of 52 normal tissues and 495 tumor tissues were extracted from TCGA. In total, we identified 16 DEARGs genes meeting the criteria of  $FDR < 0.05$  and  $|\log_2(\text{Fold Change})| > 1$  (*Figure 2A,B*). Among them, 5 ARGs (*ATG9B*, *BIRC5*, *CAMKK2*, *CDKN2A*, *NKX2-3*) were significantly up regulated and 11 (*BCL2*, *DNAJB2*, *FAM215A*, *HSPB8*, *ITGB4*, *ITPR1*, *NRG1*, *NRG2*, *SUPT20HL2*, *TMEM74*, *TP63*) were down regulated. Furthermore, box plots of the expression pattern of these 16 DEARGs were visualized in *Figure 2C*.

### **Gene functional annotation**

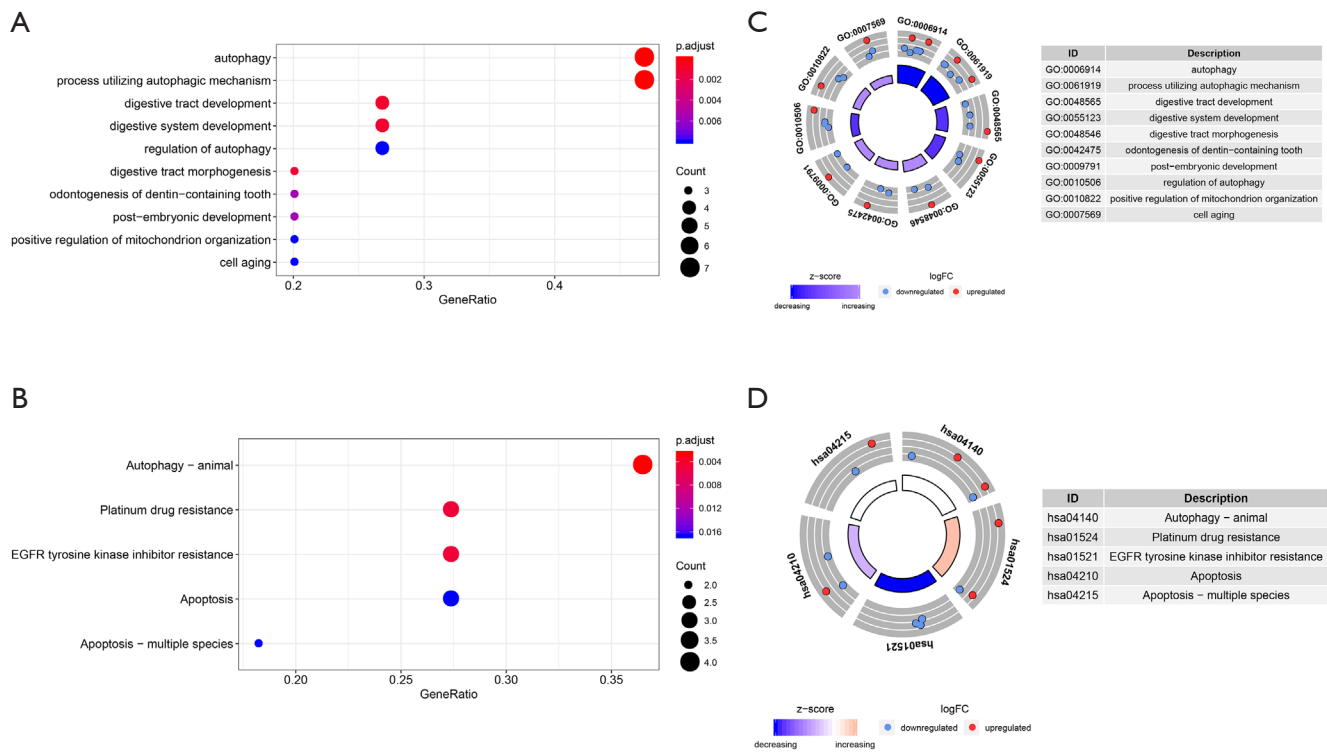
GO analysis and KEGG pathway enrichment were applied to perform gene functional annotation. *Figure 3A* exhibited the top 10 most significant GO terms for biological processes in GO analysis. We found that these DEARGs were notably associated with autophagy, process utilizing autophagic mechanism, digestive tract development, digestive system development, regulation of autophagy and so on. Besides, KEGG pathway analysis (*Figure 3B*) showed that these DEARGs were significantly related to autophagy-animal, platinum drug resistance, EGFR tyrosine kinase inhibitor resistance, apoptosis and apoptosis-multiple species. In a word, these results further demonstrated the relevance of these genes to autophagy, and at the same time,



**Figure 1** Flow chart of this study.



**Figure 2** Differentially expressed ARGs between PCa and normal prostate tissues. (A) The volcano plot for the 222 ARGs from the TCGA PRAD dataset. Red indicated high expression and green low expression. (B) The heatmap of DEARGs based on their expression levels. (C) The box plots of 16 DEARGs. Each red dot represents a tumor sample and blue a non-tumor sample. ARGs, auto-related genes; TCGA, The Cancer Genome Atlas; PRAD, prostate adenocarcinoma; DEARGs, differentially expressed autophagy-related genes.



**Figure 3** GO and KEGG pathway analysis of DEARGs. (A,B) Bubble plots of biological process GO terms and KEGG pathway analysis for DEARGs. (C,D) Circle plots of biological process GO terms and KEGG pathway analysis for DEARGs. The outer circle of circle plots showed a scatter plot for each term of the logFC of the assigned genes. Red circles represented up-regulation, and blue ones down-regulation. GO, gene ontology; KEGG, Kyoto Encyclopedia of Genes and Genomes; DEARGs, differentially expressed autophagy-related genes.

revealed the association of these DEARGs with digestive system, drug resistance and apoptosis. As shown in *Figure 3C,D*, the Z-scores of enriched pathways were mostly less than zero, indicating that most of the pathways were more likely to be decreased.

### Construction of RI

The tolerances of all the DEARGs were greater than 0.1 and VIFs less than 10, indicating there existed no multicollinearity within these genes (*Table S1*). Multivariate logistic regression analysis results were shown in *Table 1*. The formula of RI was as followed:  $RI = [3.320 \times \exp(ATG9B)] + [-0.005 \times \exp(DNA7B1)] + [-0.009 \times \exp(HSPB8)] + [1.895 \times \exp(NKX2-3)] + [-0.054 \times \exp(TP63)]$  [exp(ARG) represented the expression value of ARG]. The model was visualized in a nomogram (*Figure S1*). The classification accuracy in was 96.5%, with a positive predictive value (PPV) of 97.4% and a negative prediction

value (NPV) of 85.7%. It was noticed that *ATG9B* and *NKX2-3* had greater influences on the index. What's more, the coefficient of *TP63*, *HSPB8* and *DNA7B1* were negative, indicating that expression of *NKX2-3* and *ATG9B* were associated with an increased risk of PCa, while expression of *TP63*, *HSPB8* and *DNA7B1* were related to a decreased PCa risk.

To evaluate how well these 5 diagnostic ARGs and the RI predicted the risk of PCa, we then performed ROC curve analysis based on TCGA database (*Figure 4A*). The AUCs of *ATG9B*, *DNA7B1*, *HSPB8*, *NKX2-3*, *TP63* and the RI were 0.8308, 0.6368, 0.9182, 0.9389, 0.8958, 0.9858, respectively, implying a high prediction performance of PCa. Also, the DCA curve (*Figure 4B*) suggested that the RI model was beneficial. What's more, the goodness-of-fit is well with a P value of  $9.87 \times 10^{-1}$  in the H-L Test (*Figure 4C*, *Table S2*).

In addition, we tested the effectiveness and benefits of the RI in GEO datasets. As shown in *Figure 4D*, the



**Table 1** Uni- and multivariate logistic regression analysis of DEARGs

| Genes            | Univariate |               |                       | Multivariate |               |                       |
|------------------|------------|---------------|-----------------------|--------------|---------------|-----------------------|
|                  | OR         | 95% CI        | P value               | OR           | 95% CI        | P value               |
| <i>TP63</i>      | 0.913      | 0.895–0.931   | <1×10 <sup>-4</sup>   | 0.948        | 0.920–0.976   | 3.85×10 <sup>-4</sup> |
| <i>HSPB8</i>     | 0.985      | 0.981–0.988   | <1×10 <sup>-4</sup>   | 0.991        | 0.985–0.996   | 1.06×10 <sup>-3</sup> |
| <i>ATG9B</i>     | 18.527     | 7.184–47.782  | <1×10 <sup>-4</sup>   | 27.663       | 5.088–150.415 | 1.22×10 <sup>-4</sup> |
| <i>NKX2_3</i>    | 35.315     | 9.692–128.672 | <1×10 <sup>-4</sup>   | 6.655        | 1.810–24.467  | 4.33×10 <sup>-3</sup> |
| <i>DNAJB1</i>    | 0.998      | 0.997–0.999   | 3.74×10 <sup>-4</sup> | 0.995        | 0.991–0.999   | 6.00×10 <sup>-3</sup> |
| <i>CAMKK2</i>    | 1.034      | 1.024–1.044   | <1×10 <sup>-4</sup>   |              |               | 1.43×10 <sup>-1</sup> |
| <i>ITPR1</i>     | 0.969      | 0.959–0.980   | <1×10 <sup>-4</sup>   |              |               | 7.58×10 <sup>-1</sup> |
| <i>TMEM74</i>    | 0.003      | 0.001–0.021   | <1×10 <sup>-4</sup>   |              |               | 8.66×10 <sup>-1</sup> |
| <i>NRG1</i>      | 0.359      | 0.264–0.489   | <1×10 <sup>-4</sup>   |              |               | 2.71×10 <sup>-1</sup> |
| <i>NRG2</i>      | 0.424      | 0.349–0.515   | <1×10 <sup>-4</sup>   |              |               | 3.23×10 <sup>-1</sup> |
| <i>ITGB4</i>     | 0.972      | 0.964–0.981   | <1×10 <sup>-4</sup>   |              |               | 5.95×10 <sup>-2</sup> |
| <i>BCL2</i>      | 0.904      | 0.878–0.930   | <1×10 <sup>-4</sup>   |              |               | 8.05×10 <sup>-1</sup> |
| <i>CDKN2A</i>    | 2.262      | 1.616–3.164   | <1×10 <sup>-4</sup>   |              |               | 9.23×10 <sup>-1</sup> |
| <i>BIRC5</i>     | 1.882      | 1.502–2.359   | <1×10 <sup>-4</sup>   |              |               | 9.51×10 <sup>-1</sup> |
| <i>SUPT20HL2</i> | 0.000      | 0.000–0.001   | <1×10 <sup>-4</sup>   |              |               | 3.01×10 <sup>-1</sup> |
| <i>FAM215A</i>   | 0.001      | 0.000–0.011   | <1×10 <sup>-4</sup>   |              |               | 6.73×10 <sup>-1</sup> |

DEARGs, differentially expressed auto-related genes; OR, odds ratio; CI, confidence interval.

AUCs of the ROC curves were 0.8170, 0.9881 and 0.7343 for GSE32571, GSE46602 and GSE70768, respectively, indicating a high diagnostic value in all the datasets. What's more, the RI showed good benefits in all the datasets (Figure 4E). Finally, H-L Tests and the calibration curves showed well goodness-of-fit of the RI in these GEO datasets (Figure 4F,G,H and Table S2).

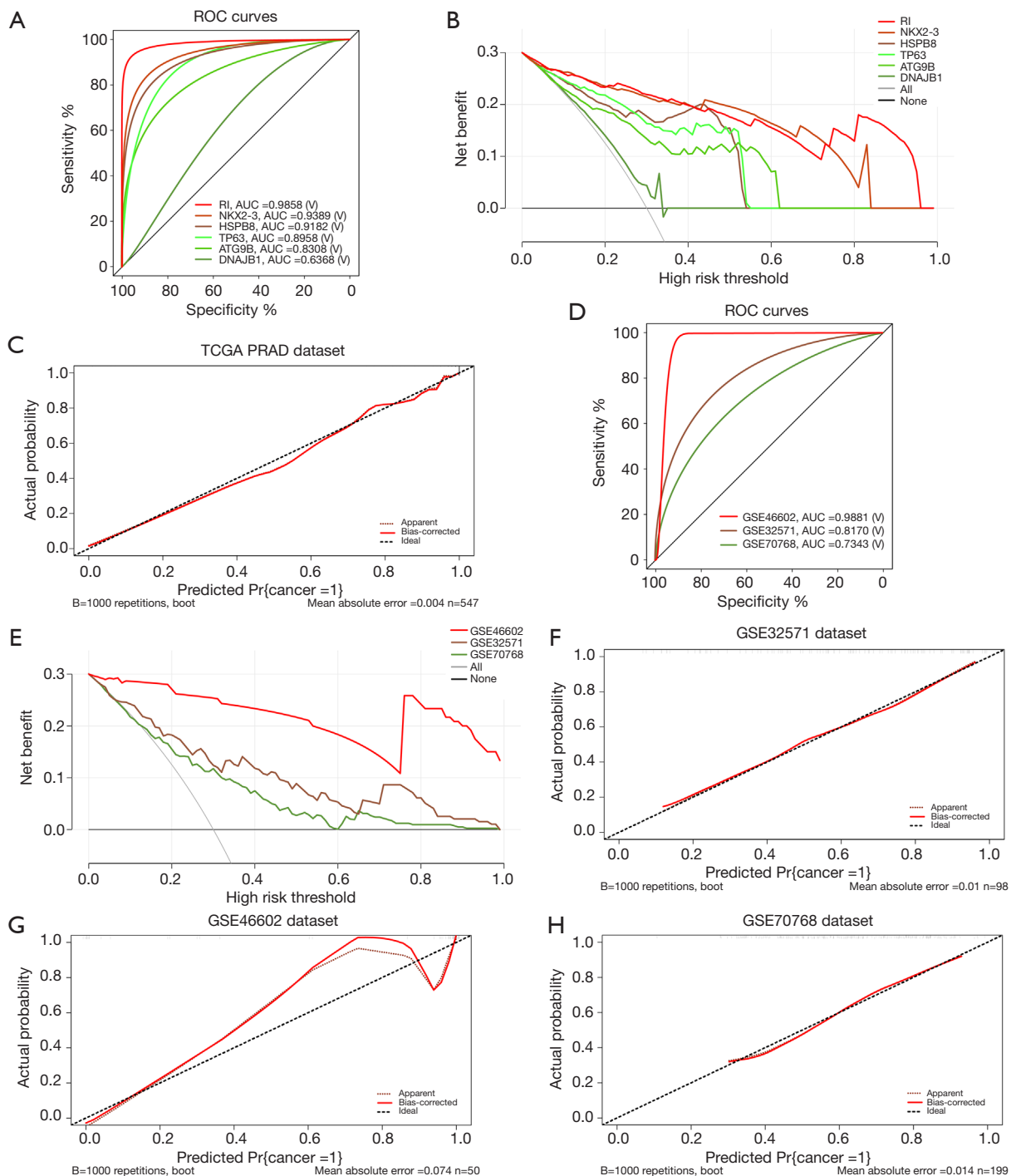
#### Identification of survival related ARGs and construction of PI

Using univariate Cox regression analyses, we identified 7 ARGs (*RAB33B*, *ATG16L1*, *NPC1*, *BNIP3*, *ATG3*, *USP10*, *TP53*) associated with PCa OS based on the data extracted from TCGA database (Figure 5A). Functional annotations of these genes were shown in Figure S2. Also, there existed no multicollinearity within these genes (Table S3). Further multivariate Cox regression analysis retained 3 survival related genes to construct the PI model:  $PI = [1.337 \times \exp(BNIP3)] + [0.861 \times \exp(NPC1)] + [1.079 \times \exp(TP53)]$ . Figure 5B,C showed the PI distribution of patients and the heatmap of the three genes' expression profiles in the

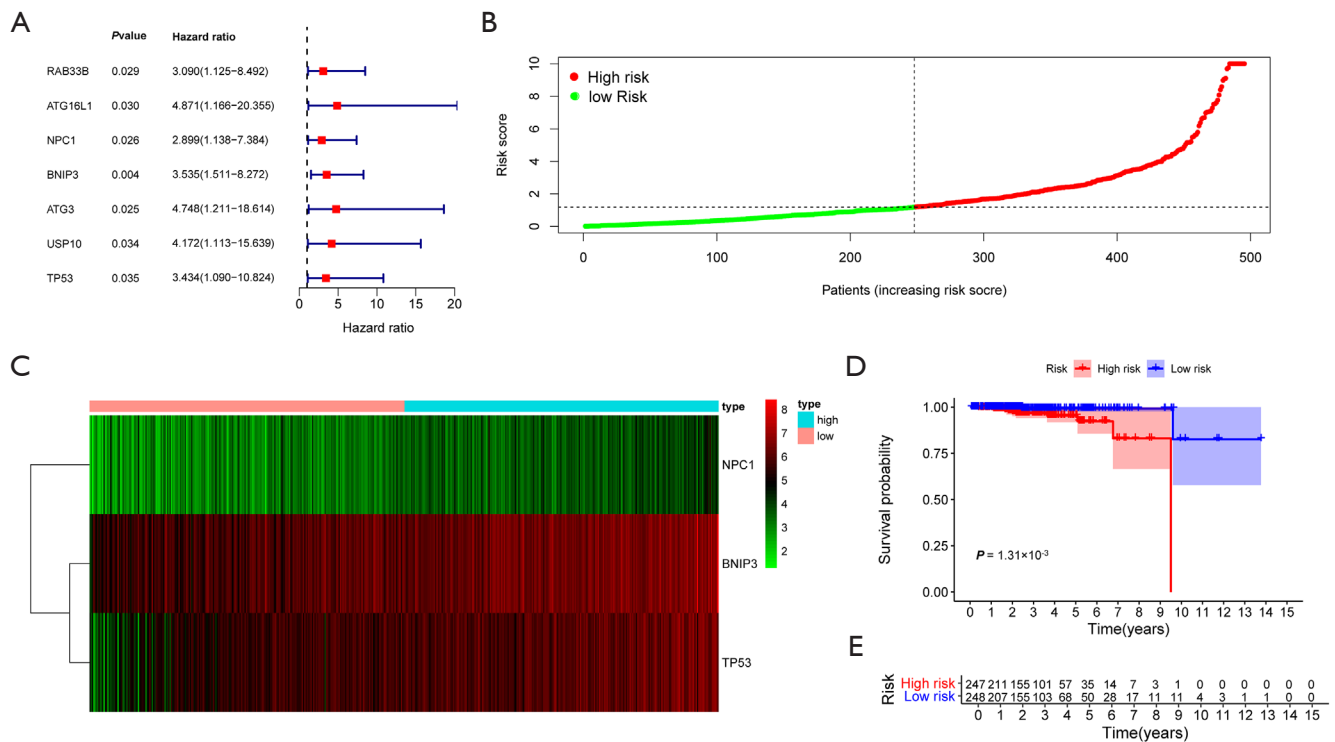
TCGA PRAD dataset. Furthermore, the K-M plot were developed to analyze the different survival time between the high- and low-risk groups based on the PI (Figure 5D). The results showed that patients in the high-risk group suffered significantly worse prognosis than those in the low-risk group ( $P=1.31 \times 10^{-3}$ ). Figure 5E exhibited the number of patients in high- and low-risk groups.

#### Construction of ACPI and the relationships between ARGs and clinical characteristics

Univariate Cox regression analyses detected that pathological N stage, clinical M stage, International Society of Urological Pathology (ISUP) grade along with PI were significantly associated with PCa OS (Figure 6A). Then, clinical M stage and PI were retained after multivariate Cox regression analysis (Figure 6B). The ACPI was developed as followed:  $ACPI = (4.457 \times PI) + 1.112$  (if there existed metastasis). The K-M plot suggested that this model could significantly separate bad prognostic patients from well ones (Figure 6C,  $P=2.63 \times 10^{-4}$ ). Figure 6D exhibited the number of patients in high- and low-risk groups. In



**Figure 4** Assessment and validation of the RI. (A) ROC curves for the 5 independent diagnostic genes (*ATG9B*, *DNAJB1*, *HSPB8*, *NKX2-3*, *TP63*) and the RI based on TCGA PRAD dataset. (B) DCA curves for the 5 independent diagnostic genes (*ATG9B*, *DNAJB1*, *HSPB8*, *NKX2-3*, *TP63*) and the RI based on TCGA PRAD dataset. (C) Calibration curves of the RI in TCGA PRAD dataset. (D) ROC curves and AUC for the RI based on the 4 datasets (GSE32571, GSE46602 and GSE70768). (E) DCA curves for the RI based on the 3 GEO datasets. (F,G,H) Calibration curves of the RI in GSE 32571, GSE46602 and GSE70768. RI, risk index; TCGA, The Cancer Genome Atlas; PRAD, prostate adenocarcinoma; ROC, receiver operating characteristic; AUC, area under the ROC curve; DCA, decision curve analysis; GEO, Gene Expression Omnibus.



**Figure 5** Survival related ARGs and the PI. (A) Forest plot of the 7-survival related ARGs. (B) The PI distribution of patients in the TCGA PRAD dataset. (C) The heatmap of the 3 key genes' expression profiles. (D) Kaplan–Meier plot compared the OS between patients in the high-risk group and those in the low-risk group. (E) The number of patients in different risk groups stratified by survival years. ARGs, auto-related genes; PI, prognostic index; TCGA, The Cancer Genome Atlas; PRAD, prostate adenocarcinoma; OS, overall survival.

addition, the AUCs of ROC curves for clinical M stage, PI and ACPI were 0.9603, 0.9364 and 0.9881, respectively, indicating a high prediction performance for PCa prognosis (Figure 6E,F,G).

Furthermore, we investigated relationship of the three prognostic genes (*NPC1*, *BNIP3*, *TP53*) and PI with clinical characteristic. As Figure 6H,I,J showed, elevated expressions of *NPC1* and *BNIP3* were dramatically associated with advanced pathological T stages. In addition, overexpression of *NPC1* was significantly related to high ISUP grades. On the contrary, elevated *TP53* expression was detected in patients with low ISUP grades. Finally, we observed higher PI value occurred in advanced pathological T stages and high ISUP grades.

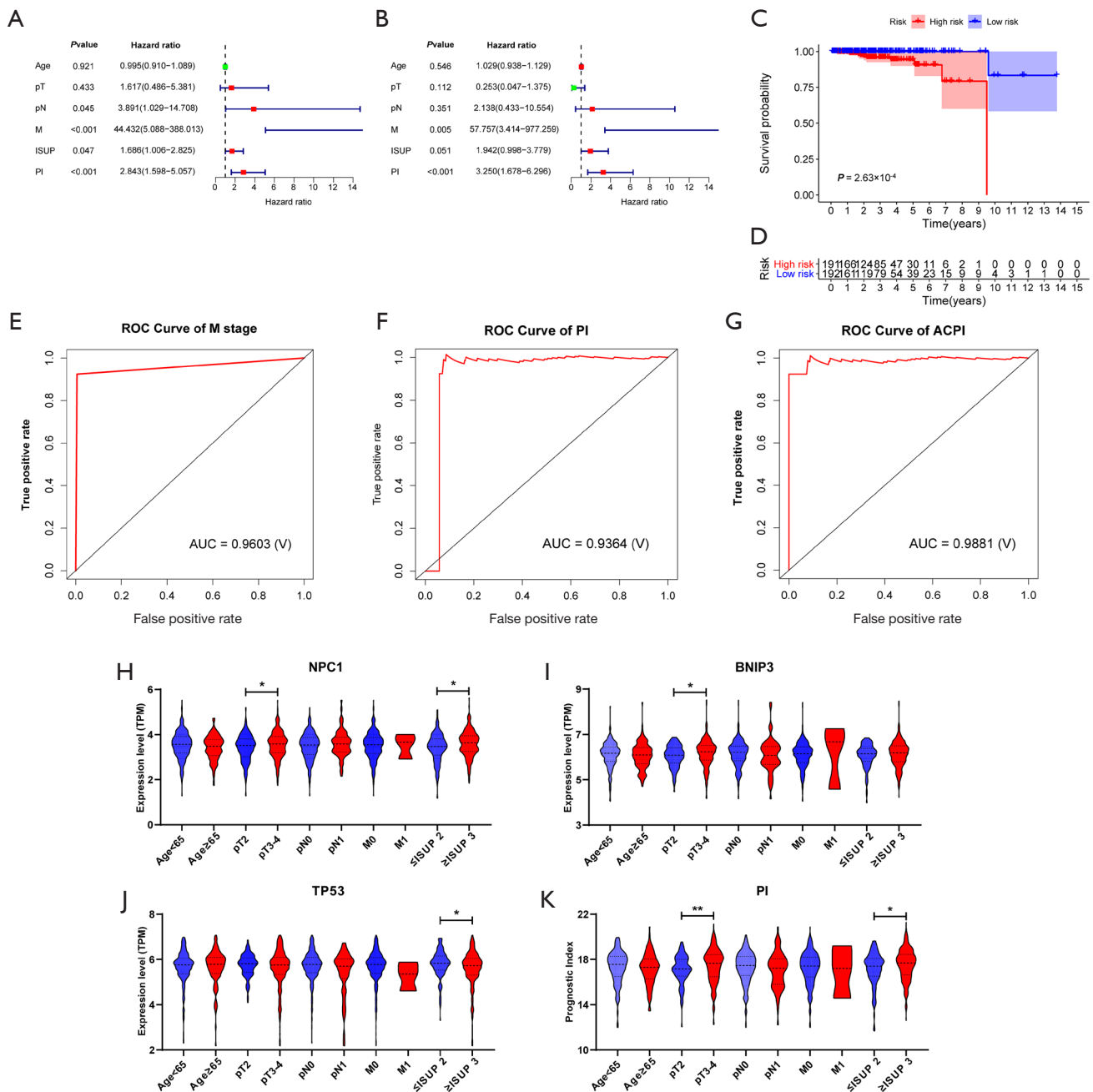
**Discussion**

On the whole, the incidence and mortality of PCa are high in general population (ranking the third and the eighth, respectively) (1). For patients with advanced or metastatic

diseases, there are no further satisfying treatment strategies, especially in castration resistant prostate cancer (CRPC) patients. Thus, it is very important to find out the potential biomarkers related to the diagnosis and prognosis of PCa. Roles of autophagy in tumorigenesis, progression, and therapeutic resistance has been reported in various cancers (9), such as glioma (19,20), breast cancer (21), hepatocellular carcinoma (22) and so on. However, most studies only limited to a signal ARG. In this study, we identified essential prognostic and diagnostic ARGs and further established predictive models.

A total of 16 DEARGs were identified between of 52 normal tissues and 495 PCa tissues. Subsequently, GO analysis and KEGG analysis were performed. GO analysis showed that these DEARGs were notably associated with autophagy, process utilizing autophagic mechanism, digestive tract/system development, regulation of autophagy and so on. Additionally, KEGG pathway analysis revealed that these DEARGs were significantly related to autophagy-animal, platinum drug resistance, EGFR tyrosine kinase





**Figure 6** ACPI and relationship between 3 prognostic genes and clinical characteristics. (A) Forest plot of the univariate Cox regression analyses for the relationship between genetic or clinical factors and OS. (B) Forest plot of the multivariate Cox regression analyses for the relationship between genetic or clinical factors and OS. (C) Kaplan-Meier plot compared the OS between patients in the high-risk group and those in the low-risk group separated by the ACPI. (D) The number of patients in different risk groups stratified by survival years. (E,G) Survival prediction ROC curves of clinical M stage, PI and ACPI. (H,I,J) The relationship between three prognostic genes (*NPC1*, *BNIP3* and *TP53*) and clinical characteristics. \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ . Student's *t*-test for normal distribution data and Mann-Whitney U test for non-normal distribution data. (K) The relationship between PI and clinical characteristics. \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ . Student's *t*-test for normal distribution data and Mann-Whitney U test for non-normal distribution data. PI, prognostic index; ACPI, autophagy-clinical prognostic index; ROC, receiver operating characteristic; AUC, area under the ROC curve; TPM, transcripts per million; OS, overall survival.

inhibitor resistance and so on. Moreover, a total of 5 ARGs (*ATG9B*, *DNAJB1*, *HSPB8*, *NKX2-3*, *TP63*) were recognized to be significantly related to the risk of PCa. Then, we identified 3 prognostic ARGs (*BNIP3*, *NPC1*, *TP53*) closely associated with OS of patients with PCa and developed a predictive model eventually.

Autophagy related gene 9b (*ATG9B*), plays a key role in the regulation of lysosomal degradation pathway. Studies have identified that mutations in this gene and disruption of the autophagy process were tightly related to multiple cancers. Previous study (23) discussed that HPV 16E6/E7 could activate autophagy via *ATG9B* in cervical cancers. Deficiency of *ATG9B* could suppress autophagy and promote hepatocyte apoptosis in hepatocarcinogenesis (24). DnaJ heat shock protein family (*Hsp40*) member B1 (*DNAJB1*) has been reported to be linked with many cellular processes, including the viral infection (25), endoplasmic reticulum stress (26) and proteasome pathway (27). In lung cancer, Park *et al.* (28) proposed that *DNAJB1* enhanced the cell proliferation by suppressing the stabilization of mitogen-inducible gene 6. Heat shock protein B8 (*HSPB8*) is a member of common small heat shock protein family. Shen *et al.* (29) found that *HSPB8* promoted cell growth and was related to a poor prognosis in patients with gastric cancer. NK2 homeobox 3 (*NKX2-3*) is located on 10q24.2 and encodes homeodomain-containing transcription factor. Li *et al.* (30) reported that *NKX2-3* expression levels was a valuable biomarker to predict the response effects of *FOLFOX4* chemotherapy in patients with primary advanced colorectal cancer. *TP63* is a famous tumor suppressor gene and plays an important role in regulating cell cycle, apoptosis and maintaining genomic stability.

Bcl-2/adenovirus E1B 19 kD protein-interacting protein 3 (*BNIP3*) is a member protein of the Bcl-2 family which contains only the BH3 domain (31). After cellular stress, *BNIP3* evolves into a homopolymer on the outer membrane of mitochondria, which induces apoptosis, necrosis and autophagy (32). Previous studies have indicated that upregulation of *BNIP3* was related to tumor progression and poor survival outcomes in many different cancer types. Giatromanolaki *et al.* (33) found that *BNIP3* over-expression was tightly linked with hypoxia-regulated protein expression and indicated poor survival outcomes in non-small cell lung cancer (NSCLC). Tan *et al.* (34) discovered that *BNIP3* expression was up-regulated in breast cancer patients with ductal carcinoma in situ (DCIS) and invasive carcinoma. Furthermore, poor survival outcomes and an increased risk of recurrence were found in DCIS when compared with

invasive carcinoma, which suggested a pivotal switch from a cell death to survival function induced by *BNIP3*. Singleton *et al.* (35) thought that *BNIP3* could act as a biomarker in follicular lymphoma with more aggressive behavior, and be of great value in the distinction from reactive lymphadenitis. In our study, we found that overexpression of *BNIP3* was related to advanced pathological T stage of PCa patients, thus may contribute to a worse prognosis.

*TP53*, is a tumor suppressor gene, located on the 17p13 with 11 exons. It plays an important role in cell cycle, DNA repair and apoptosis to maintain the genetic stability (36) by encoding the p53 protein (a 53-kD nuclear phosphoprotein). Because *TP53* is one of the important tumor suppressor genes (37), there have been a large number of studies on its role in tumorigenesis, development and prognosis. A relationship between elevated *TP53* expression and lower ISUP grades was detected in this study. Zang *et al.* (38) demonstrated that *TP53* mutation may represent poor prognosis in patients with advanced NSCLC, and the prognostic value differed in various mutated exons. Similar conclusions were drawn in many other cancer types, such as non-Hodgkin Lymphomas (39), chronic lymphocytic leukemia (40) and so on. Previous study (41) has proven that p53 tumor suppressor protein could regulate cell death in PCa cells by bridging the autophagic and apoptotic signaling pathways. A relationship between elevated *TP53* expression and lower ISUP grades was detected in this study.

Niemann-Pick type C1 (*NPC1*) maps to chromosome 18q11.2 with 28 exons. The transmembrane glycoprotein encoded by *NPC1* resides in the limiting membrane of endosomes and lysosomes, and mediates intracellular cholesterol transport through the binding of cholesterol to its N-terminal domain (42). Li and his colleagues (43) putted forwards that the *NPC1* N-terminal domain transferred cholesterol to a detected cavity on the other side of the protein taking advantage of flexibility of polyproline junction. Kagedal *et al.* (44) found that *NPC1* was over-expressed in patients with Alzheimer's disease (AD), which could be explained by the perturbed cholesterol homeostasis in AD. The results of this study suggested that overexpression of *NPC1* was associated with advanced pathological T stages and high ISUP grades, acting as a tumor promoting factor.

Recently, many diagnostic and prognostic ARGs were identified and predictive models were established for cancer patients based on the shared public databases and advanced bioinformatics analysis. Previous study (45) identified and validated a PI in bladder cancer patients using

prognostic ARGs. Wang and his teammates (46) developed a nomogram for glioma patients by combining autophagy signature and clinical outcomes.

In our study, we identified ARGs associated with the diagnosis and prognosis of patients with PCa. Furthermore, predictive models with perfect accuracy and benefits were developed based on these ARGs to predict the risk and prognosis of PCa. However, some limitations could not be ignored. Firstly, expression profiles and clinical data of this study were respectively downloaded from public databases. Prospective and multicenter studies are needed in the future to validate our findings. Secondly, lack of basic experiments to explore the molecular mechanisms through which ARGs modulate the development and progression of PCa. Thirdly, there was no other dataset could be applied to test the effectiveness of the PI and ACPI models attribute to the well prognosis of PCa, also, limited by the follow-up time of TCGA database, there might exist some bias in the survival analysis.

## Conclusions

In conclusion, this study identified ARGs and developed potential models for predicting the risk and prognosis of PCa. Further prospective studies and basic experiments are needed to validate our findings.

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## Footnote

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*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

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