

Revealing the morphology and function of the cochlea and middle ear with optical coherence tomography

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Abstract: Optical coherence tomography (OCT) has revolutionized physiological studies of the hearing organ, the vibration and morphology of which can now be measured without opening the surrounding bone. In this review, we provide an overview of OCT as used in the otological research, describing advances and different techniques in vibrometry, angiography, and structural imaging.

Keywords: Cochlea; cochlear mechanics; imaging; optical coherence tomography (OCT); organ of Corti (OoC); otology

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Introduction

The exquisite sensitivity and frequency discrimination capacity of the mammalian auditory system gives a strong survival advantage to many species which rely on cues from their acoustic environment. Researchers in audiology probe the inner ear to understand its basic mechanisms, and in order to learn more about its evolutionary development (1). From a clinical point of view, peripheral hearing loss is a significant public health issue, and the better understanding of the function of the hearing organ would lead to improved prevention and treatment of a variety of ailments. Optical coherence tomography (OCT) has contributed substantially to physiological studies of the inner ear and appears poised to also have a strong influence on clinical diagnosis of hearing disorders.

The peripheral pathway functions as follows, and a summary of the anatomy can be seen in *Figure 1*. The cochlea is a bony structure found bilaterally in the temporal bone of the skull (*Figure 1A*). Briefly, sound impinging on the tympanum elicits vibration of a chain of three bones, the auditory ossicles (*Figure 1B*). The third ossicle, the stapes,

is coupled via the oval window membrane to the fluid filled, three chambered cochlea (*Figure 1C,D*). A pressure difference in the fluid, across these chambers, induced by stapes vibration, causes a travelling wave of motion that propagates along the collagenous basilar membrane (BM), peaking at different locations depending upon the frequency of the acoustic stimulus (2). High frequency travelling waves peak closer to the base of the cochlea, and low frequency travelling waves peak closer to the apex. Termed tonotopicity, this frequency distribution arises in part from the passive mechanical properties of the BM, i.e., from its mass and stiffness, which vary as a function of place in the cochlea (3-7).

The hearing organ itself is the organ of Corti (OoC) (*Figure 1E,F*). This consists of two types of sensory cell, outer and inner hair cells, and assorted supporting cells, sandwiched between two structures, the BM and tectorial membrane (TM). Outer hair cells (OHCs) detect the relative shear displacement of the BM and TM (8), converting the kinetic energy of that displacement into transverse force. Inner hair cells (IHCs) detect the velocity of the surrounding fluid in the subtectorial space (9,10),



Figure 1 Anatomy of the cochlea. (A) CT scan of a human cochlea. Scale bar, 2.5 mm. The microstructures within are not visible using conventional CT. (B) Schematic of the middle and inner ears of a human. Sound impinges on the tympanum, and moves the three auditory ossicles (Malleus, M, Incus, I, Stapes, S). This causes a pressure difference across the three chambers cochlea, and sets up a travelling wave along the cochlea. (C) SD-OCT "B-scan" of the apex of the guinea pig cochlea *in vivo*. (D) Schematic of C. Three sections of organ of Corti (OoC) and Reissner's membrane (RM) are visible, as well as the chambers, the scala vestibuli (SV), scala media (SM) and scala tympani (ST). The chambers follow a spiral around the modiolus. Scale bar, 300 µm. (E) SD-OCT "B-scan" of the organ of Corti of a guinea pig *in vivo*, in the hook region, imaged through the round window. The microstructures are visible, but not at cellular resolution. Scale bar, 50 µm. (F) Schematic of E. The tectorial membrane (TM) is not visible in the structural scan. The inner and outer hair cells (IHC, OHC, yellow and blue, respectively), are equally not resolved. The distinct shape of the Hensen's Cells is resolvable (black), as is the tunnel of Corti (TC). OCT, optical coherence tomography.

when the membranes are displaced by sound induced vibration. The displacement or fluid velocity opens mechanoelectrical transduction channels in the linked tips of the stereocilia of the OHC and IHC, permitting Potassium influx from the highly electropositive scala media fluid (11,12), via these channels to pass through the reticular lamina (RL), the upper surface of the cellular OoC. The electrical activity of the IHCs induces neurotransmitter release, at their basal membranes, activating synapses which carry the neural signal along the auditory nerve to the brain.

These passive mechanical properties alone are not sufficient to provide the sensitivity and frequency tuning observed in mammalian hearing. An active, non-linear biological "cochlear amplifier" adds gain (13), dynamic range (14) and sharpens mechanical frequency tuning close to the peak of the travelling wave (15), through cycle-bycycle mechanoelectrical transduction by the OHCs (16,17). Therefore the neural signal generated by the deflection of free standing IHC stereocilia arises by means of a complex interplay of passive mechanics and active, physiologically vulnerable, non-linear processes (18) (*Figure 2*). Neither of these mechanisms are well understood, partially due to the poor anatomical accessibility of the cochlea, and partially because of the vulnerability of its normal function to some experimental paradigms used to investigate its function. Additionally, the diagnosis of middle and inner ear disorders, and treatments employing surgical approaches, e.g., cochleostomy, are complicated by these factors.

At this point, OCT has provided several major advantages to researchers. First, OCT has demonstrated the ability to surpass the cited 100 dB sensitivity required to reliably image the OoC (19), despite the reflectivity of this cellular structure being akin to that of the surrounding fluid [for the BM, using a 633 nm beam, a reflectance between 3×10^{-4} – 3×10^{-5} is estimated (20)]. Second, structures inside the middle and inner ears can be resolved without a direct line of sight, owing to the light penetration property of infrared light that provides OCT with an ability to see into the

tissue depth. For example, Recio-Spinoso and Oghalai (21) report reliable OoC displacement from a location approx. 1.5 mm deep, axially measured from the apical cap of the guinea pig cochlea. A similar location can be seen in the bottom right of Figure 1C. This means that the cochlea may remain intact, and, for studies of the middle ear, the ossicles may also be imaged through the tympanum. Third, due to the wider wavelength bandwidth in the light source, the coherence gate may be positioned at many depths and lateral positions relatively quickly. This is achieved by Fourier domain analysis of the interfering wavelets compared to a reference of a band limited light source. Finally, the spatial resolution conferred by OCT method is sufficient to compare regions within the OoC. While the absolute displacements of these structures range from 0.1-several hundred nm, the comparison of structures of interest, i.e., the top vs. the bottom of the OoC, a distance of approx. 100 µm, is well above the axial (3 µm) or lateral (10 µm) resolution of OCT systems (19). In practice this may be limited by optical constraints. This process provides a wealth of data, making relative movements of structures within the OoC, or the movement of a whole ossicle chain, far easier to visualize and interpret.

Many thorough reviews of OCT methods are available. This review compiles the advances and observations made in the clinic and the research setting for the main applications of OCT in Otology, as well as summarizing the technological principles and approaches of different OCT devices and paradigms, where they were important to the progress of the research field.

Cochlear imaging techniques

The primary techniques that have been used to image the living peripheral and central auditory system have been computed tomography (CT) (22-24) (*Figure 1.A*) and magnetic resonance imaging (MRI) (25-27). Currently, both are used as standard in diagnosing auditory pathology, such as inner ear malformation (28,29), acoustic neuroma (30,31), assessing patient suitability for cochlear implantation (32-34), and assessing the severity of endolymphatic hydrops in Meniere's disease (35-38). MRI and CT are well suited to these tasks, as their penetration depth greatly surpasses that of OCT. Indeed, this is the main clinical drawback of OCT in otology, necessitating development, which will inevitably rely upon endoscopic implementation for cochlear imaging. When imaging gross cochlear morphology with MRI, it has often been desirable to use contrasting agents



Figure 2 Iso-threshold mechanical tuning curve of the BM at the 18 kHz region of the guinea pig cochlea. The healthy cochlea is sharply tuned and sensitive *in vivo* (solid line), but becomes more broadly tuned and insensitive to sound post mortem. Modified from Nuttall and Dolan 1996 (18). BM, basilar membrane.

such as gadolinium, administered transtympanically, to aid the differentiation of the endolymphatic space from the perilymphatic spaces, and assessment of inner ear non ossicle tissue (39-42). Here OCT holds the advantage, whereby contrast labelling is unnecessary to identify fluid, tissue and bone. The field strength of currently used MRI scanners is not sufficient to image either the OoC or the stria vascularis (SV), and the radiation dose to the patient creates a safety limit for CT scanning resolution. As a result, the spatial resolution of CT is up to approx. 0.1 mm (43) and approx. 0.5 mm for MRI (26), compared to the potential 10 µm of OCT. OCT also uses infra-red light and as such does not carry the ionizing radiation dose concerns of CT.

Several studies have employed intravital microscopy to investigate the vascularization of the cochlear lateral wall in rodents e.g., (44-46). This technique produces high spatial [1–2 µm (47)] and temporal resolution real time images of blood network behavior under either label free or fluorescent conditions. However, it is technically challenging and clinically unsuitable, due to the difficulty inherent with opening the cochlear bone in order to access and visualize the lateral wall vessels, without damaging the cochlea. OCT permits angiographic imaging and blood flow measurement, label free, at reasonably high resolution, without opening the cochlea.

Ultrasound has been employed to image the cochlea, however it has not been used in the clinic. A typical

ultrasound device may produce a resolution of 100–500 µm, depending upon the frequency of the transducer. Increasing frequency decreases penetration depth but increases resolution (48). Inner ear imaging has been achieved *in vitro* in human temporal bone explants (49,50), and *in vivo* with rodents (also collecting vibrational data) (51). The penetration depth of ultrasound is superior to that of OCT, but the lateral and axial resolution of images is much poorer. Drawbacks of ultrasound imaging include its poor capacity to image through bone as well as gas-filled cavities. These factors strongly limit its potential for imaging the inner or middle ears.

Better imaging of intracochlear microstructures could undoubtedly contribute to more precise diagnosis of inner ear disorders. For instance, age-related hearing loss is commonly subdivided in strial, sensory, and neural types, but there is no method that can differentiate between these types. This lack of precise diagnosis also hinders the development of more precisely targeted therapies.

Vibrometry

A wide range of tools, both obsolete and contemporary, exist to characterize and understand the physiology of acoustic transduction in the cochlea. Technical development included stroboscopy (3), the Mossbaeur technique (15,52,53), fuzziness detection (54), capacitive probes (14,55-57), laser Doppler vibrometry (5,58-62), displacement sensitive heterodyne laser interferometry (63), slit confocal and confocal laser scanning microscopy (64-72), video confocal microscopy (73), self-mixing laser interferometry (6,17,74-84), and dual pressure/voltage probes (85-91), and low coherence interferometry (92,93). All of the above approaches have been used successfully in animal models, but clinical suitability is limited. For a detailed review of the above technology, see Nuttall and Fridberger [2012] (94).

In general, OCT surpasses the non-optical approaches listed above in terms of sensitivity. The laser based methods (except fuzziness detection, self-mixing, and confocal methods) require reflective beads to be placed upon the target structure, a step unnecessary with OCT. It should be noted that confocal and stroboscopic approaches are limited to *in vitro* applications at this time due to their sensitivity to gross physiological noise, e.g., breathing. Coherent light source techniques may only measure vibration from a single point in space, while OCT extracts the vibration pattern of all structures within its coherence gate. Low coherence interferometry may also do this, and requires no reflective target and is in fact more sensitive than OCT, but, like all of the above techniques (excepting confocal), it cannot provide simultaneous volumetric imaging of the target structures. Crucially, all of the above vibrometry techniques require direct line of sight to the target, making OCT comparably non-invasive and versatile as it may image through the cochlear bony wall, or the tympanum.

In order to understand the role that OCT has played in moving several fields of hearing research forwards, the basic principles of OCT shall be outlined below.

OCT method

Brief basis of OCT and its derivatives relevant to hearing research

OCT originates from optical interferometry, which establishes efficient interference between a split and later recombined broadband optical field. For a detailed review of the developments of OCT, see respective work by Fercher and Tomlins (95,96). Figure 3A shows a typical OCT schematic. The split field from the light source propagates in a reference arm, reflecting from a reference mirror, and also in a sample arm where it is backscattered from within a scattering sample. Due to the optical property of broadband light source used, the interference between the optical fields is only observed when the optical path lengths in the reference and sample arms are matched to within the coherence length of the light. As a consequence, the depth (axial) resolution of an OCT system is determined by the temporal coherence of the light source. A change in refractive index within sample produces an intensity peak in the interference pattern. A depth profile (i.e., A-scan) can be obtained by translating the reference mirror to change the reference path length and match multiple optical paths due to localized backscattering within the sample. This strategy is often referred to as time-domain OCT (97).

Depth information can also be retrieved from the measurements of spectral interferograms by Fourier transformation of the output spectrum. In this case, the optical path length in the reference arm remains constant and spectral interferograms of the OCT output are detected by an optical spectrometer (*Figure 3B*). Such arrangement is usually referred to as spectral domain OCT (SD-OCT) (95,96). Alternatively, the broadband light source in *Figure 3A* can be replaced by a swept laser source, and resultant wavelength-dependent interference signals



Figure 3 Schematic of basic OCT system based on a Michelson interferometer. (A) Time-domain OCT where the output light field is directly detected by a photodetector, and (B) spectral domain OCT system where the output light field is split by a diffraction grating, and component frequencies are detected by a linear detector array. OCT, optical coherence tomography.

detected by the photo-detector are Fourier transformed to derive the depth information. This strategy is commonly referred to as swept-source OCT (SS-OCT) (95). Both SDand SS-OCT belong to Fourier domain OCT category in the optics community.

A two- (2D, B-scan) or three-dimensional (3D, C-scan) OCT image can be acquired by aligning adjacent A-scans, as shown by OCT images in Figure 1C,E. These A-scans are performed while laterally scanning the probe beam in either one or two orthogonal directions. Based on Nyquist sampling theorem, a spacing between adjacent A-scans within a B-scan is typically selected to be approximately half of the transverse resolution of the OCT system. Given a fixed A-scan rate, this spacing selection determines the system imaging frame rate, similar to that of ultrasound imaging. In scattering tissue and other turbid media, the actual imaging depth is limited primarily by optical scattering, and hence maximum reported imaging depths are between 1–3 mm (98) for a variety of tissues at wavelengths between 800 and 1,300 nm. Since the bony cochlear tissue is highly scattering, the use of 1,310 nm in the OCT has an advantage over 800 nm wavelength when applied to the hearing field, simply because the light scattering potential is inversely proportional to the wavelength (99). Section "Inner ear imaging" details the applications of OCT imaging in the hearing field.

There are two OCT metrics that are particularly important in the hearing research, which are the system sensitivity and the depth-of-focus. The sensitivity of an OCT system, also called optical dynamic range, can be defined as the ratio of the signal power generated by a perfectly reflecting mirror (i_p^2) to the noise of the system (i_n^2) (95), i.e., the power signal to noise ratio (SNR).

$$SNR = \frac{i_p^2}{i_n^2}$$
[1]

The signal photocurrent at the detector i_p is due to the effective interference term in the system, that, in the case of an ideal 50:50 beam splitter and a perfectly reflecting sample, is equal to the total source power P. The optical detection in OCT has three significant sources of noise: shot noise, optical intensity noise and thermal noise. However, OCT is by nature a heterodyne detection scheme optical system. Therefore, it can be arranged to achieve shot-noise limited optical detection whereby the reference arm optical field is much greater than that in the sample arm. This is often the case in OCT when imaging highly scattering and absorbing biological samples. In the shot noise limited case the optical intensity and thermal noises become negligible. The system sensitivity is important when cochlear vibration and blood flow are measured since it determines the phase noise in the system.

In practical applications investigators should be aware of the system stability, often measured by the phase stability of the light source. Phase stability is particularly important when applying OCT to hearing research. While SD-OCT is inherently phase stable since all the spectral sampling points are obtained simultaneously by a linear detector

array, it is generally difficult for SS-OCT to achieve phasesensitive detection due to two reasons: (I) limitations in wavelength sweeping repeatability (100); and (II) potential jitter errors in acquiring spectral interferograms (101-103). Degraded phase-stability of SS-OCT has been the key issue that limits its application in vibration measurement in hearing research. A number of phase-stabilization techniques have been reported in the literature in order to enable SS-OCT for applications where phase-sensitive detection is needed. A most common practice to phasestabilize a SS-OCT is to introduce a calibration mirror signal through hardware improvement, upon which to measure the phase error and then to compensate it in the measured signal (100,104). With respect to SS-OCT systems used in hearing research, a reference interferometer with a known optical delay is used to calibrate against phase jitter (105). The most recent advance in this inquiry is the development of fully numerical technique to eliminate its potential jitter noises introduced by the wavelength sweeping mechanism (106).

Common to optical microscopy, the lateral resolution and depth of focus are coupled in OCT, meaning the increase of the lateral resolution would sacrifice the depth of the focus within which the lateral resolution of the system is kept. This can be seen by inspection of Abbe's rule for the lateral resolution Δx (107),

$$\Delta x = 1.22 \frac{\lambda}{2NA_{obj}}$$
[2]

 NA_{abj} is the numerical aperture of the microscope objective, which is inversely proportional to the lateral resolution. However, the depth of field Z of such an objective is then given by (107)

$$Z = 2\frac{\lambda n}{NA_{obj}^2}$$
[3]

where n is the sample refractive index. The effect of the limited depth of field of high NA objectives is to reduce the detected optical signal beyond this distance, restricting the axial scan range. This is particularly important in hearing research because one always demands a high resolution in order to distinguish the closely situated microstructures of the organ of Corti both laterally and axially. Equally the guinea pig cochlea is approx. 4 mm tall from apex to upper basal turn, with discrete structures of interest. It is therefore important to place multiple structures inside the depth of focus without refocusing (e.g., multiple OoC cross sections visible in *Figure 1C*). This is because experimental duration

affects recording comparability, as the health of the nonlinear cochlear amplifier may change over time (e.g., *Figure 2*). Acquiring essentially simultaneous mechanical responses from multiple locations informs our understanding of longitudinal energy propagation.

OCT angiography

Recent development of OCT angiography (OCTA) (108,109) extends the OCT applications from pure structural imaging to functional imaging by enabling blood flow mapping of living subjects, pushing the OCT development into a new height. By measuring the differences in OCT signals caused by moving cells, OCTA utilizes the flowing red blood cells as the intrinsic contrast agent to generate blood flow signals, allowing the visualization of vascular networks without a need of dye injection.

The basic concept of OCTA is to use the moving particles, for example red blood cells (RBCs), in biological tissues as an intrinsic contrast agent to image blood flow. Imagining there are two OCT signals—one is backscattered from surrounding biological tissue and the other one is backscattered from flowing RBCs within a functional vessel-over time, the OCT signal from tissue components remains relatively steady because there is no movement in the tissue, while the OCT signal from flowing RBCs changes over time, as shown in a simplified schematic Figure 4. By calculating the differences in OCT signals acquired at the same location at different time points, OCTA distinguishes the moving particles from static tissue, and therefore is able to generate flow signals and allows the visualization of microvascular networks in biological tissues without the need for intravenous dye injection.

OCT signal is naturally a complex function, consisting of amplitude and phase information, and can be written as the equation below:

$$S_{OCT}(x, y, t) = I(x, y, t) \exp\left[-i\Phi(x, y, t)\right]$$
[4]

where I(x, y, t) indicates the amplitude component and $\Phi(x, y, t)$ presents the phase component in an OCT signal. Various OCTA methods are developed to extract the flow signal based on different components of OCT signal.

In OCTA, there are a number of ways that may be used to extrapolate vascular information from the scanned tissue volume. Typically, OCTA utilizes either a phase- (110), amplitude- (111), or complex-based algorithm (112-114), all of which employ the same data processing procedures,



Figure 4 A simplified schematic describing the OCTA concept. (A) Signals are sampled from five points in the A-scan, where three pixels (1, 2, and 5) are located at the static tissue, and two pixels (3 and 4) are located within a functional blood vessel. (B) Dynamic changes in the OCT signals for pixel 3 and 4 can be observed over time while signals from pixel 1, 2, and 5 remain relatively steady. OCT, optical coherence tomography.

only on different information within the OCT signal. A recent study compared the performances of all three OCTA algorithms and concluded that complex-based information provides the best performance for OCTA imaging (115). This is because the complex-based methods of extraction utilize both phase and amplitude changes in the returning light signal to extract pertinent information. While phase changes are more sensitive to movement, i.e., the moving RBCs, providing more detailed information about flow, phase information is also more susceptible to other motion noise (e.g., breathing, blinking), which subsequently makes detecting slow or low blood flow difficult. On the other hand, by utilizing amplitude information, the method would be more immune to a phase noise caused by small motion in the tissue, but at the cost of sacrificing sensitivity to slow capillary flows. Combined, a synergistic effect results in the most accurate information concerning both larger vessels with fast flow and smaller vessels with slow flow.

Foreseeing this outcome more than a decade ago, Wang *et al.* proposed a novel method, termed optical angiography (OAG), to convert the modulated OCT signal into a complex function using a modified Hilbert transform with the aim of separating the blood flow signal from that of static tissue (116). A more recent version, termed optical microangiography (OMAG), is in use today in ophthalmic clinic, which typically uses either differential (114,117) or eigen-decomposition (118-120) (the more common method

for cutaneous microvascular imaging) over the modified Hilbert transform to extract vascular information. Since its better performance compared to other algorithms (115), such approach has been explored to image cochlear blood perfusion through bony tissue (121-124).

Section "OCT imaging development and use in research" details the applications of OCT angiography imaging in hearing physiology.

OCT vibrometry

Arguably the most impactful development of OCT for hearing research, OCT vibrometry relies upon differential comparison of consecutive structural scans, detecting phase changes caused by vibration of the middle ear ossicles or sensory epithelium, in a way similar to the tissue Doppler approach (125,126). In fact, OCT vibrometry relies upon the traditional cross-correlation of sample and reference beams that are used in Michelson interferometers (127). The method is based on Fourier domain implementation of the OCT system and analyzes the phase information contained in the OCT signals, i.e., phase sensitive OCT (PS-OCT) to realize the measurement of the nano motions in the OoC. Followed by a Fourier transform (FT) analysis of the measured phase changes in the spectral interferograms induced by the nano motion of the OoC, PSOCT has been demonstrated capable of characterizing and imaging the vibration of cellular compartments in the OoC with an unprecedented sensitivity down to ~ 0.5 Ångströms (19).

When the OoC receives sound stimulation, its constituent cellular compartments would respond with different degrees of vibration magnitude relative to the stimulation sound, which ultimately stimulate the mechanosensory receptors. In this case, the vibration of the cellular compartments in the OoC will cause optical path length changes of light that are backscattered by the scatters located at position, z, within the OoC over time, t, which subsequently induces phase changes in the OCT signals. Thus, assuming the sound stimulation is of a single frequency, the phase term in OCT signal {Eq. [4]} becomes

$$\phi(z,t) = \frac{4\pi}{\lambda} A \sin(2\pi f_0 t) + \varphi$$
^[5]

where A and f_0 are the magnitude and frequency, respectively, of a sinusoidal vibration source. ϕ is a random phase due to the optical system noise. A differentiation operation to the equation above can be performed:

$$\Delta\phi(z,t) = \frac{8\pi^2}{\lambda} A f_0 \cos(2\pi f_0 t) \Delta t + \xi(t)$$
[6]

Here, $\xi(t)$ is a phase noise caused by the system noises, including photon shot noise, thermal noise and electronic noise. Δt is reciprocal of the sampling frequency. The sensitivity of vibration f₀ measurement is boosted by the FT analysis of Eq. [6]. This FT frequency analysis amplifies the signal of interest for detection, and it does not affect the Gaussian noise (statistically). For the OCT system that is typically used in hearing research, it is reasonable that the system-phase noise is assumed to be the Gaussian noise. Therefore, the FT analysis of the measured phase changes would dramatically enhance the SNR for the signal of interest (typically 20 dB more), which is essential for measuring the vibrations of the cellular compartments in the OoC with a magnitude normally at a sub-nanometer scale, in a system where normal physiological function, and therefore the gross physiological noise that accompanies it, is vital.

OCT imaging development and use in research

Inner ear imaging

The utility of OCT in imaging the cochlea was first demonstrated using rat cochlear explants (128) (*Figure 5A*).

This was followed by experiments in the guinea pig and further studies exploring the effects of different wavelength sources (130,131). OCT has a resolution, safety, and cost advantage over conventional imaging methods. For example, the spatial resolution of MRI at the time of the study was 1 mm, compared to 10 µm in OCT, providing real time images of the interior structure of the cochlea. OCT also possesses a dynamic range and tissue differentiation advantage over ultrasound and MRI.

Extensive animal studies highlight the potential use of OCT for diagnosis of inner ear pathology. Notable examples include estimating the distention of the scala media in a murine mutant model of endolymphatic hydrops (132,133) or blast and noise exposed mice (134), 3D analysis of the cochlear morphology of the guinea pig after surgical induction of endolymphatic hydrops (135), and analysis of intracochlear tissue in the excised cochleae of Tecta mutant mice compared to wildtype (136). It should be noted that some of these studies employed bone thinning or decalcification protocols (137,138), in order to improve image quality and depth penetration, highlighting yet again that bone density and thickness is a challenge yet to be overcome. The striking improvement of image resolution and subsequent anatomical detail analysis by opening the cochlea is especially apparent in (136).

The high spatial resolution potential of OCT is exemplified in the development of a paradigm termed μ -OCT (129) (C-scans using this method are shown in *Figure 5B,C*) which so far provides subcellular detail of fixed guinea pig cochleae. The lateral resolution of this paradigm is approximately 2 µm. Such imaging power can resolve individual auditory nerve fibers crossing the space of Nuel and permits the identification of individual hair cells and supporting cells. However, the technique has yet to be attempted *in vivo*.

Clinical applications of imaging

A major obstacle for employing OCT in human intracochlear imaging is the penetration depth of the incident light, which for a 1,310 nm light source is approx. 1.25 mm on average (131). Pending further development of brighter superluminescent diodes or those with longer central wavelengths, an endoscopic approach has been adopted, leading to exploration into how it may be employed in the clinic, with transtympanic fiber optic studies in human and porcine temporal bone preparations (139-141). Direct OCT imaging of the decalcified human



Figure 5 Improvements in resolution. (A) The first OCT "B-scan" of the inside of the rat cochlea *ex vivo*. As in *Figure 1C*, the scalae are visible, as is the OoC and RM. Arrows indicate the interface between the OoC and endolymph (stemmed arrows) and RM and perilymph (arrowheads). Taken from Wong *et al.* [2000] (128). (B) µ-OCT "C-scan" of a fixed guinea pig cochlea *ex vivo*, and (C) its schematic. Cellular resolution is apparent, most prominently the outer pillar cells (OPC). Both the tunnel of Corti (TC) and the space of Nuel (SN) are visible. Incredibly, this image displays clearly the individual nerve fibers (NF) which cross the SN. Modified from Iyer *et al.* [2016] (129). OCT, optical coherence tomography; OoC, organ of Corti.

cochlea has also been undertaken (142).

Despite the difficulty in imaging internal cochlear structures in the human, OCT can be employed perisurgically, in order to appropriately target cochleostomy in the promontory, for accurate and safe cochlear implant insertion. While direct mechanical interaction with the cochlea inevitably causes trauma and associated hearing loss, proper siting and guidance during surgery reduces the risk of damaging residual hearing (143), currently a priority in CI surgery. OCT devices have been successfully combined with operating microscopes and have produced anatomical imaging for line-of-sight surgery in temporal bone preparations (144,145). Significant advancements in laser cochleostomy have been made by using OCT structural imaging as a guidance system. The ability to differentiate between endosteum and bone allows for micron precise ablation of the bone by the pulsed laser, avoiding residual tissue left in place by drill techniques (146,147). OCT has also been used to guide ultrafast laser ablation to facilitate visible light microscopy (148).

Middle ear

Wide field of view OCT imaging of the middle ear cavity (149) has multiple applications: aside from use in basic research to understand middle ear mechanics (see section "Middle ear mechanics"), its suitability is apparent for the diagnosis and appraisal of middle ear disorders (150,151). The tympanum is very thin, and poses a minor light scattering and attenuation obstacle to OCT systems optimized for imaging the middle ear. Because of this, OCT imaging of the middle ear may be completely non-invasive, requiring only an otoscopic mount (152,153).

The sensitivity of OCT to the composition of structures in the beam path is well demonstrated by its use in quantifying the characteristics of middle ear effusions associated with otitis media. An example study calculated the dynamic light scattering properties of middle ear biofilms, in order to assess the type of middle ear effusion in human patients undergoing myringotomy surgery (154). The technique, using a hand held OCT device, could detect a quantitative difference between middle ear effusion, and glue ear conditions.

Assessment of the condition of the annulus and the stapes footplate is possible using perioperative OCT (155). The identification of the abnormal stapes fixed to the oval vestibule, can aid the surgeon during stapedectomy surgery. A useful improvement of this technique would be to combine it with a non-invasive OCT scanner, for pre-surgical preparation and investigation.

Measurement of blood flow in the cochlea

The OoC is metabolically demanding, and the maintenance of the physiologically unique endocochlear potential requires specialized ion transport. The SV fulfills these functions, and thus the OoC is sensitive to pathological changes in this



Figure 6 Examples of OCTA. (A) Doppler OCTA cross "B-scan" of the 12-16 kHz (solid oval) and apical (dashed oval) regions of the mouse cochlea. Flow in capillaries and larger arterioles and venules are visible (red color map), as is morphology. Combined with the geometry of the vessels, Doppler OCTA can produce quantified flow. Modified from Dziennis *et al.* [2012] (123). (B) En face OCTA image of the lateral wall of the mouse cochlea, in the 12–16 kHz (left) and basal turn (right) regions, acquired through the intact cochlear bone. Individual capillaries are visible, and the brightness of the capillaries corresponds to flow velocity, and thus a relative measurement of flow.

tissue. Age related hearing loss (presbycusis) has long been related to atrophy of the SV gross structure, gap junction composition, and active transport mechanisms (156-160). Additionally, genetic mutations in SV can contribute to sensorineural hearing loss (161-163). Acute imbalance of the homeostasis of the cochlea by hypoxia or manipulation of gross blood physiology (164) and also by loud sound (165,166) reduces cochlear blood flow *in vivo*. There are also suggestions from laser Doppler flowmetry (167) and perilymphatic oxygen tension (168) studies that vascular pathology may play a role in idiopathic sudden sensorineural hearing loss .The condition of the SV is therefore a variable that should be monitored in human hearing, but the aforementioned obstacles which prevent successful imaging of the OoC with conventional techniques apply.

Aside from the resolution, cost and invasiveness issues with MRI, CT and radioactive techniques, laser based methods e.g., laser Doppler flowmetry cannot provide spatial information on flow, and it has been reported that non-specific flow signal from non-cochlear vessels (167) or from vibrations of the OoC during loud sound exposure (169,170) can influence or impede interpretation of data. For a review of conventional blood flow methods previously used in research, see respective work by Ren *et al.* [1994] and Nuttall *et al.* [1988] (171,172).

Specific OCT angiography (OCTA) paradigms can pave the way forward for *in vivo* imaging of the SV for research and clinical purposes. OCTA was first described as a converted use of laser speckle analysis (111), and this has led to extensive development of the technique in the field of ophthalmology (173). A similar method, Doppler optical microangiography (DOMAG) (174), has been employed in measurements of murine cochlear blood flow during hypoxia (122,123), and during loud sound exposure (121) (see *Figure 6A*). This technique encounters an issue specific to the cochlea when used to image the flow of the SV: the rate of flow inside the capillary beds of the SV is too low to be readily detected, and the spatial resolution of DOMAG is insufficient to image small diameter capillary beds.

For absolute flow values to be obtained using DOMAG, a 3D scan of the Doppler imaged blood vessels must be undertaken in order to calculate their orientation and therefore Doppler angle. OMAG is an adaptation of DOMAG which can produce simultaneous structure and blood flow information in 3D, with a relatively short acquisition time (113,122,175). OMAG has thus far produced high quality images of the capillary beds of several organs, including brain, retina and the pinna and cochlear lateral wall of the mouse (e.g., Figure 6B). A crucial advantage of OMAG over previous techniques is that the areas of blood flow are all that is returned in an OMAG scan, which reduces signal-to-noise ratio problems. Simultaneous imaging and flow measurement allows for the removal by image segmentation of non-specific artefacts such as vibrating structures or surface blood vessels. However, OMAG remains a relative measure of flow, with many parameters such as vessel diameter, sampling rate and density, and beam focus, all confounding inter-subject

standardization. It is also unknown what impact imaging through bone may have on quantification of flow in the rodent lateral wall. As a result, inter-disciplinary efforts to quantify flow value from pixel intensity in physiological samples are so far unsuccessful, although algorithms do exist which provide flow values in controlled flow phantom studies (176-178). A recent study has produced an algorithm which appears to quantify flow information from flow phantoms, and rodent brain tissue in vivo (179), but the study itself admits that the technique used is still limited by the aforementioned variables. An OMAG algorithm which produces absolute flow values would be a large step forward in blood flow imaging. As with all previously and presently mentioned OCT paradigms, the development of a minimally invasive endoscopic probe would markedly increase clinical viability.

OCT and mechanics

Inner ear

The ability to image the internal structure and vascularization of unopened healthy rodent cochleae afforded to researchers by OCT is of enormous benefit. Additionally, as the mechanism of OCT is based upon comparison of light reflecting and scattering off of the target structure and a reference mirror, it is easily converted into an interferometer. As mentioned in "Vibrometry", traditional laser interferometers often require the placement of reflective beads upon a target of interest, as the BM is poorly reflective. For apical recordings, the beads would be delivered through a small tear in Reissner's membrane, causing a mixing of perilymph and endolymph, further threatening hearing sensitivity. Not only is this unnecessary using OCT, but the ability to measure through the BM is a huge milestone. It allows imaging of supra-BM structures in the base, and sub-TM structures in the apex. This short coherence gating extends OCT further over traditional interferometry, as lasers, which typically possess a longer coherence length, cannot differentiate between signals from target structures, and thus increase the uncertainty and difficulty of interpretation of their data.

OCT vibrometry was first conducted in excised guinea pig cochleae, with a cochleostomy in the scala tympani of the basal turn (180) (note: imaging of the rat OoC was achieved *in vitro* prior to this, with no such opening of the cochlea, see section "Inner ear imaging". It was mentioned in this study that such a measurement with a closed cochlea would be feasible using the equipment described). This method improved upon previous attempts to develop a low coherence interferometer (181) by employing a narrow coherence gate of 10 μ m. This would allow for imaging of distinct cochlear structures along the axial dimension. The vibrations measured—like those of a following publication employing Doppler OCT (182) —were achieved by vibrating the whole cochlea on a piezo, and thus were not directly physiological, although they had the advantage of confirming the ability to receive interferometric signals from cochlear tissue.

The fact that OCT can yield mechanical information from morphologically distinct components of the OoC allows for simultaneous gathering of not only depth resolved displacement, but also the relative motion at different lateral positions. Much debate has focused on the complexity of the transverse motion of the OoC relative to radial position (Figure 1F) with some reports suggesting that the BM moves with a single mode of motion (183) and others indicating more complex motion with two modes of displacement (6,62,75,76). Each interpretation profoundly changes how one may expect the cochlear amplifier to function, and thus a clear picture of the complex 3D motions of cochlear structure, in the undisturbed cochlea, is required. The in vivo OCT vibrometry measurement of acoustically induced vibration of the OoC in guinea pigs was reported by (184). This study also reported simultaneous non-interfering measurements of the motion of the RL and the BM. Further studies compared the displacements of the two (19). An important consequence of these measurements was the realization that RL displacement is significantly larger than BM displacement at low sound stimulus levels (Figure 7A, B), a feature of the data that was abolished post mortem. The phase rotation of the RL and BM are also different as a function of stimulus frequency (186). Further studies (93,185,187-189) noted this, and also exposed the differences in OoC frequency tuning and phase as a function of radial position.

The mechanical complexity as a function of radial position gives credence to the suggested presence of further filtering mechanisms within the microstructure of the OoC, which may impose their effects upon frequency selectivity and sensitivity between amplification and transduction. This suggestion has been bolstered by the observation that mechanical tuning of the OoC at the apex of the guinea pig cochlea appears to be low pass (21), as opposed to the previously reported bandpass, despite the existence of sharply tuned low frequency BF auditory nerve fibers (190). Such findings have also been reported in gerbils, with



Figure 7 OCT vibrometry. (A) OCT "B-scan" of the OoC, overlaid with the heat map of vibration. Individual pixels are compared over time to compare phase shifts, which can be converted into displacement (see color bar for scale). The RL and BM (labelled) are both easily distinguished from one another, and their displacements can be compared accordingly. (B) Example of the mechanical response within the OoC, at the apex of the guinea pig cochlea. Stimulus level 90dB SPL. The displacement of the RL is larger than that of the BM. Taken from Warren *et al.* [2016] (185). OCT, optical coherence tomography; OoC, organ of Corti; BM, basilar membrane.

attempts made to relate displacement profiles to IHC recruitment areas for different regions of the cochlea (191). Furthermore, reanalysis of the same data has alluded to puzzling phase behavior, where the group delay of the OoC displacement appears to increase with frequency when measured from positions closer to the apex, and also increases with stimulus level, two features at odds with basal cochlear mechanics (192). Recio-Spinoso and Oghalai [2017] (21) also highlighted the difference between recordings from the apex of an open or closed cochlea, by demonstrating that the act of opening the cochlea was the possible origin of the artefactual bandpass OoC filter function. This is due to the wavelength of the low frequency travelling wave being similar to the diameter of the aperture through which traditional laser Doppler vibrometry measurements were made, causing a hydromechanical interaction, finally changing the fluid pressure distribution along the length of the cochlea (21,63,193). With this in mind, the frequency ranges recorded in the Recio-Spinoso and Oghalai [2017] study (21) do not preclude the existence of band pass tuning in the very apex, and similar studies which bear the hallmarks of cochlear sensitivity (e.g., RL displacement is larger than BM) report bandpass tuning at similar cochlear regions, in the absence of a cochleostomy (185).

Cochlear mechanics should be adapted to favorably

encode speech, vocal communication, and music. This is undertaken by the transduction of the slowly varying envelope of a complex sound. SD-OCT vibrometry was used amongst other techniques such as in vivo electrocochleography in humans and guinea pigs, in vitro hair cell patch clamp, traditional laser Doppler vibrometry, and mathematical modelling, to produce evidence for dynamic envelope processing in the cochlea. The role of SD-OCT in this study was to measure the response of the RL and BM in response to a three tone complex, which should produce a measurable envelope component. Such a component was present only on the RL at higher sound levels, accompanied by a large electrical signal, implying that IHCs may play a dominant role in speech processing in the cochlea (61). This behavior was noted at both the upper basal and hook regions of the guinea pig cochlea. Whether a similar mechanism exists in the apex, the cochlear region more closely tuned to speech and vocal communication, remains to be investigated.

As a note of interest on methodology, the studies which provide an insight into apical transverse mechanics in the guinea pig employing OCT (21,185,194) use different surgical approaches. Recio-Spinoso and Oghalai [2017] (21) expose the apex of the cochlea in its entirety by removal of the mandible, allowing direct measurement along the axis of transverse OoC motion. Ramamoorthy *et al.* (194)

and Warren et al. (185) utilize a 3D printed scaffold, inside which a mirror is mounted at a 45 degree angle. Placed upon the apex of the cochlea, this scaffold directs the OCT beam into the correct orientation. Both approaches have advantages and drawbacks: the less invasive surgery permitted by use of a mirror increases the likelihood of cochlear sensitivity being preserved, at the expense of some loss of light due to mirror reflectance, and a slightly impaired field of view. The field of view and more precise identification of measurement position made possible by the more invasive surgical approach are beneficial, but it should be noted that the two approaches result in large differences in tuning and raw displacement magnitude at apical locations, although both are supported by estimates of good physiological condition. Potential explanations for this discrepancy lie in the understanding of the effect of trauma on hair cells and passive OoC mechanical properties. Acoustic overstimulation results in altered tuning coupled with OHC morphological changes (195), increased low frequency electrically evoked otoacoustic emissions (196), and reduced stiffness of the cochlear partition (197).

An additional means of guiding the OCT beam to image of cochlear structures is a piezo driven, GRIN lens facilitated fiber optic probe is in use (198). Instead of confining measurements to the axis afforded by the surgical field, or using external mirror devices, the fine probe is scanned using a sawtooth wave stimulus with a controllable DC offset, permitting precise placement of the beam prior to displacement recordings. This approach has the potential to increase versatility surrounding OCT measurements, often marred by the bulk of the optical system guiding the light.

OCT also has the potential to reveal the function of the TM in amplification and energy distribution in the cochlea. In vitro, in vivo and modelling studies (77,83,199-208) demonstrate that the mechanical properties of the TM vary as a function of stimulus frequency, and thus suggest that it may sustain travelling waves of its own. Possible evidence relating to this has been reported in mice in vivo, employing OCT (104), however, resolution limitations remain an obstacle for definitive confirmation. Optical vibrometry techniques record the vector sum of complex 3D movement dependent on the orientation of the sample, necessitating measurements from multiple angles. Such measurements have revealed the 2D motion of the OoC in the mouse, in the radial and transverse directions, and showed their variation with stimulus frequency (209). A method to extract multi-angle information from a single beam OCT device

has also been reported (210). The modified device splits the light beam into two, each with a different delay and angle of incidence. This permits measurement of the absolute vibration amplitude from a single measurement position, at the expense of some sensitivity. This technique could lead to a more straight forward characterization of the multi-dimensional movement of the OoC.

Alternatively, measurements from multiple angles in the same preparation illuminate micromechanics in several ways. Dewey et al. [2018] (211) explored the contribution of hair bundle stiffness and the TM to cochlear mechanics, by examining the radial and transverse motion of multiple sub-units of the OoC in several mutant mouse lines. The results imply that hair bundle and TM mechanical coupling may play a role in the longitudinal propagation of acoustic energy. Similarly, Cooper et al. [2018] (212) used two angles parallel to the cochlear duct, to assess whether phase opposition and therefore longitudinal propagation at the level of the RL occurs in the hook region of the gerbil cochlea. Additionally, this set of experiments reveal the likely presence of a physiologically vulnerable, saturating and extremely localized region of displacement, termed a "hot spot". This hot spot is suggested to be at the interface between the OHCs and supporting Dieters' cells, and the longitudinal motion is due to the OHC-Dieters' cell unit bending during contraction and elongation.

The presence of non-linear gain is described far from CF, a finding at odds with the BM-centric mechanics data available to physiologists until recently (92,212,213). Further to this, OCT vibrometry of the RL and BM in the presence of probe and suppressor tones, which is known to inhibit cochlear amplifier contributions from the place of tone overlap, imply that these far from CF regions of amplification do not contribute to gain at the CF (213). This observation is further contextualized via the framework of amplifying and non-amplifying regions of the RL, supported by OCT vibrometry of the cochlear partition and local potential measurements, while stimulating with single tones or complexes. The distinct regions of effect of OHC force production are demonstrated by the phase relationships of the two measurements (214).

While OCT vibrometry has led to a re-invigoration of cochlear mechanics, an issue which acts as an interpretive drawback is the problem of signal competition. Using coherent interferometry, one may be sure that, as long as the beam is focused correctly, the signal one obtains is derived from a vector of motion of a single reflector, in the case of bead use. OCT provides displacement



Figure 8 Example of middle ear imaging and vibrometry in human temporal bone explants. (A) "B-scan" of the tympanum (Tym) and the incus (In) and stapes footplate (SF) of the human, with middle ear ossicle immobilization. (B) Vibrational map of A. Due to middle ear fixation, the incus is not vibrating. (C,D) show the "B-scan" and vibrational heatmap (displacement in nm) of a control middle ear, without middle ear ossicle immobilization. Modified from Subhash *et al.* [2012] (218).

information from a variety of closely situated structures, all within the coherence gate. This produces uncertainty about the true nature of the vibrating structure, and doubt over whether one is measuring a specific region of the OoC, or contributions from many discrete structures, dependent upon their reflectivity and the amplitude of the displacement. Such uncertainty is physically manifested in phase leakage, which may in turn cause distortion of output waveforms. Such a drawback should be carefully considered when attempting to unpick mysteries of cochlear micromechanics (215).

Middle ear mechanics

The focus of this review is primarily inner ear. Middle ear applications are mentioned in brief, but for a detailed technical review, see Ramier *et al.* [2018] and Tan *et al.* [2018] (216,217). OCT vibrometry techniques lend themselves equally well to further exploring the acoustically driven mechanical properties of the middle ear. The functional state of each component of the middle ear during acoustic stimulation can aid clinicians in diagnoses, as well as providing more information ahead of surgery. The equipment and approaches required for vibrometry are identical to those needed for imaging, aside from the need to provide calibrated acoustic stimulation (218) (*Figure 8*). Several research groups have begun to apply vibrometry to the middle ear, first in animal models extratympanically (219-222) then with the use of an OCT endoscope (223), or handheld device (224).

Human middle ear vibrometry poses a challenge different from that of the inner ear. While the inner ear is anatomically remote, the middle ear is less so, but its dimensions and complexity place a demand on both the spatial resolution, and depth of focus of the OCT device. That is, the required micron level spatial resolution, and a depth of focus sufficient to image the ossicle chain work in opposition to one another, as the two optical characteristics are usually subject to mutual compromise. However, the most recent and most promising application of Doppler OCT vibrometry in a transtympanic non-invasive regime show that relatively coarse resolution still yields mechanical data that may differentiate humans suffering from middle ear disorders, relative to controls (225). This mode of operation is limited by the fact that field of view is limited along the (often curved) auditory canal, and that the stapes is obscured by the infra-red opaque incus. Despite this, OCT middle ear scanning could reduce but not eliminate the necessity for CT scanning of the middle ear, cutting cost, time, and patient risk.

Summary

Important progress has been made in the adaptation of OCT to auditory physiology and otology in a versatile and exciting way. The studies and approaches remarked upon here highlight the desire to move OCT from a purely research based tool to a routinely used clinical one, with extensive middle and inner ear structural and vascular imaging. This will have a positive impact in cost, ease of use, safety and reliability for both patient and clinician when considering contemporary technologies.

Diagnostic and assistive capabilities of OCT imaging aside, the distinct and hugely important leap in investigative power by OCT interferometry must be highlighted. When one considers OCT alongside the decades of vibrometry and imaging research in otology, a recurring theme develops. That is, the cochlea exists as a black box, the manipulation of which by the smallest degree changes our interpretation of it. As vibrometry techniques in cochlear mechanics have become more refined, experimental influence on the system has dwindled from a drained, dead cochlea to an unopened, healthy one in limited circumstances, with a new series of limitations introduced by the technology. It is therefore of importance to highlight here that OCT overcomes many of these limitations rather effectively, with the additional advantage of concurrent imaging and vibrational analysis at useful resolutions, combined with scanning capabilities. The recent influx of mechanical data providing simultaneous measurement of many different sites within the auditory periphery has encouraged the field of cochlear mechanics to further shift its focus away from a BM-centric viewpoint, instead concentrating on the interplay between the TM and the RL. Further experiments using and developing OCT will doubtless aid researchers in addressing questions which have existed unanswered for decades.

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Footnote

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