

Hepatic steatosis assessment using ultrasound homodyned-K parametric imaging: the effects of estimators

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Background: The homodyned-K (HK) distribution is an important statistical model for describing ultrasound backscatter envelope statistics. HK parametric imaging has shown potential for characterizing hepatic steatosis. However, the feasibility of HK parametric imaging in assessing human hepatic steatosis *in vivo* remains unclear.

Methods: In this paper, ultrasound HK μ parametric imaging was proposed for assessing human hepatic steatosis *in vivo*. Two recent estimators for the HK model, RSK (the level-curve method that uses the signal-to-noise ratio (SNR), skewness, and kurtosis based on the fractional moments of the envelope) and XU (the estimation method based on the first moment of the intensity and two log-moments, namely X- and U-statistics), were investigated. Liver donors (n=72) and patients (n=204) were recruited to evaluate hepatic fat fractions (HFFs) using magnetic resonance spectroscopy and to evaluate the stages of fatty liver disease (normal, mild, moderate, and severe) using liver biopsy with histopathology. Livers were scanned using a 3-MHz ultrasound to construct μ_{RSK} and μ_{XU} images to correlate with HFF analyses and fatty liver stages. The μ_{RSK} and μ_{XU} parametric images were constructed using the sliding window technique with the window side length (WSL) =1–9 pulse lengths (PLs). The diagnostic values of the μ_{RSK} and μ_{XU} parametric imaging methods were evaluated using receiver operating characteristic (ROC) curves.

Results: For the 72 participants in Group A, the μ_{RSK} parametric imaging with WSL =2–9 PLs exhibited similar correlation with $\log_{10}(HFF)$, and the μ_{RSK} parametric imaging with WSL = 3 PLs had the highest correlation with $\log_{10}(HFF)$ (r=0.592); the μ_{XU} parametric imaging with WSL =1–9 PLs exhibited similar correlation with $\log_{10}(HFF)$ (r=0.628). For the 204 patients in Group B, the areas under the ROC (AUROCs) obtained using μ_{RSK} for fatty stages \geq mild (AUROC₁), \geq moderate (AUROC₂), and \geq severe (AUROC₃) were (AUROC₁, AUROC₂, AUROC₃) = (0.56, 0.57, 0.53), (0.68, 0.72, 0.75), (0.73, 0.78, 0.80), (0.74, 0.77, 0.79), (0.74, 0.78, 0.79), (0.75, 0.80, 0.82), (0.74, 0.77, 0.83), (0.74, 0.78, 0.84) and (0.73, 0.76, 0.83) for WSL =1, 2, 3, 4, 5, 6, 7, 8 and 9 PLs, respectively. The AUROCs obtained using μ_{XU} for fatty stages \geq mild, \geq moderate, and \geq severe were (AUROC₁, AUROC₂, AUROC₂, AUROC₃) = (0.75, 0.83, 0.81), (0.74, 0.74, 0.80, 0.80), (0.76, 0.82, 0.82), (0.74, 0.80, 0.84), (0.75, 0.80, 0.83), (0.75, 0.80, 0.84), (0.75, 0.80, 0.84), (0.75, 0.80, 0.83), (0.75, 0.80, 0.84), (0.75, 0.80, 0.83), (0.75, 0.80, 0.84), (0.75, 0.80, 0.84), (0.75, 0.80, 0.85) and (0.73, 0.77, 0.83) for WSL = 1, 2, 3, 4, 5, 6, 7, 8 and 9 PLs, respectively.

Conclusions: Both the μ_{RSK} and μ_{XU} parametric images are feasible for evaluating human hepatic steatosis.

The WSL exhibits little impact on the diagnosing performance of the μ_{RSK} and μ_{XU} parametric imaging. The μ_{XU} parametric imaging provided improved performance compared to the μ_{RSK} parametric imaging in characterizing human hepatic steatosis *in vivo*.

Keywords: Hepatic steatosis; homodyned-K (HK); quantitative ultrasound; envelope statistics; ultrasound tissue characterization

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Introduction

Hepatic steatosis is a condition where excess fat accumulate within the hepatocytes. It is a key manifestation of nonalcoholic fatty liver disease (NAFLD). The prevalence of NAFLD is increasing worldwide due to the increase of the epidemics of obesity and type 2 diabetes (1). NAFLD may progress to non-alcoholic steatohepatitis (NASH), causing chronic inflammation of the liver parenchyma, which may develop into a fibrosis, a cirrhosis, or a liver cancer. Therefore, the early detection of hepatic steatosis is of crucial importance.

Liver biopsy is currently the clinical gold standard for hepatic steatosis assessment. However, liver biopsy is invasive and associated with sampling errors. Medical imaging has become a noninvasive alternative to liver biopsy; major modalities are computed tomography (CT), magnetic resonance (MR) spectroscopy, MR imaging, and ultrasound imaging (2). MR spectroscopy has been accepted as the noninvasive reference standard for hepatic steatosis quantification (2,3). However, MR and CT are expensive with limited availability, while CT involves the use of radiation. Compared to CT and MR, ultrasound imaging has such advantages as low cost, wide availability, and real-time capability (4-6). Ultrasound has been recommended as a firstline diagnostic test for the management of NAFLD (7).

Ultrasound imaging involves a transducer transmitting ultrasound waves into a tissue. The incident waves interact with the tissue and the transducer receives backscattered signals. B-mode ultrasound imaging, which is based on the amplitude of the envelope of beamformed radiofrequency (RF) signals, is frequently used in the clinical routine for assessing hepatic steatosis. Additionally, B-mode ultrasound image processing techniques, such as deep learning (8), have been explored for characterizing hepatic steatosis. However, B-mode ultrasound is qualitative and can be affected by factors including image post-processing parameters, such as the dynamic range. Quantitative ultrasound (QUS) (9) aims to extract quantified acoustic parameters from the backscattered RF signals for ultrasound tissue characterization. QUS techniques have been proposed to assess hepatic steatosis, including speed of sound (10,11), ultrasound attenuation (12-14), backscatter coefficient (13,14), and shear-wave dispersion (14,15).

Acoustically, a liver tissue can be modeled as a collection of ultrasound scatterers (16). The backscattered ultrasound signals are the spatial summation of the scattered waves contributed by each scatterer. QUS envelope statistics (17) extracts statistical information of the envelope of backscattered RF signals and is related to liver tissue microstructures. Envelope statistics techniques have shown potential for characterizing hepatic steatosis (18-23).

The homodyned-K (HK) distribution is considered as a statistical model of backscattered ultrasound signals whose parameters have a physical meaning (9,24). The HK model has been applied to characterizing cell pellet biophantoms (25), tissue phantom heated by focused ultrasound (26), reperfused infarcted porcine myocardium *in vivo* (27), mice breast cancer *in vivo* (28), human breast lesions *in vivo* (29,30), response of advanced human breast cancer to neoadjuvant chemotherapy *in vivo* (31), cancerous human lymph nodes *ex vivo* (32), porcine red blood cell aggregation *ex vivo* (33), human carotid artery plaque *in vivo* (34), human skin ulcer *ex vivo* (35), lateral epicondylosis of human elbows *in vivo* (36), NASH of rats *in vivo* (37), and hepatic steatosis of rabbits *ex vivo* (38) and rats *in vivo* (20).

However, the feasibility of the HK model in detecting human hepatic steatosis *in vivo* remains unknown. There are two recent HK estimators: (I) a level-curve method that uses the signal-to-noise ratio (SNR), skewness, and kurtosis based on the fractional moments of the envelope (this method is termed "RSK" hereinafter) (39); and (II) an estimation method based on the first moment of the intensity and two log-moments, namely X- and U-statistics (this method is termed "XU" hereinafter) (40). A previous study (40) showed that for simulated data samples, the XU estimator yielded the lowest root-mean-square error (RMSE) for estimating the HK parameter μ , while the RSK estimator yielded the lowest RMSE for estimating the HK parameter k. However, the effects of HK parameter estimators on human hepatic steatosis assessment are unclear. In this paper, we proposed using ultrasound HK parametric imaging to characterize human hepatic steatosis in vivo. We also investigated the effects of the two recent HK estimators, RSK and XU. Liver donors and patients were recruited and scanned using ultrasound for parametric imaging and analysis. The stages of hepatic steatosis were identified using hydrogen 1 (¹H) proton MR spectroscopy (i.e., ¹H-MRS) and histological findings. The clinical results revealed that both the RSK and XU estimators based HK parametric imaging is capable to characterize human hepatic steatosis in vivo. In the next sections, the theory and experimental methods are described in detail. The results are then presented to discuss the physical meanings of HK parametric imaging in fatty liver detection.

Theory

HK distribution

The HK distribution of backscattered ultrasound envelope A is defined by the following probability density function (PDF) (41,42):

$$P(A) = A \int_{0}^{\infty} x J_{0}(sx) J_{0}(Ax) \left(1 + \frac{x^{2} \sigma^{2}}{2\mu}\right)^{-\mu} dx$$
[1]

which evaluates to (41,42)

$$P(A) = \frac{1}{\Gamma(\mu)} \sqrt{\frac{2\mu A}{\pi s \sigma^2}} \sum_{m=0}^{\infty} \left\{ \frac{\Gamma(0.5+m)}{m! \Gamma(0.5-m)} \left(\frac{-\sigma^2}{sA\mu} \right)^m \times \left(|s-A| \sqrt{\mu/2} / \sigma \right)^{\mu+m-0.5} \right.$$

$$\left. \left. \left(|s-A| \sqrt{2\mu} / \sigma \right) \right\}$$

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where $J_0(\cdot)$ is the zeroth-order Bessel function of the first kind; s^2 and $2\sigma^2$ are the coherent and diffuse backscattered signal energies, respectively; μ represents the effective number of scatterers per resolution cell; $\Gamma(\cdot)$ is the gamma function; K_i is the modified *i*th-order Bessel function of the second kind. The derived parameter $k = s/\sigma$ describes the periodicity of scatterer distribution. *Figure 1* illustrates the PDFs of HK for different values of μ and k, with σ =1.

RSK estimator

The SNR *R*, skewness *S*, and kurtosis *K* of backscattered ultrasound envelope *A* are defined as (39,43)

$$R_{\nu} = \frac{E[A^{\nu}]}{(E[A^{2\nu}] - E^{2}[A^{\nu}])^{1/2}}$$
[3]

$$S_{\nu} = \frac{E[A^{3\nu}] - 3E[A^{\nu}]E[A^{2\nu}] + 2E^{3}[A^{\nu}]}{(E[A^{2\nu}] - E^{2}[A^{\nu}])^{3/2}}$$
[4]

$$K_{\nu} = \frac{E[A^{4\nu}] - 4E[A^{\nu}]E[A^{3\nu}] + 6E[A^{2\nu}]E^{2}[A^{\nu}] - 3E^{4}[A^{\nu}]}{(E[A^{2\nu}] - E^{2}[A^{\nu}])^{2}}$$
[5]

where v is an arbitrary positive number; E[.] denotes the expectation operator. Moments of order v of the HK distribution are given by (39,44)

$$E[A^{\nu}] = \left(2\sigma^{2} / u\right)^{\nu/2} J(k, \mu, \nu)$$
[6]

where J(k, u, v) is defined by

$$J(k, \mu, \nu) = \frac{\Gamma(1 + \nu/2)}{\Gamma(\mu)} \left[\Gamma(\eta) {}_{1}F_{2}\left(-\frac{\nu}{2}; 1, 1 - \eta; \frac{k^{2}\mu}{2}\right) - \frac{\Gamma(\mu)\pi\csc(\eta\pi)}{\Gamma(-\nu/2)\Gamma^{2}(1+\eta)} \left(\frac{k^{2}\mu}{2}\right)^{\eta} {}_{1}F_{2}\left(\mu; 1 + \eta, 1 + \eta; \frac{k^{2}\mu}{2}\right) \right]$$
[7]

where ${}_{p}F_{q}(a_{1},...,a_{p};b_{1},...,b_{q};c)$ denotes the generalized hypergeometric series; $\eta = \mu + 0.5v$.

Substituting Eq. [6] into Eqs. [3,4,5] yields expressions for R_v , S_v , and K_v that are functions of only the two HK model parameters, namely μ and k (39). Parameter estimation of μ and k is performed by equating estimates of R, S, and K from the backscattered ultrasound envelope with the theoretical values predicted by the HK distribution. Then, parameter estimates are obtained by finding level curves in the two-dimensional parameter space (39,44). The optimal pair of moments is found to be $v \in \{0.72, 0.88\}$ (39).

XU estimator

Let *I* denote the intensity of backscattered signals; $I = A^2$. The mean intensity \overline{I} represents the total signal power: $\overline{I} = s^2 + 2\sigma^2 \mu$. The XU estimator is based on three statistics: \overline{I} , X-statistics, and U-statistics. X- and U-statistics are defined as (40)

$$X = E[I \ln I] / E[I] - E[\ln I]$$
^[8]

$$U = E[\ln I] - \ln E[I]$$
[9]

The task of the XU estimator is to solve the following nonlinear system of equations:

$$E[I] = \overline{I}, U_{\rm HK} = U, X_{\rm HK} = X$$
^[10]

Here, $U_{\rm HK}$ and $X_{\rm HK}$ are defined as

$$X_{\rm HK}(\mu,\beta) = \frac{1+2\mu}{\beta+\mu} - \frac{2\beta^{\mu/2+1/2}}{(\beta+\mu)\Gamma(\mu)} K_{\mu+1}(2\mu^{1/2}) + \frac{\beta^{\mu}}{\beta+\mu} \frac{\Gamma(-\mu)}{\Gamma(\mu)} {}_{1}F_{2}(\mu;1+\mu,1+\mu;\beta) - \frac{\beta^{\mu+1}}{\beta+\mu} \frac{\Gamma(-1-\mu)}{(1+\mu)\Gamma(\mu)} {}_{1}F_{2}(1+\mu;2+\mu,2+\mu;\beta) [11] + \frac{\beta}{\beta+\mu} {}_{2}F_{3}(1,1;2,2,1-\mu;\beta) - \frac{\beta}{\beta+\mu} \frac{\mu\Gamma(-1+\mu)}{\Gamma(\mu)} {}_{2}F_{3}(1,1;2,2,2-\mu;\beta)$$

and

$$U_{\mathrm{HK}}(\mu,\beta) = -\gamma_{E} - \log(\mu+\beta) + \psi(\mu)$$

$$-\beta^{\mu} \frac{\Gamma(-\mu)}{\mu\Gamma(\mu)} {}_{1}F_{2}(\mu;1+\mu,1+\mu;\beta)$$

$$+\beta \frac{\Gamma(\mu-1)}{\Gamma(\mu)} {}_{2}F_{3}(1,1;2,2,2-\mu;\beta)$$

[12]

where $\beta = s^2/(2\sigma^2)$; γ_E is the Euler constant; $\psi(.)$ is the digamma function. Then, the XU estimator becomes $(\mu, s, \sigma) = \arg \min(|U_{\text{HK}} - U|)$, subject to:

$$E[I] = \overline{I}$$
^[13]

$$X_{\rm HK} = X$$
[14]

$$\mu \le \mu_{\max} \tag{15}$$

Here, μ_{max} denotes the upper limit for the value of μ .

HK μ parametric imaging

Ultrasound parametric imaging provides visualization of backscattered statistics for tissue characterization. In this study, the sliding window technique was employed for constructing ultrasound μ images. We chose the μ parameter for parametric imaging instead of using k because the μ parameter is more sensitive for detecting hepatic steatosis (20,38). Previous studies demonstrated that μ increases with increasing grade of hepatic steatosis (20,38). The algorithm illustrated in *Figure 2* consists of the following steps. (I) RF signals are demodulated to obtain an envelope image. A square window within the uncompressed envelope image is used to acquire local backscattered data to estimate the values of μ , which are assigned as the new pixels located in the center of the window. (II) The window is controlled to move on the entire range of the envelope data in distance



Figure 1 Probability density functions of the homodyned-K distribution for different values of μ and k, with σ =1. The unit of the *X*-axis (envelope amplitude) is unitless.



Figure 2 Algorithmic steps for ultrasound homodyned-K μ parametric imaging of human hepatic steatosis *in vivo*.

increments; each increment is determined by the number of pixels corresponding to a window overlap ratio (WOR), and steps (I) and (II) are repeated to yield the μ parametric maps. (III) The μ parametric maps are processed with digital scan conversion and color mapping to produce the μ parametric images. The size of the square sliding window is determined by the window side length (WSL). In this study, the RSK and XU estimators based μ (denoted by μ_{RSK} and μ_{XU}) parametric images were constructed.

Methods

Study populations

This study was approved by the Institutional Review Board of Chang Gung Memorial Hospital at Linkou. All participants signed informed consent forms, and experimental methods were performed in accordance with the Helsinki Declaration. The study population was divided into two groups: groups A and B. In group A, a total of 72 liver donors were enrolled (n=72). In group B, 204 patients with confirmed chronic hepatitis B infection scheduled for liver biopsy or partial liver resection were enrolled (n=204).

Hepatic fat fraction (HFF) measurements using ¹H-MRS

The liver donors recruited in Group A underwent ¹H-MRS examination using a 1.5-T MR scanner (GE Signa HDXT, Waukesha, WI, USA). Sagittal, coronal, and axial slices encompassing the whole liver were obtained to locate the spectroscopy acquisition voxel. A single voxel of 8 cm³ $(2 \times 2 \times 2 \text{ cm}^3)$ was placed within the right liver lobe to avoid major vascular structures and subcutaneous fat tissue (45). The water-suppressed spectrum was acquired using the abdomen surface coil after shimming over the volume of interest by using a point-resolved spectroscopy sequence (TR: 3,000 ms, TE: 40 ms, sample points: 2,048, bandwidth: 2,500 Hz, 4 averages). Non-water-suppressed data with same scanning parameters were acquired for quantification (2 averages). The spectrum was analyzed using the LCModel software package (46). The signal intensities of the fat peaks at 0.9, 1.3, and 1.6 ppm were quantified using the water suppression mode. The HFF was defined as fat/ (fat+water) and expressed as a percentage.

Histological analysis

In group B, liver resections (removal of the tumors) or percutaneous liver biopsies were performed. For patients scheduled for liver resection, the specimen taken for histological examination was located far from the primary lesion (>1 cm). For patients that underwent liver biopsy, the specimen was taken from the right liver lobe through an intercostal approach. All specimens were placed in formalin for preparations of histological examinations. Samples were fixed in paraffin, stained with hematoxylin–eosin, and read on-site by expert liver pathologists. Samples that had a minimum of six portal tracts were considered adequate for histological evaluation (47). Hepatic steatosis was graded according to the scheme outlined by Brunt *et al.* (48): normal (steatosis involving <5% of hepatocytes), mild (5–33%), moderate (33–66%), and severe (>66%).

Ultrasound scanning

Before ¹H-MRS or histological examinations, the subjects underwent standard-care ultrasound examinations. A clinical ultrasound scanner (Model 3000, Terason, Burlington, MA, USA) with a 3-MHz central frequency convex-array transducer (Model 5C2A, Terason) was used. The transducer has 128 elements and a pulse length (PL) of approximately 2.3 mm. For each participant, five valid scans of the liver parenchyma (without acoustic shadowing artifacts or major vessels in the region of analysis) were obtained from the right intercostal space by a gastroenterologist, who was blinded to the ¹H-MRS and histological findings. The focus and depth of the ultrasound imaging were set at 4 and 8 cm, respectively. Raw image data consisting of 128 scan lines of backscattered signals at a sampling frequency of 30 MHz were obtained using the software kit provided by Terason. Offline data processing was performed using the MATLAB software (version R2014a, MathWorks, Inc., Natick, MA, USA).

B-mode and parametric imaging

The envelope image was formed using the Hilbert transform of backscattered signals. A B-mode image was constructed using the logarithm-compressed envelope image with a dynamic range of 40 dB. The uncompressed envelope data were used for HK μ parametric imaging (WSL =1-9 PLs). All parametric images were based on a WOR of 50% in a compromise between image resolution and computational time. A quantitative measure was obtained by manually outlining a region of interest (ROI) on the B-scan, which was then applied to the corresponding μ images to calculate the average of the image pixel values within the ROI, including μ_{RSK} and μ_{XU} parameters. To the best of our knowledge, no standard rules on how to determine an ROI for the quantitative analysis of a clinical liver ultrasonography can be found. However, we suggest the following basic criteria: (I) use a relatively small ROI to locate on the same position of liver parenchyma. A small ROI easily excludes vessels to reduce the bias of analyzing liver parenchyma. (II) Locate the ROI at the focal zone, reducing the effects of attenuation and diffraction on the image analysis.

Statistical analysis

The Pearson correlation coefficient r and probability value P were calculated to evaluate the correlation between the μ parameter and the HFF (P<0.05 was considered statistically significant). A receiver operating characteristic (ROC) curve analysis using the 95% confidence interval (CI) was performed to calculate the area under the ROC (AUROC) in diagnosing each stage of fatty liver. All statistical analyses were conducted using the SigmaPlot software (v 12.0, Systat Software, Inc., CA, USA).

Results

Figures 3 and 4 show typical B-mode images as well as μ_{RSK} and $\mu_{\rm XU}$ parametric images of different HFFs measured using MRS. As the HFF increases, the brightness of the B-mode image increases, whereas the shading of the μ_{RSK} and $\mu_{\rm XU}$ images changes significantly. Figures 5 and 6 show the correlations between $\log_{10}(\text{HFF})$ and the μ parameter. The correlation coefficient (P value) between $\log_{10}(HFF)$ and $\mu_{\rm RSK}$ was 0.0938 (P<0.0001), 0.498 (P<0.0001), 0.592 (P<0.0001), 0.560 (P<0.0001), 0.579 (P<0.0001), 0.536 (P<0.0001), 0.534 (P<0.0001), 0.523 (P<0.0001) and 0.467 (P<0.0001) for WSL =1, 2, 3, 4, 5, 6, 7, 8 and 9 PLs, respectively. In the same range of WSL, the correlation coefficient (p value) between $\log_{10}(\text{HFF})$ and μ_{XU} was 0.628 (P<0.0001), 0.622 (P<0.0001), 0.623 (P<0.0001), 0.572 (P<0.0001), 0.563 (P<0.0001), 0.584 (P<0.0001), 0.542 (P<0.0001), 0.530 (P<0.0001) and 0.480 (P<0.0001), respectively.

For group B, the histological examination revealed the cases of different stages of fatty liver: normal =80; mild =70; moderate =36; severe =18. *Figures* 7 and 8 present results from the ROC analysis employed to diagnose different stages of hepatic steatosis using μ_{RSK} and μ_{XU} . The AUROCs obtained using μ_{RSK} for fatty stages \geq mild (AUROC₁), \geq moderate (AUROC₂), and \geq severe (AUROC₃) were (AUROC₁, AUROC₂, AUROC₃) = (0.56, 0.57, 0.53), (0.68, 0.72, 0.75), (0.73, 0.78, 0.80), (0.74, 0.77, 0.79), (0.74, 0.78, 0.84) and (0.73, 0.76, 0.83) for WSL =1, 2, 3, 4, 5, 6, 7, 8 and

9 PLs, respectively. The AUROCs obtained using μ_{XU} for fatty stages \geq mild, \geq moderate, and \geq severe were (AUROC₁, AUROC₂, AUROC₃) = (0.75, 0.83, 0.81), (0.74, 0.80, 0.80), (0.76, 0.82, 0.82), (0.74, 0.80, 0.84), (0.76, 0.80, 0.83), (0.75, 0.80, 0.84), (0.75, 0.79, 0.85), (0.75, 0.80, 0.85) and (0.73, 0.77, 0.83) for WSL =1, 2, 3, 4, 5, 6, 7, 8 and 9 PLs, respectively.

Discussion

Significance of this study

The HK distribution is an important statistical model for ultrasound backscatter envelope statistics. *Table 1* summarizes ultrasound tissue characterization with the HK distribution. Although the HK model has shown potential for characterizing hepatic steatosis of rabbits *ex vivo* (38) and rats *in vivo* (20), the feasibility for assessing human hepatic steatosis *in vivo* remains unclear. To the best of our knowledge, this study is the first to investigate human hepatic steatosis assessment *in vivo* using the HK μ parametric imaging. The results showed that both the μ_{RSK} and μ_{XU} parametric imaging is feasible for assessing human hepatic steatosis *in vivo*.

Effects of estimators and sliding window sizes on bepatic steatosis assessment

Refer to *Table 1* again. It can be seen that a comparative study of recent estimators (RSK and XU) on hepatic steatosis assessment has not been conducted. In addition, the effects of sliding window sizes on the μ_{RSK} and μ_{XU} parametric imaging of hepatic steatosis have not been investigated. Although WSL =3 PLs, a general rule for ultrasound Nakagami parametric imaging, was suggested for HK parametric imaging using the RSK estimator (26,30,31), the HK parameters are relatively complicated in their estimations; thus, using WSL =3 PLs for HK imaging may be problematic. Other studies, which employed the XU estimator for HK parametric imaging, used WSL =2 mm (34) or six times the dimension of the point spread function (35); whereas, the suggested window size has not been validated systematically.

In this study, we evaluated the effects of the two recent estimators (RSK and XU) and different sliding window sizes (WSL =1–9 PLs) on human hepatic steatosis characterization using the μ_{RSK} and μ_{XU} parametric imaging. For the 72 participants in Group A, the μ_{RSK} parametric



Figure 3 Representative (A-D) B-mode images and (E-X) μ_{RSK} parametric images of different HFFs as measured using MRS. The first, second, third and fourth columns correspond to HFF =0.61%, 11.27%, 19.16% and 31.97%, respectively. The second, third, fourth, fifth and sixth rows are μ_{RSK} parametric images constructed using WSL =1, 2, 3, 4 and 5 PLs, respectively. The brightness of the μ_{RSK} parametric images typically increased as HFF increased. The μ_{RSK} parametric images visually characterized the HFFs. HFF, hepatic fat fraction; WSL, window side length; PL, pulse length.



Figure 4 Representative (A-D) B-mode images and (E-X) μ_{XU} parametric images of different HFFs as measured using MRS. The first, second, third and fourth columns correspond to HFF =0.61%, 11.27%, 19.16% and 31.97%, respectively. The second, third, fourth, fifth and sixth rows are μ_{XU} parametric images constructed using WSL =1, 2, 3, 4 and 5 PLs, respectively. The brightness of the μ_{XU} parametric images typically increased as HFF increased. The μ_{XU} parametric images visually characterized the HFFs. HFF, hepatic fat fraction; WSL, window side length; PL, pulse length.



Figure 5 Scatter plots and linear fitting curves of μ_{RSK} as a function of \log_{10} (HFF) for the 72 participants in group A. (A-I) Correspond to the average value of μ_{RSK} within the ROI of μ_{RSK} parametric images constructed using WSL =1, 2, 3, 4, 5, 6, 7, 8 and 9 PLs, respectively. The correlation coefficient, *r*, of \log_{10} (HFF) is 0.0938, 0.498, 0.592, 0.560, 0.579, 0.536, 0.534, 0.523 and 0.467 for WSL =1, 2, 3, 4, 5, 6, 7, 8 and 9 PLs, respectively. All the corresponding P values are <0.0001. HFF, hepatic fat fraction; ROI, region of interest; WSL, window side length; PL, pulse length.

imaging with WSL =2–9 PLs exhibited similar correlation with $\log_{10}(\text{HFF})$, and the μ_{RSK} parametric imaging with WSL =3 PLs had the highest correlation with $\log_{10}(\text{HFF})$ (r=0.592). Concurrently, the μ_{XU} parametric imaging with WSL =1–9 PLs exhibited similar correlation with $\log_{10}(\text{HFF})$, and the μ_{XU} parametric imaging with WSL = 1 PL had the highest correlation with $\log_{10}(\text{HFF})$ (r=0.628). Evidently, the μ_{XU} parametric imaging exhibited higher correlation with $\log_{10}(\text{HFF})$ than the μ_{RSK} parametric imaging did for most of the WSLs. For the 204 patients in Group B, the μ_{RSK} parametric imaging with WSL =2–9 PLs yielded similar AUROCs for diagnosing fatty stages equal to or more severe than mild, equal to or more severe than moderate and severe; the $\mu_{\rm XU}$ parametric imaging with WSL =1–9 PLs yielded similar AUROCs for diagnosing fatty stages equal to or more severe than mild, equal to or more severe than moderate and severe. The $\mu_{\rm XU}$ parametric imaging produced higher AUROCs than the $\mu_{\rm RSK}$ parametric imaging did for each WSL.

The results obtained from Groups A and B indicated that: (I) the WSL had little impact on the μ_{RSK} and μ_{XU} parametric imaging for characterizing human hepatic steatosis *in vivo*; and (II) the μ_{XU} parametric imaging generally yielded an improved performance in characterizing human hepatic steatosis *in vivo* than the μ_{RSK} parametric imaging did for different WSLs.



Figure 6 Scatter plots and linear fitting curves of μ_{XU} as a function of \log_{10} (HFF) for the 72 participants in group A. (A-I) Correspond to the average value of μ_{XU} within the ROI of μ_{XU} parametric images constructed using WSL =1, 2, 3, 4, 5, 6, 7, 8 and 9 PLs, respectively. The correlation coefficient, *r*, of \log_{10} (HFF) is 0.628, 0.622, 0.623, 0.572, 0.563, 0.584, 0.542, 0.530 and 0.480 for WSL =1, 2, 3, 4, 5, 6, 7, 8 and 9 PLs, respectively. All the corresponding P values are <0.0001. HFF, hepatic fat fraction; ROI, region of interest; WSL, window side length; PL, pulse length.

Comparison with related work

Ghoshal *et al.* (38) reported that the μ parameter estimated by the RSK estimator increased with the degrees of lipid content and steatosis, using a rabbit model. However, they did not evaluate the diagnostic performance of the μ parameter parameters in predicting the hepatic steatosis. Fang *et al.* (20) investigated HK μ parametric imaging for characterizing different stages of hepatic steatosis in rats. They employed the estimator that used the SNR and the skewness based on the first three integer moments of the intensity (42). Tang *et al.* (37) proposed a random forest classifier based on HK parameters estimated by the XU estimator, in order to improve the classification of steatohepatitis with ultrasound elastography in rats. However, the related work (20,37,38) did not characterize human hepatic steatosis, and the effects of recent HK parameter estimators were not investigated. These issues have been addressed in this study. In addition, the related work (20,37,38) used a single-element transducer or a linear-array transducer (*Table 1*), but we used a convexarray transducer which is commonly used in clinical liver ultrasound scanning.

Effects of hepatic steatosis on HK µ parametric imaging

The basic functional unit in the liver is the liver lobule,



Figure 7 ROC curves for diagnosing different hepatic steatosis stages for the 204 patients in group B, using μ_{RSK} . (A-I) Correspond to the average value of μ_{RSK} within the ROI of μ_{RSK} parametric images constructed using WSL =1, 2, 3, 4, 5, 6, 7, 8 and 9 PLs, respectively. The AUROCs obtained, using μ_{RSK} (95% CIs) for fatty stages \geq mild, \geq moderate, and \geq severe were (AUROC₁, AUROC₂, AUROC₃) = (0.56, 0.57, 0.53), (0.68, 0.72, 0.75), (0.73, 0.78, 0.80), (0.74, 0.77, 0.79), (0.74, 0.78, 0.79), (0.75, 0.80, 0.82), (0.74, 0.77, 0.83), (0.74, 0.78, 0.84) and (0.73, 0.76, 0.83) for WSL =1, 2, 3, 4, 5, 6, 7, 8 and 9 PLs, respectively. AUROC, area under the ROC; ROC, receiver operating characteristic; ROI, region of interest; WSL, window side length.



Figure 8 ROC curves for diagnosing different hepatic steatosis stages for the 204 patients in group B, using μ_{XU} . (A-I) Correspond to the average value of μ_{XU} within the ROI of μ_{XU} parametric images constructed using WSL =1, 2, 3, 4, 5, 6, 7, 8 and 9 PLs, respectively. The AUROCs obtained, using μ_{XU} (95% CIs) for fatty stages \geq mild, \geq moderate, and \geq severe were (AUROC₁, AUROC₂, AUROC₃) = (0.75, 0.83, 0.81), (0.74, 0.80, 0.80), (0.76, 0.82, 0.82), (0.74, 0.80, 0.84), (0.76, 0.80, 0.83), (0.75, 0.80, 0.84), (0.75, 0.79, 0.85), (0.75, 0.80, 0.85) and (0.73, 0.77, 0.83) for WSL =1, 2, 3, 4, 5, 6, 7, 8 and 9 PLs, respectively. AUROC, area under the ROC; ROC, receiver operating characteristic; ROI, region of interest; WSL, window side length.

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Ref.	Year	Method	Study	#	Т	TCF (MHz)	PERS	ΡI
(25)	2015	XU	Cell pellet biophantoms	7	SET	25, 35	0.75×1.5 mm (A × L)	No
(26)	2017	RSK	Tissue phantom heated by focused ultrasound	-	LAT	10	3×3 PLs (2 × 2 mm) (A × L)	No
(27)	2002	FTM	Reperfused infarcted porcine myocardium in vivo	8	PAIT	8.5	32×32 pixels (A × L)	Yes
(28)	2007	FTM	Mice breast cancer in vivo	20	SET	20	-	Yes
(29)	2015	XU	Human breast lesions in vivo	96	LAT	7.1	Tumor zone, 3-mm supratumoral zone, 5-mm infratumoral zone	No
(30)	2016	RSK	Human breast lesions in vivo	103	LAT	6	3×3 PLs (2×2 mm) (A × L)	Yes
(31)	2018	RSK	Response of advanced human breast cancer to neoadjuvant chemotherapy <i>in vivo</i>	22	LAT	5–14	3×3 PLs (2×2 mm) (A × L)	No
(32)	2011	RSK	Cancerous human lymph nodes ex vivo	112	SET	25.6	1×1 mm (A × D)	Yes
(33)	2016	XU	Porcine red blood cell aggregation ex vivo	5	SET	25	0.16×0.32 mm (A × L)	Yes
(34)	2019	XU	Human carotid artery plaque in vivo	66	LAT	7.2	2×2 mm (A × L)	Yes
(35)	2018	XU	Human skin ulcer <i>ex vivo</i>	2	SET	15	0.66×1.2 mm (A × L)	Yes
(36)	2019	XU	Lateral epicondylosis of human elbows in vivo	54	LAT	12	-	Yes
(37)	2019	XU	Non-alcoholic steatohepatitis of rats in vivo	60	LAT	5	3×3 mm (A × L)	Yes
(38)	2012	RSK	Hepatic steatosis of rabbit livers ex vivo	14	SET	20	$30\lambda \times 30\lambda$	No
(20)	2018	FTM	Hepatic steatosis of rat livers in vivo	36	LAT	7	1–10×1–10 PLs (A × L), PL =~0.7 mm	Yes
Ours	2019	XU	Hepatic steatosis of human livers in vivo	276	CAT	3	1–9×1–9 PLs (A × L), PL =~2.3 mm	Yes

Table 1 Summary of ultrasound tissue characterization with the homodyned-K distribution

#, number of cases; T, transducer for ultrasound radiofrequency data collection; TCF, transducer center frequency; PERS, homodyned-K parameter estimation region size; A × L, axial × lateral (rectangular window); A × D, axial × diameter (cylindrical region); PI, homodyned-K parametric imaging; SET, single-element transducer; LAT, linear-array transducer; PAIT, phased-array intracardiac transducer; CAT, curved-array transducer; PL, pulse length of the transducer; FTM, estimator based on the first three integer moments of the intensity; λ , wavelength at the transducer central frequency.

which not only contains diffuse scatterers (hepatocytes) but also contributes the source of coherent scattering (i.e., portal triads) (49). For this reason, the liver can be treated as a scattering medium that interacts with ultrasound waves, and it can be expected that the backscattered statistics will be affected by hepatic steatosis, a structural change in the liver parenchyma. During the process of hepatic steatosis, fat-infiltrated hepatocytes play the roles of additional acoustic scatterers appearing in the liver parenchyma. In this circumstance, the waveforms of the backscattered signals are altered, and the corresponding statistical properties of echoes vary from pre-Rayleigh (backscattered statistics for healthy livers in practice) to Rayleigh distribution (hepatic steatosis) (19,50-52). Therefore, changes in the statistical properties of ultrasound backscattered signals are the primary mechanism that dominates the μ parameter to monotonically increase with increasing degree of hepatic steatosis from mild to severe stages.

Limitations and future work

Although both the μ_{RSK} and μ_{XU} parametric imaging is capable to characterize human hepatic steatosis *in vivo*, the diagnosing performance is yet to be improved. Out of expectations, the HK statistical distribution is a general model with specific physical meanings of ultrasound backscattering (as mentioned in Introduction), and thus we initially expected that the HK parameter would perform well in the diagnosis of hepatic steatosis. However, the current results showed that the performance of the HK model may not be better than that of other statistical approaches (21,22). Based on this viewpoint, we believe that future clinical applications of the HK model are still full of challenges. Developing new estimators for the HK model may be considered in future work. Incorporating HK μ parametric imaging with other QUS parametric imaging may also be taken into account.

Conclusions

In this paper, ultrasound HK μ parametric imaging was proposed for assessing human hepatic steatosis *in vivo*. Two recent estimators for the HK model, RSK and XU, were investigated. The μ_{RSK} and μ_{XU} parametric images were constructed using WSL =1–9 PLs. A total of 72 liver donors were included in group A with ¹H-MRS as the reference standard, and 204 patients were included in group B with histological findings as the reference standard. Experimental results showed that both the μ_{RSK} and μ_{XU} parametric images are feasible for evaluating human hepatic steatosis. The WSL exhibits little effect on the diagnosing performance of the μ_{RSK} and μ_{XU} parametric imaging. The μ_{XU} parametric imaging provides improved performance compared to the μ_{RSK} parametric imaging in characterizing human hepatic steatosis *in vivo*.

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Footnote

Conflicts of Interest: The authors have no conflicts of interest

to declare.

Ethical Statement: This study was approved by the Institutional Review Board of Chang Gung Memorial Hospital at Linkou. All participants signed informed consent forms, and experimental methods were performed in accordance with the Helsinki Declaration.

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