

# The National Institutes of Health Stroke Scale score—a valuable stroke scale used in emergency in predicting the need for mechanical ventilation and outcome in patients of acute ischemic stroke

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**Background:** Stroke is the third leading cause of death in developed countries and the leading cause of long term disability. Up to 10% of patients with acute stroke need mechanical ventilation (MV) due to different reasons. Identification of early markers associated with poor outcome appears to be of major importance in helping to provide the most appropriate management in stroke patients. The present study has the objective of determining the predictor accuracy of the National Institutes of Health Stroke Scale (NIHSS) score for the requirement of support of MV in patients with acute stroke and evaluating the outcome of patients who require ventilator support.

**Methods:** The aim of the study was to determine the predictor accuracy of NIHSS score in determining the requirement for MV and outcome of patients of acute ischemic stroke. This prospective cohort study was carried out in Max Superspeciality Hospital Saket in New Delhi over a period of one and half years. Patients with acute stroke, defined as the presence of sudden onset of focal neurological deficit and admitted within 24 h of onset of symptoms, with age  $\geq 18$  years were included in the study. The patients who were already on ventilator support at the time of admission were excluded from the study.

**Results:** Out of 139 patients of acute ischemic stroke and venous stroke, 32 patients were put on the mechanical ventilator. In case of ischemic stroke patients who required MV 21 (65.6%) were having NIHSS score between 6 and 13, 10 (31.3%) patients were having NIHSS score of  $\geq$ 14 and only one (3.1%) was having NIHSS score of  $\leq$ 5. We found the predictor accuracy of NIHSS score of about 82.7% in determining the need for ventilation support.

**Conclusions:** NIHSS score was found to have predictor accuracy of 82.7% in determining the need for MV. Mortality rate was shown to be increased with increase in the NIHSS score.

**Keywords:** The National Institutes of Health Stroke Scale (NIHSS) score; mechanical ventilation (MV); predictor accuracy; favorable; Modified Rankin Scale (MRS); mortality

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#### Introduction

Stroke is the third leading cause of death in developed countries and the leading cause of long term disability (1). Up to 10% of patients with acute stroke need mechanical ventilation (MV) due to different reasons. Patients with stroke who require MV are thought to have a very poor prognosis with mortality up to 90% and severe disability despite aggressive treatment in the ICU (1,2). However, timely MV has been found to improve the outcome of such patients (3,4). Hence identification of clinical predictors and methods which predict survival and meaningful outcome are also important, as it may influence the management decisions and justification for the use of resources. The present study has the objective of determining the predictor accuracy of the National Institutes of Health Stroke Scale (NIHSS) score for requirement of MV and outcome in patients of acute ischemic stroke.

# Methods

# Aim and objective

The objective of this study is to find the predictor accuracy of NIHSS score in determining the requirement for MV and outcome of patients of acute ischemic stroke.

# Study design

This study was carried out in Max Superspeciality Hospital Saket, New Delhi over a period of 1.5 years. It was a prospective, cohort study.

# Inclusion criteria

Patients included in the study were having all of the following: (I) stroke defined as the presence of sudden onset of focal neurological deficit and admitted within 24 h of onset of symptoms; (II) age  $\geq$ 18 years and (III) written informed consent available from the legal representative of the patients.

# Exclusion criteria

Patients meeting the inclusion criteria would be excluded if they would be already on ventilator support at the time of admission.

# Methods of measurement of outcome of interest

The patients of acute ischemic stroke were categorized

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into those with NIHSS score  $\leq 5$ , NIHSS score between 6 and 13 and those with NIHSS score  $\geq 14$ . The predictor accuracy for the requirement of mechanical ventilator was determined for NIHSS score of  $\geq 14$ . Mortality and outcome in terms of the Modified Rankin Scale (MRS) was determined in each of the NIHSS categories.

The need for the ventilation was defined by the following factors as per the standard guidelines for MV i.e., by GCS, Respiratory rate and Arterial blood gas analysis (SPO<sub>2</sub>, PAO<sub>2</sub> and PCO<sub>2</sub>). The outcome of the patients of acute stoke was analyzed for the mortality during the hospital stay and disability if any at the time of discharge by MRS

# Data collection methods

NIHSS score was applied to all acute ischemic stroke patients who were eligible for the analysis. All patients were followed up during their hospital course and records were made if they required MV and cause of intubation, clinical findings at the time of intubation, complications during hospitalization and intervention was also recorded.

# Statistical analysis

The predictive utility of NIHSS score was evaluated by running a logistic regression separatively for cases requiring MV and for cases not surviving or surviving (5). Statistical significance of each predictor was assessed at 5% level and the odds ratio (OR) and their confidence intervals were obtained. These ORs would quantify the predictive utility of various parameters. SPSS 16 software was used for statistical analysis.

# Ethics committee review

This research was carried out in accordance with the Basic Principles defined ICMR 'ethical guidelines for biomedical research on human participants [2006]', CDSCO guidance on good clinical practice for clinical research India.

The study was conducted only after the approval by the Scientific and Ethics committees of the hospital (approval No. TS/MSSHSKT/MHIL/NEURO/MHEC/15-02).

# Results

A total of 139 patients were found eligible for the study and were included for the analysis. We found that 90 (64.74%) patients were males and 49 (35.26%) were females. About



Figure 1 Showing categorization of the ischemic stroke patients on basis of territory involved.



Figure 2 Showing NIHSS score group wise distribution of subjects.

70% of patients were in the age group between 60 and 80 years and 21% patients were in the age group of 20 to 40 years. In case of ischemic stroke 104 patients were having involvement of the anterior territory, 22 patients had involvement of the posterior territory and remaining 8 patients were having involvement of both anterior and posterior circulation whereas 5 patients were having the venous infarct as shown in *Figure 1*. While categorizing the patients of ischemic stroke on the basis of NIHSS score, 56 (40.28%) patients had NIHSS score of  $\leq$ 5, 71 (51.07%) patients had NIHSS score between 6 and 13 and remaining 12 (8.63%) patients had NIHSS score of  $\geq$ 14 as shown in *Figure 2*.

Among the 139 patients of ischemic stroke and venous stroke, 32 patients were put on the mechanical ventilator.

In case of ischemic stroke patients who required the MV 21 (65.6%) were having NIHSS score between 6 and 13, 10 (31.3%) patients were having NIHSS score of  $\geq$ 14 and only one (3.1%) was having NIHSS score of  $\leq$ 5 as shown in *Table 1*. We applied the NIHSS score to the patients of venous stroke also. Out of 5 (3.03%) patients of the venous stroke, 2 (40%)

patients were put on the mechanical ventilator.

For patients of ischemic stroke presence of loss of consciousness at onset, GCS motor score  $\leq 5$  and NIHSS score showed a predictor accuracy of 84.9% for the requirement of MV (*Table 2*).

While doing the regression analysis we found the predictor accuracy of NIHSS score of about 82.7% in determining the need for ventilation support (*Table 3*).

Out of 32 patients of the ischemic stroke who were put on MV 14 (43.75%) expired while as rest were discharged. Among the patients of ischemic stroke mortality rate was 57.14% (8 patients) in patients having NIHSS score between 6 and 13 and mortality was 0% in patients with an NIHSS score of  $\leq 5$  (P value =0.346) (*Table 4*).

The patients who were put on mechanical ventilator and survived 77.78% (14 out of 18 patients) were having favorable outcome (MRS score  $\leq 2$ ) at the time of discharge where only 22.22% (4 out of 18 patients) were having unfavorable outcome (MRS score >2). *Table 5* below shows the MRS score at intubation and at discharge of patients of acute ischemic stroke who were put on MV.

#### Discussion

In our study about 139 patients met the inclusion and exclusion criteria and were enrolled for statistical analysis and discussion. In our study we found that 64.84% of patients were males whereas 35.26% of the patients were females. A study conducted in Pakistan by Marwat *et al.* showed, male preponderance in stroke patients (70.4% *vs.* 29.6%) (6,7) which was quite in accordance with our study. The rate of MV in our study for ischemic stroke patients was 23.02%. This was in accordance with the similar study

Table 1 Patients on mechanical ventilation

NIHSS score	No (n=107)	Yes (n=32)	P value
≤5	55 (51.4%)	1 (3.1%)	<0.05
6–13	50 (46.7%)	21 (65.6 %)	
≥14	2 (1.9%)	10 (31.3%)	

NIHSS, National Institutes of Health Stroke Scale.

 Table 2 Showing predictor accuracy for requirement of MV in ischemic stroke patients

Parameter	В	SE	Sig	OR	Predictor accuracy
LOC	-1.793	0.551	0.001	0.166	84.9%
GCS motor score ≤5	-19.284	6.586E3	0.998	0.000	
NIHSS	4.178	1.317	0.002	65.231	
Constant	19.240	6.586E3	0.998	2.269E8	

NIHSS, National Institutes of Health Stroke Scale; MV, mechanical ventilation; B, values for the logistic regression equation for predicting the dependent variable from the independent variable (Intercept & Slope); SE, standard error; Sig, significance; OR, odds ratio; LOC, loss of consciousness; GCS, Glasgow Coma Scale.

Table 3 Predictor accuracy of NIHSS score in mechanical ventilation

NIHSS score	В	SE	Sig	OR
≤5	5.617	1.272	0.000	275.000
6–13	2.477	0.817	0.002	11.905
Constant	1.609	0.775	0.038	0.200

Taking NIHSS score of  $\geq$ 14 as reference. Predictor accuracy: 82.7%, R-square: 0.402; overall significance of NIHSS =0.000. NIHSS, National Institutes of Health Stroke Scale; B, values for the logistic regression equation for predicting the dependent variable from the independent variable (Intercept & Slope); SE, standard error; Sig, significance; OR, odds ratio.

conducted by Gupta *et al.* (8) who reported a MV rate of 16.0% for acute ischemic stroke patients.

Among the 139 patients of ischemic stroke and venous stroke, 32 patients were put on the mechanical ventilator. In case of ischemic stroke patients who required the MV 21 (65.6%) were having NIHSS score between 6 and 13, 10 (31.3%) patients were having NIHSS score of  $\geq$ 14 and only one (3.1%) was having NIHSS score of  $\leq$ 5 as shown in *Table 1*. We applied the NIHSS score to the patients of

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venous stroke also. While doing the regression analysis we found the predictor accuracy of NIHSS score of about 82.7% in determining the need for ventilation support. NIHSS has been found to be a good predictor in stratifying the patients of acute stroke at the time of admission. Daniel Schlegel *et al.* in their study had found that disability and need for long term disability increases with increase in the NIHSS score and they had found that for each 1-point increase in NIHSS, the likelihood of patients going home was significantly reduced (OR, 0.79; 95% CI, 0.70 to 0.89, P<0.001) (9).

The overall mortality rate in case of patients acute ischemic stroke who required MV was 43.75%. Gupta et al. had reported an overall mortality of 52% in patients of acute stroke who required MV and it was 34% in patients of ischemic stroke and 62% in patients of hemorrhagic stroke. Santoli et al. in their study of 58 patients who required ventilator support, 16 had meaningful survival at the end of 1 year (10). Most of the reported previous studies of intubated patients with stroke have reported a mortality of 49% to 93%. Mayer et al. reported overall mortality at 30 days as 60% and it was 50% in patients with ischemic stroke and 71% in patients hemorrhagic stroke (11). Ludwigs et al. reported a subgroup of 65 patients from 1976 to 1986 admitted to ICU and intubated for stroke who had 75% of ICU mortality and 92% in-hospital mortality rates (12). Wijdicks et al., reported a 71% mortality rate in a series of patients intubated for hemispheric stroke, and an 88% mortality rate in vertebrobasilar ischemic stroke (13).

While seeing the mortality with respect to NIHSS in patients of ischemic stroke who were put on MV, we recorded 57.14% (8 patients) mortality rate in patients having NIHSS score between 6 and 13 while as mortality was 0% in patients with an NIHSS score of  $\leq$ 5 (P value =0.346). In a study conducted by Schlegel *et al.*, it has been observed that for each 1-point increase in NIHSS, the likelihood of patients going home was significantly reduced (OR, 0.79; 95% CI, 0.70 to 0.89, P<0.001). Categorization of NIHSS was also predictive of disposition, with NIHSS  $\leq$ 5 being most strongly associated with discharge home, NIHSS 6 to 13 with rehabilitation, and NIHSS >13 with long term nursing facility requirement (P<0.001) (9).

In a study conducted by Shrestha *et al.* in Nepal it was found that Stroke subtype and baseline NIHSS scores were associated with death/disability (27, 48.2%) at 3 months. Large artery strokes and higher NIHSS scores were found to be significant predictors of poor outcome at 3 months (14).

Similarly in a study conducted by Adams *et al.* it was observed that baseline NIHSS score strongly predicted

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 Table 4 Outcome of patients of acute ischemic stroke who were put on mechanical ventilator

NIHSS score (n=32)	Died (n=14)	Discharged (n=18)	P value
≤5	0 (0%)	1 (5.56%)	0.346
6–13	8 (57.14%)	13 (72.22%)	
≥14	6 (42.86%)	4 (22.22%)	

NIHSS, National Institutes of Health Stroke Scale.

 Table 5 Showing MRS score at intubation and at discharge

MRS score	Intubation (n=32)	Discharge (n=32)
0	0	1
1	0	5
2	1	8
3	16	3
4	13	1
5	2	0
6	0	14

MRS, Modified Rankin Scale.

outcome, with one additional point on the NIHSS decreasing the likelihood of excellent outcomes at 7 days by 24% and at 3 months by 17%. It was also concluded that the NIHSS score strongly predicts the likelihood of a patient's recovery after stroke. An NIHSS score of  $\geq 16$  forecasts a high probability of death or severe disability whereas a score of  $\leq 6$  forecasts a good recovery (15).

We observed in our study that MRS score predicts the requirement for MV in case of ischemic stroke patients as majority of the patients who were put on MV were having MRS score of 3 or more than 3 (P value <0.01).

The patients who were put on mechanical ventilator and survived 77.77% (14 out of 18 patients) were having favorable outcome (MRS score  $\leq$ 2) at the time of discharge where only 22.23% (4 out of 18 patients) were having unfavorable outcome (MRS score >2). In a study conducted by Eva Schielke while evaluating the long-term outcome of patients with ischemic stroke requiring MV it was found that out of 33 surviving patients, 9 (27%) had a good functional outcome (MRS 0–2)(16).

#### Conclusions

We observed that males outnumbered the females in stroke

prevalence (64.74% vs. 35.26%). We recorded a bit higher prevalence of stroke (21%) in young people (age than 40 years) than other studies (10% to 15%). Significant proportion of the patients with acute ischemic stroke (23.02%) required MV. We concluded that loss of consciousness at onset, GCS motor score  $\leq$ 5 (OR =0.000) and NIHSS score were associated with overall predictor accuracy of 84.9% in determining the need for MV. NIHSS score individually was found to have predictor accuracy of 82.7% in determining the need for MV. The overall mortality rate in patients of acute ischemic stroke who were put on MV was 43.75%.

While correlating the NIHSS score with the mortality rate, 53.84% mortality rate was found in patients having NIHSS score between 6 and 13 compared to 0% mortality in patients with NIHSS score of  $\leq$ 5. The patients who were put on mechanical ventilator and survived 77.77% (14 out of 18 patients) were having favorable outcome (MRS score  $\leq$ 2) at the time of discharge where only 22.23% (4 out of 18 patients) were having unfavorable outcome (MRS score >2).

Keeping in view the increasing prevalence of stroke in young adults and the mortality associated with acute stroke we recommend that early recognition of the predictors determining the need for MV thereby improving the outcome of stroke patients should be contemplated at the earliest as soon as the patient lands in hospital

We recommend that stroke scores like NIHSS score should be applied to the patients of acute ischemic stroke to stratify the patients with regard to their severity and proper standardization of care to be undertaken. This will enable the physicians at the primary and secondary healthcare level to refer the sick patients to tertiary care hospitals as soon as the patients are examined by them.

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#### Footnote

*Conflicts of Interest*: The authors have no conflicts of interest to declare.

Ethical Statement: The study was conducted only after the

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