



# DNA damage assessment and potential applications in laboratory diagnostics and precision medicine

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**Abstract:** Precision or personalized medicine is an aspiring but controversially discussed new paradigm in modern medicine. The individual variability of patients and their diverse responses to treatment options casts doubt on “one-fits-all” therapeutic strategies. Thus, biomarker-based stratification of patients into smaller cohorts is recommended. Indeed, the enormous progress in “omics” technologies has greatly supported this medical model which focused on determining disease predisposition, delivering early and targeted prevention, and/or tailoring the right therapy for each patient at the right time. Especially in the field of precision cancer therapy, the growing understanding of tumor heterogeneity and individual treatment responses has enabled the identification of adequate biomarkers. This review focuses on biomarkers for genotoxicity assessment by different methods, their characteristics, technical advances and their potential clinical applications.

**Keywords:** Precision medicine; DNA damage; genotoxicity assay; chromosomal aberration assay; cytokinesis-block micronucleus assay; comet assay;  $\gamma$ H2AX analysis

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## Establishment of new biomarkers in precision medicine

Personalized medicine, often referred to as precision medicine in the Anglo-Saxon literature, represents a new paradigm in medicine. In contrast to the “one-fits-all” approach, precision medicine takes individual variability of patients into account. It focuses on the classification of patients with the same disease into subgroups after determination of specific diagnostic, predictive or prognostic biomarkers (1). Depending on these individual patient profiles, precision medicine finally tries to provide tailored treatment strategies (2).

Despite the common use of the terminology, there is still an ongoing debate on the exact definition of this new strategy (3). Attempts to narrow the scope of precision medicine to genetics only fall definitely too short. Precision medicine should be considered as a medical model using cellular characteristics and molecular profiling for tailoring the right therapeutic strategy for each individual person at the right time, and/or for determining the predisposition to disease, and/or for delivering early and targeted prevention (1).

Emerging progress in “-omics” technologies has paved the way for a more comprehensive biomarker-based stratification of patients. Novel diagnostic techniques

have entered the limelight offering the analysis of new biomarkers and thereby demanding a new awareness in laboratory medicine and beyond.

In this respect, one of the most intriguing developments has taken place in the field of precision cancer therapy. Although tumor heterogeneity represents a major obstacle for precision medicine, much progress has been achieved in treating cancer patients based on individual molecular profiles (4). This development has been accompanied by the search for new biomarkers. The prediction of individual responses to anticancer therapy has been in the focus of research highlighting the need for a profound understanding of drug response mechanisms to identify new biomarkers (5).

Whereas pharmacogenetic analyses of certain mutations are already included as biomarkers in so-called companion diagnostics, functional tests assessing the level of induced DNA damage and DNA repair capacity might potentially be used in clinics as well.

According to guidelines for “*in vitro* companion diagnostic devices” published by the U.S. Food and Drug Administration (FDA) such diagnostic tests should for instance identify populations who are (I) either likely to benefit; (II) be at increased risk for serious adverse effects for a particular treatment; or (III) biomarker assessment should improve safety or efficacy by monitoring treatment response (6). Furthermore, apart from proven clinical value, Taube *et al.* (7) stated two additional criteria in that context. Robustness and reproducibility of an assay accompanied by the conviction and acceptance of this test among the clinical community are prerequisites for a successful translation. As a fact, based on past experience, difficulties would be expected to achieve standardization of genotoxicity assays in the near future, not least because scientists would be reluctant to change their methods (8).

Recently, the Organization for Economic Co-operation and Development (OECD) updated or newly published guidelines about chemical testing for multiple genotoxicity assays. Guidance on assay performance may help to standardize these tests to gain more information about their reliability as diagnostic parameter and for translation into clinical laboratories. Thereby, biomarkers of DNA damage response (DDR) can potentially help to predict individual sensitivity or resistance of normal tissue and tumor cells regarding chemo- or radiotherapeutic anti-cancer treatment. Further, DDR markers can support monitoring of treatment efficacy and to adjusting treatment schedules (9).

## DNA damage and DNA damage response

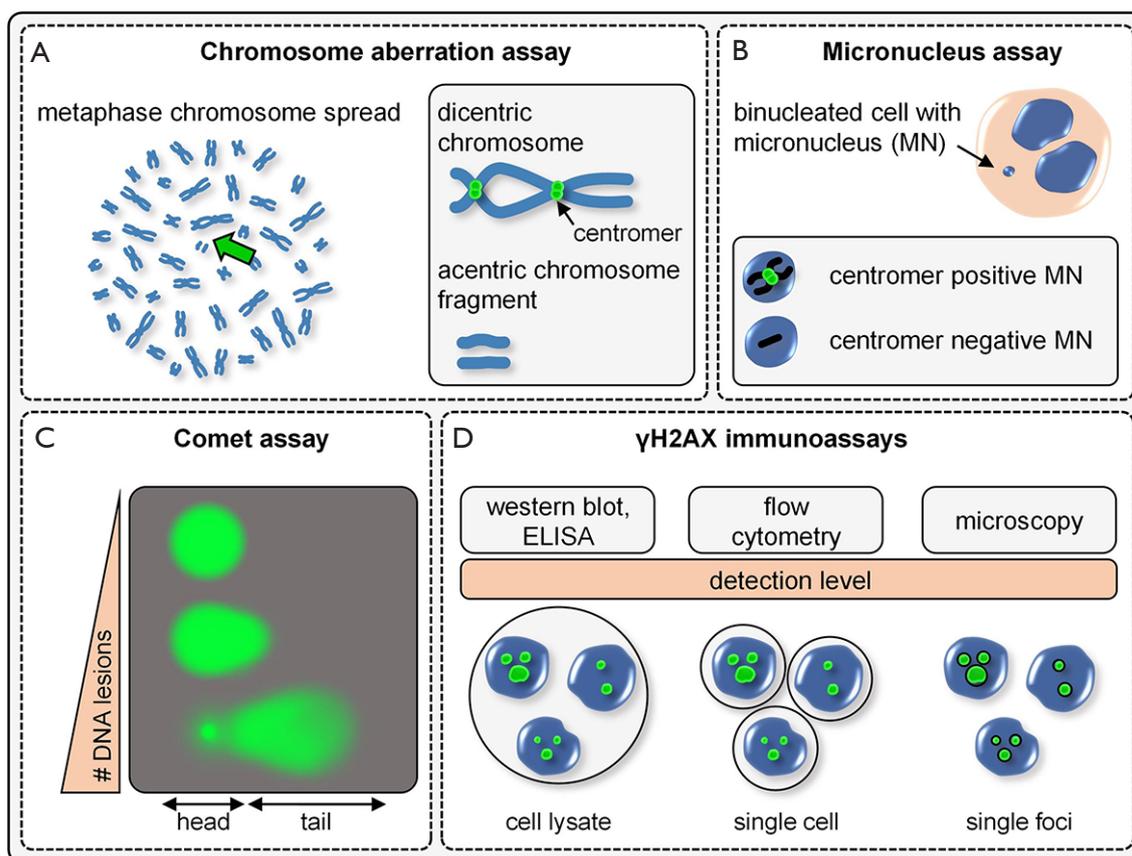
The DNA of every cell is continuously damaged by endogenous sources, such as replication or by metabolic (by-) products [(e.g., reactive oxygen species (ROS)]. Additionally, multiple exogenous factors, like ultraviolet light, ionizing radiation, various genotoxic drugs and environmental toxins are capable to induce DNA lesions (10). In contrast to other biomolecules which will be degraded and newly synthesized after alteration, DNA does not underlie such constant recycling process. Instead, a variety of lesion-specific DDR mechanisms exists to restore DNA integrity. During the last decades, innumerable studies uncovered diverse molecular DDR mechanisms which have been extensively reviewed previously (10,11). One of the most severe types of DNA damage are DNA double-strand breaks (DSBs). They are either repaired by classical or alternative non-homologous end-joining (NHEJ) or by homologous recombination (HR) (12). Nonetheless, DNA damage accumulates throughout lifetime and induces chromatin alterations in different cell types, such as tissue-specific stem cells. This may be the driving force of aging as well as for the development of numerous diseases, like malignancies (13,14). Defects within DDR pathways have been reported to be involved in tumorigenesis and premature aging (15,16).

In contrast to normal tissue where DNA damage should be avoided, certain therapeutic strategies are based on DNA damage induction in pathologic cells. Thus, anti-cancer chemo- or radiotherapies often take advantage of DDR defects of tumor cells to specifically target and kill malignant cells (17). The tremendous progress in the understanding of DNA damage signaling has led to new therapeutic options in particular for cancer treatment by modulating these DNA repair pathways, such as the therapeutic concept of synthetic lethality (11,17).

## Genotoxicity assays

Treatment with exogenous DNA damage-inducing agents like cytostatic drugs or ionizing radiation can be toxic for cells and represents the basis for anti-cancer therapy. Genotoxicity tests analyze transient or permanent defects of the genetic material (18). However, genotoxicity does not need to be accompanied by mutagenesis or cytotoxicity nor does cytotoxicity need to be caused by genotoxic effects.

Besides genotoxicity reporter assays employed in bacteria (e.g., Ames test) or cell lines (e.g., mouse lymphoma



**Figure 1** Schematic overview of genotoxicity assays. (A) Chromosomal aberration assay: Typical metaphase chromosome spread (blue) of one cell, showing a fused dicentric chromosome and remaining acentric chromosome fragments. Centromeres (green) can be visualized after additional staining. (B) Micronucleus assay: Binucleated cell resulting from cytokinesis-block after first mitosis containing a micronucleus (MN). Additional centromere staining provides information whether MN incorporates a whole chromosome or centromere-negative chromosome fragments. (C) Comet assay: single-cell gel electrophoresis to quantify level of DNA damage in individual cells. After assay performance, unwound, denatured DNA of undamaged cells remains in the head region of the comet. Increasing DNA lesions lead to enhanced DNA migration into the comet tail and a reduced intensity of the head region. (D)  $\gamma$ H2AX immunoassay: DNA double-strand breaks can be visualized in cell nuclei (blue) as discrete  $\gamma$ H2AX foci (green) after immunofluorescence staining. Illustrated immunoassays are characterized by different detection levels indicated as black circles. Whereas immunoblot methods or enzyme-linked immunosorbent assays (ELISA) allow analysis of pooled cell extracts only, flow cytometry applications enable intensity measurements of  $\gamma$ H2AX level in single cells. Yet, quantification and further examination on a single focus level can only be realized by fluorescence microscopy.

thymidine kinase assay), several techniques can be applied to analyze DNA damage and corresponding DDR in primary human cells. The frequency of cytogenetically detectable irreversible chromosomal damage can be assessed for instance by chromosomal aberration (CA), especially by dicentric chromosome assay (DCA) (Figure 1A) or by cytokinesis-block micronucleus assay (CBMN) (Figure 1B). Further, molecular genotoxicity assays can be used to study various endpoints. They can be employed either as indicators for primary DNA

damage before occurrence of DNA repair or to analyze remaining DNA lesions several days after treatment. Two of the most commonly used tests are the single-cell gel electrophoresis/comet assay (COM) (Figure 1C) and  $\gamma$ H2AX immunofluorescence microscopy analysis (Figure 1D). Each method has its own characteristic assay performance regarding specificity and sensitivity. Consequently, depending on the study and targeted endpoint, an appropriate assay should be selected. Concerning their potential use for precision medicine, these four methods

will be described in greater detail below. In fact, various other tests were applied in studies to examine genotoxic effects, such as sister chromatid exchange, the Halo assay or the application of electrochemical methods, polymerase chain reaction, high performance liquid chromatography or mass spectrometry (19,20). However, these assay techniques are beyond the scope of this review.

### ***Chromosome aberration assay***

The CA assay has been applied for chemical testing for more than 40 years and still remains the gold standard to assess genotoxicity particularly for radiation biodosimetry (21,22). Apart from the analysis of different numerical chromosome and chromatid variants as well as other abnormalities (e.g., DNA strand breaks or translocations), the quantification of chromosome type changes [e.g., rings and mainly dicentric chromosomes (DIC)] remains the method of choice (*Figure 1A*) (21,23). The dicentric chromosome assay (DCA) is based on misrepair and fusion of DNA double-stranded ends of two different chromosomes, resulting in the formation of dicentric (2 centromeres) chromosomes and accompanying acentric chromosomal fragments. This analysis is widely performed on peripheral blood lymphocytes which are stimulated for 48 h and arrested in metaphase. Microscopic evaluation of the metaphase chromosome spread is commonly performed after a Giemsa (G)-band staining (21,24). To improve the sensitivity and allow the detection of chromosomal translocations or deletions, CA testing can be combined with specific chromosomal staining by fluorescence *in situ* hybridization (FISH) (25). Thus, FISH using centromere or telomere-specific probes are widely applied for DCA. Although CA testing is characterized by a high sensitivity, it is subjected to pre-analytical variation due to the required cell culture. Further, the microscopic chromosome spread analysis is influenced by operator subjectivity and potential bias, depending on the level of observer experience (26). Finally, the late endpoint examined by CA assessment does allow neither initial DNA damage analysis nor repair kinetic studies.

### ***Cytokinesis-block micronucleus assay***

CBMN is another widely accepted cytogenetic method to study chromosomal damage or loss, mitotic dysfunction and cell death (*Figure 1B*) (27). CBMN can be performed with various human cell types whereas the use of lymphocytes,

either isolated or within whole blood cultures, remains the preferred approach (24). Thus, lymphocytes are stimulated for 72 h and treated after 44 h with a cytokinesis inhibitor (e.g., cytochalasin B) to block the division into two daughter cells. Cells that underwent the first division of the nucleus can be identified as binucleated cells. These cells are screened for micronuclei (MN). A MN represents a whole chromosome or chromosomal fragment engulfed in a small extranuclear body that was separated from the nucleus during mitosis (*Figure 1B*).

Furthermore, aneugenic and clastogenic effects can be differentiated by additional centromere assessment using immunofluorescence kinetochor staining or FISH technology (28). Whereas aneugenic mechanisms interfere with the mitotic apparatus, which leads to the loss of whole chromosomes and centromere-positive MN, clastogenic effects cause chromosomal disruptions and breakage resulting in centromere-negative MN (29,30). Apart from centromeric labeling, the FISH technique can be used for whole chromosome staining. Thus, further information on chromosomes or fragments encapsulated in the MN can be obtained. To assess the mutagenic potential in *in vitro* pharmaceutical testing, reagents under investigation often require additional exogenous metabolic activation to induce genotoxicity. Therefore, exogenous enzymes often obtained from the S9 fraction of rodent liver homogenate are used in many pharmacological studies to mimic human liver metabolism (31).

MN formation can be caused by different lesions of both the spindle apparatus and the DNA. Although chromosomal breakage in general results from DNA DSBs, these can also originate from base lesions or single-strand breaks (SSBs) subsequently converted into DSBs during replication, especially when DDR is impaired. Compared to CA testing, evaluation of the MN assay is less technically demanding. The major shortcomings of MN detection, however, are the late endpoint analysis after 3 days and the lack of information on initial DNA damage before the beginning of DDR (32). Further, for an adequate MN evaluation, a minimum of 1,000 binucleated cells should be analyzed.

Besides scoring of MN, more information can be retrieved by analyzing additional structures like the formation of nucleoplasmic bridges (NPBs) or nuclear bodies (NUBDs) indicating gene amplification or DNA disrepair, respectively. Further, quantification of mono-, bi- and multinucleated cell ratio enables proliferation studies by calculation of the nuclear division index (NDI) (27).

### *Single-cell gel electrophoresis (comet assay)*

A whole array of different DNA lesions like SSB and DSB, alkali-labile DNA sites as well as uncompleted base excision repair (BER) can be detected by alkaline (pH >13) single-cell gel electrophoresis (33). Apart from the classical pulsed-field gel electrophoresis (PFGE) technique, where DNA fragments of a pooled cell extract are separated by an alternating electric field within an agarose gel, single cell gel electrophoresis, also referred to as comet assay, enables the analysis of DNA lesions in individual cells. As a fact, this assay technique is a widely accepted genotoxicity method. The comet assay can be performed with various cell types and does not require cell proliferation. Cells are embedded in low melting agarose on a slide and the cell membrane, cytoplasm, and nucleoplasm are removed by lysis with hypertonic, non-ionic detergents. After alkaline treatment, the unwounded, denatured negatively charged DNA remains in the center of the cell and starts to migrate toward the anode during electrophoresis (34). The smaller the size of the DNA fragments the faster the movement. This leads to the formation of comet-like tails in damaged cells, which can be stained with DNA dyes and quantified via microscopy and image analysis (*Figure 1C*).

Several methodological modifications have been described for the comet assay. It has been claimed that the comet assay under neutral conditions preferentially leads to the detection of DSBs. However, this does not seem to hold true since SSBs as well as DSBs cannot be distinguished either in alkaline nor in neutral comet assays (34). Of note, both assay conditions for single-cell gel electrophoresis are characterized by different assay performances resulting in different comet shapes and sensitivities (33). To increase the spectrum of DNA lesions measurable with the comet assay, protocols have been established applying DNA digestion with different lesion-specific endonucleases which enable conversion of oxidized bases into detectable SSBs (35). Furthermore, a combination of the comet assay with FISH was described to study DDR in particular genes or DNA sequences (36).

In general, comets of 100 cells per sample need to be analyzed. Depending on the evaluation method different parameters can be determined. In a semi-quantitative manner, comet images can be classified typically into five distinct categories according to their relative tail intensity. Moreover, modern image analysis enables the measurement of tail length, total intensity, percentage of DNA localized in the head and tail as well as the product of tail length and

DNA content, referred to as olive tail moment (34).

Altogether, the comet assay is a sensitive and user-friendly assay for detecting DNA damage and can be performed readily with moderate costs (24). In contrast to CA and MN assays, the level of initial DNA damage can be analyzed by comet assay directly after treatment before main initiation of DNA repair. Of note, different studies revealed a high inter- and intra-laboratory variation. For better reproducibility, assay conditions need to be further standardized (37). Additionally, cell death needs to be controlled since apoptotic cells can lead to false-positive findings (26).

### *$\gamma$ H2AX immunocytochemistry assay*

The latest genotoxicity assay described here, is based on the immunocytochemical detection of the phosphorylated histone variant  $\gamma$ H2AX (38). Upon DSB formation, H2AX molecules in the chromatin surrounding the DSB site become rapidly phosphorylated at serine 139 by the PI3-like kinases, ataxia telangiectasia mutated (ATM), ATM- and Rad3-related kinase (ATR), or DNA-dependent protein kinase (DNA-PK). A feedback loop leads to signal amplification and the formation of  $\gamma$ H2AX foci which can be visualized as discrete spots after specific immunofluorescence staining (39). Unlike cytogenetic assays, where genotoxicity is assessed at an endpoint distant from the initial DNA damage, the  $\gamma$ H2AX assay can be applied at different time points, either to study primary damage, repair kinetics or residual levels of DSBs (40,41). Furthermore, focus formation does not require cell cycling and can be observed in non-proliferating as well as in proliferating cells.

However,  $\gamma$ H2AX analysis is limited to the detection of DSBs and there is evidence that formation of foci can also occur in the absence of DNA damage (42). Recent research indicates that many proteins interacting with DNA damage-modified histones do not directly participate in DNA repair. Instead, spreading of chromatin modifications away from the primary lesions may be an auxiliary mechanism evolved to coordinate repair with transcription and replication, as reported by Nakamura *et al.* (43) and Polo *et al.* (44).

Different immunological methods can be employed to determine the level of  $\gamma$ H2AX. Whereas enzyme-linked immunosorbent assay (ELISA) or immunoblotting enable the analysis of pooled cell or tissue extracts only, individual cells can be examined by flow cytometry or fluorescence microscopy (*Figure 1D*). Analysis of  $\gamma$ H2AX by flow

cytometry allows a fast, high-throughput testing assessing thousands of events per sample. It can be combined readily with cell-cycle studies by measuring DNA content or classification of different subpopulations, e.g., by applying specific cell surface markers. Flow cytometry is the method of choice for doses beyond 2 Gy, where classical  $\gamma$ H2AX foci quantification via microscopy assessment becomes imprecise (45). In contrast, fluorescence microscopy is reported to be the most sensitive method, enabling the detection of down to only a single focus per cell (46). Therefore, it is especially applicable for the investigation of cells expressing low numbers of  $\gamma$ H2AX foci. Other advantages of fluorescent microscopy analyses are the feasibility of co-localization studies with additional DDR proteins as well as examination of morphology and spatial distribution of individual foci. Of note, acceptable intra- and inter-assay variability for the analysis of  $\gamma$ H2AX in peripheral blood lymphocytes after ionizing radiation has been reported in various studies (47,48). In addition, this approach offers a substantial advantage regarding the statistical analysis of data. Commonly obtained parameters are central tendency (e.g., mean or median) and variation (standard deviation) of the quantified amount of foci (49,50). Instead of using relative intensity values or qualitative descriptions,  $\gamma$ H2AX foci analyses enable quantification of absolute numbers (number of foci per cell). These quantitative data allow statistical calculations based on Poisson distribution and kinetical analysis by non-linear regression. Thus, the probability of a given number of foci occurring in a fixed interval of cells can be calculated and even significantly over-dispersed foci/intensity distributions can be accurately assessed (49,50). These data can be readily combined with other (co-localized) parameters [e.g., p53 binding protein 1 (53BP1)] which enables a high level of accuracy (51).

It was stated that  $\gamma$ H2AX foci quantification using fluorescence microscopy represents the most sensitive approach, since single foci can be detected (46,52). But direct comparative analyses of more than two techniques for  $\gamma$ H2AX detection regarding assay performance have been lacking so far. Thus, the technical report by Reddig *et al.* in this issue of the journal provides the first comprehensive comparison in terms of sensitivity of  $\gamma$ H2AX detection by immunoblotting, flow cytometry and immunofluorescence staining with automated foci quantification and fluorescence detection of etoposide-exposed lymphocytes.

Of note, recent electron microscopic studies have provided a more detailed picture of the spatial arrangements

of repair proteins within DNA damage foci. Electron microscopy is a technically demanding and challenging technique and, thus, its use for precision medicine will depend on its cost-effectiveness and availability. Nevertheless, the high resolution of transmission electron microscopy (TEM) permits the visualization of gold-labelled repair proteins at the single molecule level and to characterize the spatiotemporal dynamics of DSB induction and repair within the chromatin ultrastructure (53,54). DNA damage signaling and DNA repair dynamics differ significantly in DSBs located in different chromatin environments such as hetero- and euchromatin. Even highly clustered DNA lesions induced by densely ionizing radiation that appeared as a single focus by fluorescent microscopy can be dissolved as multiple DSBs in close proximity by this TEM approach (55). The high resolution of TEM permits the visualization of the essential components of the DNA repair machinery at the single molecule level, such as the Ku70/Ku80 heterodimer for classical NHEJ (53,54). By labeling the activated Ku heterodimer, which binds directly to broken DNA ends in preparation for rejoining, this TEM approach permits the reliable detection of actual DSBs (14,55). Further, in proof-of-concept studies, the  $\gamma$ H2AX assay was employed on six cancer cell lines to investigate off-target effects by genome editing with CRISPR/Cas9 (56,57). Altogether, despite some limitations, the  $\gamma$ H2AX assay is so far the most sensitive and specific test for detecting DNA DSBs (42,58).

### Standardization and automation of genotoxicity assays

Regarding automation and standardization requirements, one limitation CA, CBMN, comet assay and  $\gamma$ H2AX immunofluorescence tests have in common is their labor-intensive, manual and subjective microscopic analysis. To enable high-throughput screening and standardized assay interpretation, much effort has been made to develop automated approaches. Whereas some software programs only enable semi- or fully automated evaluation of prior separately acquired microscopy images, other tools combine image acquisition, processing and analysis.

In general, automated analysis requires fixed cell samples immobilized on microscopy slides at the particular endpoint according to the corresponding protocol of the applied method. Except for Giemsa stain, staining is predominantly conducted with fluorescence DNA dyes, like 4',6-diamidino-2-phenylindole (DAPI), acridine orange,

SYBR green/gold or fluorescence-labeled probes and antibodies, which later mark the regions of interest. For automated evaluation, stained samples are processed by an interpretation system comprising mainly a motorized fluorescence microscope combined with an appropriate camera and equipment for image acquisition. All devices are connected to a computer to provide sufficient data storage and software modules to control hardware components, automated image acquisition, analysis, and evaluation. Essential for reliable analysis are image quality and the accuracy of implemented algorithms required for image segmentation and pattern recognition. Thereby, suitable objects, such as metaphase chromosomes, bi-nucleated cells, comets or cell nuclei as well as characteristic regions representing DNA lesions, like DIC, MN, comet tails or foci will be identified and evaluated.

In the past, various instruments and software tools have been developed for automated genotoxicity assessment. Some focused on the fast and standardized identification of DIC in classical metaphase spreads (59,60) or directly in interphase cells, applying premature chromosome condensation (PCC), a technique to allow immediate damage detection without additional lymphocyte stimulation (61). Multiple tools have also been developed for automated micronucleus analysis, either based on fluorescence microscopy (62,63) or imaging flow cytometry (64). In a report published 2013 by Fenech *et al.*, the authors compared different systems available for MN scoring (65).

In conventional comet assays, only one or two samples can be placed on one microscopy slide, only a small fraction of cells is analyzed and the space in electrophoresis tank is limited. Hence, different approaches using, e.g., 12 minigels per slide or 96-well microplate format (35) and a CometChip assay (66) have been developed to increase the throughput of samples. To avoid random distribution and overlap of cells within the gel, the CometChip utilizes agarose gels with micropores as small as a single cell to generate a consistent cell grid (66). This controlled cell arrangement combined with a 96-microwell setting generated a platform for fast processing of large sample numbers. Further, the CometChip was shown to be a powerful tool to assess genotoxicity mediated by engineered nanoparticles (67). Therefore, single- and double-stranded DNA breaks, alkali-sensitive sites as well as variations in DNA repair pathways are detectable by comet assay at a high-throughput level.

Since manual scoring of comets can only be performed in

a semi-quantitative manner multiple commercially available software tools and free-ware options have been designed for automated image analysis enabling comet size and intensity measurements (59,68,69). For further standardization, networks and workshops were established to exchange data and knowledge among different research groups regarding application and technical issues (70,71).

Whereas international guidelines were released, e.g., by the OECD for the genotoxicity assays mentioned above no such guidelines exist for  $\gamma$ H2AX foci analysis. Besides differences in assays performance also manual quantification of  $\gamma$ H2AX foci may result in high intra- and inter-laboratory variability. Within the last 15 years several tools have been developed to enable automated  $\gamma$ H2AX foci quantification. Most applications comprise software programs for digital image processing and analysis which automatically detect nuclei and corresponding foci. Here, images needed to be acquired separately on a fluorescence microscope, as described in detail elsewhere (72-75). In contrast, different fully automated microscope systems were designed or modified combining image acquisition as well as foci analysis without required presence of operator during the scanning process (59,76,77). Furthermore, a Rapid Automated Biodosimetry Tool (RABiT) for large-scale biodosimetry studies was constructed. This robotic workstation implements fully automated sample processing, including lymphocyte isolation from fingerstick-derived blood samples and antibody staining, as well as image acquisition and  $\gamma$ H2AX analysis (78).

These approaches may facilitate the standardization of the  $\gamma$ H2AX assay and its translation into clinical diagnostics, as shown for different immunofluorescence tests in the field of autoimmune diagnostics. To design a reliable automated analysis platform, criteria for selection or exclusion of objects as well as for object analysis need to be defined conscientiously and must be implemented in adequate automated interpretation systems. But once achieved, these approaches offer standardization, objectivity, and reproducibility as has been demonstrated recently for autoantibody testing by indirect immunofluorescence employing novel automated interpretation platforms, like the AKLIDES system (79,80). Within a short period of time, automated pattern interpretation has been introduced into routine autoimmune testing. Indeed, this development has ushered in a new era in this field of laboratory diagnostics (81). Altogether, a subjective interpretation analysis has been turned into a standardized automated technique. Similarly, the recent progress in the automated

interpretation of genotoxic assays like the  $\gamma$ H2AX assay has provided promising results. Several studies demonstrated a satisfactory correlation between manual and automated interpretation supporting this assumption (76,82). Thus, these new interpretation technologies seem to be on the brink of translation into precision medicine especially in the field of oncology (83).

Fully automatized high-throughput assays such as the CometChip or the  $\gamma$ H2AX assays are a significant contribution to precision medicine since they enable a comprehensive determination of the response upon drug treatment. In particular  $\gamma$ H2AX foci assessment can be combined with co-localization analysis of associated biomarkers, such as 53BP1, and provides information on the phenotypic (cell shape, nucleus diameter) and the proteomic level (relative expression level of a biomarker). The comet and  $\gamma$ H2AX assays assess the DNA damage of single cells with a high redundancy (>100 cells/test), high replicate number as well as on different cell populations and at multiple time points after treatment. This means that dose-limiting side effects may be quantified for each patient. In particular, the response to chemotherapy or radiation therapy potentially benefits from this information gain (84,85).

Nonetheless, further optimization of standardized criteria for assay performance and evaluation will improve the comparability of automated analyses and pave the way for multi-center studies (65). The novel automated systems available should be able to meet the demands of modern data exchange to facilitate the management of data pooling in the framework of “big data” regarding urgently needed multicenter studies for biomarker evaluation (86). Accordingly, DNA damage assays should be assessed depending on their application to demonstrate their usefulness to provide diagnostic, prognostic, and/or predictive biomarkers for precision medicine (87).

### Genotoxicity assays in laboratory diagnostics and precision medicine

Ineffective DNA repair mechanisms and genomic instability are fundamental characteristics of different human diseases. Whereas altered DDR can lead to accumulation of DNA lesions and apoptosis of irreplaceable neurons in neurodegenerative disorders like Alzheimer's disease, infinite growth of mutated cells represents a typical feature of neoplastic diseases (88). To replace traditional, uniform disease classification and treatment, especially in cancer

therapy, a paradigm shift needs to take place. The high heterogeneity among tumors should be addressed by a more comprehensive biomarker-based stratification of patients in the framework of precision medicine. Besides basic research and pharmacological testing, genotoxicity assays, especially  $\gamma$ H2AX, offer a wide range of applications in the field of radiation biodosimetry, occupational/environmental exposure to potentially genotoxic agents and clinical studies (89,90). However, apart from CA assays in prenatal diagnostics, these genotoxicity assays have not been widely transferred into clinical routine yet, not least because of insufficient standardization and the lack of data from well-characterized clinical studies.

One potential application of genotoxicity tests is the use as biodosimetry tool for the triage and classification of exposed individuals after a major nuclear or radiological emergency, to rapidly identify and treat highly irradiated subjects. In this regard, projects between multiple European laboratories have been established for validation and harmonization of different genotoxicity assays (91,92). Retrospective dose estimation confirmed highest accuracy for DCA but also reported a feasible application of more rapid  $\gamma$ H2AX tests, especially for screening and identification of most severely exposed subjects (22,93). In comparison to DCA, CBMN or COM the  $\gamma$ H2AX assay was characterized by its high sensitivity enabling detection of initial DNA damage even after low dose of X-ray exposure (1–10 mG), as it is applied in clinical radiography (94,95).

Besides the absorbed radiation dose also individually varying biological mechanisms affect the degree of radiation damage, resulting in a wide spectrum of acute and late tissue responses. Different functional assays have been developed for prediction of tumor and normal tissue radiosensitivity employing *ex vivo* irradiation of patient samples. Assessment of CA, MN formation and clonogenic survival represent the most established techniques for prediction of radiosensitivity but endpoint analysis requires several days to weeks (96). Therefore, multiple studies have been conducted investigating the prediction of radiosensitivity also by comet or  $\gamma$ H2AX assay (97,98).

One of the most promising approaches of these two assays in clinics is the prediction of normal tissue toxicity and identification of subjects which carry a hetero- or homozygote defect in DNA damage responses genes, e.g., Ataxia telangiectasia (AT). These patients, especially children, are at high risk to develop severe radiation toxicities. A majority of these subjects could be identified

in several studies by their reduced DNA damage repair kinetics after *ex vivo* cell radiation by comet (99,100) and  $\gamma$ H2AX assays (53,101). Especially residual  $\gamma$ H2AX foci represented a promising biomarker for prediction of normal tissue radiosensitivity, although assessment alone could not be correlated to over-responders in all conducted trials (102,103). Since many intrinsic and microenvironment-dependent factors influence tumor response to radiation therapy genotoxicity assays have also been tested for their ability to predict tumor radiosensitivity. Discrimination of radiosensitive and radioresistant tumor cell lines or tissue samples was successfully demonstrated by comet (97,104) and  $\gamma$ H2AX assays (41,103) but further validation and standardization are required for these tests to be transferred into laboratory diagnostics and precision medicine. Lacombe *et al.* (105) comprehensively reviewed different assays and biomarkers used to predict tumor radiosensitivity in this context.

Another obstacle in cancer treatment are individually varying intrinsic or acquired mechanisms leading to modulated chemoresponsiveness, such as alterations in DDR, apoptosis or expression level of drug efflux transporters (106). Different experiments using either the comet or  $\gamma$ H2AX assay have demonstrated the usefulness of these methods to assess drug efficacy of DNA damaging agents as well as modulation of chemoresistance (84,107-109). Further,  $\gamma$ H2AX foci analysis by immunofluorescence staining has been reported to provide a promising biomarker to predict the response of patients to ionizing radiation and to analyze the combined effect of radiotherapy and DDR-modifying drugs (45). Thereby, new information on single and combined drug toxicity and on their pharmacokinetics can be obtained. Additionally, analysis of DICs was proposed to be a potential technique for the follow-up of patients after genotoxic drug treatment (61).

Besides applications in the field of oncology, genotoxicity assays also show potential to be used as diagnostic tools of chronic inflammation or other age-associated diseases, such as autoimmunity or neurodegenerative disorders (110-112). Furthermore, assessment of genomic integrity may be used as prognostic indicator of different malignancies developing later in life, which is associated with DNA damage induced by various epidemiological factors, such as chronic inflammation, obesity, smoking or occupational exposure to radiation or toxins (89). Another application of genotoxicity analysis has been reported in sports medicine as a marker of DNA damage in response to aerobic physical exercise (113-115). Further, the high-

sensitive detection of  $\gamma$ H2AX foci has been used to investigate the safety profile of non-ionizing radiation after high-field and ultra-high-field strength magnetic resonance imaging and mobile phone radiofrequency exposure (116-119).

Currently, precision cancer treatment is mainly focusing on genetic and epigenetic analyses. Even though this strategy seems very promising, identification, verification, and interpretation of candidate DNA sequences as biomarkers are difficult and still do not always reveal the level of protein expression and activity. In contrast, the genotoxicity assays reviewed here do not provide specific information on certain genes and activated pathways, but rather reflect the global response toward radiation or drug treatment. Nonetheless, these techniques may offer the detection of predictive markers to identify individual radio- and chemosensitivity (90). Indeed, especially patients with genomic instability syndromes and deficiencies in specific proteins involved in DDR are predisposed to neoplastic diseases and show increased side effects during cancer treatment. Consequently, detection of hypersensitive patients is one promising approach of DDR assays (53,111).

DDR diagnostics have been successfully used to assess the efficacy of radiation and many DNA-damaging chemotherapeutic drugs. The commonly accepted concept is that quantitative DNA damage endpoints can be correlated with the clinical outcome of patients treated with genotoxic drugs (5). However, the introduction thereof into clinical routine has so far been hampered to a substantial extent by the lack of standardization and automation. Consequently, promising attempts have been reported recently to address these shortcomings and provide better conditions for the translation of these valuable tools into routine diagnostics (76,120). Additionally, new approaches based on plasmid reporters have been established for the assessment of individual DNA repair capacity covering multiple DNA damage repair pathways (121). Further, the development of new methods for liquid tumor biopsy like the isolation of circulating tumor cells or novel techniques to cultivate tumor cells, e.g., as 3D organoid cultures or spheroid systems have paved the way for the discovery of new DNA damage and DDR biomarkers (122,123).

## Conclusions and perspective

Identification of a biomarker and its translation into routine clinical applications is a long and highly complex process, if it succeeds at all. The benefit of a marker needs to be

proven by adequately powered, well-designed clinical trials considering various ethnological aspects as well as gender-, age- or tissue-specific variations. To meet the requirements of precision medicine as biomarker-based stratification of patients, potential novel assay technologies must be proven as robust and valid methods in the environment of modern laboratories. Thus, methods for precision DDR diagnostic such as the  $\gamma$ H2AX or comet assays shall have to demonstrate that their level of automation and standardization is sufficient to be assessed in clinical studies. Such trials within the next five years can help to gain more clarity regarding the usefulness of DNA damage diagnostics and the readiness of their detection technologies for precision medicine.

Combined studies with other promising diagnostic tools like next generation sequencing need to demonstrate whether DNA damage assessment or DDR analysis provides additional, valuable information for diagnosis, prognosis or prediction of diseases in the context of precision medicine. If trials are successful, these findings may help to predict genome instability, cancer susceptibility or drug resistance and, thus, DNA damage analysis would support the development of tailored therapy regimes.

For DNA damage diagnostics, novel technologies have been developed to facilitate sample preparation and automated sample analysis. High-throughput analysis prospectively allows higher powered, standardized studies which hopefully soon provide distinct information on DNA damage or DDR markers in relation to their clinical application. Several research groups work on computer-assisted image interpretation platforms and first commercial systems are already available to conduct appropriate studies. These intriguing developments will provide new experience and gain valuable data to move forward in finding and establishing DDR biomarkers for personalized medicine. DNA damage and corresponding DDRs are fundamental events especially in cancer and identification of related biomarkers to predict treatment effectiveness and to reduce side effects will not only help patients but also save time and costs of healthcare systems.

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