

The Barrow Biomimetic Spine: effect of a 3-dimensional-printed spinal osteotomy model on performance of spinal osteotomies by medical students and interns

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Background: The Schwab osteotomy grading scale—a unified osteotomy classification system created in 2014 by Schwab *et al.*—is one of many concepts in spine surgery that require detailed knowledge of 3-dimensional (3D) anatomy. 3D-printed spine models have demonstrated increasing utility in spine surgery as they more quickly communicate information on complex 3D anatomical relationships than planar imaging or 2-dimensional images. The purpose of this study was to evaluate the utility of a custom, 3D-printed spine model to help surgical trainees understand and perform the Schwab osteotomy grading scale.

Methods: Eight participants were randomized into 2 groups: group 1 received written instructional materials about the Schwab osteotomy grading scale, whereas group 2 received both written materials and a 3D-printed model of the spine with osteotomy regions demarcated. All participants were administered written and practical examinations.

Results: The group randomized to receive the 3D-printed model performed significantly better on both the written assessment (mean score, 7.75 ± 0.50 *vs.* 5.75 ± 0.50 , P=0.023) and the practical examination (mean score, 1.75 ± 0.32 *vs.* 1.08 ± 0.09 , P=0.025) than the group that received only written instructions.

Conclusions: Our results support the conclusion that this 3D-printed spine model is an effective adjunct to help early surgical trainees understand the Schwab osteotomy grading scale. Participants who received the model in addition to the source manuscript demonstrated improved theoretical knowledge and better performance on practical tests of complex spinal osteotomies. Similar models are likely to have utility in surgical training programs and as patient education models.

Keywords: 3-dimensional printing (3D printing); adult spinal deformity; osteotomy; resident education; simulation; three-column osteotomy

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Introduction

In an era of resident training characterized by duty-hour restrictions and increased oversight in the operating room, there has been growing concern about the most effective way to train residents while maintaining patient safety and improving surgical outcomes. Recent studies have shown that restrictions on duty hours have had a significant impact on the quality of resident training in terms of academic productivity, board examination scores, and the number of hours spent in the operating room (1,2). In the presence of these new constraints, it is becoming increasingly clear that the traditional Halstedian model of surgical mentorship must be supplemented to allow residents sufficient opportunity to master complex surgical procedures without extending the length of residency or exceeding work-hour restrictions.

In recent years, there has been growing interest in the use of simulation-based surgical training to supplement time in the operating room. Surgical simulation allows trainees to focus on mastering the individual steps and technical skills required to perform complex surgical procedures in a controlled, risk-free setting before attempting them in the high-stakes environment of the operating room (3-5). Several studies have shown that both resident and attending physicians have strongly positive attitudes toward simulation training for its potential to supplement time in the operating room, to provide objective assessments of surgical skill, and to improve patient outcomes (3,6).

One simulation modality that has been received with significant enthusiasm is 3-dimensional (3D) printing (7). 3D-printed models have found increasing use in a diverse array of neurosurgical subspecialties, with models ranging from physical replicas of vascular networks to aid with preoperative anatomical visualization (8,9) to multistep, patient-specific screw guides to assist with pedicle screw insertion (10). The use of 3D-printed models in resident education holds several advantages over traditional cadaver labs, including increased anatomical consistency, reduced operating costs, and decreased facility and personnel requirements (11).

In spine surgery, 3D printing has been used for several applications, including teaching spinal anatomy (12,13), planning surgical trajectories (14), and creating intervertebral disc replacements *in vitro* (15). However, compared to other fields, spine surgery has a relative scarcity of simulation research (16-19). Ongoing studies at Barrow Neurological Institute are aimed at addressing this deficiency by creating a synthetic spine model—the Barrow Biomimetic Spine—capable of replicating the physical properties of cadaveric spines for both resident education and biomechanical testing. This model allows residents to learn complex spinal procedures through hands-on surgical manipulation of a 3D-printed spine replica that closely mimics the physical properties of the human vertebral column.

In this study, we investigated the use of a modified form of the Barrow Biomimetic Spine to teach junior residents and medical students the Schwab osteotomy classification system. The Schwab classification system provides a common vocabulary to describe spinal osteotomies. The purpose of this study was to evaluate the utility of a custom, 3D-printed spine model to help surgical trainees understand and perform the Schwab osteotomy grading scale.

Methods

Institutional review board approval was not required because the research involved a comparison between standardized instructional techniques for neurosurgical trainees, and trainee scores were recorded anonymously by study group. Patient consent was not required because no patients were involved in this study.

Spine models

Fourteen spine models were made for this study. A highresolution computed tomogram of a normal lumbar spine was converted into an .stl file format using the Materialise Mimics software suite (Materialise, NV, Leuven, Belgium). The L3-L5 segment was obtained from the computed tomogram and uploaded as an .stl file into the Simplify3D (Simplify3D, Blue Ash, Ohio, USA) printing platform. Using a cortical thickness of 4 shells, a cancellous density of 20%, and acrylonitrile butadiene styrene (ABS) plastic filament, we printed 12 white L3-L5 models using a Flashforge Creator Pro 3D printer (Flashforge USA, City of Industry, California, USA). These models were all printed from the same .stl file, so were anatomically identical. Four of these models were painted with 5 different colors, each representing the bone that is to be removed with successive Schwab grade osteotomies (Figure 1). The other 8 models were left plain white, as they were to be used for practical testing of osteotomy performance.

Two additional models were printed using ABS filament

Bohl et al. 3D-printed spinal osteotomy model



Figure 1 Oblique (A) anterior and (B) posterior views illustrating the 3-dimensional-printed spine model after it was color coded for the different Schwab osteotomy grades. Used with permission from Barrow Neurological Institute, Phoenix, Arizona.



Figure 2 Computer-generated 3-dimensional projection of the .stl file created from a patient's computed tomograms that was used to print the final spine model. Used with permission from Barrow Neurological Institute, Phoenix, Arizona.

of various colors to represent the different osteotomies (*Figure 2*). These models were used for additional practical testing, and so the colors used to represent certain osteotomies were purposely made different from those used in the 4 painted models.

Test population and study design

Eight volunteer trainees participated in this study: 6 were 4th-year medical students completing a sub-internship in neurosurgery at the authors' home institution, and 2 were interns in neurosurgery who had not yet begun a neurosurgical rotation. Before participating in the study, trainees were asked about their knowledge of the Schwab osteotomy grading system; none of the trainees reported being familiar with this system or with spinal osteotomies in general.

The 8 trainees were randomized into two test groups. Each test group was given a copy of the Schwab *et al.* article (20) detailing the Schwab osteotomy grading scale, including the 2-dimensional (2D) images used to describe each osteotomy grade. Trainees in group 1 received only these materials. Trainees in group 2 were additionally provided with a painted L3–L5 spine model and a color scheme explaining which osteotomy grade each color represented. All trainees were asked not to discuss the osteotomy grading scale with each other or to seek learning resources outside of those provided.

The trainees were given two evenings to study their respective learning materials and then were administered written and practical examinations to test their understanding and ability to perform spinal osteotomies. The written examination consisted of 8 questions that tested their overall understanding of the Schwab osteotomy grading scale, as well as specific details about each osteotomy (Supplement I). The practical examination required the trainees to perform Schwab grade 2 osteotomies, followed by Schwab grade 4 osteotomies, on a plain white L3–L5 spinal model. The portions of the models that were removed during the practical testing were collected and weighed for quantitative comparison between the two groups.

Statistical analysis

A total of 10 points was achievable in the written and practical examinations, 8 points for the written examination and 2 points for the practical examination. Written examination questions were scored as correct (1 point) or incorrect (0 points). The practical examination tasks were scored as a percentage of 1 point based on the successful removal of specific bone segments for Schwab grades 2 and 4 osteotomies, respectively. For Schwab grade 2 osteotomies, 0.5 points were awarded for successful removal of the inferior articulating processes and another 0.5 points for the successful removal of the superior articulating processes of the tested level. For Schwab grade 4 osteotomies, 0.33 points each were awarded for removal of the inferior level's facet, the pedicles and bone wedge of the index vertebral body, and the superior disc.

The Wilcoxon rank sum (Mann Whitney) test was applied to compare test scores of groups 1 and 2, as well as

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Table 1 Individual trainee test results and group comparisons of written test scores and Schwab osteotomies

Score or weight	Group 1					Group 2					Durahua
	1	2	3	4	Mean	5	6	7	8	Mean	r value
Score											
Written test score	6/8	6/8	5/8	6/8	5.75	8/8	7/8	8/8	8/8	7.75	0.023
Schwab grade 2 score	1/1	0.5/1	0.5/1	1/1	0.75	1/1	1/1	1/1	1/1	1	0.181
Schwab grade 4 score	0/1	0.66/1	0.66/1	0/1	0.33	1/1	0.33/1	1/1	0.66/1	0.75	0.178
Practical test score	1/2	1.16/2	1.16/2	1/2	1.08	2/2	1.33/2	2/2	1.66/2	1.75	0.025
Overall score	7/10	7.16/10	6.16/10	7/10	6.83	10/10	8.33/10	10/10	9.66/10	9.5	0.028
Weight											
Schwab grade 2 weight (mg)	2,349	3,158	1,746	2,076	2,332	2,460	1,141	2,753	2,445	2,200	0.886
Schwab grade 4 weight (mg)	0	20,713	20,626	0	11,383	23,448	19,900	20,786	24,511	22,161	0.114

the weights of bone removed during Schwab grades 2 and 4 osteotomy testing.

Discussion

Results

All spine models were successfully printed, and all eight volunteers successfully completed testing following two evenings of study. Each test group contained 3 sub-interns and 1 intern. The mean ± standard deviation (SD) overall test score for group 1 was 6.83±0.45, and the mean test score for group 2 was 9.50±0.69. The mean weight of the model removed during the Schwab grade 2 osteotomy practical test for group 1 was 2,332±603.5 mg, and for group 2 it was 2,200±623.5 mg. The mean weight of the model removed during the Schwab grade 4 osteotomy practical test for group 1 was 11,383±10,858.3 mg, and the mean weight removed for group 2 was 22,161±1,883.0 mg.

The statistical comparison of test group data revealed that group 2 achieved significantly higher written test scores (mean, 7.75 ± 0.50) than group 1 (mean, 5.75 ± 0.50 , P=0.023). Group 2 also achieved significantly higher practical test scores (mean, 1.75 ± 0.32) than group 1 (mean, 1.08 ± 0.09 , P=0.025). In addition, group 2 achieved higher overall examination scores (mean, 9.5 ± 0.69) than group 1 (mean, 6.83 ± 0.45 , P=0.028). Group 2 trainees also removed more bone during Schwab grade 4 osteotomy testing, though the difference did not reach significance (P=0.114). Comparisons of weight removed during Schwab grade 2 osteotomy testing were also not significant (P=0.886). Individual trainee test results and statistical comparisons are summarized in *Table 1*. In this study, we showed that the use of a custom, 3D-printed model of the lumbar spine improved learners' understanding of the Schwab osteotomy grading scale. Our data show that medical students and residents who were given a 3D-printed lumbar spine model in addition to the Schwab et al. article (20) (group 2) performed significantly better than the control group when performing posterior column and 3-column osteotomies. Group 2 students also removed more bone (did not reach significance) in the process of performing a 3-column osteotomy, in addition to scoring significantly higher on the written examination. Notably, there was no significant difference between the 2 groups on the execution of a Schwab grade 2 osteotomy, which requires removal of the superior and inferior articular processes of the index facet joint. This discrepancy could have been because the marginal benefit of the model was masked when learners were tested on simpler anatomical knowledge and didactic concepts and that the advantages of the model became apparent only when learners were tested on the execution of a procedure requiring more extensive knowledge of the 3D spinal anatomy (i.e., a 3-column osteotomy).

Although no qualitative feedback was formally collected during this study, several important comments were made by trainees in both groups. Trainees in both groups 1 and 2 reported after their practical testing that the images in the Schwab *et al.* article (20) seemed intuitive at first, but when faced with a 3D representation of the osteotomies, they had a much more difficult time envisioning the anatomy. For example, 2 trainees in the control group scored 0/1 on their performance of the Schwab grade IV osteotomy. They both reported after the practical test that they thought they understood the anatomy based on the 2D figures but had trouble mentally converting those 2D images onto a 3D model. Several trainees in group 2 furthermore reported that they spent the majority of their study time focused on correlating the Schwab *et al.* article (20) images to the supplied 3D models. This advantage of the group 2 trainees likely explains their better performance on the written and practical examinations, and furthermore emphasizes the benefit of 3D imaging and modeling in teaching complex 3D anatomical concepts such as 3-column osteotomies.

3D printing, also known as additive manufacturing, has revolutionized the process of rapid prototyping since its introduction in the 1980s. Physicians and researchers have begun using 3D printers to create physical, 3D models of patient-specific structures that until recently were confined to 2D projections on screens or in textbooks (21-24). Unsurprisingly, this technique has emerged as a topic of enormous interest in the surgical literature in recent years (5,7,11,25). To date, the literature has subdivided the use of 3D printing in surgery into 3 broad categories: (I) patient and resident education; (II) preoperative planning; and (III) patient-specific implant creation (4). The use of 3D-printed models for resident education has become increasingly important in the current era of surgical training (3,5,16). A recent review demonstrated that, as of October 2017, a total of 27 articles had been published to investigate the use of 3D printing in surgical training; of these, 10 articles were neurosurgery specific-the highest number of articles from any single specialty (11).

The use of 3D-printed models has been shown to have a positive impact on surgical resident training in many specialties. Surgical residents and attendings who have been given the opportunity to train on 3D-printed models have rated these models very highly on several metrics, including their ability to aid in the understanding of surgical procedures and anatomy, their similarity to actual cadaveric specimens, and their potential to help trainees improve surgical skills (13,26-36). More objectively, 3D-printed surgical models have been shown to improve the quality of surgical plans for pancreatic cancer (26), the accuracy of renal anatomy assessment for nephrolithotripsy (27), the quality of endoscopic ear surgery skills (28), and the quality of drilling, curetting, and aspirating skills used during endoscopic endonasal surgery (37). A recent study furthermore demonstrated that neurosurgery residents who trained on a 3D-printed cranial model were significantly more accurate when placing external ventricular drains (38).

We believe that the results of this study, which demonstrate an improvement in the performance of complex lumbar osteotomies after the use of a 3D-printed lumbar spine for training, adds to the growing body of evidence supporting the utility of 3D printing in surgical education and simulation.

A recent literature review demonstrated that 36 articles were published about 3D printing in neurosurgery between 2012 and 2016 (7). However, of these 36 articles, only 5 dealt with spine surgery specifically, and only 3 articles focused on the use of 3D-printed models for surgical education. Several reports have also pointed out the deficiency of research in surgical simulation in spine surgery relative to the other surgical subspecialties (17-19). The Barrow Biomimetic Spine project, which began in 2015, was established to help address this deficiency. The Barrow Biomimetic Spine has undergone numerous iterations, with the goal of producing a model that most closely resembles a cadaveric vertebral column (39,40). Recent publications originating from the Barrow Biomimetic Spine project have demonstrated that this model is an accurate replication of the cadaveric spine in terms of fundamental biomechanical performance and radiographic qualities. Moreover, because this spine model is created using 3D printers, it has the advantage of allowing for patient-specific customization of each individual model, while also being more cost-efficient and less resource-intensive than a traditional cadaver lab. The material cost of the L3-L5 models used in this study, for example, was less than \$5 per model, whereas cadaveric spine segments can cost hundreds to thousands of dollars.

Despite recent enthusiasm for the use of 3D printing in surgical simulation, a few caveats should be considered when considering the landscape of studies that have already been published and when planning future research in the field. Several prior reviews have noted that most papers published on the use of 3D printing in surgical education have relied on surveys of study participants to establish the validity and quality of their models (7,11,25). This lowquality, subjective data, while useful in certain contexts, does not provide the robust, quantitative evidence necessary to establish the true utility of these models. Moreover, it has been suggested that this relatively nascent field is the subject of considerable publication bias, given that almost all articles published on the use of 3D printing in surgical education have reported positive results (11). Finally, it has been suggested that the rush to adopt 3D printing has resulted in the scattered development of many individual

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institution-specific models—a situation that is neither costeffective nor efficient (41).

Our study specifically has several limitations that may have affected the strength of our conclusions. The sample size was small and included only 8 participants who were randomized into 2 study groups. Additionally, the study personnel responsible for rating the quality of the participants' osteotomies were not blinded to the participants' study groups, which may have introduced a level of confirmation bias. Despite these limitations, we believe that the proposed model represents a valuable resource for teaching the Schwab osteotomy grading system and may serve as a springboard for future uses of the Barrow Biomimetic Spine in surgical education.

Future studies using the Barrow Biomimetic Spine in surgical education may focus on teaching residents other complex surgical and anatomical concepts using customized 3D-printed spine models. For instance, models of the entire cervical, thoracic, and lumbar spine with various forms of scoliosis could be printed and used to help residents understand the Scoliosis Research Society-Schwab scoliosis classification system. In addition, isolated spine segments printed with idiopathic or degenerative pathology could be used to help teach residents how to perform routine procedures, such as foraminotomies and laminectomies, in the presence of distorted spinal anatomy. Overall, we believe that 3D printing represents a new and exciting frontier in surgical simulation and education. We hope that the spine model we have introduced here further reinforces the evidence supporting the use of such models in resident education and surgical planning.

Conclusions

The modified version of the Barrow Biomimetic Spine presented here was an effective adjunct for teaching the Schwab osteotomy grading system to surgical trainees. Fourth-year medical students and first-year residents who were given the 3D model in addition to the grading system article performed significantly better on written and practical assessments than participants who were given the article alone. Notably, there were no differences in performance between the two groups on Schwab grade two osteotomies. We believe that the current study illustrates the didactic value of the Barrow Biomimetic Spine in teaching early surgical residents the Schwab osteotomy grading scale—a surgical concept that requires a thorough understanding of vertebral column anatomy.

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Footnote

Conflicts of Interest: The authors have no conflicts of interest to declare.

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