

# Circulating tumor DNA and plasma microsatellite instability during PD-1 blockade

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Pembrolizumab was the first tumor-agnostic drug approved by the Food and Drug Administration for treatment of microsatellite instability (MSI)-high tumors (1). These tumors respond to immunotherapy secondary to their hypermutated nature leading to more neoantigens (2). MSI is determined by various tumor tissue assays. However, plasma-based commercially available assays ("liquid biopsies") can be used to assess not only the circulating tumor DNA (ctDNA) but also MSI (3). Furthermore, serial changes in the ctDNA and/or presence or absence of MSI in plasma can quickly capture responders to immune checkpoint blockade. Intriguingly, this can be noted within weeks of administration. Here we show cases where serial assessment was available and predicted response 6–10 weeks prior to standard imaging (*Figure 1*).

Not surprisingly, the loss of ctDNA clones coincides with the loss of MSI in plasma. Previously, this was not known how early one can detect changes and response to immunotherapy. Some groups have shown the decrease in the amount of ctDNA predicts responders to immunotherapy or targeted therapies (4,5). However, the fact you can non-invasively test for MSI alongside ctDNA, both can be used early on in the course of one's treatment to separate out responders from non-responders. This is particularly of value in the treatment of MSI-high tumors since now we have single agents as well as combination immunotherapies approved. It is not clear if the combination is superior over single agent for this indication. Utilizing the serial assessment of ctDNA paired with MSI could serve as an early predictive biomarker of response.

Our observations are more than hypothesis generating. Systematically designing studies incorporating serial assessment of ctDNA and MSI status in real-time are very feasible given the rapid turnaround (7-10 days) and non-invasive nature of liquid biopsies. This is timely and important given the increasing number of indications for which immunotherapy is being used. Also, given now the ASCO Plenary KEYNOTE-177 study (NCT02563002) with single agent immunotherapy with pembrolizumab for MSI-High colorectal cancers (CRCs), this would be of value since a third of these patients do not respond to immunotherapy (6). When to switch strategies to chemotherapy and/or combination immunotherapy, assessment of ctDNA and plasma-MSI can serve as integral dynamic biomarkers of predicting early response or lack thereof.

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		Baseline	Week 2	Week 3	Week 4	Week 6	Week 8	Week 10	Week 12	Imaging
Patient 1—MSI-high pancreas	ctDNA highest VAF	0.7%			ND		ND		ND	Response
	MSI-high plasma	+			Х		Х		x	
Patient 2—MSI-high CRC	ctDNA highest VAF	0.4%				ND				Response
	MSI-high plasma	+				х				
Patient 3-MSI-high CRC	ctDNA highest VAF	0.7%					ND			Response
	MSI-high plasma	+					Х			
Patient 4—MSI-high gastric	CtDNA highest VAF	16.4%			0.3%			ND	ND	Response
	MSI-high plasma	+			+			Х	х	
Patient 5—MSI-high CRC	ctDNA highest VAF	42.2%		0.6%				0.9%		Response
	MSI-high plasma	+		+				х		
Patient 6-MSI-high esophageal	ctDNA highest VAF	ND							ND	Response
	MSI-high plasma	+							х	
Patient 7—MSI-high CRC	ctDNA highest VAF	31.2%		4.4%				0.3%		Response
	MSI-high plasma	+		+				+		
Patient 8-MSI-high CRC	ctDNA highest VAF	11.7%		20.9%		18.1%				Progression
	MSI-high plasma	+		+		+				
Patient 9-MSI-high CRC	ctDNA highest VAF	0.2%							0.8%	Progression
	MSI-high plasma	+							+	
Patient 10-MSI-high CRC	ctDNA highest VAF	4.4%	2%					10.5%		- Progression
	MSI-high plasma	+	+					+		
Patient 11-MSI-high CRC	ctDNA highest VAF	0.2%		ND		0.3%			0.6%	Progression
	MSI-high plasma	+		+		+			+	
Patient 12—MSI-high CRC	ctDNA highest VAF	2.4%		2.7%		1.7%				Progression
	MSI-high plasma	+		+		+				

Figure 1 Serial changes in amount of ctDNA (expressed as highest VAF%) fraction alongside presence (+) or absence (X) of MSI-high detected in plasma. As noted, the loss of detectable ctDNA clones (ND) coincides with the loss of MSI in the plasma. This happened within weeks in all the non-responders and was absent in non-responders. ctDNA, circulating tumor DNA; VAF, variant allele fraction; MSI, microsatellite instability; CRC, colorectal cancer; ND, not detected.

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