## Bronchoalveolar lavage fluid microbiota dysbiosis in infants with protracted bacterial bronchitis

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**Background:** Protracted bacterial bronchitis (PBB) is a chronic purulent bronchitis which could cause recurrent coughing and wheezing in infants. Based on previous reports, main pathogens which caused PBB were identified in the patients, but their impacts on lung microbiota dysbiosis remain unclear.

**Methods:** In this study, bronchoalveolar lavage fluid (BALF) was collected from PBB infants and tracheomalacia (TM) infants younger than 3 years old under the instruction of Shenzhen Children's Hospital, and 12 samples were randomly selected for 16S rDNA analysis in each group. Based on the results of bacterial composition, the microbiota diversity and co-occurrence network in PBB and TM group were detected and compared.

**Results:** Microbiota diversity was significantly lower in PBB group than it in TM group (P<0.001 for the comparison of Shannon and Simpson indexes). The PBB group was found to harbor 25 accumulated bacterial agents by comparison with TM group, including *Haemophilus* (P<0.001) and *Bacteroides* (P<0.001). Whilst, the populations of *Lactococcus* (P<0.001) and *Lactobacillus* (P<0.001) were dramatically smaller in PBB group. The co-occurrence network in PBB group also differed from that of TM group. It contained four core nodes in PBB patients, including *Haemophilus*, *Parabacteroides*, *Porphyromonas*, and *Cronobacter*. *Haemophilus* was found to be negatively associated with most counterparts, including *Clostridium* and *Bacillus*.

**Conclusions:** PBB infants contained discrepant lung genera and co-occurrence network when compared with TM infants. This retrospective study may deepen our understanding of PBB pathogenesis, and it also provided a foundation for bacterial adjunctive therapy of infantile PBB in accordance with clinical treatment.

**Keywords:** Protracted bacterial bronchitis (PBB); tracheomalacia (TM); bronchoalveolar lavage fluid (BALF); lung microbiota; co-occurrence network

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### Introduction

Protracted bacterial bronchitis (PBB), which features a wet cough, persistent infection of the bronchial lining and mucopurulent inflammation, is one of the main causes of recurrent coughing and wheezing in infants and toddlers (1,2). PBB is considered as forerunner of other chronic respiratory diseases like bronchiectasis and chronic suppurative pneumonia (3,4). Bacterial culture has shown *Haemophilus influenza*, *Streptococcus pneumonia* and *Moraxella catarrhalis* to be the main pathogens (1,2). However, the low detection rate of the traditional method limits the discovery of pathogens present at low abundance. Assisted by next generation of sequencing, 16S rDNA detection of microbiota provides more complete information regarding the flora of the respiratory tract in PBB infants (3-5).

Bronchoalveolar lavage fluid (BALF) is normally used to diagnose and characterize diseases of the lower respiratory tract (6-8). Using 16S rDNA detection on BALF, the bacterial constitution and microbiota diversity in the lower respiratory tracts of infants with PBB can be described precisely (7-9). In a study reported by van der Gast et al., the detection on BALF microbiota indicated that PBB infants shared common core bacteria with infants with bronchiectasis and infants with cystic fibrosis (10), and the infected pathogens would damage the bronchial mucosa continuously. Whilst Wang et al. suggested that the composition of BALF microbiota differed in infants with pneumonia infected with different pathogens, and the interactions among bacteria were described in detail (11). There have been few reports of BALF microbial comparison between infants with PBB and tracheomalacia (TM), and the impact of co-occurrence network on infants with PBB has not vet been assessed.

In this study, BALF, which was collected from 24 infants, was used for microbiota detection and co-occurrence network construction in PBB and TM infants. And two issues need to be resolved: (I) bacterial composition differences between PBB and TM infants; (II) microbial interactions in PBB infants and their contributions to overall health. This would deepen our understanding of the bacterial network and its involvement in the pathogenesis of PBB.

### Methods

### Ethics statement and clinical diagnosis

All infants' parents provided written informed consent, and the study was approved by the Ethical Committee of Shenzhen Children's Hospital under approval number 2016(005). PBB was defined as persistent bacterial infection of the bronchial epithelium, which induces such clinical features as chronic purulent inflammation. The PBB infants should meet the following conditions: (I) the patients should have had a chronic wet cough lasting at least 4 weeks; (II) the cough symptoms should be relieved after 2 weeks of antibiotic treatment; (III) there were more neutrophils in patients with positive bacterial cultures; (IV) other causes of chronic cough were excluded (12). The TM infants who fulfilled the following criteria were included in this study: (I) the patients have more than 50% tracheal lumen collapse; (II) clinical symptoms, which including noisy respiration, tracheal rhonchi, harsh barking cough or expiratory dyspnea, can be observed; (III) the problems of cardiac diseases, neurological disorders and esophageal abnormalities should be ruled out (13). All patients' clinical presentations and medication histories were summarized in Table 1 and Table S1.

### **BALF** collection

After admission to our hospital, BALF was collected from the infants in 2 days. An electronic bronchoscope (EB-270P or EB-270S, Fujitsu Electronics, Inc., Tokyo, Japan) was used for sampling. The infants were forbidden to eat 6 h before operation. Atropine (0.01–0.02 mg/kg) was injected into the patients intramuscularly 30 min before operation, and then midazolam (0.1–0.3 mg/kg) was applied intravenously. After the induction of electronic bronchoscopes, lidocaine (1–2 mL) with concentration of 1% was sprayed on the main bronchi. Then the electronic bronchoscopes were fixed at middle lobe, lower bronchus of upper lobe and inflamed parts of the patients' right lungs. Saline (1 mL/kg) was used for bronchoalveolar lavage. The BALF was reclaimed and stored at –80 °C for further use.

### Library construction and DNA sequencing

Microbial DNA was extracted from BALF using the E.Z.N.A.<sup>®</sup> Soil DNA Kit (Omega Bio-tek, Inc., Norcross, GA, USA) according to manufacturer's protocols. Using a PCR kit (AP221-02, Beijing TransGen Biotech Co., Ltd., Beijing, China), 16S rDNA V3–V4 regions of samples were amplified using primers 341F and 517R. Agarose gel electrophoresis with 2% concentration was used to confirm the length of polymerase chain reaction (PCR) products, and the quality of PCR products was detected by QuantiFluor<sup>™</sup>-ST [Promega (Beijing) Biotech Co., Ltd., Beijing, China]. Then the qualified DNA was used for MiSeq sequencing with paired-end 300 strategies. Raw

Table 1 Characteristics of infants with PBB or TM

Groups	TM (n=12)	PBB (n=12)	
Median age (years)	0.5	0.9	
Gender			
Male	10	5	
Female	2	7	
Symptoms			
Fever	4	0	
Cough	10	12	
Persistent wheezing	6	7	
Antibiotic administration			
One type of antibiotic	7	4	
Two types of antibiotics	3	4	
Not record	2	4	

PBB, protracted bacterial bronchitis; TM, tracheomalacia.

reads were uploaded to NCBI Sequence Read Archive (SRA) Database (accession number: SRP067201, SRR3951741, SRR3951743, and SRR3951754).

### Data processing and taxonomical annotation

Raw data, which were obtained by MiSeq sequencing, was used for data filtering firstly. Any reads that contained more than 10 low-quality bases or 15 bp adapter sequences were filtered out. Duplications were also removed to leave behind clean, high-quality data. Then the paired reads were connected into tags based on overlapping. The tags were clustered into operational taxonomic units (OTUs) with 97% similarity as indicated by USEARCH (v7.0.1090) (14) and each OTU contained one raw representative sequence (15). Chimeras, which created during PCR, were removed from OTUs using UCHIIME (16). Finally, all the tags were mapped to the OTUs using search global and 466 final OTUs were obtained in total online: http://jtd. amegroups.com/public/addition/jtd/supp-jtd.2017.12.59-2. pdf (15). The Shannon and Simpson value was calculated using MOTHUR (v1.31.2) (17).

### Statistical analysis

The diversity of respiratory tract microbiota between PBB

and TM group was compared by using Wilcoxon ranksum test. The significantly enriched microbial residents in PBB and TM group were identified through LEfSe [linear discriminant analysis (LDA) effect size] analysis, according to the following parameters: the P values of Kruskal-Wallis test and Wilcoxon test were smaller than 0.01 and the cutoff of LDA score was set as 3 (18). Using package "psych" in R, Pearson correlations among genera based on their relative abundances were obtained in PBB and TM cohorts. Then the co-abundance network was visualized with Cytoscape software (v2.2.0) (19) if the Pearson correlation coefficients >0.9 or <-0.5. Using Bray-Curtis distances between PBB and TM infants, the effect of clinical symptoms on BALF microbial composition were detected using permutational analysis of variance (PERMANOVA, 9,999 permutations) with package "vegan" in R was evaluated with PERMANOVA (Table S2)

### **Results**

#### Study coborts and data output

A total of 12 infants with PBB and 12 infants with TM, who were younger than 3 years old, were enrolled for BALF microbiota detection. And their clinical information was exhibited in *Table 1*. Using MiSeq platform targeting of 16S rDNA V3–V4 variable region, the tags of BALF samples were obtained and ranged from 9,992 to 29,165. The number of OTUs ranged from 75 to 117 in PBB group and 79 to 245 in TM group.

### Microbiota diversity of BALF was significantly lower in PBB cohort

Principal component analysis (PCA) based on OTU distribution was performed to assess the microbiota similarity among 24 samples online: http://jtd.amegroups. com/public/addition/jtd/supp-jtd.2017.12.59-4.pdf. Results showed that samples from PBB group clustered together, as did those phenomenons from TM group (*Figure 1A*). Then Shannon and Simpson indexes were used to evaluate the BALF microbial diversity. The average value of Shannon index was  $1.683\pm0.703$  (mean  $\pm$  SD) for PBB group and  $2.324\pm0.142$  for TM group (P<0.001) (*Figure 1B*). The significant difference was also indicated by Simpson index, which averaged  $0.416\pm0.216$  for PBB group and  $0.191\pm0.025$  for TM group (P<0.001) (*Figure 1B*).

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**Figure 1** PCA and microbial diversity of PBB infants and TM infants. (A) PCA was performed based on weighted UniFrac distances, and the samples from PBB group clustered apart from TM group; (B) distribution of Shannon and Simpson indexes in PBB group and TM group. The microbial diversity was significantly higher in PBB infants than it in TM infants (P=0.006 and P=1×10<sup>-5</sup> for Shannon and Simpson indexes respectively). \*\*, P value smaller than 0.01. PCA, principal component analysis; PBB, protracted bacterial bronchitis; TM, tracheomalacia.

## Taxonomic components differed between PBB and TM infants

Using LEfSe analysis, the composition of BALF microbiota was compared and 54 differentially enriched taxa were discovered between PBB and TM group (Figure S1A). Among the 25 taxa enriched in the PBB group, Bacillus (40.770%±22.218%, LDA =5.632), Haemophilus (14.319%±29.532%, LDA =5.161), Pseudomonas (10.406%±25.439%, LDA =5.042) and Enterococcus (0.959%±0.631%, LDA =3.980) were present at higher relative abundances. The relative abundance of Lactobacillales, which includes Lactococcus (13.463%±7.319%, LDA =5.661) and Lactobacillus (0.153%±0.076%, LDA =3.508), was significantly lower in PBB infants. To further characterize the taxonomic differences, the BALF microbiota was analyzed at the class level. Consistent with results for genera, the taxa enriched in PBB cohort were clustered on Bacteroidia and Clostridia, while Actinobacteria and Flavobacteria were more highly enriched in TM group (Figure S1B). The effect of potential confounders, including gender, age and clinical symptoms, on the alterations of BALF microbiota in PBB infants was evaluated with PERMANOVA (Table S2) And the correlation between cough and microbiota changes was identified (P<0.05).

### Distinctive BALF microbial co-occurrence network in PBB and TM children

For TM infants, *Pseudomonas* and *Arthrobacter* were the core nodes of the co-occurrence network and positively correlated with other genera (*Figure 2*). Meanwhile, it has been suggested that significantly enriched *Lactococcus* was positively correlated with *Bacillus* (r=0.920, P<0.001). In PBB group, the aforementioned network was destroyed and a more complicate microbiota co-occurrence network was exhibited (*Figure 2*). Four core nodes were shown, including *Haemophilus*, *Peptoclostridium*, *Porphyromonas* and *Cronobacter*. *Haemophilus*, which was the main causative pathogen of PBB, was negatively correlated with other genera, including *Bacillus* (r=-0.533), *Clostridium* (r=-0.541) and *Lactococcus* and *Bacillus* (r=0.940, P<0.001) in PBB group tended to be similar to those in TM group.

### Discussion

In the study, the composition of BALF microbial communities in PBB and TM patients was compared. PCA results have shown that the microbiota composition in PBB infants differed from that of TM infants. In PBB infants,



**Figure 2** Microbial co-occurrence network in infants with PBB and TM. The green and red edges stand for the positive and negative correlations among lung bacteria, and the P values were indicated by asterisks (one, two and three asterisks stand for the P value smaller than 0.05, 0.01 and 0.001 respectively). Green or red spots indicated the genera were significantly enriched in PBB or TM cohorts, while the diameters respond to their relative abundances. The bacterial network in PBB group was more complicated than that of TM group, and most bacterial relationships in TM group was disrupted. Meanwhile direct negative correlation between *Haemophilus* and other genera, including *Bacillus, Lactococcus, Clostridium* and *Acinetobacter*, was determined for PBB group. PBB, protracted bacterial bronchitis; TM, tracheomalacia.

the lung was invaded by pathogens, which might destruct the intact of respiratory mucosa and aggravate microbiota dysbiosis (20). Moreover, the correlation between clinical symptoms and microbial community changes proved that cough which caused by pathogen infection can be valued as the feature of PBB patients. And the infants with PBB had visibly lower microbial diversity. All the patients in the study were exposed to antibiotic treatments in the last 3 months, and lower microbial diversity in PBB infants was probably related with long-term antibiotic application although some clinical records cannot be accessed (*Table S1*).

Besides pathogen *Haemophilus*, the relative abundances of *Pseudomonas*, *Escherichia*, and *Bacteroides* were higher in PBB infants. These bacteria are difficult to culture

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especially after antibiotic treatment (20). Previous reports have demonstrated that Haemophilus was closely correlated with interleukin-1 $\alpha$  (IL-1 $\alpha$ ) and interleukin-1 $\beta$  (IL-1 $\beta$ ) (21). They could trigger cell death and inflammation, which was responsible for the wet cough in PBB infants (12,21). Whilst, some studies suggested that Bacteroides could promote the differentiation of T helper cell 17 (Th17), and Th17 secreted interleukin-17 (IL-17) could trigger the inflammatory reaction and autoimmune diseases (22,23). As with PBB, there was evidence that the colonization of pathogenic bacteria such as Haemophilus in the airways was associated with severe airway obstruction and neutrophilic airway inflammation in adults with asthma (24). Pediatric PBB and adult asthma, which shared some common clinical manifestations and pathogens, gave us the idea that similar pathogenesis might existed in these two conditions. On the other hand, the abundance of Lactococcus and Lactobacillus was significantly lower in PBB infants. Lactococcus could secret butvrate and repress the growth of pathogens (25), while Lactobacillus was found to manipulate the development of lower airway flora in infants (26). In this way, the disorder of the lung microbiota in PBB infants can not only harm pediatric health directly but could also affect the colonization of bacteria in the lower airway and increase the risk of allergic airway disease.

The overall microbial co-occurrence network was destructed in PBB infants but not in TM cohorts. Significant enrichment of the core node *Haemophilus* was observed in infants with PBB, and it was negatively correlated with other microbial colonizers, including *Lactococcus*, *Bacillus* and *Clostridium*. Previous reports have shown that *Bacillus* affect the secretion of IL-17 indirectly (27), and *Clostridium* could promote T regulatory (Treg) cell differentiation, which were crucial for inflammation reactions (22,28). In infants with PBB, the relative abundances of aforementioned genera decreased as *Haemophilus* increased, and this was found to aggravate microbial imbalance and expand the immune response in the respiratory mucosa.

This study improved the understanding of differences in microbiota and bacterial co-occurrence networks between PBB and TM infants, but the lack of strong evidences for the relationship between lung microbiota and PBB pathogenesis was the major flaw of the study. Additional work is imperative: (I) large-cohort study should be performed to verify these findings; (II) microbiome and metabolite alterations need to be detected in PBB infants; (III) immune factor changes must be tested experimentally.

### Conclusions

In summary, the study described the microbiota changes in lower respiratory tracts of PBB infants. The results have shed some preliminary lights on the manner in which the causative pathogens of PBB react, and it provides a foundation for bacterial adjunctive therapy of infantile PBB in accordance with antibiotic treatment.

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### Footnote

*Conflicts of Interest*: The authors have no conflicts of interest to declare.

*Ethical Statement*: All infants' parents provided written informed consent, and this study was approved by the Ethical Committee of Shenzhen Children's Hospital under approval number 2016(005).

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### Supplementary

Table S1 Detailed information of the infants

Sample	Condor	Ago	Number of days with symptom prior to hospitalization		om prior to hospitalization	Antibiotic administration	Dolivon	
number	Gender	Age	Fever	Cough	Persistent wheezing		Delivery	Disease category
1544	Male	0.5	7	10	0	Penicillin (2 days, intravenous therapy); erythromycin (intravenous therapy)	Not record	Tracheomalacia
1048	Male	0.3	0	0	0	Erythromycin (7 days, intravenous therapy)	Not record	Tracheomalacia
1037	Male	0.4	0	30	0	Penicillin (intravenous therapy)	Not record	Tracheomalacia
1131	Male	1	0	4	0	Penicillin (intravenous therapy)	Not record	Tracheomalacia
994	Male	0.7	1	3	2	Cephalosporins (intravenous therapy)	Not record	Tracheomalacia
988	Female	0.8	5	2	2	Penicillin (intravenous therapy)	Not record	Tracheomalacia
1086	Male	0.5	0	0	0	Not record	Not record	Tracheomalacia
1056	Male	0.5	0	20	20	Not record	Not record	Tracheomalacia
1577	Male	1	0	10	10	Cephalosporins (intravenous therapy)	Not record	Tracheomalacia
1446	Female	0.4	0	10	4	Penicillin (intravenous therapy); macrolide (intravenous therapy)	Not record	Tracheomalacia
1272	Male	0.4	0	30	20	Cephalosporins (intravenous therapy); erythromycin (oral administration)	Not record	Tracheomalacia
1227	Male	2.9	4	30	0	Erythromycin (10 days, intravenous therapy)	Not record	Tracheomalacia
1810	Female	0.4	0	60	30	Cephalosporins (8 days, intravenous therapy)	Vaginally	Protracted bacterial bronchitis
1859	Female	1.4	0	60	0	Not record	Vaginally	Protracted bacterial bronchitis
1820	Female	1.3	0	30	30	Cephalosporins (intravenous therapy); erythromycin (oral administration)	Vaginally	Protracted bacterial bronchitis
863	Male	3	0	180	0	No detail record (un-continuous administration for 30 days)	Not record	Protracted bacterial bronchitis
2243	Male	0.4	0	60	60	Cephalosporins (7 days, intravenous therapy)	Cesarean section	Protracted bacterial bronchitis
2215	Female	0.3	0	60	60	Cephalosporins (12 days, intravenous therapy)	Vaginally	Protracted bacterial bronchitis
2196	Male	2.4	0	30	0	Cephalosporins (7 days, intravenous therapy); penicillin (7 days, intravenous therapy)	Cesarean section	Protracted bacterial bronchitis
2187	Female	2.7	0	30	0	Cephalosporins (7 days, intravenous therapy); penicillin (7 days, intravenous therapy)	Vaginally	Protracted bacterial bronchitis
2182	Male	0.3	0	30	2	Cephalosporins (7 days, intravenous therapy)	Vaginally	Protracted bacterial bronchitis
2173	Male	0.6	0	30	30	Penicillin (3 days, intravenous therapy); Cephalosporins (4 days, intravenous therapy)	Vaginally	Protracted bacterial bronchitis
2310	Male	0.8	0	180	180	No detail record	Vaginally	Protracted bacterial bronchitis
2314	Male	1	0	30	0	No detail record (administration for 7 days)	Vaginally	Protracted bacterial bronchitis

**Table S2** PERMANOVA ranking associations between clinicalsymptoms and BALF microbiota in the infants

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Phenotype	Degree of freedom	R2	P value
Gender	1	0.05249	0.1969
Age	1	0.06441	0.1397
Fever	1	0.07286	0.1343
Cough	1	0.10644	0.0410
Persistent wheezing	1	0.04407	0.3353

BALF, bronchoalveolar lavage fluid.



Figure S1 Differentially enriched taxa between PBB infants and TM infants. (A) A total of 25 taxa, including *Bacillus, Haemophilus, Pseudomonas* and *Enterococcus*, were found significantly enriched in PBB group, while levels of *Lactococcus* and *Lactobacillus* were lower than they in TM group; (B) biomarkers on phylum, class, order, family and genus levels were exhibited from inner to outer. PBB and TM groups were shown in green and red respectively. PBB, protracted bacterial bronchitis; TM, tracheomalacia.