Screen-detected multiple primary lung cancers in the ITALUNG trial

Mario Mascalchi¹, Camilla E. Comin², Elena Bertelli¹, Lapo Sali¹, Cristina Maddau³, Stefania Zuccherelli¹, Giulia Picozzi³, Laura Carrozzi⁴, Michela Grazzini⁵, Gabriella Fontanini⁶, Luca Voltolini⁷, Alessandra Vella⁸, Francesca Castiglione², Francesca Carozzi³, Eugenio Paci³, Maurizio Zompatori⁹, Andrea Lopes Pegna¹⁰, Fabio Falaschi¹¹; for the ITALUNG Study Research Group^{*}

¹⁴Mario Serio" Department of Experimental and Clinical Biomedical Sciences, University of Florence, Florence, Italy; ²Division of Pathological Anatomy, Department of Medical and Surgical Critical Care, University of Florence, Florence, Italy; ³Institute for Cancer Research and Prevention (ISPO), Florence, Italy; ⁴Cardiopulmonary Department, Pisa University Hospital, Pisa, Italy; ⁵Pulmonology Department, Hospital of Pistoia, Pistoia, Italy; ⁶Pathology Department, University Hospital of Pisa, Pisa, Italy; ⁷Division of Thoracic Surgery, Careggi University Hospital, Florence, Italy; ⁸Service of Nuclear Medicine, Le Scotte Hospital, Siena, Italy; ⁹Radiology Department, Multimedica Group, IRCCS, Sesto San Giovanni, Italy; ¹⁰Pulmonology, Cardio-Thoracic-Vascular Department, Careggi Hospital, Florence, Italy; ¹¹2nd Radiology Unit, University Hospital of Pisa, Pisa, Italy

Correspondence to: Lapo Sali, MD, PhD. "Mario Serio" Department of Experimental and Clinical Biomedical Sciences, University of Florence, Viale Morgnagni 50, 50134 Florence, Italy. Email: lapo.sali@unifi.it.

Abstract: Occurrence of multiple primary lung cancers (MPLC) in individuals undergoing low-dose computed tomography (LDCT) screening has not been thoroughly addressed. We investigated MPLC in subjects recruited in the ITALUNG randomized clinical trial. Cases of cytologically/histologically proven MPLC detected at screening LDCT or follow-up CT were selected and pathologically re-evaluated according to the WHO 2015 classification. Overall 16 MPLC were diagnosed at screening LDCT (n=14, all present at baseline) or follow-up CT (n=2) in six subjects (4 in one subject, 3 in two and 2 in three subjects), representing 0.43% of the 1,406 screenees and 15.8% of the 38 subjects with at least one screen-detected primary lung cancer. MPLC included 9 adenocarcinomas in three subjects and a combination of 7 different tumour histotypes in three subjects. MPLC, mostly adenocarcinomas, are not uncommon in smokers and ex-smokers with at least one LDCT screen detected primary lung cancer.

* Members of the ITALUNG Study Research group: Andrea Lopes Pegna (MD), Roberto Bianchi (MD), Cristina Ronchi (MD), Pulmonology Cardio-Thoracic-Vascular Department, Careggi Hospital, Florence, Italy; Laura Carrozzi (MD), Ferruccio Aquilini (BSc), Stella Cini (MD), Mariella De Santis, Francesco Pistelli (MD), Filomena Baliva, Antonio Chella (MD), Laura Tavanti (MD), Cardiopulmonary Department, University Hospital of Pisa, Italy; Michela Grazzini (MD), Florio Innocenti (MD), Ilaria Natali (BSc), Pulmunonology Department, Hospital of Pistoia, Italy; Mario Mascalchi (MD, PhD), Maurizio Bartolucci (MD), Elena Crisci (MD), Agostino De Francisci (MD), Massimo Falchini (MD), Silvia Gabbrielli (MD), Giulia Picozzi (MD), Giuliana Roselli (MD), Andrea Masi (MD), Radiology Department, Careggi Hospital, Florence, Italy; Fabio Falaschi (MD), Luigi Battola (MD), Anna Lisa De Liperi (MD), Cheti Spinelli (MD), 2nd Radiology Unit, University Hospital of Pisa, Italy; Letizia Vannucchi (MD), Alessia Petruzzelli (MD), Davide Gadda (MD), Anna Talina Neri (MD), Franco Niccolai (MD) Radiology Department, Hospital of Pistoia, Italy; Luca Vaggelli (MD), Nuclear Medicine Department, Careggi Hospital, Florence, Italy; Alessandra Vella (MD, PhD), Nuclear Medicine Department, Le Scotte University Hospital, Siena; Francesca Maria Carozzi (BSc), Cristina Maddau (BSc), Laboratory Unit, Institute for Oncological Study and Prevention, Florence, Italy; Alberto Janni (MD), Luca Voltolini (MD), Thoracic Surgery Department, Careggi Hospital, Florence, Italy; Alfredo Mussi (MD), Marco Lucchi (MD), Thoracic Surgery Department, Cisanello Hospital, University of Pisa, Italy; Camilla Comin (MD), Division of Pathological Anatomy, Department of Medical and Surgical Critical Care, University of Florence, Italy; Gabriella Fontanini (MD), Adele Renza Tognetti (MD), Pathology Department, University Hospital of Pisa, Italy; Eugenio Paci (MD, PhD), Giovanna Cordopatri (BSc), Francesco Giusti (BSc, PhD), Ida Esposito (BSc), Department of Epidemiology Institute for Oncological Study and Prevention, Florence, Italy.

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Introduction

Smoking makes pulmonary tissue diffusely prone to cancer development ("field cancerization" theory) (1,2). Accordingly, smokers and former smokers can develop multiple lung cancers in their lives. Available data on multiple primary lung cancers (MPLC) derive mainly from surgical series (3). However, low-dose computed tomography (LDCT) screening can provide an alternative source of information about MPLC. To the best of our knowledge, so far this has not been thoroughly addressed. We reviewed the occurrence and type of MPLC in subjects undergoing LDCT screening in the ITALUNG randomized clinical trial (4).

Methods

ITALUNG is a randomized clinical trial carried out in Italy evaluating efficacy of LDCT screening in reducing lung cancer mortality as compared to "usual care" (5). The study was conducted in compliance with the Helsinki Declaration (http://www.wma.net/en/30publications/10policies/b3/ index.html) and the study protocol was approved by the Local Ethic Committees of the participating centers (Firenze, approval number 29–30, 30 September 2003; Pisa, number 23, 27 October 2003; Pistoia, number 00028543, 13 May 2004). Each subject provided an informed written consent to participate to the study.

The ITALUNG study design and protocol were previously reported (6,7). Briefly, 3,206 smokers or former smokers identified by general practitioners and invited by mail were randomized to receive four annual LDCT (n=1,613) or usual care (n=1,593). Management protocol for positive LDCT examinations included follow-up LDCT, 2-[¹⁸F]fluoro-2-deoxy-D glucose positron emission tomography, and CT-guided fine-needle aspiration biopsy (FNAB).

Follow-up data at 8.5 years in ITALUNG indicated that LDCT screening could reduce lung cancer and overall

mortality (4). In the actively screened arm, 1,406 smokers or former smokers (910 men with mean age of 61.1 years and 496 women with mean age of 60.6 years) underwent annual screening LDCT at baseline and in the next 3 years.

In ITALUNG the subjects with screen-detected primary lung cancer entered follow-up with contrast-enhanced fulldose head, chest and abdomen CT that was performed every 6 months for the first 2 years and annually for 3 years thereafter. All subjects with screen-detected primary lung cancer had completed the 5 years of follow-up CT at the time of writing.

For identification of MPLC in ITALUNG we applied a three step procedure (see below) and the criteria proposed by Shen *et al.* (8) that define 3 types of lesions: (I) those that share the same histology but are distributed in different pulmonary lobes, in absence of N2, N3 or systemic metastases; (II) those that show different histological or molecular-genetic characteristics and arise separately from foci of carcinoma *in situ*; (III) those that share the same histology but are separated by at least 4 years interval and without systemic metastases between the detection of multiple tumors.

The three step procedure included the following:

- (I) Step 1: records of all screened subjects with diagnosis of lung cancer based on the results of FNAB or surgical pathology during LDCT screening or full-dose CT follow-up were reviewed searching for cases of multiple primary or secondary lung cancer.
- (II) Step 2: one experienced lung pathologist (C.E.C) reviewed and classified all the surgical or fine needle aspiration specimens of the selected cases according to the 2015 WHO criteria taking into account morphology and molecular/genetic features (9,10). The ITALUNG pathology protocol referred to the EU-US shared pathology protocol for CT-screening trials (EU-US Spiral CT Collaborative Group) and is detailed in supplementary material. Pathologic or clinical staging of MPLC was performed according

1060

to the 7th Edition of the American Joint Committee on Cancer Staging Manual (11).

(III) Step 3: two senior chest radiologists (M.M. and F.F.) reviewed all the LDCT and follow-up full-dose CT examinations of the subjects with pathologically confirmed MPLC. They established the first LDCT or follow-up CT showing focal abnormalities that were ultimately diagnosed as lung cancer and described them (12).

Results

Eight subjects were diagnosed with multiple lung cancers during LDCT screening or full-dose CT follow-up. Two had multiple secondary lesions (from renal cancer and colorectal cancer) detected at LDCT and diagnosed at FNAB. Six subjects were ultimately diagnosed with MPLC (overall =16:2 in three subjects, 3 in two subjects and 4 in one subject) (*Table 1* and *Figures 1,2*). They represented 15.8% (6/38) of all subjects with at least one screendetected primary lung cancer.

The 16 MPLC included 9 morphologically and molecularly heterogeneous adenocarcinomas in three subjects (*Figure 1*) and combination of different tumor histotypes (2 adenocarcinomas plus 1 carcinoid, 1 adenocarcinoma plus 1 small cell carcinoma, 1 pleomorphic carcinoma plus 1 squamous cell carcinoma) in three subjects (*Figure 2*). Adenocarcinomas accounted for 75% (12/16) of MPLC.

Fourteen of 16 MPLC were observed during LDCT screening in four subjects and 2 during full-dose CT followup in two subjects. All the former were already present at baseline LDCT examination (*Figures 1,2*) and may be considered as synchronous lesions. The latter appeared during follow-up CT 5 and 6 years after surgical removal of the first lesions and may be considered metachronous lesions. Overall, MPLC appeared in the LDCT or followup CT in which they were retrospectively identified for the first time as solid (n=9), partially solid (n=3), non-solid (n=3) or excavated (n=1) lesions with regular (n=7), ill-defined (n=5), spiculated (n=2), irregular or lobulated (n=2) margins. Their initial size ranged between 4 and 32 mm (mean diameter 14.4±8.1 mm).

Of the three subjects with 2 lesions, one died of surgical complication and two of advanced disease. Of the three subjects with more than 2 lesions, two are alive (10 and 11 years after treatment of multifocal adenocarcinomas) and one died of advanced disease.

Discussion

Data about occurrence of MPLC in observational or randomized LDCT screening studies are fragmentary and summarized in Table 2. At least one subject with MPLC was reported in 11/33 studies (5,13-18,24-35), with a mean cumulative frequency of 0.18% (136 subjects) in 71,901 screenees and of 11.6% in 1,170 subjects with at least one screen-detected lung cancer (19-23,36-44). The MPLC were considered synchronous in 123/136 (90%) subjects and metachronous in 13 (10%). Unfortunately, in many cases the time of lesions appearance and histological diagnoses were not available. Moreover uncertainties and intervening modifications of the staging system of lung cancer and unavailability of morphologic and genetic/molecular features hinder recollection of information about MPLC in previous reports of LDCT screening (and surgical series). In particular, multiple pulmonary nodules may have been considered stage III or IV tumors (18), and this may have led to under-reporting of cases of MPLC, especially in case of multifocal adenocarcinoma.

In the active arm of ITALUNG, after the 4 years of active screening and 5 years of follow-up we observed few subjects (n=6; 0.43% of the screenees) harboring or developing MPLC. However they represented 15.8% of all those subjects with at least one screen-detected primary lung cancer. These percentages are in line with those reported in LDCT screening studies (*Table 2*).

In our series, adenocarcinoma was the most frequent histotype. This is in line with the type of primary lung cancers that are discovered by LDCT (Table 1) and in a previous study (18). Despite the small numbers of MPLC cases in our study that indicates need of further studies, two scenarios may be drawn. The first deals with morphological and molecular/genetically heterogeneous multifocal adenocarcinomas. The second deals with combination of adenocarcinoma with others histological types including small cell lung cancer, carcinoid and squamous cell cancer. Only one case of double squamous lung cancer was reported (23). As in previous reports (19,22), most (14/16 lesions in five subjects) of the screen-detected MPLC in ITALUNG were present at baseline and were diagnosed after further LDCT screening rounds, because of increased size or density (12,17,27).

Remarkably, our two patients with synchronous multifocal adenocarcinoma with 4 and 3 lesions, respectively, were alive many years after lesion treatment. This is consistent with the view that multifocal

Table 1 Subjects	with multiple primary lung	g cancers in ITALUNG trial					
Case/lesion/sex/ age/pack-year	Year of CT appearance screening round	Initial CT features: site/size*/shape/ margins/density	/ Time to diagnosis	Histology or cytology	Molecular findings [#]	Stage	Therapy/outcome
I/1/male/70/<30	2006 baseline	RUL/32 mm/roundish/spiculated/ solid	Immediate	Adenocarcinoma (80% acinar, 15% papillary, 5% solid)	KRAS G12C	pT2N0M0	Surgery
1/2	2006 baseline	RUL/18 mm/round/ill-defined, non- solid	· Immediate	Adenocarcinoma (90% lepidic, 10% acinar)	EGFR exon19 deletion	pT2N0M0	Surgery
1/3	2006 baseline	RLL/6 mm/oval/regular/solid	Immediate	Adenocarcinoma (60% acinar, 30% papillary, 10% micropapillary)	EGFR exon19 deletion	pT2N0M0	Surgery
1/4	2006 baseline	LUL, 25 mm/roundish/ill-defined/ part-solid	Immediate	Adenocarcinoma (FNAB)	/	T1bN0M0	Radiation therapy; 2016 alive
II/1/male/61/>30	2004 baseline	RUL/22 mm/round/regular/solid	Immediate	Adenocarcinoma (70% acinar, 30% solid)	/	pT1bN0M0	Surgery
11/2	2004 baseline	LUL/6 mm/oval/regular/solid	3 months	Small cell carcinoma		pT1aN2M0	Surgery, chemotherapy; dead 9 months later
III/1/ male/70/<30	2005 baseline	LUL/10 mm/complex/irregular/ solid	24 months	Adenocarcinoma (90% papillary, 10% acinar)	/	pT1bN0M0	Surgery
111/2	2005 baseline	RLL/18 mm/round lobulated/ part-solid (associated with cystic airspace)	27 months	Adenocarcinoma (60% acinar, 40% papillary)	EGFR exon19deletion	pT1aN0M0	Surgery; dead 4 days later
IV/1/ male/66/>30	2006 baseline	LLL/10 mm/round/ill-defined/non- solid	12 months	Adenocarcinoma (50% lepidic, 30% solid, 20% acinar)	~	pT1bNoM0	Surgery
IV/2	2006 baseline	Lingula/9 mm/round/regular/solid	12 months	Carcinoid	/	pT1aN0M0	Surgery
IV/3	2012 follow-up	RUL/4 mm/round/regular/solid	24 months	Adenocarcinoma (FNAB)	KRAS G13D	cT1aN2M1b	Chemotherapy; dead 6 months later
V/1/ female/79/>30	2005 baseline	RUL/14 mm/irregular/spiculated/ solid	3 months	Pleomorphic carcinoma	/	pT1aN0M0	Surgery
V/2	2010 follow-up	LLL/25 mm/regular/excavated	2 months	Squamous cell carcinoma	/	cT1bN0M1b	Chemotherapy; dead 3 months later
VI/1/ male/70/>30	2005 baseline	RUL/9 mm/oval/regular/solid	24 months	Adenocarcinoma (70% solid, 20% lepidic, 10% acinar)	KRAS G12C	pT1aN0M0	Surgery
VI/2	2005 baseline	RLL/12 mm/round/ill-defined/non- solid	24 months	Adenocarcinoma (70% lepidic, 15% papillary, 15% acinar)	~	pT1aN0M0	Surgery
VI/3	2005 baseline	LLL/10 mm/oval/ill-defined/part- solid	96 months	Adenocarcinoma (70% lepidic, 30% acinar)	/	pT1aN0M0	Surgery; 2016 alive
*, mean diameter	; *, only positive molecula	ar markers are reported.					

Journal of Thoracic Disease, Vol 10, No 2 February 2018

1061

1062



Figure 1 Case I (*Table 1*). Four primary adenocarcinomas in a 70-year-old smoker, which were all detected at baseline LDCT screening round. They appeared as a spiculated lung nodule in the right upper lobe (RUL) (A), a ground glass opacity in the same lobe (B), a small solid nodule in the right lower lobe (RLL) (arrow in C) and a ground glass opacity with a small solid component in the left upper lobe (LUL) (D). Haematoxylin and eosin histologic staining (original magnification ×200) demonstrate an invasive adenocarcinoma, acinar predominant (E) in the RUL lesion corresponding to (A), an invasive adenocarcinoma, lepidic predominant (F) in the RUL lesion corresponding to (B) and an invasive adenocarcinoma, acinar predominant (G) in the RLL lesion corresponding to (C). Papanicolaou stain (original magnification ×40) of fine needle aspiration biopsy shows papillary pattern of uniform malignant cells with irregular nuclei consistent with adenocarcinoma (H) in the LUL lesion corresponding to (D).

adenocarcinoma can behave as indolent lesion that should not be confused with aggressive primary lung cancers with intrapulmonary metastases (18). Awareness of this possibility may have significant impact on management of multiple lesions detected at LDCT screening that is currently recommended in the US (39) and is under evaluation in Europe (4).

In ITALUNG after a median follow-up time of 8.5 years, we observed 2 metachronous cancers during full-dose CT follow-up, which were both fatal. Obviously, longer surveillance is expected to increase the yield of metachronous MPLC. In fact one LDCT study reported 6 cases of metachronous MPLC in 2,989 screenees followed for 14 years (23).

Prevalence of MPLC in 18 surgical series outside screening (mean range, 1.1–8.6%) (3) was lower than the frequency in LDCT screening studies reporting at least one such a case. This is not surprising since subjects undergoing LDCT are asymptomatic and prone to show developing cancers in their earlier stages.

Occurrence of MPLC in a single subject *per se* suggests the possibility of genetic predisposition. However search of genetic features associated with lung cancer (45) was beyond the scope of the present report.

Admittedly, since our study is based on cytopathologically or histopathologically proven primary lung cancer, it is possible that we underestimated MPLC in the cohort of subjects undergoing LDCT screening. In particular, lesions presenting as pure ground glass opacity that can be associated with minimally invasive adenocarcinoma (9) may be missed.

In conclusion, MPLC, mostly adenocarcinomas, are not uncommon in smokers and former smokers with at least one LDCT screen detected primary lung cancer. The



Figure 2 Case II (*Table 1*). Adenocarcinoma and small cell lung cancer in a 61-year-old smoker both detected at baseline LDCT screening round. They appeared as a rounded lung nodule in the RUL and a subpleural small solid nodule (arrow) in the LUL (A). Haematoxylin and eosin histologic staining demonstrate invasive adenocarcinoma, acinar predominant (original magnification ×200) (B) in the RUL lesion and small cell lung carcinoma (original magnification ×100) (C) in the LUL lesion.

Study	No. of screenees	No. of LDCT rounds	Subjects with screen-detected primary lung cancer (%)	No. of adenocarcinomas	Subjects with MPLC	Subjects with MPLC/subjects with screen- detected primary lung cancer (%)
Nawa et al. 2002 (13)	7,956	1	40 (0.5)	39	1 (synchronous)	2.5
Diederich et al. 2002 (14)	817	4	11 (1.3)	5	1 (synchronous)	9.0
Flieder et al. 2006 (15)	2,968	11	77 (2.6)	81	16 (synchronous)	20.8
Carter <i>et al.</i> 2007 (16)	10,056	11	250 (2.5)	177	31 (synchronous), 5 (metachronous)	14.4
Lindell <i>et al.</i> 2007 (17)	1,520	5	59 (3.9)	34	1 (synchronous), 1 (metachronous)	3.4
Pelosi <i>et al.</i> 2008 (18)	5,202	3	89 (1.7)	72	10 (synchronous)	11.2
Vazquez <i>et al.</i> 2009 (19)	27,456	12	338 (1.2)	279	49 (synchronous)	14.5
van Klaveren <i>et al.</i> 2009 (20)	7,557	3	124 (1.6)	NA	5 (synchronous)	4.0
Infante et al. 2009 (21)	1,276	4	60 (4.7)	27	2 (synchronous), 1 (metachronous)	5.0
Saghir <i>et al.</i> 2012 (22)	4,104	5	69 (1.7)	48	6 (synchronous)	8.7
Sanchez-Salcedo et al. 2015 (23)	2,989	14	53 (1.8%)	33	1 (synchronous), 6 (metachronous)	13.2

Table 2 Multiple primary lung cancers (MPLC) in low-dose CT (LDCT) screening studies

Mascalchi et al. Screen-detected multiple primary lung cancers

potential distinction of two subtypes of MPLC, represented by multifocal adenocarcinomas and by combination of adenocarcinoma with others histological types, having different prognoses and treatment implications warrants further study.

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Footnote

Conflicts of Interest: The authors have no conflicts of interest to declare.

Ethical Statement: The study was conducted in compliance with the Helsinki Declaration (http://www.wma.net/ en/30publications/10policies/b3/index.html) and the study protocol was approved by the Local Ethic Committees of the participating centers (Firenze, approval number 29–30, 30 September 2003; Pisa, number 23, 27 October 2003; Pistoia, number 00028543, 13 May 2004). Each subject provided an informed written consent to participate to the study.

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Journal of Thoracic Disease, Vol 10, No 2 February 2018

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1066

ITALUNG pathology protocol

Tumors were sampled as fresh tissue immediately after surgery. The entire tumors, fragments of distant non-neoplastic parenchyma, all detectable lymph nodes, and mediastinal lymph nodes were sampled. Specimens were fixed in buffered formalin for 16-24 hours and processed for standard histological examination. Multiple adenocarcinoma cases underwent molecular analysis for the identification of ALK gene translocation and of the known driver mutations in the following genes: EGFR, KRAS, NRAS, BRAF, PIKCA, ERBB2, DDR2, MAP2K1 and RET. A representative paraffin-embedded block containing about 80% viable tumor was selected for each case. Immunohistochemical staining procedures were conducted on 4 µm-thick sections of paraffin-embedded tissue using a Ventana Benchmark Ultra automated immunostainer (Ventana Medical System, Tucson, AZ, USA) with the anti-ALK monoclonal antibody (clone D5F3, ready to use, Ventana Medical System). The OptiView DAB Detection kit was used as revelation system, adding the OptiView Amplification kit (Ventana Medical System) for ALK detection. Three consecutive 10 µm-thick sections were performed from the same blocks for molecular analysis. These were conducted by a mass spectrometry-based multiplex assay (MassArray technology, Sequenom, San Diego, CA) using the "Myriapod Lung Status" kit (Diatech Pharmacogenetics, AN, IT) capable of identifying the major mutations of the following genes: EGFR (exons 18, 19, 20, 21), KRAS (codons 12, 13, 61), NRAS (codons 12, 61), BRAF (codons 466, 469, 594, 597, 600), PIKCA (codons 542, 545, 1043, 1047), ERBB2 (exon 20), DDR2 (codons 239, 638, 768), MAP2K1 (codons 56, 57, 67), RET (codon 918).