

# Predictive factors for invasive adenocarcinoma in patients with clinical non-invasive or minimally invasive lung cancer

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**Background:** Pure ground glass opacity (GGO) or part-solid GGO with small solid component ( $\leq$ 5 mm) are likely to be non-invasive or minimally invasive lung cancer. However, those lesions sometimes are diagnosed as invasive adenocarcinoma postoperatively. The aim of this study was to determine the predictors of invasive adenocarcinoma in clinical non- or minimally invasive lung cancer.

**Methods:** From January 2010 to December 2017, 203 patients were diagnosed as clinical adenocarcinoma *in situ* (AIS) or minimally invasive adenocarcinoma (MIA) identified on chest computed tomography (CT) and they underwent surgical resection. A retrospective study was performed to analyze the prediction of invasive adenocarcinoma in clinical non- or minimally invasive lung cancer.

**Results:** Of all clinical AIS or MIA patients, invasive adenocarcinoma was diagnosed in 55 patients (27.1%). In clinical AIS, invasive adenocarcinoma was diagnosed in 19 patients (17.9%) and 36 patients (37.1%) were diagnosed as invasive adenocarcinoma in clinical MIA (P=0.002). Tumor diameter and the presence of solid component were confirmed to be significant predictive factors for invasive adenocarcinoma in a multivariate analysis [hazard ratio (HR) 1.071, P=0.037; HR 2.573, P=0.005; respectively].

**Conclusions:** Large tumor size and the presence of solid component in clinical AIS or MIA are predictive factors for invasive adenocarcinoma. Therefore, early surgical intervention is recommended for those lesions.

Keywords: Invasive adenocarcinoma; adenocarcinoma in situ (AIS); minimally invasive adenocarcinoma (MIA)

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# Introduction

Lung cancer is the fourth leading cause of cancer deaths in Korea. Recently, the use of chest computed tomography (CT) for lung cancer screening and early-stage lung cancer detection has increased (1), and with advances in technology, the detection of ground-glass opacity (GGO) has also increased remarkably. Persistent GGO is considered to be a precursor lesion of lung cancer or an early stage lung cancer (2,3). However, surgical removal of all persistent GGO lesions is controversial. This is because many lesions observed in GGOs do not progress but persist in the same size or morphology for many years (4,5).

According to the National Comprehensive Cancer Network (NCCN) guidelines for non-small cell lung cancers (NSCLCs; Version 3.2018), pure GGO or partsolid GGO with solid component  $\leq 5$  mm is not an indication for surgery. Other guidelines about sub-solid nodules were not different from the NCCN guideline (6,7). Pure GGO or part-solid GGO with solid component  $\leq$ 5 mm were likely to be non-invasive or minimally invasive lung cancer. Therefore, it seems that there is no problem in performing only regular follow-ups without surgical resection of such lesions. However, because of the presence of invasive adenocarcinoma in some of the lung cancers presenting as pure GGO or part-solid GGO with solid component  $\leq$ 5 mm on chest CT, such lesions may be more appropriate for surgical resection than for regular followup (8-10). Furthermore, the development of minimally invasive surgery has reduced the risk of surgery, so surgical treatment is no longer a major risk to the patient.

Therefore, if invasive adenocarcinoma can be found among pure GGO or part-solid GGO with solid component  $\leq 5$  mm, it may be easier to determine in which cases surgical treatment should be appropriate. The aim of this study was to determine the predictors of invasive adenocarcinoma in pure GGO or part-solid GGO with solid component  $\leq 5$  mm on chest CT. The goal was to determine whether to perform surgery immediately in some lesions showing persistent pure GGO or part-solid GGO with solid component  $\leq 5$  mm on chest CT.

## Methods

#### Patients

From January 2010 to December 2017, 1,630 consecutive patients at Seoul St. Mary's Hospital in Korea were diagnosed with lung cancer and underwent therapeutic surgical resection. The surgical procedures included both sublobar resection (wedge resection or segmentectomy) and lobectomy, because invasive adenocarcinoma or AIS/MIA can be diagnosed regardless of the surgical procedure. Of those patients, 203 were diagnosed as clinical adenocarcinoma in situ (cAIS) or clinical minimally invasive adenocarcinoma (cMIA) identified on chest CT. Preoperative chemo- or radiotherapy was not administered in any patient. cAIS and cMIA were surgically excised and classified into pathological AIS, pathological MIA, and invasive adenocarcinoma according to the results of the final histologic examination. Pathological AIS (pAIS) and MIA (pMIA) were combined into a single noninvasive adenocarcinoma group (pAIS/MIA) and compared with the invasive adenocarcinoma group. Predictive factors for invasive adenocarcinoma of all patients were analyzed. This study was approved by the institutional review board of Seoul St. Mary's Hospital, The Catholic University of Korea (No. KC18RESI0114).

#### Preoperative radiologic evaluation and clinical staging

TNM staging was based on the 8th edition of the TNM classification proposed by the International Association of Study of Lung Cancer (IASLC) (11). Clinical T staging was performed using only nodule size on CT images. Primary lesions were evaluated using thin-section CT images. All chest CT scans were obtained at full inspiration and were retrospectively examined for GGO nodules. All preoperative chest CT imaging was rechecked by two thoracic surgeons who were blinded to the surgical outcome. On a CT scan, GGO was defined as increased hazy opacities in the lung parenchyma with preservation of the bronchial structures and vascular margins (12). The diameter of the tumor (T) was defined as the largest three-dimensional diameter of the lesion on the lung window setting. The diameter of consolidation (C, solid component) on the lung window setting was also measured, and consolidation was defined as an area of increased opacification that completely obscured the underlying bronchial structures and vascular markings. A pure GGO had a C/T ratio of 0, whereas in a solid nodule, the C/T ratio was 1. A nodule that is part-solid had a C/T ratio between 0 and 1. The definition of clinical AIS and clinical MIA are the same as Tis and T1a (mi) in the 8th edition of the TNM classification (13). This study was performed on GGO-predominant tumors  $\leq 3$  cm with a C/ T ratio <0.5. Of the tumors with a C/T ratio <0.5, pure GGO was defined as cAIS. cMIA was defined as the case when the consolidation diameter was  $\leq 5$  mm in the GGOpredominant tumor.

## Pathologic staging and histologic evaluation

When GGO was observed continuously for more than 3 months, the decision to proceed with surgery was made when the size of the GGO lesion exceeded 1 cm, the size of the GGO lesion was <1 cm but was increasing, or the GGO had a solid component.

All clinical specimens were examined by a pathology specialist, whose observations were recorded. To describe the histologic patterns of tumors, the occupancy ratio of each histologic component (lepidic, acinar, papillary, micropapillary, and solid) in the total tumor area was measured and recorded semi-quantitatively in 5% increments according to the 2015 WHO classification of lung tumors (14). AIS and MIA were defined as small ( $\leq$ 3 cm), and solitary adenocarcinomas consisted of lepidic component without invasive component (AIS) or with  $\leq$ 5 mm invasive component (MIA). Invasive adenocarcinomas were classified into one of several subtypes (acinar adenocarcinoma, papillary adenocarcinoma, micropapillary adenocarcinoma, lepidic adenocarcinoma, and others).

# Statistical analyses

Clinicopathological factors of the pAIS/MIA group and invasive adenocarcinoma group were compared in all patients using either student's *t*-test or the Wilcoxon ranksum test for continuous variables, and using the  $\chi^2$  test or Fisher's exact test for categorical variables. Multivariate logistic regression was used to analyze the predictive factors for invasive adenocarcinoma in all patients. All variables with a P<0.1 in the univariate analysis were entered into a multivariate analysis. Subgroup analysis was also done. In cAIS group and cMIA group, predictive factors for invasive adenocarcinoma were analyzed respectively. A P value <0.05 was considered statistically significant. Statistical analyses were performed using SPSS 24.0 software (IBM Corp, Armonk, NY, USA).

# Results

Clinical characteristics and surgical outcomes are presented in *Table 1*. Mean diameter of the consolidation on chest CT

| Table  | 1  | Clinical | character | ristics | of   | clinical | adenc  | ocarcinon | na in | situ |
|--------|----|----------|-----------|---------|------|----------|--------|-----------|-------|------|
| (cAIS) | or | clinical | minimally | y inva  | sive | adenoc   | arcino | ma (cML   | A)    |      |

| Variables                           | Mean (± SD) or N (%) |
|-------------------------------------|----------------------|
| Age (±SD)                           | 59 (±10.3)           |
| Sex                                 |                      |
| Male                                | 70 (34.5)            |
| Female                              | 133 (65.5)           |
| Current or former smoker            | 49 (24.1)            |
| Serum CEA level (ng/mL) (±SD)       | 1.4 (±1.2)           |
| SUVmax                              | 0.9 (±0.6)           |
| FEV1 (%) (±SD)                      | 96.5 (±15.2)         |
| DLCO (%) (±SD)                      | 89.1 (±16.6)         |
| Presence of past cancer history     | 66 (32.5)            |
| Metachronous lung cancer<br>history | 11 (5.4)             |
| Other cancers                       | 55 (27.1)            |
| Absent                              | 137 (67.5)           |
|                                     |                      |

Table 1 (continued)

| Table 1 (continued)                        |                               |
|--|-------------------------------|
| Variables                                  | Mean (± SD) or N (%)          |
| Radiologic features                        |                               |
| Presence of synchronous GGO                | 26 (12.8)                     |
| Tumor location                             |                               |
| Central                                    | 3 (1.5)                       |
| Peripheral                                 | 200 (98.5)                    |
| Involved lobe                              |                               |
| Right upper                                | 73 (36.0)                     |
| Right middle                               | 19 (9.4)                      |
| Right lower                                | 38 (18.7)                     |
| Left upper                                 | 43 (21.2)                     |
| Left lower                                 | 30 (14.8)                     |
| Diameter of the consolidation (mm) (±SD)   | 1.7 (±1.8) (range, 0–4.94)    |
| Diameter of the tumor (GGO)<br>(mm) (±SD)  | 14.5 (±5.1) (range, 3.3–28.3) |
| Presence of pleural retraction             | 84 (41.4)                     |
| C/T ratio                                  | 0.1 (±0.1)                    |
| Surgical procedures                        |                               |
| Operation                                  |                               |
| Wedge resection                            | 58 (28.6)                     |
| Segmentectomy                              | 52 (25.6)                     |
| Lobectomy                                  | 92 (45.3)                     |
| Bilobectomy                                | 1 (0.5)                       |
| VATS                                       | 188 (92.6)                    |
| Open thoracotomy                           | 15 (7.4)                      |
| No mediastinal node evaluation             | 73 (36.0)                     |
| Mediastinal lymph node dissection          | 93 (45.8)                     |
| Mediastinal lymph node sampling            | 37 (18.2)                     |
| Surgical outcomes                          |                               |
| Duration of postoperative<br>hospital stay | 5.6 (±5.5)                    |
| Complications                              | 19 (9.4)                      |
| Prolonged air leak                         | 16                            |
| Pneumonia                                  | 1                             |
| lleus                                      | 1                             |
| Cerebral infarction                        | 1                             |
| In-hospital mortality                      | 0                             |

SD, standard deviation; CEA, carcinoembryonic antigen; SUVmax, maximum standardized uptake value; FEV1, forced expiratory volume in 1 second; DLCO, diffusing capacity for carbon monoxide; GGO, ground glass opacity; C/T ratio, diameter of consolidation/diameter of the tumour ratio; VATS, video-assisted thoracoscopic surgery.

 Table 2 Pathological diagnosis of surgically resected clinical AIS (cTisN0M0) or MIA [cT1a(mi)N0M0]

| Variables                     | N (%)      |
|-------------------------------|------------|
| All                           | 203        |
| AIS                           | 38 (18.7)  |
| MIA                           | 110 (54.2) |
| Invasive adenocarcinoma       | 55 (27.1)  |
| Clinical AIS (pure GGO)       | 106        |
| AIS                           | 37 (34.9)  |
| MIA                           | 50 (47.2)  |
| Invasive adenocarcinoma       | 19 (17.9)  |
| Clinical MIA (part-solid GGO) | 97         |
| AIS                           | 1 (1.0)    |
| MIA                           | 60 (61.9)  |
| Invasive adenocarcinoma       | 36 (37.1)  |
| Invasive adenocarcinoma       | 55         |
| Lepidic adenocarcinoma        | 21 (38.2)  |
| Acinar adenocarcinoma         | 27 (49.1)  |
| Papillary adenocarcinoma      | 7 (12.7)   |

GGO, ground glass opacity; AIS, adenocarcinoma in situ (TisN0M0); MIA, Minimally invasive adenocarcinoma [T1a(mi) N0M0].

 Table 3 Comparison of pathologic diagnosis between pure GGO and Part-solid GGO

| Variables                  | Pure GGO (cAIS) | Part-solid GGO<br>(cMIA) | P value |
|----------------------------|-----------------|--------------------------|---------|
| pAIS/MIA                   | 87 (82.1%)      | 61 (62.9%)               | 0.002   |
| Invasive<br>adenocarcinoma | 19 (17.9%)      | 36 (37.1%)               |         |

GGO, ground glass opacity; AIS, adenocarcinoma in situ (TisN0M0); MIA, Minimally invasive adenocarcinoma [T1a(mi) N0M0].

was 1.7 mm and mean tumor (GGO) size was 14.5 mm. More than half of the surgical procedures were sublobar resections (wedge resection 28.6%, segmentectomy 25.6%). Most procedures (92.6%) were performed with videoassisted thoracoscopic surgery (VATS). Mean duration of the postoperative hospital stay was 5.6 days. There were 19 complications (9.4%) among the patients. However, all



**Figure 1** CT images of clinical AIS (A) and MIA (B) diagnosed as invasive adenocarcinoma. AIS, adenocarcinoma *in situ*; MIA, minimally invasive adenocarcinoma; CT, computed tomography.

complications were resolved during the hospital stay. There was no in-hospital mortality.

The pathological diagnosis of all patients is summarized in *Tables 2,3*. Although clinical diagnoses of all patients were AIS or MIA, invasive adenocarcinoma was diagnosed in 55 patients (27.1%) (*Figure 1*). In the cAIS group, invasive adenocarcinoma was diagnosed in 19 patients (17.9%). Thirty-six patients (37.1%) were diagnosed as invasive adenocarcinoma in the cMIA group. The incidence of invasive adenocarcinoma between cAIS and cMIA were statistically different (P=0.002). Preoperative cAIS or cMIA, and postoperative invasive adenocarcinoma included only three subtypes of invasive adenocarcinoma: acinar adenocarcinoma, lepidic adenocarcinoma, and papillary adenocarcinoma.

 Table 4 Comparison of preoperative factors between pathological

 AIS/MIA and invasive adenocarcinoma in patients with clinical AIS

 or clinical MIA

| Variables                                      | pAIS/MIA<br>(n=148) | Invasive<br>adenocarcinoma<br>(n=55) | P<br>value |
|--|---------------------|--------------------------------------|------------|
| Age (±SD)                                      | 59.9 (±10.2)        | 58.7 (±10.4)                         | 0.440      |
| Sex  |                     |                                      | 0.188      |
| Male   | 55 (37.2%)          | 15 (27.3%)                           |            |
| Female   | 93 (62.8%)          | 40 (72.7%)                           |            |
| Current or former smoker                       | 35 (23.6%)          | 14 (25.5%)                           | 0.789      |
| Serum CEA level (ng/mL)<br>(± SD)              | 1.5 (±1.2)          | 1.3 (±1.3)                           | 0.423      |
| SUVmax (± SD)                                  | 0.9 (±0.6)          | 1.0 (±0.7)                           | 0.525      |
| FEV1 (%) (± SD)                                | 95.7 (±15.5)        | 98.5 (±14.6)                         | 0.260      |
| DLCO (%) (± SD)                                | 88.3 (±17.6)        | 90.9 (±13.7)                         | 0.342      |
| Presence of past cancer history                | 54 (36.5%)          | 12 (21.8%)                           | 0.047      |
| Presence of synchronous GGO                    | 21 (14.2%)          | 5 (9.1%)                             | 0.334      |
| Central location                               | 2 (1.4%)            | 1 (1.8%)                             | 1.000      |
| Involved lobe                                  |                     |                                      | 0.652      |
| Right upper                                    | 57 (38.5%)          | 16 (29.1%)                           |            |
| Right middle                                   | 14 (9.5%)           | 5 (9.1%)                             |            |
| Right lower                                    | 28 (18.9%)          | 10 (18.2%)                           |            |
| Left upper                                     | 28 (18.9%)          | 15 (27.3%)                           |            |
| Left lower                                     | 21 (14.2%)          | 9 (16.4%)                            |            |
| Diameter of the consolidation (mm) ( $\pm$ SD) | 1.4 (±1.8)          | 2.4 (±1.9)                           | 0.001      |
| Diameter of the tumor<br>(GGO) (mm) (± SD)     | 14.0 (±4.7)         | 16.0 (±5.7)                          | 0.018      |
| Presence of pleural retraction                 | 58 (39.2%)          | 26 (47.3%)                           | 0.299      |

SD, standard deviation; CEA, carcinoembryonic antigen; SUVmax, maximum standardized uptake value; FEV1, forced expiratory volume in 1 second; DLCO, diffusing capacity for carbon monoxide; GGO, ground glass opacity.

# Predictive factors for invasive adenocarcinoma in all patients

We compared clinical factors between the pAIS/MIA and invasive adenocarcinoma groups in all patients

(*Table 4*). There were no differences between the two groups except for the presence of past cancer history, diameter of consolidation, and tumor diameter. Logistic regression analysis was used to determine the predictive factors for invasive adenocarcinoma in all patients. In univariate analysis, past cancer history, diameter of consolidation, and tumor diameter had P values <0.1. These variables were entered into the multivariate model [*Table 5* (A)]. Diameters of the consolidation and tumor were confirmed to be significant predictive factors for invasive adenocarcinoma in a multivariate analysis [hazard ratio (HR) =1.296, P=0.003; HR =1.068, P=0.048, respectively] [*Table 5* (B)].

# Predictive factors for invasive adenocarcinoma in subgroups

We analyzed predictive factors for invasive adenocarcinoma in cAIS group and cMIA group respectively.

In the cAIS group, specific variables identified as significant (P<0.1) by univariate analysis included presence of past cancer history, tumor diameter, and presence of pleural retraction. These variables were entered into the multivariate model. Only tumor diameter was significantly predictive of invasive adenocarcinoma (HR =1.166, P=0.006) (*Table 6*).

On the other hand, there was no significant predictive factor for invasive adenocarcinoma in the cMIA group on logistic regression analysis. Even the diameter of the consolidation was not a significant predictor for invasive adenocarcinoma (*Table 7*). In fact, the consolidation size was not measured in cAIS, but only in cMIA. Consolidation size was a significant predictor in all patients, but was not a significant predictor in cMIA. In other words, consolidation rather than consolidation size was a predictor in all patients. Therefore, the presence of consolidation (solid component) was a significant predictor in multivariate analysis of all the patients by changing the diameter of the consolidation to the presence of solid component (HR =2.573, P=0.005) [*Table 5* (C)].

We created a scatter plot to see how the pure GGO diameters were distributed in the pAIS, pMIA, and invasive adenocarcinoma groups (*Figure 2*). All tumors in the invasive adenocarcinoma group were  $\geq$ 1.0 cm. Therefore, invasive adenocarcinoma was not detected in cases in which the diameter of pure GGO was <1.0 cm. On the other hand, in case of part-solid GGO, the size distribution of invasive adenocarcinoma varied (range, 5–26.5 mm). Although part-solid GGO has a very small size, it may be diagnosed as

 Table 5 Predictive factors for invasive adenocarcinoma on logistic

 regression analysis in patients with clinical AIS or MIA

| Variables                          | HR    | 95% CI       | P value |
|------------------------------------|-------|--------------|---------|
| (A) Univariate analysis            |       |              |         |
| Age                                | 0.988 | 0.959–1.018  | 0.438   |
| Sex (male)                         | 0.634 | 0.321-1.252  | 0.190   |
| Current or former smoker           | 1.102 | 0.539–2.255  | 0.789   |
| Serum CEA level                    | 0.890 | 0.670–1.183  | 0.424   |
| SUVmax                             | 1.220 | 0.663-2.246  | 0.523   |
| FEV1 (%)                           | 1.012 | 0.991–1.033  | 0.260   |
| DLCO (%)                           | 1.009 | 0.990-1.029  | 0.341   |
| Presence of past cancer<br>history | 0.486 | 0.236-1.000  | 0.050   |
| Presence of synchronous GGO        | 0.605 | 0.216–1.692  | 0.338   |
| Central location                   | 1.343 | 0.119–15.109 | 0.811   |
| Involved lobe                      |       |              | 0.657   |
| Right upper (reference)            | 1     |              |         |
| Right middle                       | 1.272 | 0.398-4.067  | 0.685   |
| Right lower                        | 1.272 | 0.512–3.162  | 0.604   |
| Left upper                         | 1.908 | 0.826-4.408  | 0.130   |
| Left lower                         | 1.527 | 0.586–3.978  | 0.386   |
| Diameter of consolidation (mm)     | 1.327 | 1.119–1.574  | 0.001   |
| Diameter of tumor (GGO)            | 1.083 | 1.019–1.152  | 0.011   |
| Presence of pleural retraction     | 1.391 | 0.746-2.596  | 0.300   |
| (B) Multivariate analysis          |       |              |         |
| Presence of past cancer<br>history | 0.605 | 0.284–1.287  | 0.192   |
| Diameter of the consolidation (mm) | 1.296 | 1.090–1.542  | 0.003   |
| Diameter of the tumor (GGO)        | 1.068 | 1.001–1.139  | 0.048   |
| (C) Multivariate analysis          |       |              |         |
| Previous cancer history            | 0.592 | 0.279–1.258  | 0.173   |
| Presence of solid component        | 2.573 | 1.333–4.967  | 0.005   |
| Diameter of tumor (GGO)            | 1.071 | 1.004–1.143  | 0.037   |

HR, hazard ratio; CI, confidence interval; CEA, carcinoembryonic antigen; SUVmax, maximum standardized uptake value; FEV1, forced expiratory volume in 1 second; DLCO, diffusing capacity for carbon monoxide; GGO, ground glass opacity.

invasive adenocarcinoma (Figure 3).

#### Discussion

Persistent GGO is most likely lung adenocarcinoma. Particularly, GGO may be considered to reflect the lepidic component (15). Saji *et al.* (16) reported that the size of a GGO and its solid component are correlated with the pathologic size of a tumor and its invasive component (16). Therefore, pure GGO is likely to be AIS composed of 100% lepidic component. Part-solid GGO is more likely to be MIA when the solid component size is <5 mm (17). This is reflected in the latest (8th edition) of the TNM staging and is the same as defining the clinical TisN0M0 and clinical T1a (mi) N0M0 (13). Therefore, these lesions are considered to be non- or minimally-invasive, so they are often followed-up without immediate surgery. This is why the NCCN guideline recommends only routine follow-up for such GGO lesions.

However, there is a discrepancy between clinical and pathologic stages. Nodal upstaging as well as upstage of T is often found after surgery. Thus, the clinical stage through preoperative imaging examination cannot be unconditionally confirmed (10,18-21). Clinical AIS (Tis) and MIA [T1a (mi)] are often diagnosed as pAIS or pMIA after surgery, but they are sometimes diagnosed as invasive adenocarcinoma (8,22,23). Heidinger et al. (24) reported that pure GGOs in CT images were ultimately diagnosed as invasive adenocarcinoma in 16% of patients. In this study, 17.9% of patients who had a pure GGO were diagnosed as invasive adenocarcinoma. This was similar to the incidence of invasive adenocarcinoma found among surgically resected pure GGOs. Although lepidic components present as GGO on imaging studies, acinar or papillary components, which are invasive components, can sometimes be seen as GGO on CT images (8,10,17). In this study, invasive adenocarcinoma was diagnosed in 27.1% of all cAIS or cMIA. Moreover, all invasive components were acinar or papillary patterns. Therefore, invasive adenocarcinoma including acinar or papillary component can be misdiagnosed as AIS or MIA in preoperative chest CT. Of course, invasive adenocarcinoma needs immediate surgical treatment.

In this study, large tumor size and the presence of solid component were the significant predictors for invasive Va

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| Table 6 Predictive    | factors for ir | ivasive ad  | enocarcinoma   | on logistic |
|-----------------------|----------------|-------------|----------------|-------------|
| regression analysis i | n patients wit | th clinical | AIS only (pure | e GGO)      |

| 'ariables                          | HR    | 95% CI       | P<br>value |          |
|------------------------------------|-------|--------------|------------|----------|
| Inivariate analysis                |       |              |            |          |
| Age                                | 0.991 | 0.948–1.037  | 0.704      | Ur       |
| Sex (male)                         | 1.403 | 0.517–3.807  | 0.506      | A        |
| Current or former smoker           | 1.451 | 0.490-4.292  | 0.502      | 5        |
| CEA                                | 1.099 | 0.805–1.500  | 0.552      | C        |
| SUVmax                             | 1.558 | 0.688–3.530  | 0.288      | C        |
| FEV1 (%)                           | 1.017 | 0.985–1.050  | 0.308      | S        |
| DLCO (%)                           | 1.009 | 0.978–1.040  | 0.575      | F        |
| Previous cancer history            | 0.279 | 0.076–1.028  | 0.055      | C        |
| Presence of synchronous<br>GGO     | 0.900 | 0.233–3.481  | 0.879      | F        |
| Involved lobe                      |       |              | 0.429      | h        |
| Right upper (reference)            | 1     |              |            |          |
| Right middle                       | 1.850 | 0.171–20.029 | 0.613      |          |
| Right lower                        | 2.176 | 0.486-9.756  | 0.310      |          |
| Left upper                         | 3.964 | 0.971–16.184 | 0.055      |          |
| Left lower                         | 2.643 | 0.580-12.038 | 0.209      |          |
| Radiologic tumor size (mm)         | 1.186 | 1.070–1.314  | 0.001      | C        |
| Radiologic pleural retraction      | 4.063 | 1.449–11.392 | 0.008      | (1       |
| Iultivariate analysis              |       |              |            | E        |
| Presence of past cancer<br>history | 0.359 | 0.088–1.470  | 0.154      | F<br>HF  |
| Diameter of the tumor (GGO)        | 1.166 | 1.045–1.301  | 0.006      | an<br>Fo |
| Presence of pleural retraction     | 2.711 | 0.895-8.214  | 0.078      | for      |
|                                    |       |              |            | -        |

HR, hazard ratio; CI, confidence interval; CEA, carcinoembryonic antigen; SUVmax, maximum standardized uptake value; FEV1, Forced expiratory volume in 1 second; DLCO, diffusing capacity for carbon monoxide; GGO, ground glass opacity.

adenocarcinoma in cAIS or cMIA on chest CT. The size distribution of invasive adenocarcinoma in pure GGO showed no invasive adenocarcinoma <10 mm. Therefore, in the case of pure GGO, the routine follow-up will not be a problem when the tumor size is <10 mm. On the other hand, in the case of part-solid GGOs, five cases were diagnosed as invasive adenocarcinoma even if the size was <10 mm. Therefore, part-solid GGO may require surgical

Table 7 Predictive factors for invasive adenocarcinoma on logistic regression analysis in patients with clinical MIA only (part-solid GGO)

| Variable                           | HR    | 95% CI      | P<br>value |
|------------------------------------|-------|-------------|------------|
| Univariate analysis                |       |             |            |
| Age                                | 0.974 | 0.932-1.018 | 0.241      |
| Sex (male)                         | 0.381 | 0.137–1.060 | 0.065      |
| Current of former smoker           | 0.959 | 0.358–2.573 | 0.934      |
| CEA                                | 0.648 | 0.380-1.104 | 0.110      |
| SUVmax                             | 0.831 | 0.323–2.138 | 0.701      |
| FEV1 (%)                           | 1.006 | 0.978–1.035 | 0.683      |
| DLCO (%)                           | 1.009 | 0.983–1.035 | 0.499      |
| Previous cancer history            | 0.737 | 0.291–1.865 | 0.519      |
| Presence of Synchronous GGO        | 0.539 | 0.103–2.826 | 0.465      |
| Involved lobe                      |       |             | 0.983      |
| Right upper (reference)            | 1     |             |            |
| Right middle                       | 0.741 | 0.187–2.939 | 0.670      |
| Right lower                        | 0.909 | 0.267–3.096 | 0.879      |
| Left upper                         | 1.071 | 0.356–3.224 | 0.902      |
| Left lower                         | 1.190 | 0.308-4.604 | 0.801      |
| Diameter of the consolidation (mm) | 1.324 | 0.821–2.135 | 0.250      |
| Diameter of the tumor (GGO)        | 1.009 | 0.928–1.097 | 0.832      |
| Presence of pleural retraction     | 0.496 | 0.215–1.145 | 0.100      |

R, hazard ratio; CI, confidence interval; CEA, carcinoembryonic tigen; SUVmax, maximum standardized uptake value; FEV1, prced expiratory volume in 1 second: DLCO, diffusing capacity carbon monoxide; GGO, ground glass opacity.

excision even if the size is small. In this study, invasive adenocarcinoma was diagnosed in 37.1% of part-solid GGO, which were considered cMIA. In other words, the incidence of invasive adenocarcinoma is relatively high, so it is doubtful whether all of the part-solid GGOs can be regarded as less invasive tumors.

Surgery is performed at our institution when (I) the size of a persistent GGO is >1 cm, (II) the GGO is increasing in size, or (III) a part-solid GGO is persistent for several months, regardless of its size. Recently, the development of minimally invasive surgery has reduced the burden of surgery for many patients. VATS is the most preferred method for surgical resection of GGO lesions. In this study,



**Figure 2** Scatter plot of pathologic diagnosis and diameter of pure GGO. All tumors in the invasive adenocarcinoma group were 10 mm or larger. GGO, ground glass opacity.



Figure 3 Scatter plot of pathologic diagnosis and diameter of partsolid GGO. Small tumors less than 10 mm were also diagnosed as invasive adenocarcinoma. GGO, ground glass opacity.

most surgeries were performed using VATS. The incidence rate of postoperative complications was low (9.4%). In fact, most complications were prolonged air leak (>5 days) and were resolved within 2 weeks. The incidence rate of postoperative complication of VATS wedge resection was very low. In addition, the extent of surgical resection may be reduced for GGO lesions. For example, a number of studies have reported good prognosis when sublobar resection is applied to peripheral pure GGO or part-solid GGO (24-26). We have also reported that the prognosis after limited resection is fairly good even if the lesion presenting as GGO on chest CT is diagnosed as invasive adenocarcinoma postoperatively (19). Therefore, if the minimally invasive surgery and sublobar resection are performed in an appropriate manner to perform surgery on GGO lesions, there will be no missed invasive adenocarcinoma without significant surgical burden on the patient.

This study had several limitations that should be considered. First, we used a retrospective study design. Second, we obtained the data from a single institution and the number of cases was relatively small. However, all data in this study are recent, since 2010, and since diagnosis was performed according to the same protocol, the bias should be relatively low. Future studies with more patients may provide more accurate results. Third, our study was restricted to surgical patients. In fact, it is difficult to apply the results of this study to all GGO cases because many of the GGO lesions are not surgically resected. However, it is meaningful if the lesion is growing GGO, persistent partsolid, or persistent pure GGO ( $\geq 1$  cm). In fact, our protocol for surgical treatment of GGO lesions revealed that those GGO lesions were always adenocarcinoma. Therefore, this study can be applied to GGO lesions that may consider surgery.

In conclusion, a persistent pure GGO or part-solid GGO with a solid component  $\leq 5$  mm is usually considered as being a non-invasive or minimally invasive lesion, while a tumor with a larger size or a GGO with solid component is more likely to be an invasive adenocarcinoma. Furthermore, immediate surgical removal of such lesions does not appear to be an unreasonable treatment, because the risk of minimally invasive surgery is not high. Therefore, immediate surgery for patients with a persistent large GGO or a part-solid GGO is a good option for the treatment of lung cancer when compared with just follow-up. Further study and additional data are needed for generalize our results.

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# Footnote

*Conflicts of Interest:* The authors have no conflicts of interest to declare.

*Ethical Statement:* This study was approved by the institutional review board of Seoul St. Mary's Hospital, The Catholic University of Korea (No. KC18RESI0114).

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