



Traditional Japanese herbal medicine rikkunshito increases food intake and plasma acylated ghrelin levels in patients with esophageal cancer treated by cisplatin-based chemotherapy

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Background: Cisplatin (CDDP) is an important chemotherapeutic drug for treating esophageal cancer that often induces nausea and vomiting. Rikkunshito (RKT), a traditional Japanese herbal medicine, can increase levels of plasma ghrelin, which is an orexigenic gut hormone that can alleviate chemotherapy-induced nausea and vomiting (CINV) and anorexia.

Methods: This prospective randomized crossover study included 20 patients with esophageal cancer who were administered with CDDP-based chemotherapy. Ten of them were assigned to group A [1st course: with RKT 7.5 g/day on days 1–14; 2nd course: without RKT (control)] and 10 were assigned to group B [1st course: without RKT (control); 2nd course: with RKT 7.5 g/day on days 22–35]. Food intake and levels of plasma acylated ghrelin (AG) were compared between the control and RKT courses.

Results: Data from 18 patients were included in this analysis, as chemotherapy was immediately stopped due to deteriorating renal function in one patient and intracerebral bleeding in another. The median rate at which food intake decreased between days 4 and 6 was considerably lower in the course with, than without RKT (2% vs. 30%; $P=0.02$). Median levels of AG significantly increased from days 3 to 8 in patients in both courses with and without RKT (9.6 to 15.7 fmol/mL, $P<0.0001$; control, 10.2 to 17.8, $P=0.0002$). The rate at which median plasma AG levels increased from days 3 to 8 tend to be higher in the RKT, than in the control course (68% vs. 48%, $P=0.08$).

Conclusions: RKT can improve CDDP-induced, delayed-onset anorexia and increase plasma AG levels among patients with esophageal cancer who undergo highly emetogenic chemotherapy (HEC).

Keywords: Adverse events; cisplatin (CDDP); emesis; esophagus; kampo; toxicity

Submitted Aug 26, 2018. Accepted for publication Mar 11, 2019.

doi: 10.21037/jtd.2019.05.67

View this article at: <http://dx.doi.org/10.21037/jtd.2019.05.67>

Introduction

Esophageal cancer is the ninth most common cancer and the sixth most common cause of cancer-related death globally (1). Approximately half of patients with esophageal cancer are diagnosed only when the disease has reached an advanced stage (1,2). The most common presentation

in patients is dysphagia that is typically accompanied by weight loss. Therefore, this type of cancer is associated with extensive treatment requirements, a considerable decline in health-related quality of life (QOL) and a poor prognosis. Multidisciplinary therapy including neoadjuvant therapy followed by surgery is the standard treatment for locally advanced esophageal cancer (1-3). Furthermore, treatment

for patients with unresectable and distant metastases aims to control cancer-related symptoms, improve QOL and prolong survival using chemotherapy, chemoradiotherapy and palliative care (1-3).

Cisplatin (CDDP) is a key drug for treating esophageal cancer, and it is frequently applied as perioperative, definitive or palliative chemotherapy and as chemoradiotherapy. Although CDDP is highly effective against tumors, it is also associated with gastrointestinal toxicity, ototoxicity, neurotoxicity, myelosuppression and nephrotoxicity (4). Extreme nausea and vomiting are the most frequent adverse side effects of CDDP [chemotherapy-induced nausea and vomiting (CINV)] (5,6). Therefore, the control of such adverse events is essential for treatment success. Combining such therapy with a 5-hydroxytryptamine 3 (5-HT₃) receptor antagonists and corticosteroids significantly improves CINV (7). In addition, a novel antiemetic neurokinin-1 (NK-1) receptor antagonist has achieved excellent control of CINV (8). Therefore, antiemetic guidelines recommend a prophylactic combination of these antiemetic drugs to target multiple molecular pathways of emesis when patients undergo highly emetogenic chemotherapy (HEC) (9,10). However, these agents do not completely resolve CINV; patients develop anorexia, nausea and vomiting during HEC and oral intake often decreases.

The traditional orexigenic Japanese herbal medicine rikkunshito (RKT) (TJ-43; Tsumura & Co., Tokyo, Japan) contains mixed raw herbs in the following ratios: *Atractylodes Lancea Rhizome* 4.0 g, *JP Ginseng* 4.0 g, *JP Pinellia Tuber* 4.0 g, *JP Poria Sclerotium* 4.0 g, *JP Jujube* 2.0 g, *JP Citrus Unshiu Peel* 2.0 g, *JP Glycyrrhiza* 1.0 g, and *JP Ginger* 0.5 g (11). RKT is prescribed to treat anorexia and upper gastrointestinal disorders. Furthermore, since RKT is an endogenous signal-enhancer of ghrelin (11,12), an orexigenic gut hormone that has various physiological activities (13-17), it can alleviate CINV (18). Thus, RKT should be beneficial for treating HEC. This prospective, randomized crossover study evaluated whether RKT can improve CINV and anorexia, and increase levels of plasma acylated ghrelin (AG), which is the active form of ghrelin in patients with esophageal cancer who undergo CDDP-based chemotherapy (12).

Methods

Eligibility

Patients were considered eligible to participate in the study

if they met the following inclusion criteria: histologically proven malignant neoplasm of the esophagus; scheduled for at least two courses of chemotherapy including CDDP without radiation; performance status of 0 or 1 according to the Eastern Cooperative Oncology Group; age, 20–80 years; adequate bone marrow function (neutrophils $\geq 1,500/\text{mm}^3$, hemoglobin ≥ 9.0 g/dL, platelets $\geq 100,000/\text{mm}^3$); adequate renal function (creatinine ≤ 1.5 mg/dL); adequate liver function [bilirubin ≤ 1.5 mg/dL, aspartate transaminase (AST) and alanine transaminase (ALT) ≤ 100 IU/L] and life expectancy ≥ 3 months at screening. Written informed consent was obtained from all included patients to participate in this study.

The exclusion criteria comprised a history of gastrectomy, poor oral intake due to esophageal stenosis, active double cancer, radiotherapy scheduled during the study period, cancerous cachexia, predicted survival ≤ 3 months, taking other Japanese traditional herbal medicines during the two weeks before recruitment, an allergy to RKT, pregnancy or breast-feeding, or deemed ineligible for this study by an investigator.

Crossover study design

This prospective randomized crossover study enrolled 20 patients with esophageal cancer who underwent CDDP-based chemotherapy between July 2013 and March 2016. Figure 1 shows the study design. Eligible patients who agreed to participate in this study were randomly assigned in a 1:1 ratio as follows. Ten were assigned to group A [1st course: RKT 7.5 g/day on days 1–14 ([intervention]; 2nd course: without RKT (control)] and 10 were assigned to group B [1st course: without RKT (control); 2nd course with RKT 7.5 g/day on days 22–35 (intervention)]. All patients received CDDP on day 1 of each course and the RKT wash-out period was one week for both courses. Standard antiemetic therapy using 5-HT₃ and NK1 receptor antagonists and steroids was provided as usual during both courses, in accordance with ASCO and NCCN guidelines (9,10). The Institutional Review Board at Hiroshima University approved this study (approval No. RIN-390).

Chemotherapy

Chemotherapy comprised two courses of either CDDP plus 5-fluorouracil (5FU) or CDDP, 5FU and

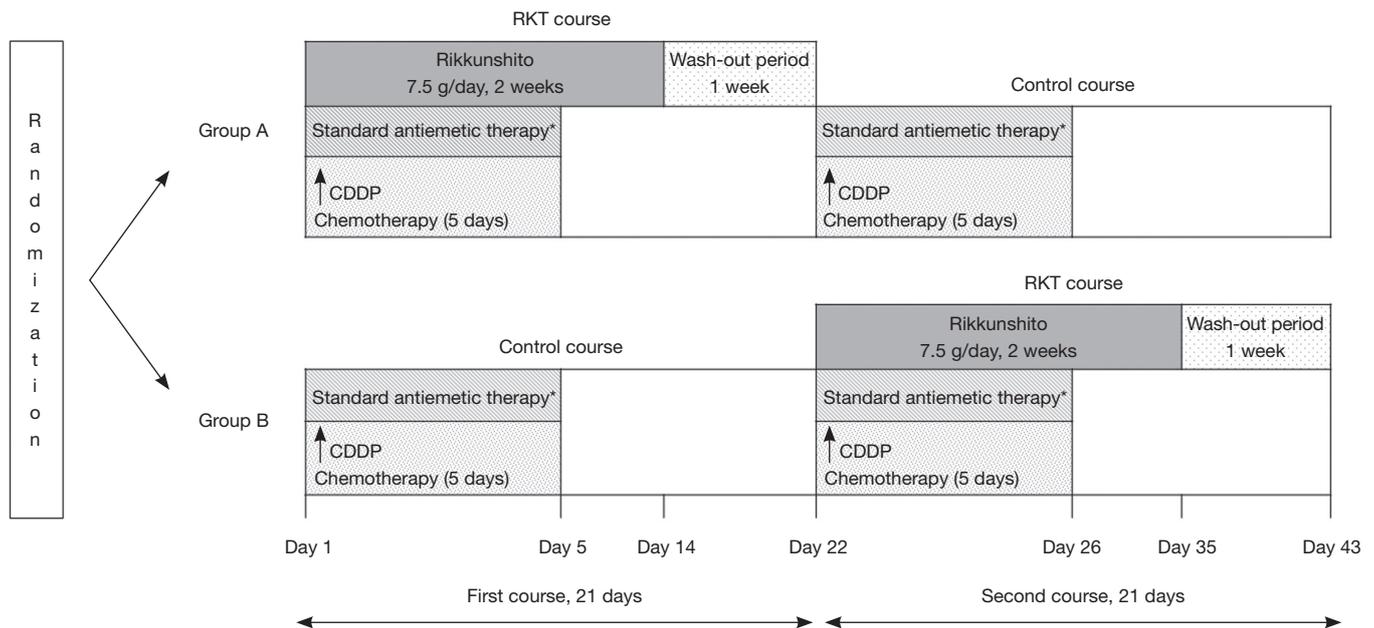


Figure 1 Crossover study protocol. Group A [1st course: with 7.5 g/day RKT on days 1–14 (intervention); 2nd course without RKT (control)]. Group B [1st course: without RKT (control); 2nd course: with RKT on days 22–35 (intervention)]. *Standard antiemetic therapy: 5-hydroxytryptamine 3 (5-HT₃) receptor antagonists, neurokinin-1 (NK1) receptor antagonists and steroids.

docetaxel (DOC) (Figure 1). The CDDP/5FU regimen consisted of 80 mg/m²/day of CDDP on days 1 and 22 and 800 mg/m²/day of 5FU on days 1–5 and 22–26. The CDDP/5FU/DOC regimen consisted of the CDDP and 5FU regimen described above plus DOC (80 mg/m²/day) on days 1 and 22. All patients were hospitalized for at least six days of chemotherapy administration.

Endpoint

The primary endpoint was the rate of change in dietary (caloric) intake between the control and RKT courses. All patients recorded their total food intake from days 1 through 6. Nurses monitored their oral intake records and a nutritionist estimated daily dietary intake as calories. Caloric intake was compared between the control and RKT courses in the crossover study while the patients were hospitalized.

Secondary endpoints were appetite scores according to a visual analogue scale (VAS), dysgeusia, nausea and vomiting evaluated according to the Common Terminology Criteria for Adverse Events (CTCAE) version 4.0, and plasma levels of AG (fmol/mL). The VAS scores for appetite were continuously scored from 0 (no appetite) to 10 (good

appetite), and each patient subjectively judged their scores on days 1–9 and 15 of each chemotherapy course.

Measurement of plasma ghrelin levels

The patients fasted from 9:00 PM on the day before the blood test. Plasma samples were collected before breakfast (between 9:00 and 10:00 AM) on days 1, 3 and 8. Blood was sampled on day 8 from outpatients if they had been discharged after chemotherapy. Blood samples were immediately placed in chilled tubes containing disodium ethylenediamine tetra-acetic acid (EDTA) and aprotinin for plasma sampling, then separated by centrifugation (3,000 rpm at 4 °C) for serum sampling. The supernatants were acidified with 10% (v/v) hydrochloric acid (1 mol/L) and stored at –50 °C. Values for AG were determined using Active Ghrelin or Desacyl Ghrelin Enzyme-Linked Immunoassay Kits (LSI Medience Corporation, Tokyo, Japan).

Statistical analysis

Categorical and continuous variables were analyzed using χ^2 and unpaired *t*-tests, respectively. All data were

Table 1 Patients' characteristics

Characteristics	All*, n=18 (%)	Group A, n=9 (%)	Group B, n=9 (%)	P**
Age (mean ± SD, y)	62.9±9.7	64.3±9.2	61.4±10.5	0.54
Sex				0.30
Male	17 (94.4)	9 (100.0)	8 (88.9)	
Female	1 (5.6)	0 (0)	1 (11.1)	
Primary tumor location				–
Ut	4 (22.2)	2 (22.2)	2 (22.2)	
Mt	8 (44.4)	4 (44.4)	4 (44.4)	
Lt, EG	6 (33.3)	3 (33.3)	3 (33.3)	
Histology				0.45
Squamous cell carcinoma	14 (77.8)	8 (88.9)	6 (66.7)	
Adenocarcinoma	3 (16.7)	1 (11.1)	2 (22.2)	
Neuroendocrine	1 (5.6)	0 (0)	1 (11.1)	
cStage***				–
IB	4 (22.2)	2 (22.2)	2 (22.2)	
II	4 (22.2)	2 (22.2)	2 (22.2)	
III	8 (44.4)	4 (44.4)	4 (44.4)	
IV	2 (11.1)	1 (11.1)	1 (11.1)	
Chemotherapy				0.60
Preoperative	13 (72.2)	6 (66.7)	7 (77.8)	
Advanced	5 (27.8)	3 (33.3)	2 (22.2)	
Regimen				0.53
CDDP/5FU	15 (83.3)	8 (88.9)	7 (77.8)	
DOC/CDDP/5FU	3 (16.7)	1 (11.1)	2 (22.2)	

*, all patients were classified as performance status 0 and could tolerate regular diet; **, group A versus B; ***, pre-treatment staging according to TNM classification, 7th edition. 5FU, 5-fluorouracil; CDDP, cisplatin; DOC, docetaxel; EG, esophagogastric junction; Lt, lower third; Mt, middle third; Ut, upper third.

statistically analyzed using SPSS software version 20.0 (IBM Corporation, Armonk, NY, USA).

Results

Patients' characteristics

Data from 18 of the patients [male, n=17; female, n=1;

mean age ± standard deviation (SD), 62.9±9.7 years] were included in this analysis, because information about caloric intake and ghrelin could not be collected from one patient with deteriorating renal function and one with intracerebral bleeding that developed during the first course of the study and chemotherapy was immediately stopped. *Table 1* shows that the clinical characteristics of the patients in groups A and B did not significantly differ because the patients were randomly allocated to each group.

Decreasing rates of food (caloric) intake

The median total daily intake of food (kcal) by hospitalized patients during the control course without RKT (n=18) was 1,785, 1,690, 1,342, 1,454, 1,454, 544 kcal on days 1, 2, 3, 4, 5 and 6, respectively. Caloric intake in the control course significantly decreased each day from starting chemotherapy. The median total daily intake of food by hospitalized patients during the intervention course with RKT (n=18) was 1,802, 1,776, 1,466, 1,419, 1,390, 1,151 kcal on days 1, 2, 3, 4, 5, 6, respectively. Caloric intake in the intervention course also significantly decreased each day from starting chemotherapy.

We then evaluated changes in caloric intake after day 3, which were associated with delayed-onset CINV. The median rates at which caloric intake decreased between days 3 and 6 was considerably lower in the intervention, than in the control course (4% vs. 49%; P=0.15, *Figure 2A*). The median rate at which food intake decreased between days 4 and 6 was significantly lower in the intervention, than the control course (2% vs. 30%; P=0.02, *Figure 2B*).

Evaluation of appetite score according VAS and adverse events

We found that daily VAS scores for appetite and the adverse events of dysgeusia, nausea and vomiting as secondary endpoints did not significantly differ between the RKT and control courses. *Table 2* shows daily VAS scores for appetite. Dysgeusia grades 0, 1 and 2 were identified in 6, 9 and 3 patients respectively, during the RKT course, and in 8, 3 and 7 patients, respectively, during the control course (P=0.09). Nausea grades 0, 1, 2 and 3 were determined in 9, 6, 3 and 0 patients, respectively, in the RKT course, and 7, 6, 4 and 1 patients, respectively, during the control course (P=0.71). None of the patients developed vomiting in both courses and no adverse events were associated with RKT throughout the study.

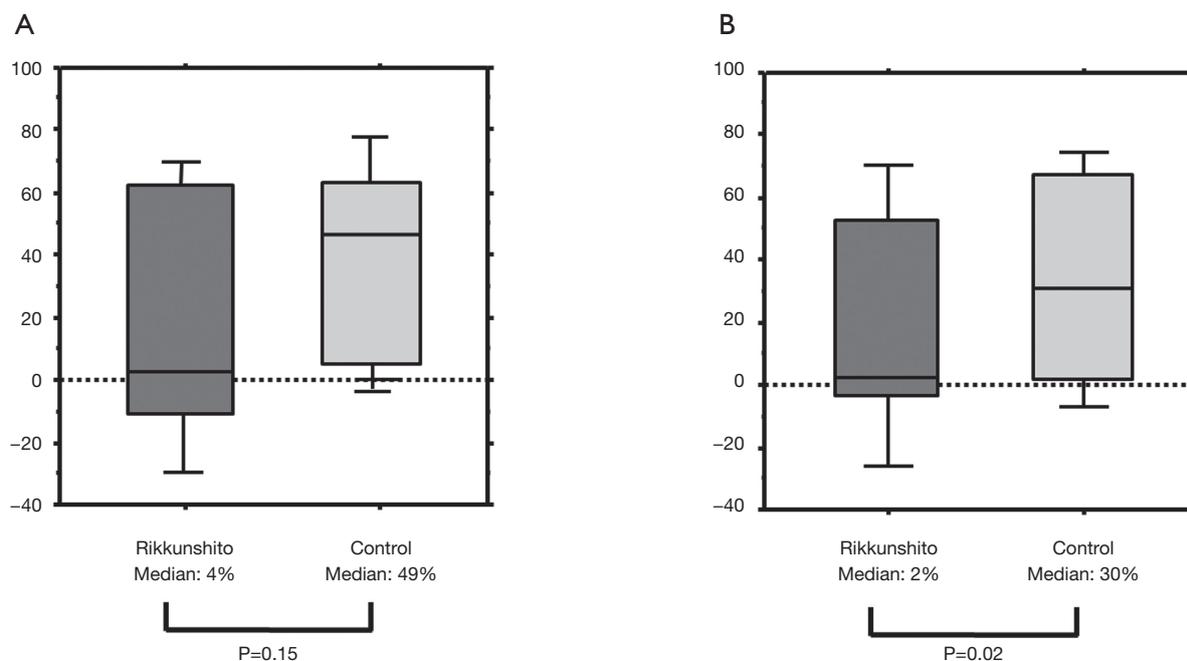


Figure 2 Rate of decrease in caloric intake. (A) Caloric intake decreased between days 3 and 6 in intervention (RKT) and control courses (4% vs. 49%; $P=0.15$); (B) caloric intake decreased between days 4 and 6 in intervention (RKT) and control courses (2% vs. 30%; $P=0.02$). Symbols are box plots representing medians, 25th and 75th percentiles, and 10th and 90th percentiles.

Plasma AG levels

Median levels of AG significantly decreased between days 1 to 3 in the RKT ($n=18$, 15.1–9.6 fmol/mL, $P=0.001$) and control ($n=18$, 14.1 to 10.2, $P=0.003$) groups, and obviously increased from days 3 to 8 in patients with both courses (RKT, 9.6–15.7 fmol/mL, $P<0.0001$; control, 10.2–17.8 fmol/mL, $P=0.0002$; *Figure 3A,B*). The rate at which median plasma AG levels increased between days 3 and 8 tended to be higher in the RKT, than in the control group (68% vs. 48%, $P=0.08$, *Figure 3C*).

Discussion

CDDP is a key drug for the perioperative, definitive or palliative chemotherapy and chemoradiotherapy of patients with esophageal cancer. Antiemetic 5-HT₃ and NK-1 receptor antagonist combined with corticosteroid is standard therapy for CINV caused by CDDP (7,8), but it can cause extreme CINV and decrease oral intake in some patients (5,6). Therefore, this randomized, cross-over prospective study aimed to determine the effects of orally administered RKT, which is a traditional Japanese herbal medicine with orexigenic properties. The cross-over design

allowed comparisons and clarification of the effects of control and RKT intervention courses in the same patients and in relatively small samples. We found that RKT helped to improve caloric intake and increase plasma AG levels in patients with esophageal cancer during the delayed phase at three days from starting CDDP-based chemotherapy.

Nausea and vomiting induced by chemotherapy are deleterious side effects that seriously decrease the QOL of patients. They can develop during acute or delayed phases (0–24 and 24–120 hours after chemotherapy, respectively) and result in dehydration, malnutrition, and consequential poor patient compliance with treatment (19,20). Anorexia, similar to the onset of vomiting and nausea, is caused by the action of large amounts of 5-HT release on tissue 5-HT receptors resulting from CDDP administration. Among 5-HT receptors, the activation of 5-HT_{2b} and 5-HT_{2c} plays a major role in CDDP-induced anorexia. The gastric and hypothalamic secretions of appetite-stimulating hormone ghrelin are reduced when these two receptors are activated. Therefore, evidence indicates that exogenous ghrelin, synthetic ghrelin agonists, and the endogenous ghrelin signal-enhancer RKT should play significant roles in the clinical treatment and prevention of anorexia (6). The

Table 2 Appetite scores according to a visual analogue scale*

Variable	Day 1	P**	Day 2	P**	Day 3	P**	Day 4	P**	Day 5	P**	Day 6	P**	Day 7	P**	Day 8	P**	Day 9	P**	Day 15	P**
RKT course	8.5±2.5	0.21	7.5±2.8	0.44	5.2±3.2	0.16	5.6±3.1	0.52	5.9±3.4	0.36	5.5±3.5	0.96	5.5±3.8	0.96	6.1±3.4	0.70	6.0±3.8	0.81	8.7±1.5	0.27
Control course	7.5±2.8		6.9±3.5		6.2±3.7		5.1±3.5		5.1±3.6		5.4±3.2		5.6±3.8		5.6±4.0		6.0±3.9		7.9±2.7	

*, the visual analog scales scores for appetite were continuously scored from 0 (no appetite) to 10 (good appetite), and each patient subjectively judged their scores. Appetite scores are shown as mean ± SD; **, all P values: RKT versus. Control course. RKT, rikkunshito.

ability of RKT to prevent CINV and anorexia caused by CDDP-based chemotherapy has been evaluated in patients with various types of cancer (21-24).

RKT is a Japanese traditional herbal medicine (Kampo) that is extracted with hot water from a mixture of eight crude components. It improves symptoms of functional dyspepsia, gastroesophageal reflux disease, anorexia and vomiting (25). The mechanism of action of RKT is a significant increase of plasma ghrelin levels and the upregulation of ghrelin mRNA expression in healthy human volunteers and mice (11). Ghrelin, a 28-amino acid peptide discovered in 1999 by Kojima *et al.* (17), is an endogenous ligand of the growth hormone secretagogue receptor (GSH-R). Ghrelin is structurally similar to motilin (26) and it is an appetite stimulatory signal from the stomach that triggers a positive energy balance through a central mechanism involving hypothalamic neuropeptides (27). Activated ghrelin exerts powerful appetite-stimulating effects that are mediated by GHS-R type 1a (17). In contrast, desacyl-ghrelin is believed to work as a potential modulator of food intake by opposing the effects of ghrelin independently of GSH-R1a (28,29). RKT and its component 10-gingerol, increase plasma AG levels by inhibiting a circulating ghrelin degrading enzyme and enhancing acyl- to desacyl-ghrelin ratios in rats treated with CDDP (12).

RKT can help to improve weight loss in patients with esophageal cancer by increasing plasma AG levels after esophagectomy (30). One study of the effects of RKT on vomiting, nausea, anorexia and QOL scores in patients under CDDP-based chemotherapy (31) did not evaluate relationships among RKT, actual food intake and plasma AG levels. To our knowledge, the effects of RKT on daily caloric intake and plasma AG values have never been measured in patients with esophageal cancer undergoing HEC. We considered that understanding these effects of RKT would have significance for patients with esophageal cancer under CDDP-based chemotherapy.

We evaluated VAS scores for appetite and the adverse events of dysgeusia, nausea and vomiting according to CTCAE version 4.0 as secondary endpoints. The results did not significantly differ between the control and RKT courses. None of the patients developed vomiting during any control and RKT courses, and only one patient developed grade 3 nausea in the control course. These findings indicated that standard antiemetic therapy using 5-HT₃ and NK1 receptor antagonists and steroids is

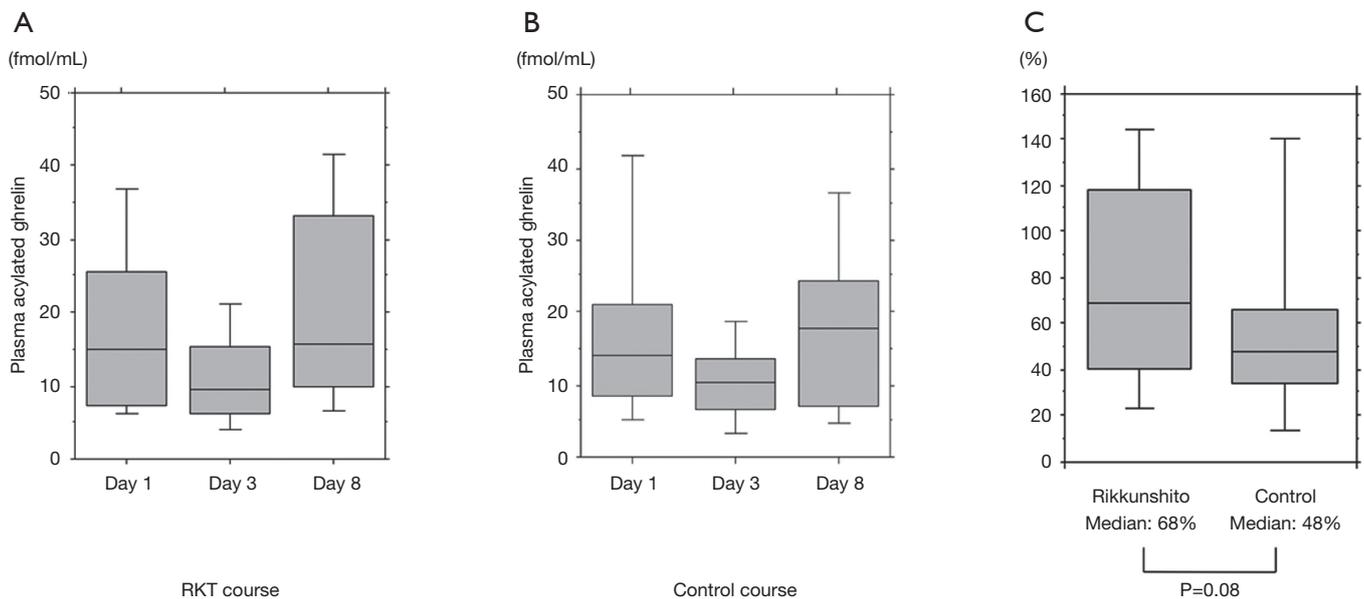


Figure 3 Plasma acylated ghrelin values. (A) Median plasma acylated ghrelin values on days 1, 3 and 8 in intervention (RKT) course. Days 1, 3 and 8: 15.1, 9.6 and 15.7 fmol/mL, respectively; (B) plasma acylated ghrelin levels on days 1, 3 and 8 in control course. Days 1, 3 and 8; median 14.1, 10.2 and 17.8 fmol/mL, respectively; (C) rates of increase in plasma acylated ghrelin levels from days 3 to 8 in intervention (RKT) and control group (68% vs. 48%, $P=0.08$). Symbols are box plots representing medians, 25th and 75th percentiles, and 10th and 90th percentiles.

effective against CINV. However, the caloric intake during both courses with and without RKT significantly decreased daily after starting chemotherapy. Therefore, standard antiemetic therapy alone might not be sufficient to encourage increased oral intake during HEC. The median rate at which food intake decreased between days 4 and 6 was significantly lower in the RKT, than the control course. Therefore, RKT might be able to improve the decrease in calorie intake, especially when associated with delayed-onset anorexia during chemotherapy. Adding RKT to standard antiemetic therapy enabled further improvement in the oral intake of patients with esophageal cancer who were undergoing HEC.

Levels of AG significantly increased between days 3 and 8 in the RKT, compared with the control course, even though they significantly decreased from days 1–3 in both courses. RKT might take several days to exert orexigenic effects and increase plasma AG level. Furthermore, the present findings indicated a close association between RKT and AG because RKT increased oral intake, perhaps by increasing plasma AG levels. This is particularly beneficial during the delayed phase of chemotherapy-induced anorexia.

We also similarly evaluated the effects of RKT on oral intake and levels of plasma AG in 40 patients with lung cancer under CDDP-based chemotherapy, and plan to publish the results, which were similar to those of the present study of esophageal cancers. RKT also improved caloric intake and increase plasma AG levels during the delayed phase of CDDP-induced anorexia in patients with lung cancer. These results suggest that RKT could provide reliable supportive care during HEC for patients with various types of cancer.

In conclusion, RKT improved a delayed-onset decrease in oral intake and increased plasma AG levels among patients with esophageal cancer undergoing highly emetogenic CDDP-based chemotherapy. No adverse events were associated with RKT throughout the study. Thus, RKT should become be an important agent with which to improve the QOL of many patients with esophageal cancer who undergo chemotherapy.

Acknowledgments

None.

Footnote

Conflicts of Interest: The authors have no conflicts of interest to declare.

Ethical Statement: The study was approved by the Institutional Review Board at Hiroshima University (approval No. RIN-390).

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Cite this article as: Hamai Y, Yoshiya T, Hihara J, Emi M, Furukawa T, Yamakita I, Ibuki Y, Okada M. Traditional Japanese herbal medicine rikkunshito increases food intake and plasma acylated ghrelin levels in patients with esophageal cancer treated by cisplatin-based chemotherapy. *J Thorac Dis* 2019;11(6):2470-2478. doi: 10.21037/jtd.2019.05.67