

# Treating sleep disorders to improve blood pressure control and cardiovascular prevention: a dream come true?—a narrative review

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**Abstract:** Hypertension is one of the primary risk factors for heart disease and stroke, the leading causes of death worldwide. Current evidence supports the treatment of high blood pressure (BP) values in order to obtain a substantial reduction of cardiovascular burden. Sleep plays an important role in maintaining nocturnal BP control and nocturnal hypertension which, in turn, can be affected by the presence of sleep disorders. Whilst respiratory disturbances have been extensively studied and their causal role in the development of nocturnal hypertension has been demonstrated in both cross sectional and prospective studies, less is known about the impact of other sleep disorders such as insomnia. In this review, we aim to describe the role of sleep disorders in the development of nocturnal and diurnal hypertension. Furthermore, we aim to discuss the potential impact of the treatment of such sleep disorders on BP values as an adjunct treatment for patients with hypertension.

Keywords: Sleep disorders; hypertension; nocturnal blood pressure; therapy

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#### Introduction

Hypertension is the biggest single contributor to the global burden of cardiovascular disease and to global mortality (1). Interventions aimed at improving blood pressure (BP) control may thus exert substantial beneficial effects on the prevention of adverse cardiovascular events. Sleep plays an important role in maintaining nocturnal BP control through autonomic control of heart rate and peripheral vascular resistance (2,3). In this review, we aim to discuss the evidence that respiratory and non-respiratory sleep disorders may compromise BP control also during daytime, leading to the development of arterial hypertension. Furthermore, we aim to discuss the most recent evidence supporting the treatment of sleep disorders as an adjunct treatment for BP control. The review was based on PubMed-indexed studies published in English.

We present the following article in accordance with the Narrative Review Checklist (available at http://dx.doi. org/10.21037/jtd-cus-2020-014).

#### **Respiratory sleep disorders and BP**

Sleep-disordered breathing (SDB) has been extensively investigated with regard to its cardiovascular implications S226

since the first reports of the association between snoring and hypertension (4,5). Several research studies have now clarified how respiratory events during sleep can raise BP. However, such pathophysiological processes have been investigated and confirmed mainly for obstructive sleep apnoea (OSA), and less is known about central sleep apnoea (CSA) and other forms of SDB.

#### **OS**A

OSA is the most common form of SDB in developed countries and is associated with excessive daytime sleepiness and reduction of life quality (6,7). Furthermore, OSA has been included as one of the causes of secondary hypertension in the most recent guidelines of the European Society of Cardiology (8) and is often associated to 'difficult-to-treat' high BP (9).

The pathophysiology of OSA is characterised by recurrent partial (hypopnoea) or complete (apnoea) episodes of upper airway collapse for at least 10 seconds associated with persistent breathing efforts (10). Such repetitive cycles of obstructive events lead to recurrent cycles of arterial hypoxia, hypercapnia, and re-oxygenation, frequent arousals with sleep fragmentation, and changes in the intrathoracic pressure (11). As a consequence, several effects related to increased BP values have been described: (I) increase of systemic inflammation, hypercoagulability, and oxidative stress, which result in higher levels of endothelin-1 and decreased nitric oxide production in endothelial cells, with increased arterial peripheral resistance and arterial stiffness (12); (II) sympathetic nervous system activation with higher secretion of plasma catecholamines that leads to increased cardiac output and peripheral vasoconstriction (13); (III) glucose metabolism dysfunction (14,15) and deregulation of hypothalamic pituitary adrenal axis with increased plasma cortisol (16); (IV) higher renin secretion induced by efferent renal sympathetic nerve activation along with renin-angiotensin-aldosterone system overactivity and inappropriate sodium and fluid retention (17,18).

These pathophysiological observations have been confirmed in epidemiological studies where OSAinduced high BP has been associated with developing and maintaining systemic arterial hypertension and cardiovascular comorbidities (5,18,19). Moreover, evidence indicates a dose-effect relationship between the severity of OSA and the degree of hypertension. In the Wisconsin Sleep Cohort Study (WSCS), after adjusting for demographic and anthropometric variables, alcohol intake, and smoking, the odds ratio for hypertension comparing the highest category of apnoea-hypopnea index (AHI) with the lowest one ( $\geq$ 30/h vs. <1.5/h) was 1.37 (20). Interestingly, there are data suggesting that the link between OSA and hypertension is driven by the former during rapid-eye-movement (REM) sleep, mainly in the second half of the sleep period. In the WSCS, fully adjusted models demonstrated significant dose-relationships between REM sleep AHI and prevalent hypertension, whereas non-REM sleep AHI was not a significant predictor of hypertension (21,22).

# CSA

CSA is uncommon in the general population compared to OSA (23) but is common in specific subpopulations of patients, including those with heart failure (24,25), stroke (26), and during opioids administration (27). CSA can be also diagnosed in other conditions, or can be defined as idiopathic, according to the International Classification of Sleep Disorders (3rd edition) (ICSD-3) (28). Furthermore, growing evidence (29,30) suggests an overlap between CSA and OSA; more exactly, central events may represent instability of the breathing pattern, and this instability may provoke obstructive events, as suggested also by higher prevalence of CSA in males than in females (31,32).

CSA is characterized by repeated episodes of airflow reduction or cessation ( $\geq$ 30% or  $\geq$ 90% of pre-event baseline using nasal pressure, respectively) for at least 10 seconds but without respiratory effort, due to a transitory decrease or pause in central ventilatory drive during sleep (29). The polysomnographic criterion defining CSA is an AHI >5 events per hour of sleep, with more than 50% of apnoeas/ hypopnoeas being central in nature (28).

According to underlying pathological mechanisms and depending on  $PaCO_2$  levels, CSA can be classified into hypercapnic and normocapnic/hypocapnic forms, whereas, based on the respiratory pattern, it can be classified into cyclic/periodic and sustained or irregular types (30). These classifications should be considered for both identification of the underlying disease and decision on the appropriate ventilatory support (26,29). Regardless of its complex pathophysiology, CSA triggers a cascade of acute physiological consequences, including arterial oxygen desaturation, which is usually less severe compared to OSA and may be accompanied by hypercapnia, post-apnoeic arousals from sleep and sleep fragmentation, increases in cardiac filling pressure and sympathetic activation, which

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can potentially aggravate the underlying disease and lead to an increased risk of cardiovascular events and mortality (26,33,34). A close and potentially bidirectional relationship between CSA and heart failure (35,36), stroke (26,37), and atrial fibrillation (38) has been extensively established. Conversely, the specific effect of CSA and its treatment on arterial hypertension is incompletely explored. A significant increase of CSA and BP in normotensive and hypertensive patients with increasing altitude is well-documented (39-41). Recently, the HIGHCARE-Sestriere study (42) aimed to evaluate the effects of acute exposure of healthy volunteers to moderate altitude on BP values and on the frequency and severity of SDB. At altitude, both daytime and nighttime systolic and diastolic BP were significantly higher compared to sea level, and so was the AHI due to central apnoeic or hypopnoeic episodes. However, no association was found between BP changes and AHI. Previous studies performed at higher altitude (43,44) did not detect a direct relationship between greater CSA severity and higher BP values, either. Given the complex pathophysiology of CSA, it is unclear whether these conclusions may be translated to CSA in patients with heart failure at sea level. Moreover, there is evidence that at least in children, CSA is associated with acute increases in BP and heart rate (45). Nevertheless, it seems fair to conclude that hypertension is more tightly associated with OSA rather than with CSA.

#### Non-respiratory sleep disorders and BP

As opposed to SDB, whose association with hypertension has been extensively studied, less consistent data are available on non-respiratory sleep alterations and sleeprelated disorders despite their widespread diffusion in the general population. Chronic sleep restriction with short sleep duration, insomnia, and circadian rhythm sleep disorders are among the most prevalent sleep alterations. Sleep alterations are also common in restless legs syndrome (RLS), which is a highly prevalent sensorimotor neurological disorder.

#### Chronic sleep restriction with short sleep duration

Chronic sleep restriction leading to short sleep duration (<7 hours per night) (46) is an emerging problem worldwide and it has been estimated to affect one third of adults in the United States (47). Information on the BP effects of short sleep duration was provided by the CARDIA sleep study, which enrolled a cohort of 578 subjects who underwent

an objective assessment of BP and sleep duration with three consecutive days of actigraphy. The CARDIA sleep study showed that short sleep duration was associated with significantly higher values of systolic and diastolic BP crosssectionally and predicted adverse changes in systolic and diastolic BP over 5 years. Strikingly, each hour of reduction in sleep duration was associated with a 37% increase in the odds of incident hypertension (48). More recent metaanalyses supported a link between short sleep duration and hypertension (49-54). Nonetheless, the association is still being debated, as shown by a recent cross-sectional study including 2,148 Hispanic subjects, the Sueño Sleep Ancillary Study of the Hispanic Community Health Study/Study of Latinos (HCHS/SOL), which did not confirm the association between sleep duration, measured by means of one week actigraphy, and self-reported hypertension diagnosis (55). In conclusion, short sleep duration appears to be associated with a higher BP, although there are inconsistencies among published studies. These inconsistencies may result from multiple factors including the self-reported vs. objective assessment of sleep duration (56) and hypertension, ethnicity, workday vs. weekend sleep duration, and individual differences in sleep need (46).

#### Insomnia

Insomnia is characterised by difficulties in initiating or maintaining sleep, or early awakening associated with a decreased wakefulness functioning (28). A large part of the population, e.g., 20–30%, reports insomnia symptoms (28,57), and chronic insomnia disorder affects 6–19% of the general population (58).

The association between insomnia and hypertension is still controversial, as recently reviewed (59). When insomnia definition was based on diagnostic criteria (28), insomnia was found to increase the risk of hypertension, defined as medication use or physician diagnosis, by 21-24% (60,61) and to increase BP values (62,63). However, other studies did not confirm this association (64-71). Similarly, studies assessing insomnia with objective short sleep duration reported an association with hypertension (72-74), with few exceptions (71). In conclusion, the link between insomnia and hypertension is still controversial, even when the former is accompanied by objective short sleep duration, suggesting either that the link is not robust or that it is strongly modulated by confounding factors that have been insufficiently taken into account in published work.

Sleep disorder	Prevalence	Hypertension prevalence odds ratio [95% confidence interval (CI)]
Obstructive sleep apnoea syndrome	3–7% of middle-aged men; 2–5% of middle-aged women	1.37 (1.03–1.83) (20)
Central sleep apnoea syndrome	Rare in the general population.	No increased odds of hypertension reported
Chronic insomnia	6–19% of the general population	3.5 (1.6–7.9) for 5–6 h of sleep duration; 5.1 (2.2–11.8) for $\leq$ 5 h of sleep duration (72)
Restless legs syndrome	2–5% in the adult population	1.36 (1.18–1.57) (85-87)
Shift work sleep disorder	1-4% of the active working population	1.10 (1.00–1.20) (77)

Table 1 Prevalence of hypertension in differ	rent sleep disorders
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#### Shift work sleep disorder

Shift work sleep disorder affects up to one third of shift workers, also depending on the shifts schedule and the definition employed (75,76). Shift work sleep disorder is characterized by insomnia symptoms or excessive sleepiness occurring transiently in relation to work schedules (75). The association between shift work sleep disorder and hypertension is still unclear. A recent metaanalysis published in 2017 including 27 studies and 394,793 participants found a significant association between rotating shift work and hypertension, but no significant association between night shift status and hypertension (77). Significant associations between night shifts and hypertension have been reported by more recent studies on subjects with rotational shifts, short shift intervals, and consecutive night shifts (78-80).

#### RLS

The RLS is a neurological sensorimotor disorder with a prevalence that ranges between 5.0% and 14.3% in the adult population (81). RLS is characterized by uncomfortable lower limbs sensations that usually occur before sleep onset and are relieved by legs movement (28,82). RLS is commonly associated with periodic limb movements during sleep (PLMS) (83) and with sleep disturbances characterized by wakefulness that disrupts sleep onset and/or maintenance (82). The evidence concerning the link between RLS and hypertension has been considered as questionable or insufficient by a recent systematic review on the association between RLS and major diseases (84). Nevertheless, a retrospective study including 12,128 subjects diagnosed with RLS matched to more than 700,000 control subjects showed

that primary as well as secondary RLS were associated with a 19–28% raised risk of incident hypertension (85). Moreover, a recent meta-analysis including 9 crosssectional studies with 102,408 RLS subjects found a 36% higher prevalence of hypertension in affected subjects compared to controls (86). In a retrospective study of 673 treated hypertensive patients, a frequency of RLS >2 episodes per week combined with PLMS  $\geq$ 26/h doubled the odds of resistant hypertension (87). In conclusion, although the association of RLS and hypertension has been supported by multiple studies, it still represents a topic of debate, at least in part due to imperfect RLS diagnosis accuracy, confounding effects of comorbidities, and lack of longitudinal prospective data.

#### Impact of sleep disorder treatment on BP

The findings of the studies reviewed in the previous sections indicate that OSA is the sleep disorder most robustly associated with the development of hypertension (Table 1). Convincing evidence has shown that treating OSA leads to a significant although modest decrease in BP. Among the treatments for OSA, those that have been investigated with regard to their effect on BP are continuous positive airway pressure (CPAP) and mandibular advancement devices (MAD). Recent meta-analyses indicate a significant but small reduction of BP values in patients with OSA treated with CPAP (21,88,89), with stronger effects on patients with resistant hypertension (88,89) and on nocturnal BP values (88,90). This is of interest because the role of nocturnal BP in the development of cardiovascular disease and its association with mortality and cardiovascular morbidity in both hypertensive patients and the general population have been confirmed in several longitudinal and populationbased studies (2,91,92). In addition to higher baseline BP values, excessive daytime sleepiness and, particularly, greater treatment compliance are also associated with greater beneficial effects of CPAP treatment on BP (93). Insufficient treatment of OSA during REM sleep in the second half of the night may explain, at least in part, the key impact of treatment compliance on the CPAP effectiveness in decreasing BP values (21).

Oral devices are suggested for the treatment of mild-tomoderate OSA and their effect on BP values is promising and similar to that achieved with CPAP (93,94). Recently, a comprehensive meta-analysis (95) including data from 68 randomized controlled trials that compared CPAP or MADs with either passive or active treatment showed that, when all the studies were pooled together, CPAP was associated with a mean BP reduction of -2.09 (95% CI, -2.78 to -1.40) mmHg for systolic BP and -1.92 (95% CI -2.40 to -1.43) mmHg for diastolic BP, whereas corresponding figures for MADs were -1.27 (95% CI, -2.34 to -0.20) mmHg for systolic BP and -1.11 (95% CI, -1.82 to -0.41) mmHg for diastolic BP. A subgroup analysis showed that patients with more elevated BP values pre-treatment, patients aged <60 years and patients with severe oxygen desaturations were more likely to exhibit a more pronounced BPlowering effect in response to CPAP treatment. The available data were considered insufficient to perform a corresponding stratified analysis for trials with MADs. Nevertheless, this study suggested that an accurate phenotypisation of OSA patients before treatment can help understand who can benefit the most in terms of BP reduction and therefore of cardiovascular protection. Conversely, current guidelines and recommendations support the treatment of OSA with the aim of reducing BP, but do not define the phenotypes of the patients who would benefit most from this approach (10).

Other strategies for OSA treatment, such as invasive and non-invasive electrical stimulation of the upper airways, are emerging, but their role on BP control has been evaluated in only few studies, with encouraging results (96). Regarding medical therapy, the most promising class of antihypertensive drugs able to reduce both OSA severity and BP values are diuretics, especially mineralocorticoid receptors antagonists, whose beneficial effects in this setting are thought to result from lowering peripheral oedema and volume overload (97). Other classes of antihypertensive medications have been tested showing convincing results. Eskandari and co-authors showed that acetazolamide alone or in association with CPAP reduced BP values, vascular stiffness, and SDB in patients with OSA and comorbid hypertension (98). Moreover, Pépin and co-authors demonstrated, in a randomised controlled trial, that in patients with OSA, the treatment with valsartan allowed to obtain a greater BP reduction compared to CPAP alone not only during daytime but also during nighttime (99).

Nevertheless, the effect of these drugs on hypertension management in patients with OSA needs to be confirmed with multi-centre, large-sample studies with long follow-up periods. With regard to non-respiratory sleep alterations, a systematic review of the effects of sleep extension on cardiometabolic risk factors (100) listed only three smallscale studies that measured BP (101-103), none of which found a significant beneficial effect. On the other hand, a recent feasibility study that applied a technology-assisted behaviour intervention to extend sleep in adults with short sleep duration and prehypertension or stage 1 hypertension found significant BP reductions with treatment (104). Data on the beneficial effect of insomnia and RLS treatment on BP are also scarce. Huang et al. (105) showed a significant improvement of nocturnal BP values and of BP dipping profile in a group of BP non-dippers with poor sleep quality treated with zolpidem for 30 days. However, in this study, insomnia was not diagnosed according to AASM criteria, as patients were assessed with the Pittsburgh Sleep Quality Index only. Li and co-authors (106) assessed 556 patients with diagnosed insomnia and hypertension in a randomized, double-blinded and placebo-controlled clinical trial: patients assigned to estazolam, a triazolobenzodiazepine drug, achieved a better BP control compared to the control group (74.8% vs. 50.5%, P<0.001). On the other hand, a recent study found that suvorexant, a dual orexin receptor antagonist, had no overall effect on BP in patients with insomnia and treated hypertension (107). In patients with moderate to severe RLS, rotigotine significantly reduced PLMS-associated nocturnal BP elevations compared with placebo (108). Finally, studies about the effects of therapy of shift work sleep disorder on BP and hypertension are scarce. A recent Cochrane systematic review of pharmacological interventions for sleepiness and sleep disturbances caused by shift work highlighted the need for more and better quality trials on the beneficial and adverse effects and costs of all pharmacological agents that induce sleep or promote alertness in shift workers, both with and without a diagnosis of shift work sleep disorder. The review also noted that clinically relevant rises in BP were reported in 18% of participants treated with armodafinil (109).

# Conclusions

The association between sleep disturbances and increased BP has been extensively studied both in respiratory and nonrespiratory sleep-related disorders. Convincing evidence for this association is available for OSA. There is also evidence suggestive of an association for chronic sleep restriction with short sleep duration, insomnia, and RLS, whereas evidence is still scarce for CSA and shift work sleep disorder. The treatment of OSA with CPAP and MADs has now been confirmed to be effective in reducing BP, but more research is needed to understand effect predictors and, most importantly, to identify which patient phenotypes can benefit the most in terms of BP reduction with the aim to improve cardiovascular prevention. There is also limited evidence suggesting beneficial effects of insomnia and RLS therapy on BP. There is, therefore, an unmet need for well-designed, large-scale studies with sufficiently long follow-up to understand whether treatment of respiratory and non-respiratory sleep disorders can represent a new frontier for hypertension management and cardiovascular prevention. Importantly, these studies should include a thorough assessment of nocturnal BP, which plays a critical role in determining cardiovascular risk (2,91,92), and which is more directly affected by sleep disorder therapy than daytime BP (88,90,105,108). This "kill two birds with one stone" approach could allow clinicians to better control at least nocturnal BP while treating the underlining sleep disorder, thus ameliorating sleep quality, quality of life and cardiovascular outcomes. The mounting evidence supporting the association between sleep disorders and hypertension poses the question whether it is worth considering the treatment of sleep disorders as an adjunct or, in selected cases, as an alternative to the current plethora of antihypertensive medications.

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