

## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Instructions

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#### 2. The work under consideration for publication.

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#### 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

#### 5. Relationships not covered above.

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name)  
Seong Yong

2. Surname (Last Name)  
Park

3. Date  
17-October-2019

4. Are you the corresponding author?

☐ Yes

☒ No

Corresponding Author's Name  
Dae Joon Kim

5. Manuscript Title

The feasibility and safety of radical esophagectomy in patients receiving neoadjuvant chemoradiotherapy with immune checkpoint inhibitors for esophageal squamous cell carcinoma

6. Manuscript Identifying Number (if you know it)

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Are there any relevant conflicts of interest? ☐ Yes ☒ No

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Dr. Park has nothing to disclose.

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1. Given Name (First Name)  
Min Hee

2. Surname (Last Name)  
Hong

3. Date  
17-October-2019

4. Are you the corresponding author?

☐ Yes ☒ No

Corresponding Author's Name  
Dae Joon Kim

5. Manuscript Title

The feasibility and safety of radical esophagectomy in patients receiving neoadjuvant chemoradiotherapy with immune checkpoint inhibitors for esophageal squamous cell carcinoma

6. Manuscript Identifying Number (if you know it)

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Dr. Hong has nothing to disclose.

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1. Given Name (First Name)  
Hye Ryun

2. Surname (Last Name)  
Kim

3. Date  
17-October-2019

4. Are you the corresponding author?

☐ Yes ☒ No

Corresponding Author's Name  
Dae Joon Kim

5. Manuscript Title

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1. Given Name (First Name)  
Chang Geol

2. Surname (Last Name)  
Lee

3. Date  
17-October-2019

4. Are you the corresponding author?

☐ Yes ☒ No

Corresponding Author's Name  
Dae Joon Kim

5. Manuscript Title

The feasibility and safety of radical esophagectomy in patients receiving neoadjuvant chemoradiotherapy with immune checkpoint inhibitors for esophageal squamous cell carcinoma

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1. Given Name (First Name)

Jae Ho

2. Surname (Last Name)

Cho

3. Date

17-October-2019

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☐ Yes ☒ No

Corresponding Author's Name

Dae Joon Kim

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Dr. Cho has nothing to disclose.

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#### 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

#### 5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

#### Definitions.

**Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

**Grant:** A grant from an entity, generally [but not always] paid to your organization

**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

**Other:** Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued

**Issued:** The patent has been issued by the agency

**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent



## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name) Byoung Chul	2. Surname (Last Name) Cho	3. Date 17-October-2019
4. Are you the corresponding author? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Corresponding Author's Name Dae Joon Kim
5. Manuscript Title The feasibility and safety of radical esophagectomy in patients receiving neoadjuvant chemoradiotherapy with immune checkpoint inhibitors for esophageal squamous cell carcinoma		
6. Manuscript Identifying Number (if you know it)  		

### Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? ☐ Yes ☒ No

### Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

Are there any relevant conflicts of interest? ☐ Yes ☒ No

### Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☐ Yes ☒ No

## ICMJE Form for Disclosure of Potential Conflicts of Interest

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### Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

- ☐ Yes, the following relationships/conditions/circumstances are present (explain below):
- ☒ No other relationships/conditions/circumstances that present a potential conflict of interest

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

### Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Cho has nothing to disclose.

### Evaluation and Feedback

Please visit <http://www.icmje.org/cgi-bin/feedback> to provide feedback on your experience with completing this form.

## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

#### 1. Identifying information.

#### 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

#### 3. Relevant financial activities outside the submitted work.

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name)

Dae Joon

2. Surname (Last Name)

Kim

3. Date

17-October-2019

4. Are you the corresponding author?

☒ Yes ☐ No

5. Manuscript Title

The feasibility and safety of radical esophagectomy in patients receiving neoadjuvant chemoradiotherapy with immune checkpoint inhibitors for esophageal squamous cell carcinoma

6. Manuscript Identifying Number (if you know it)

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Are there any relevant conflicts of interest? ☐ Yes ☒ No

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