Surgery and pleuro-pulmonary tuberculosis: a scientific literature review

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Abstract: Tuberculosis (TB) is still a major public health concern, mostly affecting resource-constrained settings and marginalized populations. The fight against the disease is hindered by the growing emergence of drug-resistant forms whose management can be rather challenging. Surgery may play an important role to support diagnosis and treatment of the most complex cases and improve their therapeutic outcome. We conducted a non-systematic review of the literature based on relevant keywords through PubMed database. Papers in English and Russian were included. The search was focused on five main areas of intervention as follows: (I) diagnosis of complicated cases; (II) elimination of contagious persisting cavities, despite appropriate chemotherapy; (III) treatment of destroyed lung; (V) resection of tuberculomas; (VI) treatment of tuberculous pleural empyema. Although specific practical guidelines concerning surgical indications and approaches are currently unavailable, a summary of the evidence emerged from the scientific literature was elaborated to help the clinician in the management of severely compromised TB patients. The decision to proceed to surgery is usually individualized and a careful assessment of the patient's risk profile is always recommended before performing any procedure in addition to appropriate chemotherapy.

Keywords: Tuberculosis (TB); surgical treatment; lobectomy; pneumonectomy; tuberculoma; review

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Introduction

Tuberculosis (TB) is considered a leading cause of morbidity and mortality worldwide and, therefore, is a public health priority, especially in low-and middleincome countries, where TB incidence is estimated to be the highest. Over 9.6 million new TB cases and 1.5 million deaths are estimated to have occurred in 2014, mostly in Africa and South-East Asia (1).

People living with HIV (PLHIV) are disproportionately affected by TB; however, other medical conditions have been associated with an increased risk of TB (2-5).

A significant decline in TB incidence has been observed in high income countries since the second half of the 20^{th}



Figure 1 Modification of extra-pleural pneumolysis with plombage. Methyl methacrylate spheres are collected within a polypropylene mesh, which is placed in the newly created extra-pleural space. As a detail, at the bottom, the postoperative chest radiography.

century, following the improvement of socio-economic conditions and the introduction of the first anti-TB drugs (6). When rifampicin began to be routinely used in the 1960s, the therapeutic approach to TB was revolutionized, making chemotherapy the first therapeutic option and surgical treatment a less convenient approach (7). Current drug regimens achieve a cure rate >85%, with poorer outcomes in geographical areas where multidrug-resistant (MDR) strains are prevalent. MDR-TB, whose estimated incidence was approximately 480,000 cases in 2014, is a TB form caused by Mycobacterium tuberculosis strains resistant to at least rifampicin and isoniazid (1). However, only one third of the estimated cases are actually diagnosed and a quarter receives a second-line treatment (8). MDR-TB is a man-made phenomenon, resulting from clinical mismanagement of TB cases (e.g., inappropriate regimens and/or drug dosages, insufficient duration of treatment and poor adherence) (9). The World Health Organization (WHO) guidelines on MDR-TB management suggest a combination of five to seven second-line drugs, whose effectiveness and safety profiles are currently sub-optimal (10). Although clinical observational and experimental research on repurposed (carbapenems, linezolid, mefloquine, etc.) and new (bedaquiline and delamanid) drugs has showed interesting findings, a lot of work is still needed to assess the best options to treat MDR-TB (11-15). In this critical global scenario with few effective drug options, TB surgery could be crucial to clinically and bacteriologically address severe forms of pleuro-pulmonary MDR-TB in combination with appropriate chemotherapy (16,17). The need for surgery is

estimated to have increased from 5% to 15% over the last twenty years due to the growing emergence of MDR-TB (18). Old and new surgical techniques are still in use, often in absence of a clear evidence on their effectiveness (*Figure 1*).

Aim of this review is to describe past and present surgical practices supporting the clinician to better manage diagnosis and treatment of complex TB cases.

Methods

We carried out a non-systematic literature review based on a PubMed search using specific key-words, including various combinations of TB, surgery, MDR-TB. References of the most important papers were retrieved to improve the search sensitivity.

Manuscripts written in English and Russian were selected.

The main indications for surgery to support diagnosis and treatment of TB patients include both old and modern approaches; they are presently summarized as follows:

- (I) Diagnosis of complicated cases;
- (II) Elimination of contagious persisting cavities, despite appropriate chemotherapy;
- (III) Treatment of destroyed lung;
- (IV) Resection of tuberculomas;
- (V) Treatment of tuberculous pleural empyema.

After describing each of these areas of intervention we will provide a summary of the evidence emerging from the literature search and concluding remarks.

Areas of intervention

Diagnosis of complicated cases

This explorative surgical approach can be recommended in patients with suspected lung cancer, in those with a pulmonary dissemination and those with mediastinal lymphadenopathy of unknown origin.

Distinguishing between TB and lung cancer can be rather challenging. The coexistence of TB and lung cancer, either within the same lesion or in different ones, has also been reported. Diagnostic delay and inappropriate treatment can be avoided through prompt identification of TB and/or lung cancer. Pulmonary cavitations should be carefully assessed before administering anti-TB drugs since a considerable proportion of elderly patients may also have an underlying malignancy (19).

The increasing incidence of pulmonary TB in older

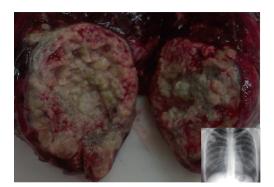


Figure 2 Ovoid, tumour-like lesion of unknown origin, subsequently confirmed as tuberculosis. In the picture a detail of the resected specimen is shown: a tuberculous lesion with a fibrocaseous component, well localized within a lung parenchyma. At the bottom: preoperative chest radiography.



Figure 3 Central lung cancer lesion associated with bilateral bullous emphysema and bilateral TB sequelae—1: lung cancer lesion; 2,3: specific TB sequelae. At the bottom—computerized tomography: right lower lobe cancer lesion.

patients in high-income countries, together with a more indolent course of lung cancer, make surgical exploration a reasonable option. It is estimated that TB is confirmed in up to 40% of surgical specimens from patients suspected of having lung cancer (*Figure 2*) (20). The discrepancy between presumptive and confirmed malignancy may be very high, up to 34% versus 6.25% according to some case series, and TB-associated lung cancer can be found in more than 25% of patients (21). Also, radiographic criteria for malignancy can be identified in up to 66% of patients with a confirmed tuberculoma (22).

When TB and lung cancer coexist, the neoplastic portion of the lesion is usually characterized by irregular margins and convergence of peripheral vessels on High Resolution Computed Tomography (HRCT) scans showing gradual enhancement on time-attenuation curve, while smooth margins and a cavity with peripheral contrast-enhancement are typical features of the benign portion (23). Also, homogeneous contrast-enhancement is commonly observed in carcinomas, while capsular or no enhancement are suggestive of tuberculomas or hamartomas (24,25). Some radiographic signs should be evaluated in case of undefined solitary lesions: (I) nodular conglomeration in the area of previous tuberculous involvement; (II) recently developed satellite lesions surrounding tuberculous sequelae; or (III) parenchymal cavitations in areas where only nodular lesions were previously noted.

Carcinoma-related subpleural scars are usually adenocarcinomas. According to some reports, nearly 90% of patients with scar cancer show stage I disease, as a consequence of a regular radiologic TB monitoring (*Figure 3*) (26).

If disseminated pulmonary lesions (often associated with hilar and/or mediastinal lymphadenopathies) are detected, TB must always be suspected especially in immunocompromised individuals, although other conditions should also be considered such as cardiovascular disorders, systemic syndromes, and several occupational diseases (27-29). In such cases, surgery, based on minimally invasive techniques, can help to diagnose TB disease (30). Radiological findings are not reliable to definitely diagnose a TB case (31). For instance, hilar and mediastinal lymphadenopathy may be identified in primary and secondary TB patients. Such nodes, frequently associated with post-inflammatory adhesions in the interlobar fissures, must be carefully considered before performing Video-Assisted Thoracoscopic Surgery (VATS) or thoracotomy for lymph node biopsy in the absence of parenchymal lesions (32). Cervical mediastinoscopy plays a marginal role in the diagnosis of mediastinal TB since the proportion of patients benefitting from this procedure is considerably limited (33,34). Sayar et al. reported a series of 19 patients with no parenchymal abnormalities who underwent mediastinoscopy and TB was confirmed in 16 of them. However, a minimum of 3-5 nodal station biopsies is thought to be needed to increase the diagnostic probabilities (35).

The diagnostic value of transbronchial lung biopsy in patients with a miliary TB pattern ranges between 30% and 58% or 64% and 90% depending on inclusion criteria (36,37). Percutaneous biopsy of parenchymal lung lesions shows a poorer performance, with 20–55% of diagnostic yield (38). Surgical lung biopsy may, therefore, be required

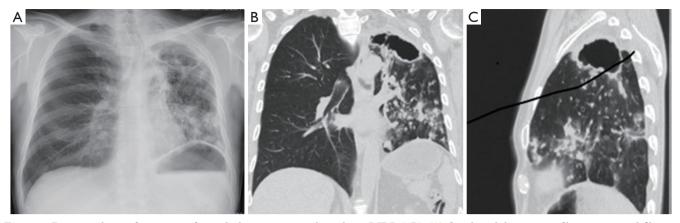


Figure 4 Disease relapse after surgery for multidrug-resistant tuberculosis (MDR-TB). (A) Combined destructive, fibrocaseous and fibrotic left lung lesions in a 54 years old male; (B) 5 years after the left upper lobectomy for MDR TB; (C) radiographic aspect after 24 months of chemotherapy.

for diagnosis in a proportion of patients suspected of having TB, although operative and infectious risks should be cautiously evaluated (39).

Elimination of contagious persisting cavities despite appropriate chemotherapy

TB patients with a persistently positive sputum smear may benefit from surgical resection of bacillary pulmonary lesions. This therapeutic approach is mainly reserved to MDR-TB cases and aims to cure patients and prevent further M. tuberculosis transmission, increasing the probability of treatment success (40,41).

MDR-TB radiographic patterns are usually associated with the underlying drug-resistant form: patients with primary resistance are more likely to have non-cavitary solid lesions, pleural effusions and primary TB classical features, while those who develop secondary drug resistance often present with cavitary consolidations and a post-primary TB pattern (42,43).

Patients with one or more of the following clinical features can be considered as potential candidates to surgery: (I) persistently positive sputum smear and/or culture despite appropriate chemotherapy; (II) relapse; (III) high risk of relapse based on drug resistance profile (e.g., XDR-TB cases) (44,45).

Surgical resection is recommended for infectious TB patients after at least 6–8 months of appropriate anti-TB therapy (10,46-48).

A recent meta-analysis reported a treatment success of

84% for pulmonary resection in M/XDR-TB patients, with a 6% failure rate, 3% relapse, and 5% mortality (49). Excessive surgical delay may favour disease progression and development of further drug resistances (50). Although some authors suggested that surgery can be performed after only three months of medical therapy, a period of six to eight months is now recommended to make treatment success more likely (51). Other important prognostic factors include unilateral pulmonary involvement, absence of TB bronchitis, radiologic improvement of parenchymal sequelae, complicated pulmonary TB (e.g., haemoptysis, superimposed infections, etc.).

A maximally parenchymal-sparing surgery is recommended whenever possible since disease relapse may occur despite adequate surgical intervention and appropriate chemotherapy (*Figure 4*). According to the scientific literature, lobectomy is performed more frequently compared to pneumonectomy (63.7% vs. 21.8%) (52).

High rate of pneumonectomy is reported in series including patients with multicavitary forms involving >1 lobe (53,54).

In patients with bilateral lung involvement (40–90% of the total cases), dominant lesions are usually unilateral; consequently, small contralateral nodules or cavities are managed only with post-operative medical therapy. However, if sputum conversion does not occur after surgery for dominant lesions, small contralateral cavitary lesions should be removed as well (48). Post-operative complications in MDR-TB patients undergoing pulmonary resection are summarized in (*Table 1*) (55-60).

First author	Period	Sample	Lobectomy	Pneumonectomy	Segmental	Other	Morbidity,	Mortality,
		size			resection	interventions	%	%
Man <i>et al.</i> (55)	1995–2005	45	30	-	4	11*	11.1	_
Kir <i>et al.</i> (56)	1993–2005	81	37	39	1	4	20.9	2.5
Shiraishi <i>et al.</i> (57)	2000–2007	56	33	22	1	-	16	0
Mohsen <i>et al.</i> (58)	1999–2005	23	12	11	-	-	34.7	4.3
Kang <i>et al.</i> (59)	1996–2008	72	38	23	10	1	11	1.3
Wang <i>et al.</i> (60)	-	56	31	25	-	-	25	0
van Leuven et al. (41)	1990–1995	62	26	35	1	-	23	1.6

Table 1 Operative morbidity and mortality in patients with multidrug-resistant tuberculosis (MDR-TB)

*, cavernoplasty.

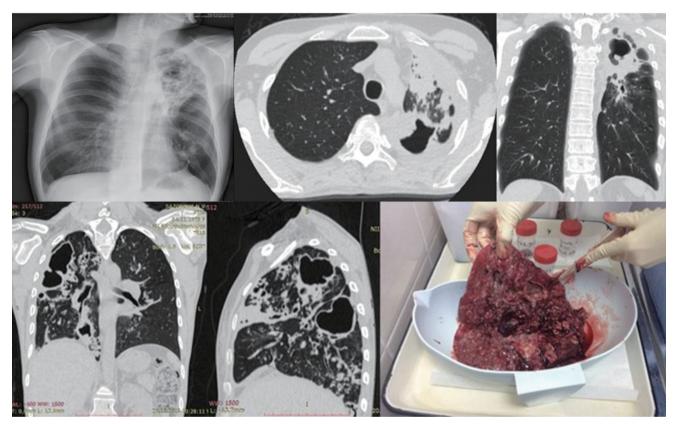


Figure 5 Pleuropneumonectomy for tuberculosis destroyed lung. Upper row: postero-anterior radiography and computerized tomography (CT) of a 53-year-old male with destructive extensively drug-resistant tuberculosis of the left lung; radiographic aspect after 12 months of chemotherapy. Lower row: female, 37 years old, thoracic CT aspect after 30 months of chemotherapy with details on operative specimen.

Treatment of destroyed lung

The term "destroyed lung" refers to the radiographic appearance of fibrotic cavities combined with caseous lesions, frequently accompanied by sub-lobar atelectasis or bronchiectasis. Other typical signs are the upward displacement of the lung hilus, the mediastinal shift towards the affected side and a narrow intercostal space (*Figure 5*). Most patients with this medical condition have a history of exposure to multiple TB therapies, with delayed recovery and/or drug resistance (17,61). The surgical rationale is

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to interrupt further M. tuberculosis transmission and to prevent life-threatening complications.

In high TB burden areas, TB is the leading cause of haemoptysis and recurrent episodes may reflect tubercular cavitations or destroyed lungs (62-65). Although haemoptysis represents an indication for surgery, an assessment of lesions not responsible for bleeding can be very difficult and mortality rate may be up to 40% for procedures performed in emergency situations (66). The risk of complications after embolization of bronchial arteries is usually low, with 75–94% of patients immediately clinically recovering; however, the recurrence rate ranges between 18% and 42% (67,68). If massive haemoptysis occurs and embolization cannot be performed, emergency thoracotomy is recommended (62,69).

In a single-centre cohort study published in 2007, four risk factors were associated with recurrence after successful embolization for life-threatening haemoptysis: (I) lack of complete cessation of haemoptysis within seven days after the procedure; (II) need for blood transfusion; (III) presence of aspergillomas; (V) absence of TB (70).

In patients with haemoptysis and destroyed lung, surgical delay may be life-threatening. In a series of 38 patients with destroyed lungs combined with aspergillomas and haemoptysis, undergoing pneumonectomy or pleuropneumonectomy, one out of seven with post-surgical empyema died (71).

Bronchial stenosis, often combined with a destroyed lung, should be distinguished from TB of the bronchus; however, it does not constitute an obstacle for pneumonectomy (72). An extrapleural approach is usually required since other interventions are not feasible (*Figure 5*).

A conservative treatment with thoracic drainage is suggested as initial procedure in case of destroyed lung complicated by empyema, especially if a broncho-pleural fistula is also present. In such cases, surgery may be carried out only after achieving a complete infection control except for selected patients without broncho-pleural fistulae (73).

Resection of tuberculomas

Surgery in patients with tuberculomas is recommended to reduce their infectiousness. It usually radiologically appears as a post-primary lesion and can be confused with a malignant neoplasia (74).

Tuberculomas, sized between 1 and 10 cm, are estimated to be one of the most frequent benign nodules, representing up to 25% of all resected single pulmonary nodules (75,76). They can occur during both primary and post-primary TB (31,77). In resected tuberculomas M. tuberculosis strains can be isolated in ~85% of cases. They may include a cavity or calcifications, and margins are usually smooth and sharp (78,79). Tuberculomas can be found in the upper lobes, often surrounded by satellite nodules in 80% of the cases (80,81).

Because of increased glucose metabolism caused by granulomatous inflammation, they can accumulate F-18fluorodeoxyglucose (FDG) during positron emission tomography (PET); then, they could be misdiagnosed with pulmonary tumours (*Figure 3*) (82). Choline C-11 PET scans can differentiate between lung cancer and tuberculoma, being tuberculoma's choline C-11 uptake lower if compared with lung cancer (83).

Natural history of pulmonary tuberculoma can be divided into progressive, stationary, and regressive. Stationary status accounts for 30-50% of all patients with a tuberculoma (84,85).

Reported progression rate was 5.8% in patients with tuberculomas sized <2 cm *vs.* 14.8% and 25.0% when tuberculoma is sized <4 and >4 cm, respectively (86).

Atypical and typical mono- and bi-segmental resections are recommended for patients with tuberculoma. Lobectomy may be necessary only for large lesions or for those located near the lung hilum.

If anti-TB therapy is not performed pre-operatively (differential diagnosis with lung cancer), it is usually administered post-operatively (87,88).

Treatment of tuberculous pleural empyema

Pleural adhesions can occur since the first inflammatory phases. In case of a delayed diagnosis, an open or VATS decortication is the only therapeutic option.

In some TB patients pleural effusion cannot be associated with a clear parenchymal disease.

In patients without any radiologic signs of TB, diagnosis of TB pleuritis can be performed histologically only after removal of thickened pleura.

If pleural empyema occurs with radiologic TB signs, conservative treatment with prolonged chest tube aspiration is strongly recommended. Surgical therapy (open approach) is suggested only for cases with major residual pleural thickenings (89).

In case of a bronchopleural fistula the tube thoracostomy is the initial treatment option. Open thoracostomy is usually recommended for severely ill patients with a high operative risk. Two-stage management with open thoracostomy and subsequent muscle plombage of the cleaned residual space is also suggested as a reasonable alternative in patients with poor general conditions and extensive calcifications precluding decortications (90).

In patients operated for tuberculous empyema, the need for the lung resection can not always be assessed with certainty before the operation. When such a need is confirmed during the operation, the remaining lung may be so destroyed that pneumonectomy has to be considered. However, several Authors do not recommend a similar therapeutic approach, arguing that TB and concomitant empyema are relevant risk factors for procedure-related morbidity and mortality (91-94).

After decortications, residual pleural space may be managed either with muscle plombage or with thoracoplasty. A four-rib thoracoplasty with a stable and retracted mediastinum may be carried out (95).

In patients without radiologic signs of TB, a diagnostic approach is suggested.

In patients with a bronchopleural fistula chest tube thoracostomy is a suitable initial, but not infrequently a definitive treatment option. However, two-stage procedures with open thoracostomy and subsequent residual cavity obliteration may be possible in clinically-compensated patients.

Open thoracostomy is usually recommended for severely ill patients with a high operative risk.

Indications, contraindications and risks

The indications for lung resection have been discussed above. As resection is performed with a full or musclesparing thoracotomy, dense adhesions can be a relative surgical contraindication, particularly with a videothoracoscopic approach. In patients with poor lung function or advanced age VATS lobectomy is probably the most suitable option (96).

Preoperative bronchoscopy is mandatory, including biopsies from the anticipated suture line and from sites with suspected active disease. Endoscopic confirmation of tuberculous bronchitis is a contraindication for surgery, because endobronchial granulation tissue, erosion, and ulceration of the mucosa may predispose to the development of bronchopleural fistula (97).

Operative mortality after lung resection for TB is lower than 5%, an acceptable proportion if we consider that mortality following lobectomy for lung cancer is around 3%. The proportion of post-operative complications is 9-26%, the commonest being persistent air leakage (40%) (98).

Summary of the evidence

- (I) Reliable radiographic criteria are unavailable to distinguish between malignant and TB lesions. Surgical exploration with *ex tempore* histological examination may be helpful and should not be delayed (22-25,28,31).
- (II) If bronchoscopic biopsies failed to confirm diagnosis, video-assisted thoracoscopy or mediastinoscopy are valid alternatives. Diagnostic thoracotomy is rarely necessary, but may be considered in selected cases (30,33-38).
- (III) In MDR-TB patients, surgical resection of pulmonary bacillary lesions may be useful to improve treatment outcome if chemotherapy failed, but before complete destruction of lung parenchyma and associated decline in pulmonary function (16,41,44,48,49,99).
- (IV) Maximally parenchymal-sparing surgery should be preferred in MDR-TB patients due to their high relapse risk (45,50-55,57,58).
- (V) Surgical treatment can be performed in patients meeting appropriate criteria provided that contralateral lung lesions are stable (57,58).
- (VI) Bronchoscopic biopsy of the bronchial mucosa aimed to exclude TB is of utmost importance before proceeding to pneumonectomy for a destroyed lung (36,37).
- (VII) Tuberculoma should be surgically treated to reduce infectiousness. Appropriate imaging techniques should be adopted to discriminate lung cancer and tuberculoma (81-84,88).
- (VIII) A diagnostic surgical approach is recommended when pleural effusion occurs without any radiologic signs. Open surgery is suggested only for cases with major residual pleural thickenings when TB pleuritis is immediately detected. Bronchopleural fistula should be managed with a chest tube thoracostomy (89-92).
- (IX) Post-operative chemotherapy is mandatory; after the removal of the main lung lesion as some nodules and tiny cavities may persist and can favour relapses. The following duration of anti-TB therapy is recommended (99). For culture-positive patients at the time of surgery:
 - (i) With drug-susceptible TB four to six months of therapy after culture conversion;
 - (ii) With MDR-TB at least 18 months of therapy after culture conversion;
 - (iii) With XDR-TB at least 24 months of therapy

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First author	Year	Sample size/M/XDR	Culture positive, %	Sputum conversion rate, $\%$	Morbidity/mortality, %	Success rate, %
Pomerantz et al. (51)	2001	172/172/NR	50	98	12/3.3	90
Park et al. (100)	2002	49/49/NR	63	94	16/0	90
Kim <i>et al.</i> (101)	2007	79/61/18	98	72	23/1.2	72
Naidoo et al. (98)	2007	27/27/NR	41	93	26/0	93
Orki <i>et al.</i> (102)	2009	55/NR	115	95	29/1.8	95
Park <i>et al.</i> (17)	2009	19/17/2	53	95	NR/0	79
Dravniece et al. (103)	2009	17/0/17	94	47	18/0	47
Kang <i>et al.</i> (59)	2010	72/46/26	81	78	15/1.4	90

Table 2 Surgical series of mutlidrug-resistant (MDR-) and extensively drug-resistant (XDR-) tuberculosis patients surgically treated and described in observational studies

NR, not reported.

Table 3 Surgical series of MDR- XDR-TB enrolled in cohort studies, comparing medical therapy + surgery vs. medical therapy alone

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First author	Year	Sample size/% of surgery	Resistence pattern	Overall success rate, %	Surgery superior to medical therapy
Chan et al. (104)	2004	205/63	MDR	73	Yes
Torun <i>et al.</i> (105)	2007	252/26	MDR	77	Yes
Kim <i>et al.</i> (101)	2007	211/30	MDR, XDR	63	No
Keshavjee et al. (106)	2008	608/9	MDR, XDR	66	Yes
Kwon <i>et al.</i> (107)	2008	155/23	MDR, XDR	66	Yes

MDR-TB, multidrug-resistant tuberculosis; XDR-TB, extensively drug-resistant tuberculosis.

after culture conversion.

For culture-negative patients at the time of surgery:

- (i) With drug-susceptible TB at least four months of therapy after surgery;
- (ii) With M/XDR-TB six to eight months of therapy after surgery.

Concluding remarks

The rate of treatment success after surgery ranges between 75% and 98%, as shown in *Tables 2* and *3*. Unfortunately, the most severe cases (e.g., bilateral cavities or destroyed lung) who would benefit most from surgery cannot undergo such procedures due to the high operative and post-operative morbidity and mortality.

The experience of high level reference centres for MDR-TB suggest that a proper individualized synergy of chemotherapy and surgery might increase the chances of treatment success (16,44,45).

Evidence-based guidelines are necessary in order to better understand which patient categories and surgical techniques should be recommended. Interventional trials carried out in high burden settings could include adequate sample sizes to improve the statistical power of the epidemiological studies and to provide reliable findings.

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Footnote

Conflicts of Interest: The authors have no conflicts of interest to declare.

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