



The role of *HER2* overexpression in Middle Eastern papillary thyroid cancer

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Background: *HER2* oncogene is involved in many cancers and serves as a prognostic marker and therapeutic target in breast cancer. The purpose of this study was to learn more on the prevalence and clinical significance of *HER2* overexpression and its association with clinical parameters in Middle Eastern papillary thyroid carcinoma (PTC).

Methods: A tissue microarray (TMA) containing >1,000 PTC cases with follow-up data was used. TMA sections were analyzed on protein and DNA level using immunohistochemistry and fluorescence *in situ* hybridization (FISH). FISH analyses were performed to look for the gain or amplifications in *HER2* gene.

Results: Immunohistochemical analysis showed *HER2* overexpression in 19.7% of our cases. Elevated expression was almost exclusively 2+ (194 tumors) and only rarely 3+ (1 tumor). No amplification was seen by FISH. Only 3% of our cases showed mildly elevated *HER2* gene copy numbers not reaching the threshold for amplification. *HER2* overexpression and copy number gains were unrelated to tumor stage, metastasis, patient survival and other clinical and pathological parameters.

Conclusions: Our results demonstrated that *HER2* overexpression occurs at relevant frequency in papillary thyroid cancer and in the absence of gene amplification. Additionally, expression of *HER2* seems to hold no clinical value as prognostic factor in PTC.

Keywords: Fluorescence *in situ* hybridization (FISH); immunohistochemistry (IHC); *HER2*; papillary thyroid cancer

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Introduction

Thyroid cancer is the second most common malignancy among females in Saudi Arabia accounting for 7.4% of all cancers and 10.6% of all female malignant cancers (1). This is a comparatively higher frequency than in Western countries. For example, thyroid cancer accounts for only

3% of all cancers in the United States (2). Papillary thyroid carcinoma (PTC) is the most common thyroid cancer subtype representing 80–90% of all thyroid malignancies (3).

PTC has an excellent prognosis and is usually cured by current therapy regimens consisting of surgery followed by radio-iodine therapy. However, there is a minor fraction of

patients with a dismal course of disease. Tumor recurrence occurs in approximately 5% of PTC and the mortality rate is 1–2% (4,5). Aggressive malignant behavior is strongly related to various clinicopathological variables, including tall cell variant, advanced stage, vascular invasion and nodal or distant metastasis. These parameters are statistically powerful but still not sufficient to predict unfavorable disease course in all patients. A certain prediction of aggressive tumor behavior could potentially be exploited to complement radio-iodine therapy by other cytotoxic treatments.

As our knowledge on the molecular mechanisms involved in thyroid cancer development and progression continuously increase, it can be hoped, that molecular information will eventually contribute to a better initial assessment of tumor's aggressiveness. Human epidermal growth factor receptor 2 (HER2) is of particular interest in tumor biology as it represents a strong prognostic feature in several tumor types and simultaneously serves as a highly utile therapeutic target in breast and stomach cancer (6,7) along with possibly other cancers (8,9). *HER2* encodes a 185-kDa transmembrane kinase glycoprotein (10). HER2 was first reported as overexpressed in human breast and ovarian cancers (11). HER2 overexpression has later been observed in a large variety of other human malignancies originating in different organs, such as stomach, colon, lung and pancreas (12–15).

Data on the role of HER2 in papillary thyroid cancer is not conclusive. Reported incidences of HER2 overexpression vary, from 0 to 79.5%, in studies involving thyroid patients (16–22). Given the generally excellent prognosis of papillary thyroid cancers, and the need for very large patient cohorts to find associations with rare clinical events, robust data on the prognostic role of HER2 expression in papillary thyroid cancer is lacking. Due to the high incidence of papillary thyroid cancer in Saudi Arabia, we were able to collect a cohort of 1,040 papillary thyroid cancer with follow up information. In this project we utilized this patient collection to investigate prevalence and clinical significance of HER2 overexpression in papillary thyroid cancer.

Methods

Patient selection and tissue microarray (TMA) construction

One thousand and forty patients with PTC, diagnosed between 1988 and 2011, were selected from files of the

King Faisal Specialist Hospital and Research Centre. All PTC were analyzed in a TMA format. Clinical and histopathological data were available for all the patients. TMAs were constructed with 2-fold redundancy from formalin-fixed, paraffin-embedded PTC specimens as described previously (23). Tumor regions were mapped by a pathologist for coring. The TMA was constructed with 0.6 mm diameter cores spaced 0.8 mm apart using a tissue microarrayer (Semi-automated Arrayer, CM1 Mirlacher, Neuenburg, Germany). The TMA block was cut into 5 µm sections, adhered to a slide by an adhesive tape-transfer method (Instrumedics, Hackensack, NJ) and UV crosslinked. The Institutional Review Board of the King Faisal Specialist Hospital and Research Centre approved the study under Project RAC# 2080-031 on PTC archival clinical samples.

Immunohistochemistry

Standard protocol was followed for IHC staining. For antigen retrieval, Dako Target Retrieval Solution pH 9.0 (Catalog number S2368) was used, and the slides were microwaved at 750 W for 5 min and then at 250 W for 20 min. TMA sections were stained using FDA approved HercepTest kit from DAKO using manufacturers instruction. All slides were counterstained with hematoxylin, dehydrated, cleared and mounted. Negative controls included omission of the primary antibody. Normal tissues of different organ system were also included in the TMA to serve as control. Only fresh cut slides were stained simultaneously to minimize the influence of slide aging and maximize reproducibility of the experiment. For HER2 immunoscore, DAKO scoring guidelines for gastric cancer were followed as no standard guidelines for HER2 scoring in thyroid tumors exist. Briefly, tumors were categorized into four groups based on intensity score (0, 1+, 2+, 3+). Intensity score 2+ and 3+ was taken as positive, as described previously (19). IHC scoring was done by two pathologists (SB & SP), blinded to the clinicopathological characteristics. Discordant scores were reviewed together to achieve agreement.

Fluorescence in situ hybridization (FISH)

For HER2 dual-color FISH on paraffin-embedded TMA was performed using commercially available DNA probes LSI HER2/CEP 17; Vysis Inc. BX51 Olympus fluorescence microscope (Olympus, Richardson, TX, USA) was used for screening the FISH slides. The *HER2* locus specific probe located on chromosome 17, was

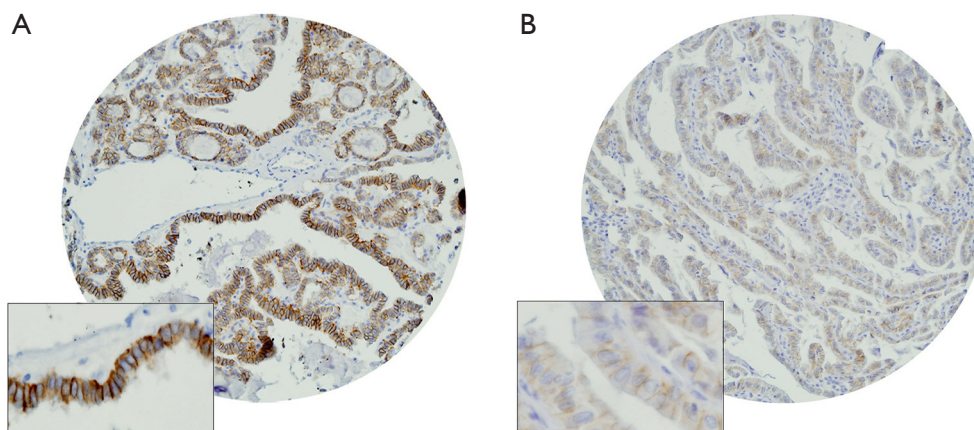


Figure 1 Tissue microarray-based immunohistochemical analysis of HER2 in PTC patients. (A) PTC array spots showing overexpression of HER2; (B) in contrast, other PTC tissue array spots showing low expression of HER2. 20 \times /0.70 objective on an Olympus BX 51 microscope (Olympus America Inc., Center Valley, PA, USA), with the inset showing a 40 \times /0.85 aperture magnified view of the same. PTC, papillary thyroid carcinoma.

labeled with Spectrum Orange whilst the centromere was labelled with Spectrum Green (LSI HER2/CEP 17; Vysis Inc.). Histologic TMA tissue sections, 5 μ m thick, were deparaffinized with a series of xylene prior to immersion in 100% ethanol. FISH was carried according to the manufacturer's instructions. FISH scoring was performed independent of the IHC result. The number of HER2 (red) and CEP17 (green) signals were scored for each sample in 20 nuclei. The HER2/CEP17 ratio was calculated according to ASCO/CAP guidelines. A HER2/CEP17 ratio of 1 was considered normal, less than 1.8 as non-amplified and more than 2.2 as amplified (24).

Data analysis and statistics

The JMP 10.0 (SAS Institute Inc., Cary, NC, USA) software package was used for data analyses. We examined the association of HER2 expression with clinicopathological parameters, biomarker expression using chi-square tests and also performed survival analysis by using the Mantel-Cox log-rank test. Survival curves were generated using Kaplan-Meier method with significance evaluated using the Mantel-Cox log-rank test. Values of $P < 0.05$ were considered statistically significant.

Results

Clinicopathological features

The details of 1,040 patients selected for analyses are as

follows. The mean age of the patients at initial surgery was 40.4 years (range, 6–92 years), and 261 were (25.1%) males and 779 (74.9%) were females. The mean duration of follow-up was 76.5 months (range, 0–280 months). Seven hundred and ninety one (78.3%) of the tumours were classical papillary carcinomas; 153 (15.1%) were the follicular variant of PTC; and 66 (6.5%) were the tall cell variant. Extrathyroidal extension was seen in 462 (52.9%) cases and AJCC staging was as follows: 693 (68.6%) stage I; 51 (5.1%) stage II; 84 (8.3%) stage III; and 182 (18.0%) stage IV. Details of surgical margin status were available in only 490 cases and involved surgical margins were noted in 266 (54.2%) cases.

HER2 expression

Immunohistochemical analysis of HER2 expression was interpretable in 991 PTC spots. While 796 cancers (80.3%) showed negative HER2 staining (score 0 and 1), there were 363 with score 0, 433 (43.7%) with 1+, 194 (19.6%) with 2+, and 1 (0.1%) with 3+ immunostaining. Total number of cases which demonstrated overexpression of 2+/3+ were 195 (19.7%). Representative cases are shown in *Figure 1*. HER2 positivity (2+/3+) in PTC was significantly associated with early stage tumors (Stage I) ($P = 0.0076$). However, HER2 expression was not associated with age, gender, lymphovascular invasion or extrathyroidal extension (*Table 1*). There was no difference in survival between patients showing variable HER2 protein expression ($P = 0.7442$) (*Figure 2*).

Table 1 Correlations of HER2 (IHC) with clinicopathological parameters in PTC

Clinical parameters	Total		Positive		Negative		P value
	No.	%	No.	%	No.	%	
No. of patients	991		195	19.7	796	80.3	
Age (yrs)							
≤45	630	63.6	131	20.8	499	79.2	0.2401
>45	361	36.4	64	17.7	297	82.3	
Sex							
Female	743	75.0	138	18.6	605	81.4	0.1353
Male	248	25.0	57	23.0	191	77.0	
Extrathyroidal extension							
Absent	399	47.4	80	20.0	319	80.0	0.4623
Present	443	52.6	80	18.1	363	81.9	
Lymphovascular invasion							
Absent	205	58.9	47	22.9	158	77.1	0.0533
Present	143	41.1	21	14.7	122	85.3	
pT							
pT1	259	27.0	64	24.7	195	75.3	0.0694
pT2	201	21.0	38	18.9	163	81.1	
pT3	406	42.3	77	19.0	329	81.0	
pT4	93	9.7	12	12.9	81	87.1	
pN							
pN0	373	40.6	75	20.1	298	79.9	0.9138
pN1	545	59.4	108	19.8	437	80.2	
pM							
pM0	938	94.8	184	19.6	754	80.4	0.9988
pM1	51	5.2	10	19.6	41	80.4	
Stage							
I	662	68.8	142	21.4	520	78.6	0.0076
II	49	5.1	7	14.3	42	85.7	
III	81	8.4	6	7.4	75	92.6	
IV	170	17.7	35	20.6	135	79.4	
Histology type							
Follicular variant	144	15.0	23	16.0	121	84.0	0.3713
Papillary-classical	753	78.4	152	20.2	601	79.8	
Tall-cell variant	64	6.6	15	23.4	49	76.6	
Tumor focality							
Multifocal	459	49.5	91	19.8	368	80.2	0.8193
Unifocal	468	50.5	90	19.2	378	80.8	
Surgical margins							
Absent	219	45.9	38	17.3	181	82.7	0.3757
Present	258	54.1	53	20.5	205	79.5	
HER2 FISH							
Gain	26	2.91	9	34.62	17	65.38	0.0816
Normal	866	97.09	171	19.75	695	80.25	
Disease free survival							
5 years				78.0		77.7	0.7442

IHC, immunohistochemistry; PTC, papillary thyroid carcinoma; FISH, fluorescence *in situ* hybridization.

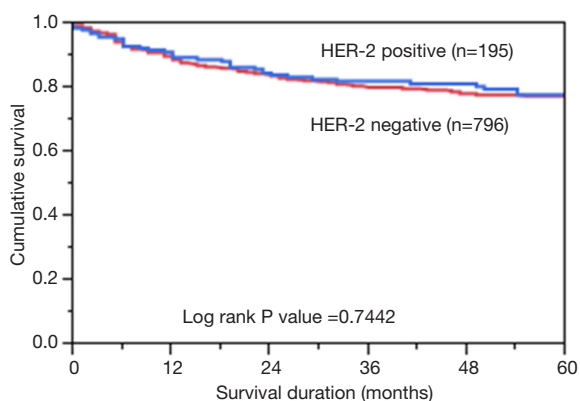


Figure 2 Impact of HER2 expression (IHC): The Kaplan-Meier survival analysis. (A) PTC patients who stained positive for HER2 on IHC had similar survival of 78% at 5 years compared to 77.7% for HER2 negative. The association was not significant ($P=0.7442$). IHC, immunohistochemistry; PTC, papillary thyroid carcinoma.

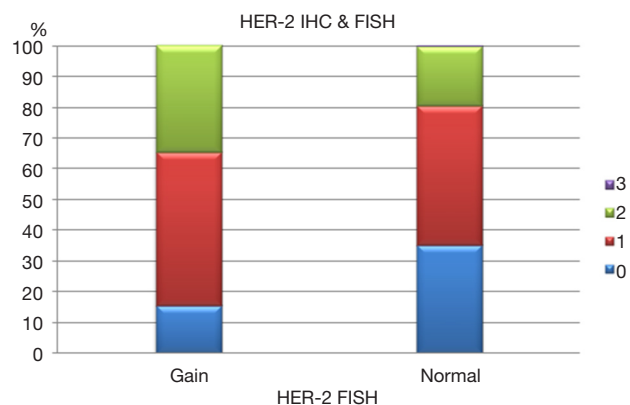


Figure 4 Bar diagram showing relation between HER2 immunohistochemical score and HER2 FISH in papillary thyroid carcinoma. No *HER2* amplification was detected by FISH in any case. Twenty-six cases showed *HER2* gain. IHC, immunohistochemistry; FISH, fluorescence in situ hybridization.

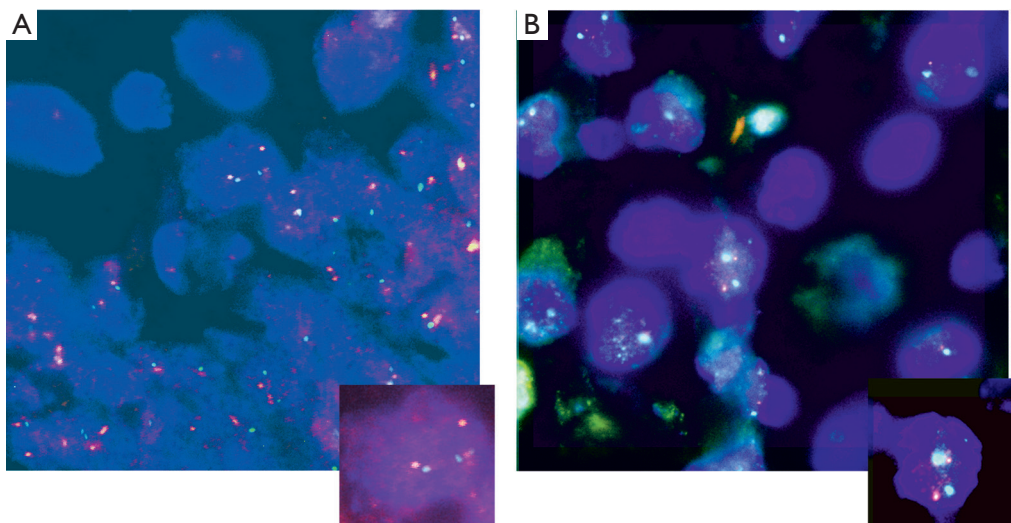


Figure 3 Tissue microarray-based FISH analysis of HER2 in PTC patients. (A) PTC tissue showing Gain of *HER2* gene (three red Her 2 signals and two green Cep17 signals) (1000 \times). Inset single cell; (B) normal thyroid tissue showing no amplification (two red HER2 signals and two green Cep17 signals) (1000 \times). Inset single cell. FISH, fluorescence in situ hybridization; PTC, papillary thyroid carcinoma.

HER2 FISH

HER2 by FISH was interpretable in 913 PTC spots. Amplification was not seen in any of our cases and the incidence of *HER2* gain in our cohort was only 3% (26 of 913) of cases. Tumors with representative FISH findings are shown in *Figure 3*. There was a tendency towards higher protein expression levels in tumors with a *HER2* gene copy gain as compared to cancers with normal *HER2* gene

copy status, but this association did not reach statistical significance ($P=0.0816$) (*Figure 4*). No association was seen between *HER2* FISH gain and tumor phenotype or patient survival.

Discussion

Our analyses revealed a 2+ *HER2* staining in 19.6% and a

3+ HER2 staining in only 1 (0.1%) of our 991 interpretable tumors. This is in the range of earlier studies that were also done using FDA approved HER2 detection kits. Mdah *et al.* found 8.6% 2+ positive and 6.9% 3+ positive cases in a series of 58 papillary thyroid cancers (20). Sugishita *et al.* found 46% 2+ positive and 38% 3+ positive cases in a series of 37 papillary thyroid cancers (17). Mondì *et al.* found 33% 1+ positive however, no 2+ or 3+ positive cases, in a set of six papillary thyroid cancers (19). The reported data on HER2 expression were more variable in earlier studies using other non-FDA approved reagents. Here, Haugen *et al.* found 78% HER2 positive cases in 14 papillary thyroid cancers (22), Balta *et al.* observed 14.9% HER2 positive cases in 47 papillary thyroid cancers (16), Wu *et al.* identified 79.5% HER2 positive cases in 331 papillary thyroid cancers (25) and, Utrilla *et al.* described 52% HER2 positive cases in 25 papillary thyroid cancers (26).

Due to the high prevalence of papillary thyroid cancer in Saudi Arabia we were able to collect a cohort of patients that is substantially larger than available for comparable studies evaluating potential prognostic biomarkers. TMA studies on PTCs have so far included 331 tumors (25). High number of cases are imperatively needed for analyzing the possible role of biomarkers in the context of tumor aggressiveness, as only few papillary thyroid cancers show a dismal clinical course with distant metastases and/or recurrent disease after radio-iodine therapy. Tumor specific life expectancy was 89.9% after 5 years and 86.2% after 10 years in a register based study on 2,729 patients with papillary thyroid cancer from Sweden (27). This is comparable to our cohort with a survival rate of over 90% after 5 years (28).

The lack of unequivocal associations of HER2 expression with parameters of malignancy such as extrathyroidal tumor expansion, pT category, UICC stage, nodal (pN) or distant metastasis (pM), and tumor recurrence, demonstrates that HER2 expression is not a parameter of poor prognosis in papillary thyroid cancer. This is different from breast cancer, where HER2 expression is strongly linked to high tumor aggressiveness in patients that are not treated with anti-HER2 drugs (29-33).

The difference in the impact of HER2 expression may be caused by variations in the molecular environment of thyroid and breast cancer cells or just be due to different HER2 expression levels. Papillary thyroid cancers mostly exhibit a 2+ HER2 expression while breast cancers have a 3+ expression caused by high level gene amplification in most cases (34-39). The absence of a prognostic impact of HER2 expression in our study is in line with several

earlier studies evaluating papillary thyroid cancers and also finding no association with tumor stage (16-19), metastasis (16,17,19,20), tumor subtype (16-20) and extrathyroidal extension (17,19).

The utility of HER2 as a therapeutic target has particularly been demonstrated for breast cancers showing unequivocal *HER2* amplification with a *HER2*/centromere 17 ratio that usually markedly exceeds the threshold value of 2.0. Although the discussion continues on whether high level HER2 expression can occur in the absence of amplification and whether 2+ overexpression, caused by high polysomy can also result in some response to anti-HER2 therapy. After more than 15 years of clinical experience with anti-HER2 therapy, it appears that such events are not frequent or evident (40). Based on the experience in breast cancer, it thus seems unlikely that papillary thyroid cancer will be a good candidate for anti-HER2 therapy.

In our study, most HER2 positive cancers only showed 2+ positivity, exclusive of one 3+ case, with no cases even borderlining *HER2* gene amplification. This is in line with data from Mondì *et al.* (19) and Mdah *et al.* who showed 6.9% HER2 overexpression in his patient cohort of 58 PTC and also failed to find amplification using chromogenic *in situ* hybridization (20). However, the study of Sugishita *et al.* suggested a *HER2* amplification in 22% of cases (17). In this study, the cut-off level for amplification was selected at 1.3 instead of the typically used threshold of 2.0. None of the tumors in the 69 cases of Sugishita *et al.* had reached the cut-off level of 2.0. It is noteworthy, that a slight elevation of HER2 signals as compared to centromere 17 signals is mostly caused by allele duplication in the S- and G2 phases of the cell cycle which results in a visible duplication of the small HER2 signals but not of the much larger and confluent centromere signals (41).

Elevated *HER2* copy numbers correlating with higher levels of protein expression was expected in our study. It is well known, that even a mildly increased gene copy number will often result in a mildly increased expression of the corresponding gene product (42). Even though a statistical significance was not obtained due to small number of cases, a clear tendency was indeed seen towards a higher protein expression in cancers with a *HER2* gene copy number gain. Elevated protein expression measured by IHC has also been reported for EGFR family members in other cancers with increased gene copy numbers not meeting the formal criteria for gene amplification types (43,44).

Conclusions

Our results demonstrated that mild HER2 overexpression occurs at relevant frequency in papillary thyroid cancer and in the absence of gene amplification. In conclusion, expression of HER2 seems to hold no value as a prognostic factor in PTC. It appears possible, however, that next generation anti-HER2 drugs that may target even lower level HER2 expressing cancer cells could have an effect on a subset of papillary thyroid cancers.

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Footnote

Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at <http://dx.doi.org/10.21037/tcr.2017.03.37>). The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The Institutional Review Board of the King Faisal Specialist Hospital and Research Centre approved the study under Project RAC# 2080-031 on PTC archival clinical samples. Informed consent was waived.

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