Fractal structure in the volumetric contrast enhancement of malignant gliomas as a marker of oxidative metabolic pathway gene expression

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Background: Fractal structure is found throughout many processes in nature, and often arises from sets of simple rules. We examined MRI contrast enhancement patterns from glioblastoma patients for evidence of fractal structure and correlated these with gene expression patterns.

Methods: For 39 glioblastoma patients, volumetric T1-post-contrast MRI scans were obtained before surgical resection. We calculated the fractal dimension of each tumor's contrast enhancement using a box-counting (cubic scaling) approach. RNA expression microarray data from resected tissue were explored by gene set enrichment analysis (GSEA), and vascular endothelial growth factor (VEGF) expression was determined by immunostaining of resected tissue.

Results: We found robust evidence for fractal structure in the contrast enhancement pattern, with an average fractal dimension of 2.17 ± 0.10, and a visually apparent split at 2.10. GSEA analysis showed a clear association between high fractal dimension ($\chi > 2.10$) and decreased gene expression in 6 gene sets (of 4,080), all 6 of which are linked to mitochondrial respiration/ATP production pathways. On a protein level, high fractal dimension was correlated with increased VEGF.

Conclusions: There is fractal structure in the volumetric enhancement pattern of glioblastomas. Variation in the fractal dimension, and therefore the complexity of contrast enhancement it reflects, is specifically associated with genetic correlates of a shift to glycolytic metabolism in tumor cells and VEGF. Drugs that restore oxidative metabolism have recently been identified as independent therapeutic agents as well as sensitizing agents for irradiation. Therefore, a radiogenomic marker of glucose metabolism, such as this fractal structure in enhancement, might help to guide individualized therapy.

Keywords: Contrast enhancement; fractal; glioblastoma; oxidative metabolism; radiogenomics

Introduction

Fractals are structures that have the same general physical appearance when viewed over many scales of magnification (1). There are many examples of fractals in nature and biology; some famous ones are snowflakes, coastlines, retinal vasculature, and Romanesco Broccoli (2-4). Each fractal is associated with a fractal dimension, a statistical measure that quantifies how detail in a pattern changes with the spatial scale it is measured at (1). In general, a higher fractal dimension implies a more complex structure. Sophisticated fractal structures can emerge from iterations of simple growth rules. For example, the complex branching structure of snowflakes results from a simple tradeoff between surface tension and heat diffusion (5). This emergence of fractal structure may be of particular interest for those that study brain tumors—firstly because tumors emerge when a few genetic mutations change the rules for how cells behave with one another and grow, and secondly because blood vessels in the brain can develop in a fractal manner (6).

The term "radiogenomics" is used to describe the relationship between imaging findings and genomic changes, with the hope of inferring molecular properties without the need to obtain tissue surgically. These approaches have already established some direct links between imaging features and underlying molecular changes in brain tumors, particularly glioblastoma (7-11). Recent molecular studies have found that there are genomic subtypes of brain tumors that confer different prognoses and different susceptibility to surgical, radiation-based, and medicinal intervention. If one can accurately infer underlying tumor biology from imaging rather than surgical specimen, conservative therapies may be initiated with less associated morbidity and at lower cost. Existing glioblastoma radiogenomic studies have examined the gross volumes of the tumors, contrast enhancement volumes, and qualitative shape (11), but quantitative metrics to describe the internal structure of the tumor have not yet been developed as markers.

We have devised a new way to quantitatively describe volumetric brain imaging using the fractal dimensionality of contrast enhancement. In glioblastoma tumors, gadolinium enhancement reflects the diminished blood-brain barrier integrity from abnormally permeable endothelial cell layers. This increased permeability is both a property of newly-formed small blood vessels, and also a result of circulating endothelial growth factors that induce constant remodeling of the tumor vasculature (12,13). Therefore, quantifying the structure of enhancement in glioblastoma tumors

may specifically inform us about a combination of both microvascular structure and angiogenic drive. The purpose of our study was to measure whether the large-scale structure of brain tumors exhibited fractal structure and to correlate these results with biological tumor characteristics.

Methods

Patients

After institutional review board approval (approval number 09-420), we retrospectively identified a cohort of 42 patients, who presented to care at the UMC Utrecht from February 2010 to November 2012. Each of these underwent open resection of intracranial lesions that were histologically confirmed to be *de novo* WHO grade 4 glioblastoma. All patients provided an informed consent for the (anonymized) genetical/biological analyses of their tumor samples and the use of the relevant clinical/radiological information. Patients with both treatment-naïve MR imaging and fresh-frozen surgical tissue specimens available for molecular analysis were included in this study. Survival data, documented in days from surgery, were obtained from hospital records, and analyzed with Kaplan-Meier curves and log-rank testing.

Imaging

All patients had pre-operative gadolinium contrasted T1 MRI images with voxel resolution of $1 \times 1 \times 1$ mm^3, and plainly visible contrast enhancing tumors. For each patient, a three-dimensional tumor boundary trace and contrast intensity threshold was manually identified by one investigator (Kai J. Miller), who was blinded to demographic, clinical, pathologic, and molecular data. For multifocal glioblastoma, all masses were traced, and the one with the largest volume was retained for further analysis.

Tumor enhancement fractal structure (illustrated in Figure 1)

For each tumor, a fractal dimension (Minkowski-Bouligand dimension/scaling exponent) using was calculated a 3D box-counting (cubic) approach (14,15). Each T1 post-contrast tumor volume was thresholded at an intensity determined based upon a histogram of voxel contrast intensities of the isolated tumor as well as visual inspection of the MRI. The thresholded tumor volume was then parsed by cube-counting using a fixed grid scan, which
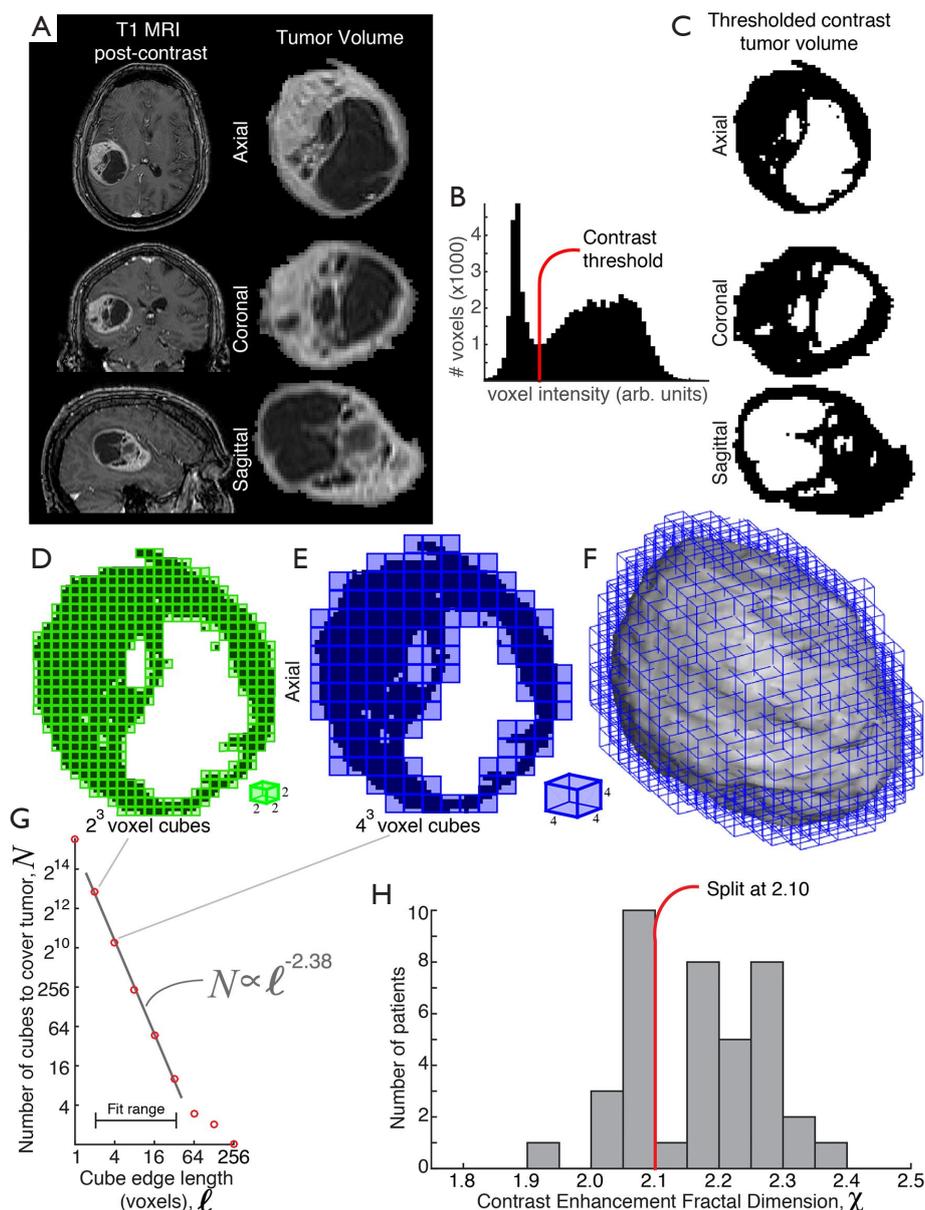
Figure 1 Quantifying fractal dimensionality of contrast enhancement in glioblastomas. (A) Post-contrast T1 MRI scan shows an enhancing tumor of the left hemisphere (*in situ*, left column; isolated, right column); (B) a contrast threshold is determined based upon a histogram of voxel contrast intensities of the isolated tumor as well as visual inspection of (A); (C) the thresholded tumor volume from (A); (D) a volumetric box-counting (cubic scaling) method, which determines the number of cubes N of for each edge length ℓ needed to cover the thresholded volume. An axial section is shown here, with cubes of $\ell = 2$ voxels per edge; (E) as in (C), but with cubes of $\ell = 4$ voxels per edge; (F) tumor surface shown from external perspective, with cubes of $\ell = 4$ voxels per edge; (G) the fractal dimension, χ, is estimated from the relation $N \propto \ell^{-\chi}$, by performing a linear fit of $\log_2 N$ versus $\log_2 \ell$ over a set fit range; (H) the set of fractal dimensions for our patient cohort (n=39) showed a natural split at $\sim\chi=2.10$. GSEA analysis showed a robust association between this split in fractal dimension and mitochondrial respiration/ATP production pathways ($P<0.05$, FDR <0.15). GSEA, gene set enrichment analysis.
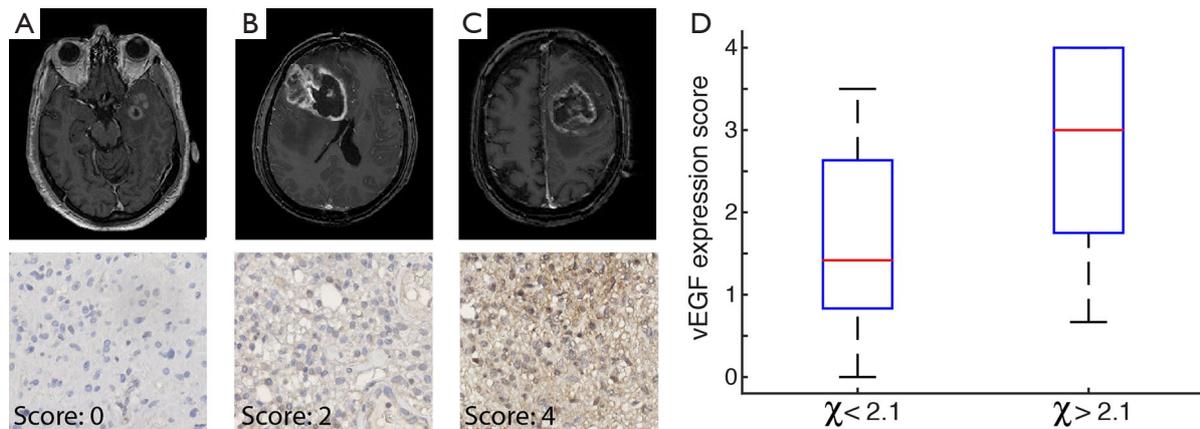
Figure 2 Quantifying VEGF expression. (A–C) Example slides to illustrate VEGF expression-grading scores (A-0, B-2, C-4, magnified at 40×) are shown for 3 tumors, with corresponding axial MRIs; (D) box-plot of VEGF expression score, sorted by fractal dimension. On each box, the central red mark is the median, the edges of the blue box are the 25th and 75th percentiles, and the black whiskers extend to the most extreme data points the algorithm considers to be not outliers. VEGF, vascular endothelial growth factor.

determines the number of cubes, N, of edge length ℓ, needed to cover the thresholded volume. The fractal dimension, χ, is estimated from the relation $N \propto \ell^{-\chi}$, by performing a linear least-squares fit of $\log_2 N$ versus ℓ over a set fit range. The fit range was theoretically constrained on the lower end by voxel resolution, and on the upper end by tumor size. For stable fits, we chose the range $\ell = 2$ voxels to $\ell <$ middle dimension of tumor bounding region, with interval steps of $\ell = 2^n$ (see supplemental comment on fitting). Prior to further examination, 3 patients were excluded from study because their tumor size was too small to estimate an acceptable fit range. One examiner (Kai J. Miller) determined natural split value of $\chi = 2.10$ from the histogram of fractal dimension values for the 39 patients included in the cohort, and a separate examiner (Pierre A. Robe) independently performed the molecular analyses. This natural split was confirmed appropriate by applying a Gaussian mixture model to the set of fit fractal dimensions, and drawing the fit threshold such that all values below threshold have >90% chance of belonging to the lower fractal dimension group (this results in $\chi = 2.099$ before rounding as the tumor with the highest fractal dimension to belong to the lower group). Future studies, with larger cohorts, may further refine this threshold.

Fresh-frozen tissue samples and mRNA expression analysis

Fresh-frozen surgical samples of glioblastoma patients were prospectively collected between February 2010 and November 2012. RNA was extracted with the Nucleospin® TriPrep kit (Macherey-Nagel, Bethlehem, PA, USA) to the manufacturer's instructions. Affymetrix HG U133 plus 2.0 array preparation and scanning were performed according to the manufacturer's protocol and as reported previously (16). Exploratory gene set enrichment analyses (GSEA) were performed after RMA-normalization (17) and correction for batch effects, with the Partek Genomics suite platform (Partek® Genomic Suite 6.6). Analyses were performed with the Broad Institute MySig libraries of curated gene sets C2&C4, version 5.0 (17), 1,000 permutations and default additional parameters. A false discovery rate (FDR) threshold of 0.15 was chosen to be more stringent than the recommended threshold level of 0.25 for GSEA studies (17).

Vascular endothelial growth factor (VEGF) expression assessment (illustrated in Figure 2)

Based upon initial findings with the GSEA analysis that showed an association between fractal dimension of contrast enhancement and oxidative metabolism (*Table 1*), we decided to test the samples for VEGF expression. Formalin fixed, paraffin embedded tissue samples of 35 of the patients in our cohort were included in tissue microarrays. Construction and processing of tissue microarrays and methods on immunohistochemical staining have been reported in a previous study (18). Rabbit polyclonal VEGF antibody was used for staining (1:100, Thermo Scientific,

Table 1 GSEA associations with fractal exponent (split at χ =2.10), all gene expression decreased with increasing fractal exponent (e.g., χ >2.10, P<0.001)

Gene set (MsigDB C2&C4 collections)	# Markers	Enrichment score	FDR
Reactome respiratory electron transport	134	0.66	0.090
Reactome respiratory electron transport, ATP synthesis by chemiosmotic coupling & heat production by uncoupling proteins	169	0.65	0.099
Reactome citric acid cycle TCA cycle	47	0.73	0.106
Kegg citrate cycle TCA cycle	65	0.72	0.114
Reactome TCA cycle and respiratory electron transport	254	0.61	0.122
Mootha TCA	39	0.67	0.148

GSEA, gene set enrichment analysis; FDR, false discovery rate.

Waltham, MA, USA). Assessment of cytoplasmic VEGF expression was performed blinded to the clinical and imaging data. The VEGF expression was quantified as percentage of cytoplasmic staining, and scored by visual slide inspection: 0, no staining; 1, 1–25% of cells stain; 2, 26–50%; 3, 51–75%; 4, 76–100%. Images were obtained at 40× magnification using a Nanozoomer 2.0HT digital slide scanner (Hamamatsu Photonics, Hamamatsu City, Japan).

Results

Note that in addition to these results, there is also a supplement, which contains a comment on the fitting, four supplemental figures (*Figures S1–S4*), and one supplemental table (*Table S1*).

Fractal structure of contrast enhancement in glioblastoma

We found robust evidence for fractal structure in the contrast enhancement pattern, with a fractal dimension of 2.17±0.10 (*Figure 1* and supplemental *Figure S1*— significantly different from 2.00, $P<10^{-9}$ by unpaired *t*-test). There was a significant correlation between fractal dimension and tumor-volume (r=0.35, P=0.03, *t*-test), contrast-enhancement-volume (r=0.54, $P=4\times10^{-4}$, *t*-test), and volumetric-fraction-of-tumor-enhancement (r=0.35, P=0.03, *t*-test). However, there was no correlation between survival and fractal dimension (P=0.75, log-rank test, supplemental *Figure S3*). When comparing patients with tumors of fractal dimension above and below 2.1, prognosis did not vary between both groups, both in univariable and Cox multivariable analyses (taking age and KPS into account). Age, KPS and post-operative treatment do not

vary between both groups (*Table S1*). All assessed tumors were IDH1 WT (25% of IDH data missing, evenly distributed between both groups. Tumor volumes were similar in both groups, and all tumors had a gross total resection.

Fractal dimension correlates with specific gene set expression pattern

Exploratory GSEA analysis showed a strong association between fractal dimension and mitochondrial respiration/ ATP production pathways (*Table 1*: all associations significant with P<0.001, at FDR <0.15). The gene set enrichment assay assesses correlations of a split parameter (in this case our measure of fractal dimension, split at visually-apparent and fit-confirmed threshold χ =2.10, as illustrated in *Figure 1H*) with a large library of RNA expression gene clusters [MsigDB v5.0 C2&C4 curated gene set collections (17)]. This assessment was performed over 4,080 potential gene set pathways (*Figure S2*), and only 6 gene sets met significance threshold. All of these showed decreased gene expression for tumors with higher fractal exponent (e.g., χ >2.10). The full set of 4,080 gene sets tested for is listed in supplemental http://tcr.amegroups.com/public/addition/tcr.2017.10.15.pdf.

Higher fractal dimension is correlated with increased VEGF expression

Increased fractal dimension was correlated with increased VEGF expression (r=0.45, P=0.006, *t*-test). The split in fractal dimension at χ =2.10 is associated with significant increase in VEGF expression (illustrated in the box-plot of *Figure 2D*, P=0.01, *t*-test).

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Discussion

The fractal dimension of approximately 2.15 that we observe in glioblastoma enhancement quantitatively describes a structure that is significantly more complex than a simple surface, such as a hollow sphere (also fractal, but with dimension 2), but less complex than some other volumetric structures with extensive branching, such as a broccoli (fractal with dimension 2.66) (19). Interestingly, there is variation in the complexity of enhancement across tumors from different patients. Being able to quantitatively describe the internal structure of tumors in this way may be an important new tool. However, there is no a priori reason why glioblastoma tumors should have fractal structure at all—one can easily imagine other tumors, with plainly observable structural complexity at one particular level of magnification, which would be non-fractal. For example, metastatic adenocarcinomas would not be expected to have self-similarity at different spatial scales. Instead, they would have well-defined, non-fractal, structure reflecting the glandular anatomy of the tissue of origin.

The fractal structures generated by mathematical simulation have infinite resolution, and the fractal fit can extend over an arbitrarily large range. However, real world phenomena have structure that can only be measured over a limited range—e.g., snowflakes only grow to a few millimeters and coastlines can't be measured in centimeters. Our measurements are no exception, and we were spatially limited in quantification of fractal structure on the on the upper end by the finite width of tumors at ~3–5 cm, and the lower end by MRI voxel edge length of 1 mm. In future studies, one might attempt to work around this lower limit by obtaining smaller voxel sizes with higher field magnets (e.g., 7T).

Because contrast enhancement reflects fragile neovascularization within the glioblastoma tumor, the fractal measurement may directly reflect microvascular structure and angiogenic drive, and indirectly reflect genetic expression profiles of the tumor. Indeed, a correlation between fractal structure and underlying tumor biology was found; increases in the fractal dimension of contrast enhancement correlate with decreased oxidative pathway gene set expression (a shift to glycolytic metabolism), with increased VEGF expression (*Figure 2*). We propose two potential explanations for this, one anaerobic and one aerobic. In the anaerobic case, one might presuppose that some of these glioblastoma tumors outgrow their blood supply, forcing them to undergo an ischemia driven

glycolytic shift, while simultaneously releasing angiogenic factors like VEGF to induce rapid neovascularization. The correlation between increasing fractal dimension and increased tumor volume may suggest that larger tumors produce more ischemic drive for a glycolytic shift while releasing VEGF in an attempt to improve oxygen delivery. Conversely, there may be an aerobic explanation for our findings, independent of ischemic drive: many tumors show a distinct shift from mitochondrial oxidative phosphorylation to glycolysis for energy production, known as the "Warburg effect" (aerobic glycolysis) (20,21). This involves a number of changes in genetic expression, such as stabilization of HIF-1α, and increased expression of VEGF, GLUT1, and pyruvate dehydrogenase kinase. These changes encourage tumor growth by reducing reactive oxygen species levels, increasing ATP supply, and providing structural elements for new cell growth (20,21). With this connection in mind, future studies may measure HIF-1α and markers of metabolism using an acute frozen preparation of tumor tissue, before it is affected by ischemia at time of resection.

Predictive radiogenomic features in MRI that reflect molecular aberrations and subtypes of tumors would serve as a preliminary surrogate prior to invasive and expensive genomic tissue testing, and facilitate real-time translation/integration of genomic-based studies into the clinic. If our finding hints at a possible metabolic drive for vascularization during tumor development, in response to the Warburg effect, it begs the question: does metabolic change drive neovascularization independent of ischemic drive to do so? Administration of an anti-VEGF agent for glioblastoma results in decreased volume of tumor enhancement, but increased glycolysis and increased tumor invasion (22). This suggests that perhaps increased VEGF expression comes as a downstream effect of other glycolysis-associated changes that promote tumor growth, but that VEGF is not itself of direct benefit to the developing tumor. Conversely, inhibitors of pyruvate dehydrogenase kinase like dichloroacetate reverse the Warburg effect and potentiate formation of oxidating free radicals (23). Dichloroacetate decreases tumor invasion (24), is safe for glioblastoma patients (based upon phase 1 FDA trial) (25), and is rapidly gaining popularity as an adjunct agent in glioblastoma therapy (24,26-28). HIF-1α is a transcription factor that increases transcription of VEGF, enzymes of glycolysis, and glucose transporters; many tumors exhibiting the Warburg effect have loss-of-function mutations in enzymes that degrade HIF-1α (21). Initiation of HIF-1α translation is blocked the agent aurraptene, which has been shown to inhibit glycolytic and mitochondrial

metabolism, decrease cell motility, and inhibit VEGF-induced neovascularization (29). Tumors with higher fractal dimension in enhancement structure may be selectively susceptible to administration of dichloroacetate, auraptene, and other emerging agents. Pending further exploration, this fractal-dimension measurement might help to non-surgically identify which patients would benefit from these agents.

Conclusions

Quantification of fractal structure in tumor enhancement is a new type of methodology for the emerging radiogenomic toolbox, and may be of direct clinical benefit if it can guide therapies without need for tissue sample. We observed fractal structure in the volumetric contrast enhancement pattern of glioblastoma tumors, with an average fractal dimension of approximately 2.15, but variation from ~2.0–2.3. Higher fractal dimension correlated specifically with decreased gene expression for oxidative metabolic pathways on GSEA analysis, and also correlated with increased VEGF expression by immunostaining. Together, these measurements suggest that increased fractal dimension of glioblastoma enhancement reflects a glycolytic shift in tumor, and may indicate which patients would benefit from emerging anti-glycolysis agents like auraptene and dichloroacetate.

Acknowledgments

Footnote

Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at http://dx.doi.org/10.21037/tcr.2017.10.15). The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by institutional review board (approval number 09-420). All patients provided an informed consent for the (anonymized) genetical/biological analyses of their tumor samples and the use of the relevant clinical/radiological information.

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Supplemental comment on fitting

Prior to any calculation, we chose a set of steps to maximize the simplicity and stability of the scaling exponent fit, with as few assumptions as possible. Our technique for estimating the scaling exponent (fractal dimension) of each tumor is based upon a standard calculation to quantify the amount of "roughness in detail" for volumetric images at a variety of magnifications. As illustrated in *Figure 1*, cubes of various sizes are overlaid on the contrast-thresholded volume. This is an implementation of what is commonly called a "box-counting approach" (14,15).

We chose $\ell = 2$ voxels for the lower limit of cube size (instead of $\ell = 1$), so that the edge effect from thresholding across smooth contrast gradations is robust against the exact choice of threshold. To determine an upper limit for cube size, we fit each thresholded tumor contrast volume with a bounding box (rectangular cuboid). The upper limit for cube size was then set to the largest power of 2 less than the middle dimension of the bounding box. This ensured that cubes at the upper limit would still resolve at least some structure in the tumor, rather than simply a cube containing the whole tumor volume. We chose a fixed grid corresponding to the native volume of the MRI instead of several other potential alternatives. For example, if a grid corresponding to the principal axes of the tumor were preferred, then the MRI would have to be resliced—which introduces correlations when calculating the interpolation to new voxel space. In principle, a variable grid origin could be chosen for each cube size, but we defer this to future study.

We chose cubes of edge length of edge length $\ell \propto 2n$ voxels. Our reason for this was to have even density of points on the log-log plot, prior to least-squares fit. A common mistake when performing this type of fit would be to calculate the number of cubes needed to cover the thresholded volume with a linear density of points (e.g., $\ell \propto n$), and apply a global least squares fit. However, on a log-log plot, that assigns too much weight to the highest density of data points, at higher cube sizes, where the orientation of the principal axes of the tumor to the grid orientation, and relatively few number of cubes needed to cover the contrast, make the data noisiest. In future studies, with finer voxel resolution (from 7T scanners), we plan to employ more robust metrics to make these exponent fits (30).

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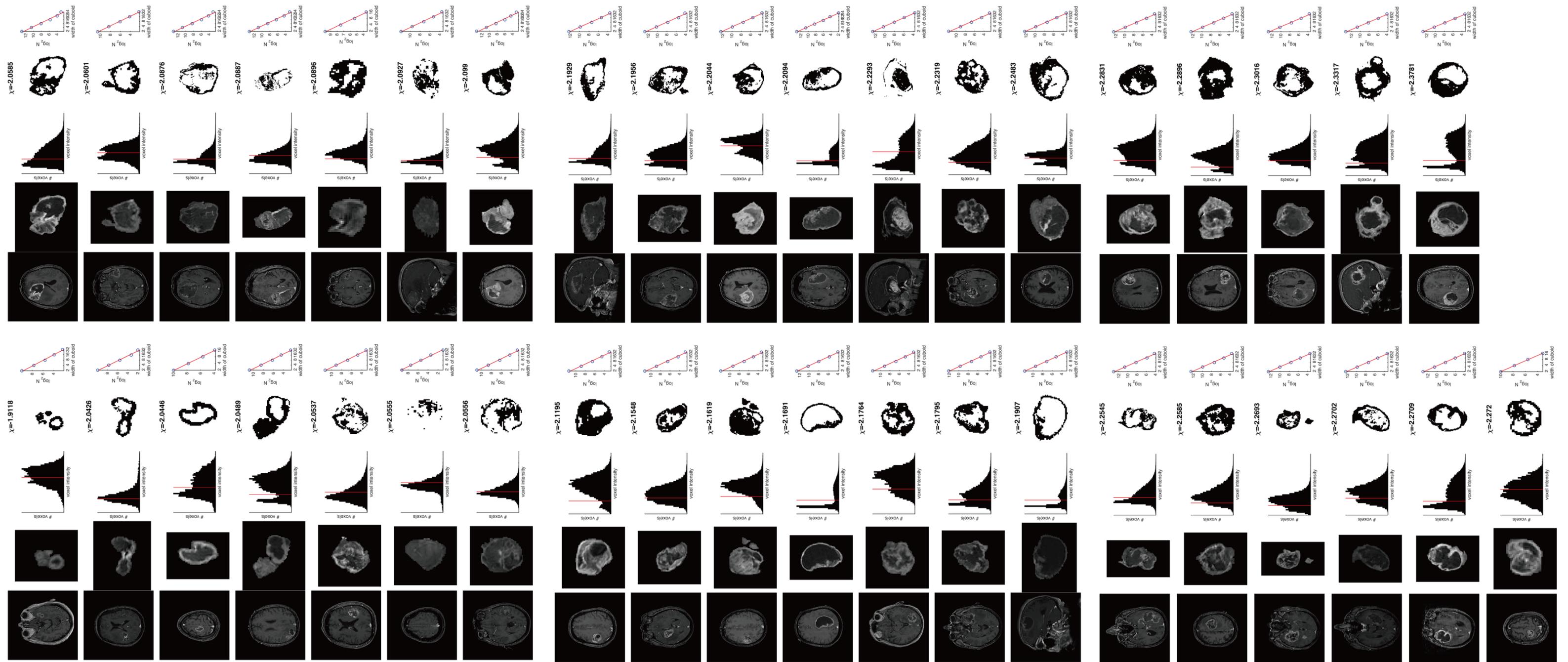
Figure S1 Exponents for all tumors, sorted by estimated exponent, with representative cross-section, as in *Figure 1* of the main text.
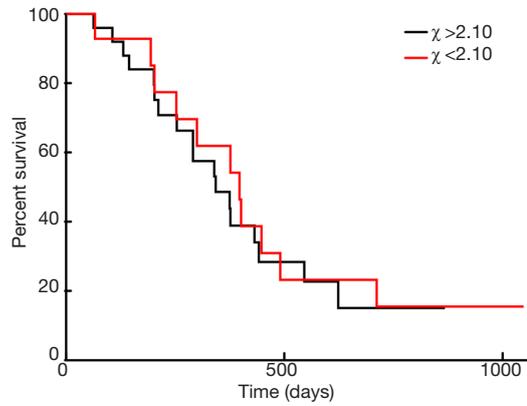
Figure S2 Kaplan-Meir survival curve. There is no significant difference in survival for the χ =2.10 split.

Figure S3 Plots of estimate FDR for gene sets. (A) Plot of estimate FDR for all 4,080 gene sets, after sorting by FDR. (B) Plot of lowest 100 FDR gene sets. Note that only 6 gene sets meet criteria of P<0.001 and FDR <0.15. Red dot indicates P<0.001. See http://www.broadinstitute.org/cancer/software/gsea/wiki/index.php/FAQ for further detail.

Table S1 Patient characteristics

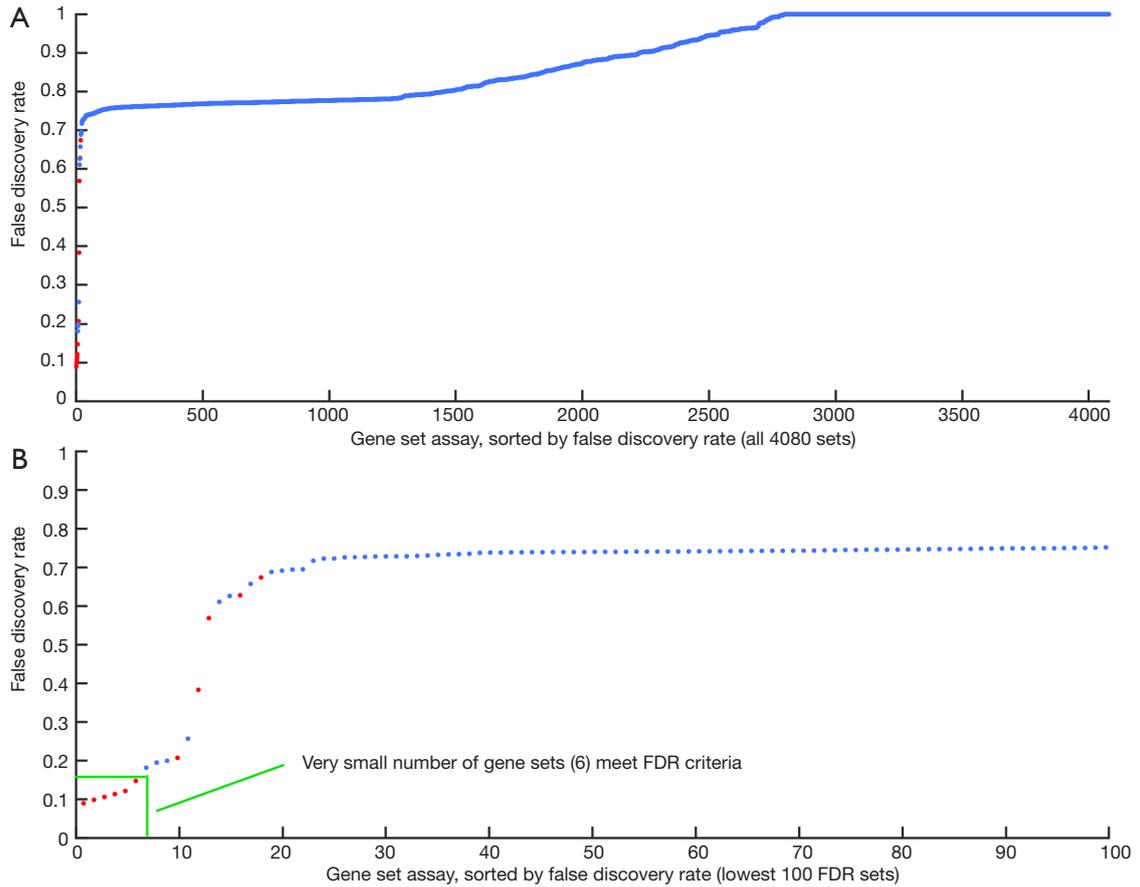| Subject	Gender	Age (years)	PreOp KPS	Initial PostOp treatment	Tumor volume (cc)	Survival (days)	Fractal dimension	Fractal dimension >2.1
1	M	60	90	Stupp	199	802	2.0556	0
2	M	48	90	Stupp	107	377	2.0446	0
3	M	57	50	XRT	1079	254	2.0876	0
4	M	59	70	Stupp	142	432	2.0489	0
5	F	59	95	Stupp	999	211	2.099	0
6	M	66	80	Stupp	158	343	2.0601	0
7	M	39	50	Stupp	430	194	2.0927	0
8	M	49	70	Stupp	873	291	2.0585	0
9	M	63	70	XRT	68	671	2.0896	0
10	F	64	60	XRT	78	340	2.0426	0
11	F	47	80	Stupp	97	434	2.0555	0
12	M	55	80	Stupp	334	375	2.0537	0
13	M	67	80	XRT	95	63	1.9118	0
14	M	64	60	Stupp	1220	624	2.0887	0
15	F	64	90	Stupp	524	377	2.2831	1
16	F	40	60	Stupp	482	253	2.2585	1
17	M	62	80	Stupp	428	442	2.1795	1
18	M	46	90	Stupp	729	491	2.3781	1
19	F	56	80	Stupp	802	300	2.3317	1
20	F	81	60	XRT	431	106	2.1907	1
21	F	74	90	Stupp	458	1191	2.2896	1
22	F	60	70	Stupp	220	201	2.1195	1
23	M	70	80	XRT	516	401	2.2483	1
24	F	55	100	Stupp	221	371	2.1548	1
25	F	65	60	Stupp	263	202	2.2319	1
26	M	73	90	Stupp	276	546	2.1764	1
27	M	71	60	XRT	952	194	2.2545	1
28	F	67	50	XRT	911	131	2.1691	1
29	F	76	90	0	911	66	2.2709	1
30	M	70	90	Stupp	113	948	2.272	1
31	F	66	70	Stupp	519	398	2.2693	1
32	M	48	80	Stupp	868	448	2.2293	1
33	M	69	90	Stupp	1036	381	2.2094	1
34	M	63	80	Stupp	498	291	2.1929	1
35	F	60	80	Stupp	315	868	2.1619	1
36	F	63	60	XRT	628	202	2.1956	1
37	F	63	90	XRT	638	148	2.3016	1
38	M	71	80	Stupp	465	712	2.2702	1
39	F	59	80	XRT	600	144	2.2044	1