

Circulating cell free deoxyribonucleic acid for tracking early treatment response and disease progression in advanced cancers

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Abstract: Cell free DNA (cfDNA) detected in the blood of cancer patients has important potential applications in cancer diagnosis, prognosis and precision medicine. A small proportion of cfDNA originating from tumour cells, known as cell free tumour DNA (ctDNA), has been shown to closely match the genomic profiles of tumour cells. ctDNA has demonstrated preliminary but promising results as an early on-treatment predictor of treatment response and as a means of tracking disease progression/treatment resistance in advanced cancers. However, the current studies are relatively small and use variable methods for detecting ctDNA and evaluating the performance of the approach. The ctDNA approach needs further developmental work in terms of standardization of ctDNA quantitative methods and techniques and harmonization of methods for evaluating predictive performance and results reporting. Replication of findings in large independent studies with pre-specified analysis plans are a priority direction for future research.

Keywords: Advanced cancer; biomarkers; cell free deoxyribonucleic acid (cfDNA); treatment response

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Introduction

Analysis of circulating cell free deoxyribonucleic acid (cfDNA) is a promising and emerging strategy in oncology research because of its potential to improve diagnosis and facilitate precision medicine. A favourable characteristic of cfDNA with respect to cancer patients is that it incorporates circulating tumour DNA (ctDNA) and hence provides insight into tumour biology and extent of disease from plasma or serum samples. The present review provides a perspective on the current literature pertaining to the use of ctDNA in predicting early treatment response and disease progression, as well as tracking disease progression in advanced cancers.

cfDNA

DNA, which is typically contained within the nucleus, can exit the cell and appear in extracellular fluids such as blood and lymph by a variety of cell processes (1), in particular by cell death occurring through either apoptosis or necrosis. The extracellular DNA that is found in bodily fluid is typically present as small fragments bound to proteins such as histones and is described as cfDNA.

Cell death occurring through either apoptosis or necrosis releases DNA fragments into the systemic circulation in all individuals. The overall level of cfDNA is a dynamic balance between the processes of cfDNA release and the mechanisms of DNA degradation and clearance. In

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healthy individuals, the cfDNA is rapidly cleared by various mechanisms such as degradation by blood nucleases (2) and uptake and degradation by phagocytes thereby keeping cfDNA levels low (1,3,4). However, certain conditions such as inflammation may increase DNA release into blood by either increasing the rate of cell death or reducing the clearance of cell debris (1,3,5).

Previous research has explored cfDNA in conditions such as diabetes, stroke, systemic lupus erythematosus, trauma and rheumatoid arthritis (6-10). However, the majority of cfDNA based work in medicine is still in an early research phase (11). Notably, prenatal genetic testing based on cell free foetal DNA (cffDNA) has become available since 2011 and has changed the landscape of prenatal aneuploidies testing. The test is highly sensitive and specific for most common aneuploidies and has reduced the need for sampling of foetal genetic material through more invasive techniques such as chorionic villus sampling or amniocentesis that pose a small but very significant risk of foetal loss (11-13).

cfDNA has attracted particular interest as a potential blood biomarker in cancer, often referred to as a 'liquid biopsy'. Changes in cfDNA levels of cancer patients are influenced by cancer related factors such as type of cancer, stage, grade, location and size (14-16). Additionally, cfDNA allows the possibility of longitudinal tracking of the responses to treatment.

ctDNA

Tumour cell DNA may differ from germline cell DNA as tumour cells undergo genetic alterations that include oncogene and tumour suppressor gene mutations, hypermethylation and microsatellite alterations (14,16,17). The DNA released by tumour cells contains these tumour specific genetic alterations and thus a proportion of cfDNA found in biological fluids of a cancer patient contain tumour specific mutated fragments that are called circulating cell free ctDNA. Apart from the primary tumour tissue, tumour cells that are circulating in the blood and metastatic deposits present at distant sites also release ctDNA (3-5).

ctDNA often constitutes a very small proportion of cfDNA, being as low as 0.005% (18) and hence highly sensitive detection methods is a prerequisite for ctDNA based applications. Recent advances in sequencing technologies have led to the development of highly sensitive and specific methods to detect ctDNA at frequencies as low as 0.001% (19,20). The major focus of ctDNA application to date has been on identifying tumour mutations that guide treatment selection. This may eventually enable surgical tumour biopsies to be avoided and is particularly important for cancers where tumour biopsies are difficult to obtain such as lung cancer. Two ctDNA based diagnostic tests have already been approved by FDA for EGFR mutation testing for treatment selection in patients with non-small-cell lung cancer (21).

More recently, the value of ctDNA as a biomarker is being explored for a range of distinct clinical applications such as cancer screening, confirming diagnosis, tracking treatment response and tracking disease progression. This review specifically focuses on the validity and utility of cfDNA and ctDNA in predicting early treatment response and in tracking disease progression in advanced cancers.

Monitoring treatment response to cancer medicines

Treatment monitoring is an essential part of clinical management that helps to establish therapeutic effectiveness. Treatment response to cancer medicines is often monitored by physical examination, serial radiological imaging and in selected cancers by circulating tumour markers (22-25).

Response evaluation criteria in solid tumour (RECIST), which is based on radiological imaging, is the current gold standard for monitoring treatment response in the setting of advanced cancer. The RECIST guidelines categorises treatment response as complete response (CR; undetectable tumour), partial response (PR; >30% decrease in target tumour size), progressive disease (PD; >20% increase in target tumour size) or stable disease (SD; neither sufficient tumour shrinkage to qualify for PR nor sufficient increase to qualify for PD) (26). Radiological imaging is generally performed every 2-6 months depending on the type of cancer (22,24) and hence there is an opportunity to track response more frequently. Additionally, radiological imaging may be limited by insensitivity to small lesions (<10 mm), inter-scorer variability, the significant costs and patient exposure to potentially harmful radiation.

For a limited number of cancers circulating blood markers are currently used to track treatment response. This includes prostate specific antigen (PSA) for prostate cancer, carcinoembryonic antigen (CEA) and cancer antigen 15-3 (CA 15-3) for breast cancer. These biomarkers are not generalizable to all cancer types and often lack sufficient sensitivity and specificity to monitor treatment response in isolation (27). For example, CA 15-3 and CEA are only

					Re	Results	
Reference	cancer type, treatment	Patients	crunA sample schedule	cfDNA trend during treatment	Res	Response using cfDNA vs. imaging	ging
Kumar et al. (29)	NSCLC; paclitaxel + carboplatin; cisplatin + etoposide; gemcitabine + carboplatin	42	Baseline (BV1), before cycle 2 (BV2) and 3 (BV3)	Significantly lower cfDNA levels at BV2 and BV3 in responders	Prediction of insufficient response at BV1: ROC AUC (0.657), cut-off value (121.1 ng/mL), sensitivity (26.9%), specificity (100%)	Prediction of insufficient response at BV2: ROC AUC (0.805), cut-off value (105.8 ng/mL), sensitivity (50.0%), specificity (100%)	Prediction of insufficient response at BV3: ROC AUC (0.954), cut-off value (93.8 ng/mL), sensitivity (80.8%), specificity (100%)
Feng <i>et al.</i> (30)	mcRCC, sorafenib	8	Baseline and then every 4 weeks till week 24	A decrease in cfDNA levels in responders and increase in non- responders	Disease control (PR + SD) <i>v</i> s. non-re levels in responders at week 8 (P=0. (P=0.009) and at week 24 (P=0.002)	Disease control (PR + SD) vs. non-responders (PD); significantly lower cfDNA levels in responders at week 8 (P=0.021), week 12 (P=0.013), at week 16 (P=0.009) and at week 24 (P=0.002)	significantly lower cfDNA >=0.013), at week 16

כ 5 bar ŕ D D PU, progressive VSCLC, non-small cell lung cancer; mcRCC, metastatic renal cell carcinoma. UNA; curve; ctUNA, circulating cell tree 1De area under AUC,

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recommended to be used in conjunction with diagnostic imaging, medical history and physical examination for treatment monitoring and decision making (27,28).

There are two major aspects of monitoring treatment response to cancer medicines. Firstly, tracking initial response to treatment, and secondly, tracking loss of response and the resulting progression of the disease. The use of ctDNA to track both initial response and disease progression for advanced cancers presents a significant opportunity. To date there are no reviews that describe usefulness of cfDNA and ctDNA in predicting early treatment response and in tracking early disease progression across a range of advanced cancers.

Literature search

Studies were searched on PubMed, Scopus, Google Scholar, and Google using the keywords ['cell free DNA', 'circulating DNA', 'circulating cell free DNA', 'cfDNA', 'ctDNA', 'cell free tumour DNA' or 'ctDNA'] and ['treatment response' or 'early response'] and ['metastatic cancer', 'metastatic disease' or 'advanced cancer']. The search results were screened by the title of the study followed by the abstract. The selection criteria included the studies that have collected at least one blood sample after treatment initiation and have reported treatment response and/or disease progression. In total, there were 16 original studies that were identified as relevant and were considered for this review.

Early on-treatment prediction of treatment response

CfDNA approach

Two small studies have reported promising preliminary results suggesting cfDNA may predict treatment response as early as 4 weeks after commencing treatment in advanced cancers (*Table 1*). Early in chemotherapy treatment (week 4 and 8) for non-small cell lung cancer (NSCLC) patients (n=42), the cfDNA levels were significantly lower for patients who would eventually respond (best overall response of PR or CR by imaging) compared to patients who would not respond (29). Similarly, renal cell carcinoma patients using sorafenib (n=18) that had radiologically confirmed disease control (partial response or stable disease) at week 12 were found to have decreased cfDNA levels at week 8. In contrast, patients with progressive disease had increase in cfDNA levels at week 8 (30).

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These results indicate that quantitative changes in total cfDNA levels during early treatment can potentially predict treatment response. While, the results are encouraging; there are distinct differences observed in the studies. Kumar et al. showed decrease in cfDNA in all the patients following treatment with the degree of cfDNA reduction being the discriminator between responders and non-responders. In contrast, Feng and colleagues observed an increased cfDNA in some individuals and grouped patients on the basis of increase or decrease in cfDNA (29,30). This indicates that cfDNA trends and cut points may vary between types of cancers and treatments. Further studies are required across a range of cancers and treatment types before any common patterns can be identified. Future studies should employ sufficiently large number of patients to validate the preliminary results reported in these two studies. The reporting results also needs to be standardised. For example, Feng et al. has not reported the sensitivity and specificity of predicting early response making it difficult to compare results (30).

Circulating tumour or hypermethylated cfDNA approaches

Eight studies have reported the evaluation of ctDNA or hypermethylated cfDNA (mcfDNA) as an early marker of treatment response in advanced colorectal cancer, lung cancer and melanoma (Table 2). Two different approaches to analysing and reporting the association between ctDNA and response were used. The first approach was based on the relative ctDNA levels between baseline and the postbaseline time point, and commonly a 2-fold reduction in ctDNA was used as the cut-point. The second approach was to group ctDNA levels in 3 different categories based on patterns baseline and post-baseline levels-for example, undetectable ctDNA levels at baseline & during therapy, detectable at baseline but undetectable during early therapy or detectable ctDNA at baseline and during therapy (35). In addition, one study used detectable or undetectable level of mcfDNA as a biomarker of response. Most of these studies were relatively small (n<100), with the exception of the study of hypermethylation.

Both methods of ctDNA change indicated that early changes in ctDNA levels can be predictive of treatment response (*Table 2*). For example, a 10-fold ctDNA decrease following treatment predicted the treatment response with PPV of 65.2% and NPV of 73.7% at 2–3 weeks posttreatment for patients with advanced colorectal cancer (31). Patterns of ctDNA changes also predicted early treatment response with reasonably high sensitivity and specificity. In addition, hypermethylation approach was highly specific (NPV at week 2–3=98&94 for week 12 and 24, respectively) at predicting early treatment response (32).

There is a considerable amount of work needed to standardise the use of ctDNA based 'liquid biopsies' in clinical practice. The studies performed so far have employed distinct methods thereby making it difficult to compare and aggregate the findings. For example, some studies have utilised absolute copy numbers of ctDNA whereas others have utilised relative ctDNA levels (i.e., as a fraction of total cfDNA) (35,38). Given the high inter and intra-patient variabilities in ctDNA levels, it may be more appropriate to undertake a head-to-head comparison of methods to evaluate the best approach for ctDNA analysis and reporting.

The blood sampling schedules were also variable across the studies. Some studies had relatively intensive sampling schedules, collecting multiple samples in first 2 weeks of the treatment while others did not collect any samples until week 4-8 (31,34,36). Intensive sampling during the first few weeks of the treatment is generally the preferred approach as it enables response prediction at very early stage in the treatment. Harmonising sample collection schedules across studies of the same cancer and treatment type will facilitate the comparison and aggregation of results between studies. In addition, studies employed different statistical approaches to assess and report associations. Some studies reported sensitivity, specificity, PPV and NPV of ctDNA levels in predicting early treatment response whereas others only reported the statistical significance of the association between ctDNA results and radiological imaging (34,36-38). A broad consensus should be formed around reporting the results of pre-specified parameters that generally should include the sensitivity and specificity of ctDNA approaches.

Tracking disease progression and/or treatment resistance

Nine studies have reported on the use of ctDNA to track disease progression or treatment resistance. Two different approaches or a combination of thereof were used to monitor disease progression. The first approach comprises quantitatively monitoring the ctDNA levels of the known baseline tumour mutations over a period of time to identify increases in ctDNA levels corresponding the disease progression. The other approach encompasses monitoring for the appearance or amplification of secondary/acquired

Table 2 $ctDN_{\perp}$	Table 2 ctDNA measurements in early treatment phase to predict treatment response in advance cancers	arly tre	eatment phase to pr	edict treatment	response in ad	vance cancers	
Study	Treatment	z	Sample schedule	Mutations targeted	Sensitivity of ctDNA*	Comparison	Results
Colorectal cancer Tie <i>et al.</i> (31) C o b b	olorectal cancer Tie <i>et al.</i> (31) Oxaliplatin or irinotecan based chemo ± bevacizumab	42	Day 3 and before cycle 2 (14–21 days)	Mutations identified in tissue.	92.3%	< or >10-fold reduction in ctDNA levels from baseline	≥10-fold reduction vs. imaging before cycle 2: ROC AUC (0.73, P=0.004), sensitivity (74%), specificity (65%), PPV (65.2%), NPV (73.7%)
Herbst et al. (32)	Fluoropyrimidine, oxaliplatin and bevacizumab		467 After 2–3 weeks	HPP1 gene methylation	reported	Detectable vs. undetectable mcfDNA	Undetectable mcfDNA vs. Undetectable mcfDNA imaging (week 12): vs. imaging (week ROC AUC (0.77), 24): ROC AUC (0.71), sensitivity (81.3%), sensitivity: (69.4%), sensitivity (66%), PPV (16.5%), NPV (97.7%) (94.4%)
Melanoma							~
Xi et al. (33)	TIL immunotherapy	0 ೮	At every follow- up visit. 2 samples from first month used for analysis	BRAF V600E	Not reported	3 patterns: (I) early peak with early clearing; (II) early peak with no clearing; (III) no or min, peak with/without clearing	Pattern 1&3 vs. radiological confirmed CR: sensitivity (90%) (9/10 patients with radiologically confirmed CR showed pattern 1), specificity (94%) (15/16 patients that showed pattern 3 did not achieve radiologically confirmed CR), PPV: not reported, NPV: not reported
Gray et al. (34)	Vemurafenib, dabrafenib + trametinib, ipilimumab, nivolumab, pembrolizumab or ipilimumab +	52	25 Week 4 and 8	<i>BRAF</i> V600E or V600K	V600E: 65%; V600K: 88%	< or >10-fold reduction in ctDNA levels from baseline	MAPKi: all patients had significant decrease. Significant association between 10-fold reduction in ctDNA and response (mann-whitney U test, P=0.0071); immunotherapy: no significant results
Lee <i>et al.</i> (35)	Pembrolizumab or nivolumab ± ipilimumab	86	At several time- points up to 12 weeks	All BRAF, NRAS and KIT mutations	66%	3 patterns: (I) undetectable ctDNA levels at baseline & during therapy; (II) detectable at baseline but undetectable during early therapy; (III) detectable ctDNA at baseline and during therapy	Undetectable ctDNA at baseline or during therapy vs. imaging at week 12: sensitivity (79%), specificity (94%), PPV (98%), NPV (59%)
Table 2 (continued)	(pən						

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Study	Treatment	z	Sample schedule	Mutations targeted	Sensitivity of ctDNA*	Comparison	Results
Lung cancer							
Imamura et al. (36)	Gefitinib or erlotinib	21	21 On day 2-4 (P1), Exons 19, 20 70% day 8 (P2) & on and 21 of the day 15 (P3) <i>EGFR</i> gene	Exons 19, 20 and 21 of the <i>EGFR</i> gene	20%	% change in ctDNA relative to baseline levels	% change in ctDNA relative to ctDNA level reduction to less than 10% of original baseline levels at P3 vs. imaging: sensitivity (84.6%)
Imamura et al. (37)	Gefitinib or erlotinib	38	38 Every 2 months, shorter interval during 1 st month	Exons 19, 20 70% and 21 of the <i>EGFR</i> gene	70%	Ratio of ctDNA levels during the first 6 months to baseline levels	ctDNA level reduction in patients with response vs. imaging: all patients with response had less than 10% of baseline levels
Kato <i>et al.</i> (38)	Gefitinib or erlotinib	30	30 At week 2 and then every 2 months till PD	Exons 19, 20 72.3% and 21 of the <i>EGFR</i> gene	72.3%	Ratio of ctDNA levels during early treatment to baseline levels	ctDNA level reduction to <10% vs. imaging: sensitivity to detect responders (78%); ctDNA level >10% vs. imaging: sensitivity to detect non- responders (100%)
*, proportion (HPP1, hyperp	of individuals with t igmentation progre	umou	r mutation that are 1 gene; NPV, negai	detected in cfD tive predictive v	NA. AUC, are alue; PPV, po	aa under the curve; cfDNA, circul sitive predictive value; ROC, reco	*, proportion of individuals with tumour mutation that are detected in cfDNA. AUC, area under the curve; cfDNA, circulating cell free DNA; ctDNA, circulating tumour DNA, HPP1, hyperpigmentation progressive 1 gene; NPV, negative predictive value; PPV, positive predictive value; ROC, receiver operating characteristic, TIL, tumour infiltrating

mutations known to cause treatment resistance and/or disease progression. The first approach solely relies on quantitative changes in ctDNA levels whereas the second approach may include quantitative as well as qualitative changes in ctDNA.

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Five studies reported on the quantification of ctDNA using mutations present at baseline to track disease progression for breast cancer, lung cancer and melanoma. Across these studies, elevated levels of ctDNA (baseline tumour mutations) was detected at time of PD (as determined by radiological imaging) for 50% to 90% of patients. ctDNA (baseline tumour mutations) elevations could be detected in advance of PD by radiological imaging for 55% to 65% of patients. In this subset of patients, the degree of lead time (time disease progression or treatment resistance is detected earlier than radiological assessment) was reported variably. In breast cancer a median lead time of this subset was reported to be 5 months (39), whereas a study of patients with advanced NSCLC treated with an EGFR inhibitor reported that progression could be detected at least 100 days prior to radiological imaging for 14% of patients (38).

Five studies reported on the evaluation of ctDNA based on acquired mutations to track resistance or progressive disease for advanced breast cancer, lung cancer and melanoma (*Table 3*). At the time progressive disease was detected by radiological imaging, the acquired mutation was detected in 30% to 85% of patients, depending on the study.

Notably, for two studies both existing and acquired mutations were tracked. In a small study of patients treated with immunotherapy for melanoma, the ability of existing and acquired mutations to track disease progression was similar (42). In a moderately sized study of patients

with NSCLC treated with an EGFR inhibitor, acquired mutations were detected in approximately 29% of patients prior to or at the time of disease progression (43). In contrast, elevated levels of ctDNA based on an existing mutation were detected in about 55% of patients within the similar time frame.

The utility of the tracking ctDNA based on detection of acquired mutations is limited by its precondition of knowing the secondary or resistance causing mutations a priori. In many types of cancers, the likely acquired mutations are unknown and hence the approach may not be suitable. It may possibly be used in combination with the quantitative tracking of ctDNA based on known existing mutations and thereby possibly increase the overall sensitivity and concordance of ctDNA with radiological imaging (40). Further studies are required to evaluate the combination of

ymphocytes. CR, complete response; EGFR, epidermal growth factor receptor.

					ctDNA			Results	
Study	Treatment	z	schedule	Mutations targeted	detection sensitivity	ctUNA quantification	ctDNA to track resistance	ctDNA to track progression	Lead time
Breast cancer	er								
Dawson <i>et al.</i> (39)	Variable active treatments	30	3 weeks or more	PIK3CA and TP53	%26	Absolute	Not reported	Sensitivity: 90%; specificity: not reported	5 months (2– 9 months) in 59% of patients
Ma <i>et al.</i> (40)	Pyrotinib	18	Every 2 months till PD	HER2, TP53, PIK3CA, MTOR, PTEN	100%	Other	Indicated if: (I) recurrence or persistence of HER2 amplification or (II) emergence or ≥20% ↑ in PI3KCA/PTEN/MTOR/TP53 mutation, sensitivity (86%), concordance with imaging (82%)	Not reported	Not reported
Melanoma									
Schreue <i>et al.</i> (41)	Vemurafenib, dabrafenib or trametinib	36	Every 2 weeks for 1 st month & then every month till PD	BRAF V600E/ E2/D/K/R/M	75%	Absolute	Not reported	↑ ctDNA in PD, sensitivity (70%), specificity (100%)	63% patients with ctDNA ↑ prior to imaging
Lipson <i>et al.</i> (42)	Ipilimumab or BMS-936559	12	Every 2– 4 weeks	BRAF, NRAS, TERT and ALK	Not reported	Relative	Sensitivity: 50%, specificity: not reported	Sensitivity: 60%, Specificity: Not reported	Not reported
Gray et al. (34)	Vemurafenib, dabrafenib + trametinib, ipilimumab, nivolumab, pembrolizumab or ipilimumab + pembrolizumab	\sim	Variable serial collection	NRAS Q61K & Q61R	Not reported	Other	Acquired resistance detected in 3/7; ↑NRAS with ↑BRAF mutations	Not reported	Up to 10 weeks prior to imaging

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Table 3 (continued)

Table 3 (continued)	ntinued)								
					ctDNA			Results	
Study	Treatment	Z	schedule	targeted	detection sensitivity	duantification	ctDNA to track resistance	ctDNA to track progression	Lead time
Lung cancer	er e								
Kato <i>et al.</i> (38)	Gefitinib or erlotinib	47	 47 Every 2 weeks for 1st month & then every 2 months till PD 	<i>EGFR</i> ex19del, L858R and L861Q	72.7% overall	Absolute	Not reported	Sensitivity: 53%	3 patterns: (I) IP & PD within 100 days (39%); (II) IP preceded PD by > 100 days (14%); (III) No IP (47%)
Lee et al. (43)	Gefitinib or erlotinib	49	Every 8 weeks until PD	<i>EGFR</i> ex19del, L858R and T790M	ex19del 76.5% & L858R 70.8%	Other	Detecting acquired resistance; sensitivity (early): 16.3%, sensitivity (at PD): 12.2%Specificity: not reported	Detecting increase in primary mutation; sensitivity (early): 24.5%, sensitivity (at PD): 30.6%, specificity: not reported	2–12 months prior to imaging in 16.3% of patients using T790M and 24.5% of patients using primary mutations
Zheng et al. (44)	Gefitinib or erlotinib	117	117 Every 2 months	EGFR T790M	45.7%	Other	Detecting acquired resistance; sensitivity: 46%, specificity: not reported	Not reported	Median: 2.2 months (0.8– 6.8 months)
Absolute, a cfDNA, circ	absolute amount c ulating cell free D	of ctDI NA; c	NA; Relative, mut: tDNA, circulating	ant fraction in tota tumour DNA; EGF	al cfDNA; Otl -R-TKI, epide	her, positive or n ermal growth fac	Absolute, absolute amount of ctDNA; Relative, mutant fraction in total cfDNA; Other, positive or negative for mutation. ALK, anaplastic lymphoma kinase; BRAF, a gene; cfDNA, circulating cell free DNA; ctDNA, circulating tumour DNA; EGFR-TKI, epidermal growth factor receptor tyrosine kinase inhibitor; IP, initial point of increase, MAPK,	aplastic lymphoma kins hibitor; IP, initial point c	ase; BRAF, a gene; of increase, MAPK,

mitogen activated protein kinase; MTOR, mechanistic target of rapamycin; NRAS, a gene; PD, progressive disease; PIK3CA, phosphoinositide 3-kinas; PTEN, phosphate and tensin homolog, TERT, telomerase reverse transcriptase; TP53, tumour protein p53; EGFR, epidermal growth factor receptor; 🧍 , increase.

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S1538

these approaches.

The rule defining how progressive disease is identified base on changes in ctDNA levels differs between studies. Some studies have defined ctDNA based disease progression as an increase in ctDNA level at any time point following treatment response, whereas other studies have only considered it as a true disease progression if it has been detected within a certain time-period of radiologically diagnosed disease progression (38,39). Random fluctuations in their ctDNA levels will likely lead to the potential for false positives unless more sophisticated methods or rules are used (39). Future studies should evaluate a range of predefined rules for classifying disease progression based on ctDNA. For example, it is likely that rule based on a larger (e.g., 10-fold) or consistent ctDNA increase will reduce the risk of falsely calling disease progression.

Analytical and technical considerations

Various pre-analytical factors such as clotting, time to separate blood cells from plasma, freeze-thawing, isolation methods and storage may affect the integrity and total yield of cfDNA and subsequent ctDNA analysis (45-47). To date there has been significant pre-analytical variabilities across the conducted studies. For instance, the time to process blood cells from plasma varied from 3 to 24 hours after sample collection (31,34). The storage temperature of samples also varied from -20 to -80 °C (34,35). Additionally, the choice of using either plasma or serum for analysis may impact the results. The cfDNA concentration is 3-24 times higher in serum in comparison to plasma (48). However, cfDNA extracted from serum samples can possibly be extensively contaminated by the DNA released from immune cells during clotting (48). It is also more likely to show greater variations in cfDNA concentrations when a delay in storage occurs (48). On the other hand, cfDNA levels in plasma are low in comparison to serum but show less fluctuations to pre-analytical differences (48).

The sensitivity of ctDNA to detect the genetic alterations is quite varied across the studies. While, some studies have shown a remarkable rate of detecting the mutations in more than 90% of samples (31,39), the majority of the studies have had more modest detection rates of 65–70%. Tracking multiple mutations is one potential option for improving the proportion of patients with detectable ctDNA. The ongoing advances in the sequencing technology as well as development of new ultrasensitive detection methods may also contribute to improved ctDNA detection in the future (49,50).

Conclusions

The potential to utilise ctDNA detection in cancer treatment has emerged rapidly over the last 5 years. ctDNA has demonstrated preliminary but promising results as an early on-treatment predictor of treatment response and as a means of tracking disease progression/treatment resistance in advanced cancers. However, the current studies are relatively small in patient size and use variable approaches. Thus, it is important to replicate the findings in large independent studies with pre-specified analysis plans.

While, cfDNA displays greater inter-patient and inter-population variability than ctDNA, the approach is relatively less expensive to perform in comparison to ctDNA analysis. Other approaches that combine the use of cfDNA and ctDNA should also be considered in future work to potentially improve the predictive performance of cfDNA/ ctDNA based liquid biopsies. The ctDNA approach needs further developmental work in terms of standardisation of ctDNA quantitative methods and techniques and harmonisation of methods for evaluating predictive performance and results reporting. Quantitative analysis of ctDNA and using ctDNA to detect secondary mutations are two emerging methods to track disease progression and treatment resistance. However, both methods, singularly or in combination, require further research to improve the sensitivity and specificity as well as the concordance of the ctDNA results with radiological assessments. Future work needs to focus on evaluating the best method to define ctDNA based disease progression in different cancer types and treatments. Ongoing improvements in analytical techniques to detect and quantify ctDNA will increase the sensitivity of ctDNA to detect mutations at very low levels and improve the performance characteristics of ctDNA.

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