

Prognostic value of primary tumor surgery in *de novo* stage IV breast cancer patients with different metastatic burdens: a propensity score-matched and population-based study

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Background: Whether primary tumor surgery should be performed in breast cancer patients with metastatic disease at diagnosis has been debated for decades. This study aims to evaluate the value of primary tumor surgery with respect to the mortality of patients with *de novo* stage IV breast cancer and to define the heterogeneity of this population.

Methods: *De novo* stage IV patients from the Surveillance, Epidemiology and End Results database (SEER) from 2010 to 2015 were included in our study. Propensity score matching (PSM) and inverse probability of treatment weighting (IPTW) were used to achieve balanced baseline characteristics. The effect of surgery was assessed by Kaplan-Meier curves and Cox regression models.

Results: Of the 11,684 patients eligible for analysis, 3,730 (31.92%) received primary tumor surgery. Multivariate Cox regression in the PSM cohort revealed that surgery was associated with better outcomes than those in the nonsurgery group in terms of overall survival (OS) [hazard ratio (HR): 0.51; 95% CI: 0.48–0.55; P<0.001] and breast cancer-specific survival (BCSS) (HR: 0.51; 95% CI: 0.47–0.55; P<0.001). IPTW analysis yielded similar results. In a subgroup analysis, surgery was associated with better survival in all subtypes with low metastatic burdens (≤2 metastatic sites), but triple-negative breast cancer with a high metastatic burden (>2 metastatic sites) did not benefit from surgery (HR: 0.78; 95% CI: 0.31–1.97, P=0.596 and 0.78, 95% CI: 0.31–1.97, P=0.596 for OS and BCSS, respectively).

Conclusions: Primary tumor surgery significantly prolonged the survival of patients with *de novo* stage IV breast cancer. However, triple-negative breast cancer patients with more than two metastatic sites may not benefit from surgery.

Keywords: *De novo* stage IV breast cancer; surgery; propensity score matching (PSM); inverse probability of treatment weighting (IPTW); survival; the Surveillance, Epidemiology and End Results database (SEER)

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Introduction

Breast cancer is the most common cancer diagnosed among women. In 2017, approximately 252,710 new cases of invasive breast cancer and 63,410 cases of in situ breast carcinoma were diagnosed in the United States (1). Overall, 5-9% of these patients were diagnosed with de novo stage IV breast cancer, which has a 10-year overall survival (OS) rate of approximately 13% (2). The current standard treatment for de novo stage IV breast cancer patients is systemic therapy, including endocrine therapy, anti-human epidermal growth factor receptor 2 (HER2) therapy and chemotherapy. However, the benefits of initial surgical treatment of the primary tumor for these patients remain unclear. According to the National Comprehensive Cancer Network, the performance of local breast surgery is reasonable in select patients who respond to initial systemic therapy (3).

A review of several retrospective studies on the prognostic value of primary site surgery showed that surgery could improve the median survival time by 1 year (4). However, a selection bias existed in the surgery group because patients with a younger age, smaller tumors, fewer comorbidities or a lower metastatic disease burden were more likely to be offered surgery (5,6). Three randomized controlled trials (RCTs) focusing on this issue reported mixed results. Only the MF07-01 trial reported evidence supporting that primary site surgery could provide a 9-month OS benefit (7). Possible explanations for the inconsistent results among RCTs include heterogeneity among *de novo* stage IV breast cancer patients and selection bias.

Therefore, we aimed to evaluate an unbiased treatment effect of primary tumor surgery in *de novo* stage IV breast cancer patients in this study by implementing propensity score matching (PSM) and inverse probability of treatment weighting (IPTW) and to explore the heterogeneity of treatment effects by subgroup analyses.

Methods

Database and patient characteristics

We conducted a retrospective case-control study of female patients diagnosed with *de novo* stage IV breast cancer between 2010 and 2015 in the Surveillance, Epidemiology and End Results database (SEER). This population was selected because it is the earliest cohort with complete records of HER2 status. The analysis was restricted to microscopically confirmed ductal, lobular and combined carcinoma (ICD-O-3: 8500-8543). Borderline estrogen receptor (ER), progesterone receptor (PR) and HER2 statuses were defined as "unknown". Patients with multiple primary cancers and those who underwent surgery at distant sites were excluded. Overall, 11,684 patients were eligible for this study and were classified as the training set.

Our study was approved by an independent ethical committee review board at Fudan University Shanghai Cancer Center (Shanghai Cancer Center Ethical Committee).

Statistical methods

Baseline characteristics were compared between patients who underwent surgery at the primary tumor site (the surgery group) and those who did not undergo surgery (the nonsurgery group) using Pearson's χ^2 test and the absolute standard difference. A multivariate logistic regression model was used to process predictors of primary tumor surgery.

To reduce the confounding bias of the baseline characteristics, we performed 1:1 PSM in the original cohort. The propensity score of the whole cohort was calculated by a multivariable logistic regression model using factors associated with surgery (multivariable logistic regression analysis P<0.05) or survival (multivariable Cox regression analysis P<0.05) as follows: age, race, marital status, tumor size, N stage, tumor grade, breast cancer subtype, number of metastases, and treatment. Nearestneighbor matching without replacement was used to perform matching, and the caliper was set to 0.02. In addition, IPTW, a method based on propensity scoring used to balance baseline variables without loss of samples, was used to further reduce the impact of a selection bias. IPTW is regarded as a precise method that estimates treatment effects on time-to-event outcomes (8). Each patient was weighted by stabilized IPTW after the propensity score was generated, and stabilized IPTW was defined as previously described (9).

OS and breast cancer-specific survival (BCSS) were compared between groups using the Kaplan-Meier method. A multivariate Cox regression model was used to determine the independent risk factors of BCSS and OS. Survival analyses of the IPTW-adjusted cohort were performed using the adjusted Kaplan-Meier estimator and log-rank test (10). The IPTW-adjusted hazard ratio (HR) was calculated by multivariate Cox regression analyses to estimate the treatment effect in the IPTW-adjusted cohort.

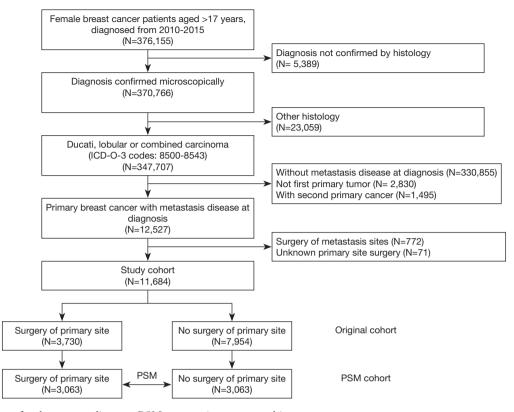


Figure 1 Flow chart for the consort diagram. PSM, propensity score matching.

Finally, we performed a subgroup analysis of patients with different metastatic patterns. Metastatic sites were defined according to bone, lung, liver and brain metastasis statuses as coded in the SEER database. The original cohort was divided into two subgroups: ≤2 metastatic sites and >2 metastatic sites. The baseline characteristics were rebalanced in the two groups by PSM, and the HR of surgery versus nonsurgery for each subtype was calculated to estimate the treatment effect in patients with different patterns of metastasis and tumor subtypes.

Statistical analyses were performed using R statistical software, version 3.3.4 (www.r-project.org). A two-sided P value less than 0.05 indicated a statistically significant difference.

Results

Characteristics of de novo stage IV breast cancer patients and factors associated with surgery

We identified 11,684 *de novo* stage IV breast cancer patients, 3,730 (31.92%) of whom underwent surgery at the primary

tumor site (*Figure 1*). The baseline characteristics of these patients are listed in *Table 1*. The patients who underwent surgery were younger, had more positive lymph nodes, were more frequently diagnosed with TNBC and had fewer metastatic sites. Regarding treatment, these patients were more likely to receive radiation combined with chemotherapy. The commonly used surgical procedures were modified radical mastectomy (1,707, 45.50%), breast-conserving surgery (1,091, 29.08%) and total mastectomy (823, 21.93%). Multivariate logistic regression revealed that age, tumor size, lymph node status, tumor grade, the number of metastases and treatment were associated with surgery selection (*Table 1*).

Adjustment for baseline biases using PSM and IPTW

Significant differences among several baseline characteristics (*Table 1*, Pearson's χ^2 test P<0.05) and the absolute standard differences of several covariates were larger than 10% (*Figure S1*), indicating an imbalance in the demographic and clinicopathological characteristics between the surgery and nonsurgery groups. After PSM, 6,126 patients were

Table 1 Clinicopathologic characteristics and biases of patients with *de novo* stage IV breast cancer in the original cohort and in the cohort after propensity score matching

	Oriç	ginal cohort		Logistic regression primary surge		PSM cohort			
Characteristics	No surgery for the primary tumor (N=7,954), n (%)		Pª	Odds ratio (surgery vs. nonsurgery)	P⁵	No surgery for the primary tumor (N=3,063), n (%)		Pª	
Age			<0.001		<0.001			0.716	
≤55 years	2,625 (33.0)	1,634 (43.8)		Reference		1,241 (40.5)	1,256 (41.0)		
>55 years	5,329 (67.0)	2,096 (56.2)		0.83 (0.76–0.91)		1,822 (59.5)	1,807 (59.0)		
Race			0.143		0.772			0.421	
Caucasian	5,917 (74.4)	2,758 (73.9)		Reference		2,266 (74.0)	2,238 (73.1)		
African-American	1,377 (17.3)	638 (17.1)		0.91 (0.80–1.02)		542 (17.7)	538 (17.6)		
Other	624 (7.8)	325 (8.7)		0.94 (0.80–1.10)		250 (8.2)	278 (9.1)		
Unknown	36 (0.5)	9 (0.2)		0.65 (0.27–1.41)		5 (0.2)	9 (0.3)		
Marital status			<0.001		0.014			0.793	
Married	3,222 (40.5)	1,779 (47.7)		Reference		1,388 (45.3)	1,368 (44.7)		
Other	4,264 (53.6)	1,752 (47.0)		0.86 (0.78–0.94)		1,505 (49.1)	1,515 (49.5)		
Unknown	468 (5.9)	199 (5.3)		1.06 (0.87–1.29)		170 (5.6)	180 (5.9)		
Size			<0.001		<0.001			0.881	
≤5 cm	4,005 (50.4)	2,163 (58.0)		Reference		1,759 (57.4)	1,740 (56.8)		
>5 cm	2,246 (28.2)	1,302 (34.9)		0.88 (0.80–0.97)		1,052 (34.3)	1,065 (34.8)		
Unknown	1,703 (21.4)	265 (7.1)		0.33 (0.28–0.38)		252 (8.2)	258 (8.4)		
Ν			<0.001		<0.001			0.607	
NO	1,815 (22.8)	587 (15.7)		Reference		567 (18.5)	557 (18.2)		
N1	3,749 (47.1)	1,435 (38.5)		1.02 (0.90–1.15)		1,303 (42.5)	1,336 (43.6)		
N2-N3	1,509 (19.0)	1,606 (43.1)		2.72 (2.39–3.09)		1,075 (35.1)	1,069 (34.9)		
Unknown	881 (11.1)	102 (2.7)		0.52 (0.41–0.66)		118 (3.9)	101 (3.3)		
Tumor grade			<0.001		0.001			0.801	
Grade 1	3,562 (44.8)	1,410 (37.8)		Reference		1,262 (41.2)	1,239 (40.5)		
Grades 3–4	3,019 (38.0)	2,093 (56.1)		1.46 (1.33–1.61)		1,575 (51.4)	1,601 (52.3)		
Unknown	1,373 (17.3)	227 (6.1)		0.50 (0.42–0.59)		226 (7.4)	223 (7.3)		
Subtype ^c			<0.001		0.187			0.95	
HR⁺/HER2⁻	4,391 (55.2)	1,884 (50.5)		Reference		1,562 (51.0)	1,543 (50.4)		
HR ⁺ /HER2 ⁺	1,298 (16.3)	639 (17.1)		0.92 (0.81–1.04)		528 (17.2)	541 (17.7)		
HR⁻/HER2⁺	670 (8.4)	391 (10.5)		1.00 (0.86–1.17)		311 (10.2)	324 (10.6)		
TNBC	859 (10.8)	604 (16.2)		1.15 (1.00–1.32)		470 (15.3)	459 (15.0)		
Unknown	736 (9.3)	212 (5.7)		0.99 (0.82–1.18)		192 (6.3)	196 (6.4)		

Table 1 (continued)

 \mathbf{P}^{a}

0.656

0.587

Oriç	jinal cohort		e e		PSM cohort		
		Pª	Odds ratio (surgery vs. nonsurgery)	P ^b	No surgery for the primary tumor (N=3,063), n (%)	Surgery for the primary tumor (N=3,063), n (%)	
		<0.001		0.003			
6,012 (75.6)	2,852 (76.5)		Reference		2,405 (78.5)	2,379 (77.7)	
793 (10.0)	128 (3.4)		0.28 (0.22–0.34)		116 (3.8)	127 (4.1)	
1,149 (14.4)	750 (20.1)		1.41 (1.26–1.59)		542 (17.7)	557 (18.2)	
		<0.001		<0.001			
2,950 (37.1)	769 (20.6)		Reference		723 (23.6)	744 (24.3)	
1,048 (13.2)	368 (9.9)		1.34 (1.15–1.56)		353 (11.5)	326 (10.6)	
2,929 (36.8)	1,328 (35.6)		1.36 (1.21–1.53)		1,237 (40.4)	1,218 (39.8)	
1,027 (12.9)	1,265 (33.9)		3.82 (3.36–4.35)		750 (24.5)	775 (25.3)	
	No surgery for the primary tumor (N=7,954), n (%) 6,012 (75.6) 793 (10.0) 1,149 (14.4) 2,950 (37.1) 1,048 (13.2) 2,929 (36.8)	the primary tumor primary tumor (N=7,954), n (%) (N=3,730), n (%) 6,012 (75.6) 2,852 (76.5) 793 (10.0) 128 (3.4) 1,149 (14.4) 750 (20.1) 2,950 (37.1) 769 (20.6) 1,048 (13.2) 368 (9.9) 2,929 (36.8) 1,328 (35.6)	No surgery for the primary tumor (N=7,954), n (%) Surgery for the primary tumor (N=3,730), n (%) P ^a <0.001	Original cohort primary surger No surgery for Surgery for the the primary tumor primary tumor (N=7,954), n (%) (N=3,730), n (%) P ^a Odds ratio (surgery vs. nonsurgery) <0.001	No surgery for the primary tumor (N=7,954), n (%) (N=3,730), n (%) P ^a Odds ratio (surgery vs. nonsurgery) P ^b <0.001	Original cohort primary surgery No surgery No surgery for the primary tumor primary tumor (N=7,954), n (%) (N=3,730), n (%) P ^a Odds ratio (surgery vs. nonsurgery) P ^b No surgery for the primary tumor (N=3,063), n (%) <0.001	Original cohort primary surgery PSM cohort No surgery for the primary tumor (N=7,954), n (%) Surgery for the primary tumor P ^a Odds ratio (surgery vs. nonsurgery) P ^b No surgery for the primary tumor (N=3,063), n (%) Surgery for the primary tumor (N=3,063), n (%) Surgery for the primary tumor (N=3,063), n (%) Surgery for the primary tumor (N=3,063), n (%) 6,012 (75.6) 2,852 (76.5) Reference 2,405 (78.5) 2,379 (77.7) 793 (10.0) 128 (3.4) 0.28 (0.22–0.34) 116 (3.8) 127 (4.1) 1,149 (14.4) 750 (20.1) 1.41 (1.26–1.59) 542 (17.7) 557 (18.2) <0.001

Table 1 (continued)

^a, the P value was assessed using Pearson's χ² test; ^b, the P value was assessed using the likelihood ratio test; ^c, HR positivity was defined based on estrogen receptor positivity or progestogen receptor positivity; ^d, chemotherapy coded in the SEER database included chemotherapy prior to and following surgery. PSM, propensity score matching; HR, hormone receptor; TNBC, triple-negative breast cancer.

successfully matched and were entered into subsequent analyses as the PSM cohort. Differences in baseline characteristics between the two groups were avoided (*Table 1*), and the absolute standard differences were <10% (*Figure S1*). After IPTW adjustment, the distributions of most demographic and clinicopathological characteristics were similar between the surgery and nonsurgery groups. The effect of the adjustment is depicted in *Figure S1*.

Clinical outcomes of primary tumor surgery

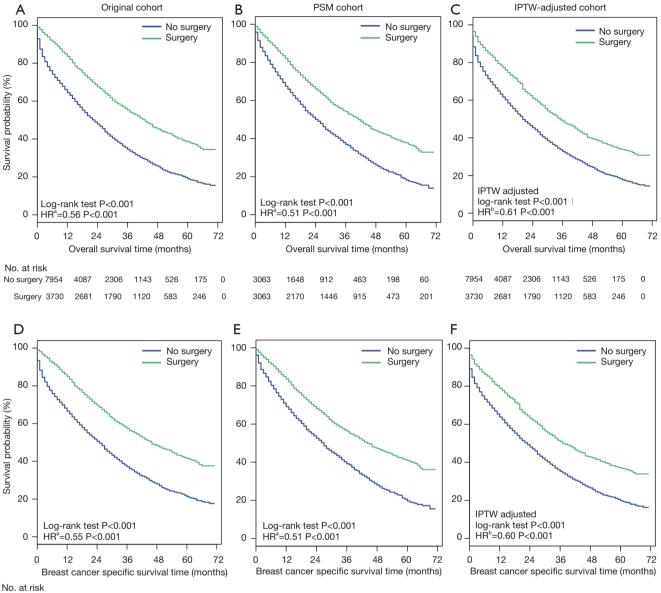
OS (*Figure 2A,B,C*) and BCSS (*Figure 2D,E,F*) were significantly longer in the surgery group in the original cohort, the PSM cohort and the IPTW-adjusted cohort. IPTW-adjusted Kaplan-Meier curves showed that the 5-year OS rate and the BCSS rate in the surgery group were significantly higher than those in the nonsurgery group [OS: 34.63% (95% CI: 28.57–40.68%) vs. 17.81% (95% CI: 12.01–23.62%); BCSS: 37.88% (95% CI: 31.7–44.05%) vs. 19.91% (95% CI: 13.85–25.97%)]. Similar results were observed in the original and PSM cohorts; the 1-, 3- and 5-year survival rates are listed in *Table S1*.

The multivariate Cox regression analyses showed that

primary tumor surgery was associated with significant benefits for OS and BCSS after adjustment by IPTW (*Table S2*, IPTW-adjusted HR: 0.61, 95% CI: 0.58–0.64; 0.60, 95% CI: 0.57–0.64 for OS and BCSS, respectively). Cox regression analysis in the original cohort and PSM cohort revealed a similar result (*Table S2*). Additionally, other variables associated with decreased OS and BCSS included age over 55 years, African-American, tumor size greater than 5 cm, tumor grades 2–3, the TNBC subtype, and more than 2 metastatic sites.

Subgroup analysis

Three cohorts were stratified into two subgroups according to the number of metastases. Survival analysis in the subgroup with ≤ 2 metastatic sites showed that primary tumor surgery can provide significant benefits for OS (*Figure S2A,B,C*) and BCSS (*Figure 3A,B,C*) after PSM and IPTW adjustment. In the subgroup with >2 metastatic sites (*Figure 3D,E,F*), no significant difference in BCSS was observed according to the IPTW-adjusted Kaplan-Meier curves (*Figure 3F*, IPTW adjusted P=0.241), but surgery was an independent factor associated with better survival



No surgery 7954 Surgery 3730 Figure 2 Survival curves of the patients in the three groups. (A,D) Original cohort; (B,E) PSM cohort; (C,F) IPTW-adjusted cohort;

(A,B,C) overall survival; (D,E,F) breast cancer-specific survival.^a, the HR was calculated based on the multivariable Cox regression model; ^b, the HR was calculated based on the IPTW-adjusted multivariable Cox regression analysis. PSM, propensity score matching; IPTW, inverse probability of treatment weighting; HR, hazard ratio.

according to IPTW-adjusted multivariate Cox regression (*Figure 3F*, IPTW-adjusted HR =0.65, P<0.001). Similar results with respect to OS were observed as shown in *Figure S2*.

Furthermore, PSM was performed for the two subgroups, which further eliminated differences in baseline characteristics (*Table S3*). The subgroup analysis showed that patients with all subtypes and no more than 2 metastatic sites could achieve better outcomes through primary tumor surgery (*Figure 4A,B*, lower panel). Hormone receptor (HR)⁺/HER2⁻ and TNBC patients with more than 2 metastatic sites who underwent primary tumor surgery exhibited no survival difference before PSM (*Figure 4A,B*, 620

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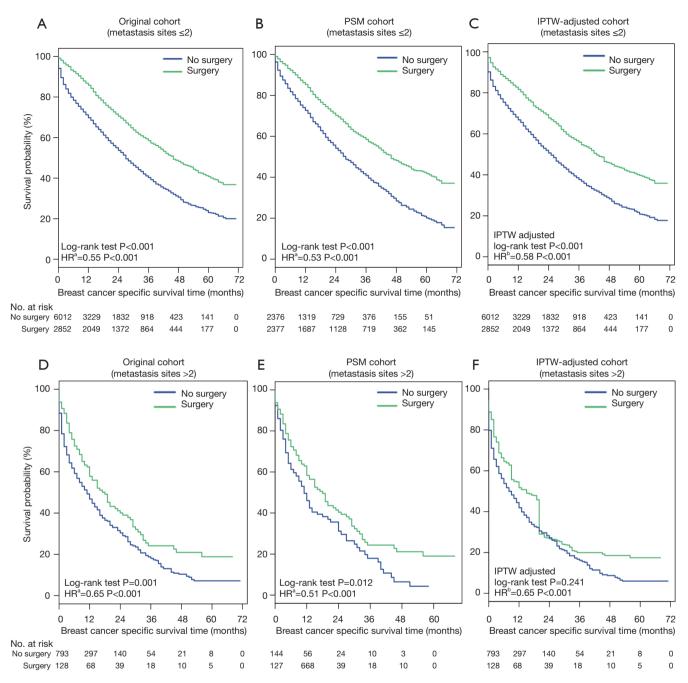


Figure 3 Breast cancer-specific survival curves of patients with different metastatic burdens. (A,B,C) Fewer than 2 metastatic sites; (D,E,F) more than 2 metastatic sites; (A,D) original cohort; (B,E) PSM cohort; (C,F) IPTW-adjusted cohort. ^a, the HR was calculated based on the multivariable Cox regression model; ^b, the HR was calculated based on the IPTW-adjusted multivariable Cox regression analysis. PSM, propensity score matching; IPTW, inverse probability of treatment weighting; HR, hazard ratio.

A

Metastasis number > 2

Metastasis number ≤ 2

В

Subtype	N	Hazor	l ratio (95% CI)	Overall survival (surgery vs no surgery)		Р
HR+/HER2- HR+/HER2+ HR-/HER2+ HR-/HER2+ TNBC	416 196 116 111	0.77 0.44 0.41 0.66	(0.53–1.11) (0.23–0.87) (0.19–0.88) (0.39–1.10)			0.158 0.019 0.023 0.111
HR+/HER2- HR+/HER2+ HR-/HER2+ HR-/HER2+ TNBC	96 38 38 35	0.56 0.11 0.14 0.78	(0.33–0.96) (0.03–0.50) (0.04–0.56) (0.31–1.97)	÷		0.035 0.004 0.005 0.596
Original HR+/HER2- OR Dort HR+/HER2+ OR HR-/HER2+ TNBC	4916 1459 750 1056	0.57 0.51 0.47 0.57	(0.51–0.63) (0.41–0.64) (0.36–0.63) (0.48–0.66)		3	<0.001 <0.001 <0.001 <0.001
HR+/HER2- HR+/HER2+ HR-/HER2+ HR-/HER2+ TNBC	2505 826 450 701	0.54 0.51 0.47 0.56	(0.48–0.61) (0.40–0.66) (0.34–0.65) (0.46–0.67)	* + +		<0.001 <0.001 <0.001 <0.001
				0 0.5 1 2	3	
Subtype	N	Hazar	d ratio (95% CI)	Breast cancer specfic survival (surgery vs no surgery)		Р
HR+/HER2- HR+/HER2+ HR-/HER2+ HR-/HER2+ TNBC	416 196 116 111	0.78 0.40 0.41 0.66	(0.53–1.13) (0.20–0.82) (0.19–0.89) (0.39–1.12)			0.184 0.012 0.025 0.122
Normalized HR+/HER2- HR+/HER2+ HR−/HER2+ TNBC	96 38 38 35	0.55 0.06 0.15 0.78	(0.32–0.95) (0.01–0.33) (0.04–0.61) (0.31–1.97)	÷		0.032 0.001 0.008 0.596
				0 0.5 1 2	3	

		Subtype	Ν	Haza	rd ratio (95% CI)	(surgery	vs no surgery)		Р
Metastasis number > 2	Original cohort	HR+/HER2– HR+/HER2+ HR–/HER2+ TNBC	416 196 116 111	0.78 0.40 0.41 0.66	(0.53–1.13) (0.20–0.82) (0.19–0.89) (0.39–1.12)		-		0.184 0.012 0.025 0.122
Metastasis	PSM cohort	HR+/HER2– HR+/HER2+ HR–/HER2+ TNBC	96 38 38 35	0.55 0.06 0.15 0.78	(0.32–0.95) (0.01–0.33) (0.04–0.61) (0.31–1.97)	÷			0.032 0.001 0.008 0.596
5	nal ort	HR+/HER2-	4916	0.55	(0.49–0.61)	0 0.5		3	<0.001
number ≤	Original cohort	HR+/HER2+ HR-/HER2+ TNBC	1459 750 1056	0.51 0.45 0.57	(0.41–0.64) (0.34–0.61) (0.48–0.67)	-+- -+- -+			<0.001 <0.001 <0.001
Metastasis number ≤ 2	PSM cohort	HR+/HER2– HR+/HER2+ HR–/HER2+ TNBC	2505 826 450 701	0.52 0.52 0.45 0.56	(0.46–0.59) (0.4–0.67) (0.33–0.63) (0.47–0.68)	+++++++++++++++++++++++++++++++++++++++			<0.001 <0.001 <0.001 <0.001
						0 0.5	1 I 1 2	3	

Figure 4 Forest plots depicting hazard ratios of surgery versus nonsurgery for overall survival (A) and breast cancer-specific survival (B) for patients in the original and PSM cohorts with different metastatic burdens. PSM, propensity score matching; HR, hormone receptor; TNBC, triple-negative breast cancer.

upper panel). Only the TNBC group was validated by PSM as a potential population that may not benefit from primary site surgery (*Figure 4A*,*B*, upper panel, *Figure S3*).

Discussion

A consensus regarding the role of primary site surgery in *de novo* stage IV breast cancer patients has not yet been reached. This study is one of the largest bias-controlled studies to evaluate the prognostic value of primary site surgery in this population. Even after adjusting for favorable baseline characteristics, surgery was still an independent factor associated with better survival in the whole population.

PSM and IPTW were performed in our study to obtain comparable baseline characteristics. Numerous retrospective studies have demonstrated the benefits of primary site surgery in stage IV breast cancer patients, although various confounding factors may contribute to biases between surgery and the nonsurgery groups (4,11-14). Patients with a better systemic condition may be more likely to undergo surgery at the primary site, while those with multiple distal metastases often fail to meet the criteria for surgery. PSM was performed in a published population-based analysis, but ER status, PR status and regional lymph node status were considered during PSM (13). Therefore, stricter PSM was performed in our study. Patients were matched if they shared a similar age, marital status, race, tumor size, lymph node status, tumor grade, subtype, number of metastases and treatments. Although we lost 5,558 patients after PSM, those who remained were well balanced, providing a solid basis for subsequent analyses. In addition, IPTW in all patients was proposed to estimate the treatment effect and therefore served as validation of PSM.

Several potential rationales exist for primary tumor resection in metastatic breast cancer. One hypothesis is that the effect of systemic therapy may be enhanced by a reduction in the tumor burden and nonvascularized regions that are inaccessible to drugs. Furthermore, circulating tumor cells have been confirmed to be strongly correlated with the prognosis of metastatic breast cancer patients (15). Circulating tumor cells have also been shown to disseminate from the primary tumor (16,17), and resection of the primary tumor may reduce the level of circulating tumor cells. Removal of the primary tumor provided a significant survival benefit in melanoma (18), renal-cell carcinoma (19,20), and colorectal cancer (21). This evidence from other tumor types provides proof that control of the primary tumor may prolong survival despite distant metastases.

Our study demonstrated that surgery for the primary tumor was associated with a better prognosis in de novo stage IV breast cancer patients. The reported survival rates of the patients who underwent primary site surgery in this study (Table S1) are comparable to those in the published literature (4,13). This conclusion is consistent with the results of the MF07-01 study, which enrolled 274 de novo stage IV patients who were randomized to the initial locoregional therapy plus systemic therapy group or the systemic therapy only group (7). Although the number of patients in the MF07-01 study may be slightly underestimated, positive results were still obtained. In contrast, the TATA trial and the TBCRC 013 trial, which only included patients who responded to chemotherapy, reported negative results (22,23). However, most HER2positive patients in the TATA trial were not treated with anti-HER2 therapy, which is not consistent with current clinical practice. Two additional trials, ECOG2018 (NCT01242800) and JCOG1017 (UMIN000005586), are currently recruiting patients, both of which aim to clarify the effectiveness of primary tumor surgery for stage IV patients who are sensitive to systemic therapy. Similar to the MF07-01 trial, the POSYTIVE trial aimed to evaluate the effect of immediate surgery to remove the primary tumor in de novo stage IV breast cancer patients, but this trial obtained negative results and was terminated early due to poor recruitment (24).

Inconsistent results from current RCTs suggest that the heterogeneity among patients receiving surgery has not been clarified. The traditional view of metastatic breast cancer is that it is a systematic disease and local control has a limited impact on survival. As improved systemic therapies significantly prolong the survival of *de novo* stage IV breast cancer patients, local control plays a minor role in this population (25). Although the proportion of *de novo* stage IV breast cancer patients receiving primary site surgery decreased, the survival benefit in the surgery group increased over time (13,26), which may be partly due to the increasing detection rate of oligometastatic lesions in recent years via advanced imaging technology such as PET-CT. Thus, in this study, the prognostic value of surgery was evaluated in patients with different metastatic burdens.

The results showed that patients with more than 2 metastatic sites benefitted less from surgery than those with 1 or 2 sites. Kaplan-Meier curves showed that the survival difference was rather narrow after matching and

was even non-significant after IPTW adjustment, but after multivariate Cox regression adjustment of confounding factors, primary tumor surgery was significantly associated with better survival in patients with a high metastatic burden (>2 metastatic sites). These results indicate substantial heterogeneity among patients with more than 2 metastatic sites. This result is in agreement with that of the MF07-01 trial in which the solitary bone metastasis subgroup showed significant survival benefits (7). Several retrospective studies demonstrated that patients with a lower metastatic burden may be potential candidates for surgery (12,27). Two meta-analyses showed that patients with fewer metastases could achieve a substantial benefit from surgery (6,28). A matched-pair study demonstrated no statistically significant improvement as a result of surgery in patients with visceral metastatic disease, and only patients with bone metastasis had improved survival if they received chemotherapy prior to primary site surgery (29).

To explore the heterogeneity among different metastatic burdens, further subgroup analyses were performed. The results demonstrated that TNBC was the only subtype that may not benefit from surgery. TNBC is an aggressive subtype of breast cancer characterized by extensive visceral metastasis and early recurrence between the first and third year after diagnosis (30,31). The 3-year OS rate of stage IV TNBC patients was reported to be 50% lower than that of non-TNBC patients (32). Different recurrence patterns among TNBC patients indicate that substantial heterogeneity existed among these patients. For those with high metastatic burdens at diagnosis, effective systemic therapy may be more important for highly aggressive TNBC.

Patients with hormone receptor-positive or HER2positive disease achieved longer survival due to primary tumor surgery, while patients with TNBC did not benefit from surgery (33,34). However, another population-based SEER study found a conflicting result indicating that surgery could lead to better survival for all subtypes (35). Although no approaches were used to adjust for baseline characteristics, the baseline differences in the latter study were rather large. To the best of our knowledge, our study is the first study to illustrate the survival outcomes of patients with any of the four molecular subtypes of *de novo* stage IV breast cancer with different metastatic burdens.

Inevitably, our study had several limitations. First, this was a retrospective study, which may have introduced bias, but to control for bias as much as possible, we performed PSM and IPTW. Second, detailed information concerning chemotherapy, such as the types of chemotherapy regimens administered together with surgery, anti-HER2 therapy and endocrine therapy, was unknown, precluding control for these biases.

Conclusions

In conclusion, we found that resection of the primary tumor can significantly improve OS and BCSS in *de novo* stage IV breast cancer patients. Among patients with different metastatic burdens, those with more than two metastatic sites and TNBC may not be appropriate for surgery.

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Footnote

Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at http://dx.doi. org/10.21037/tcr.2019.03.21). The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). Informed consent was waived due to the retrospective nature of the study. This article was approved by an independent ethical committee review board at Fudan University Shanghai Cancer Center (Shanghai Cancer Center Ethical Committee).

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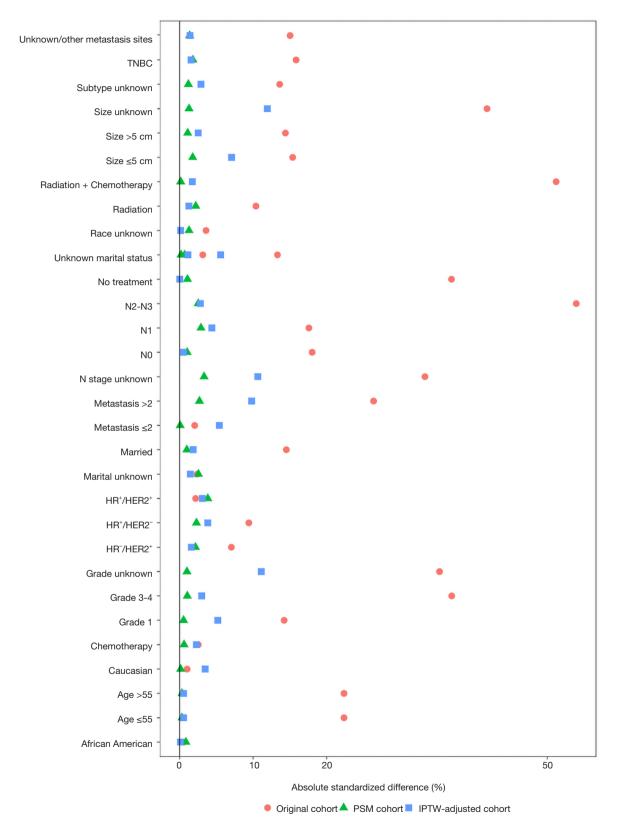


Figure S1 Effect of propensity score matching and inverse probability of treatment weighting adjustment on the baseline characteristics. TNBC, triple-negative breast cancer; HR, hormone receptor; PSM, propensity score matching; IPTW, inverse probability of treatment weighting.

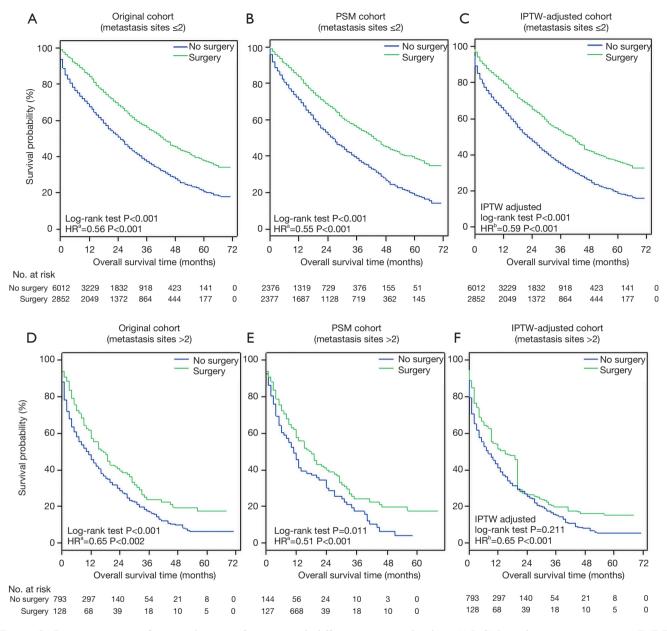


Figure S2 Breast cancer specific survival curves of patients with different metastatic burdens. (A,B,C) Less than 2 metastasis sites; (D,E,F) more than 2 metastasis sites; (A,D) original cohort; (B,E) PSM cohort; (C,F) IPTW-adjusted cohort. ^a, HR was adjusted by the Cox regression model including the factors listed in *Table S2*; ^b, HR was adjusted by IPTW. PSM, propensity score matching; IPTW, inverse probability of treatment weighting; HR, hazard ratio.

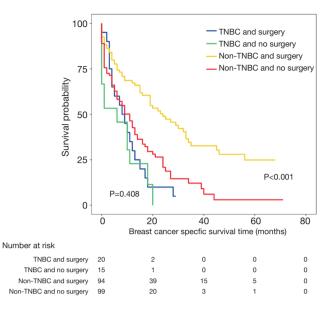


Figure S3 Breast cancer specific survival of TNBC and non-TNBC patients receiving surgery or not in PSM cohort. PSM, propensity score matching; TNBC, triple-negative breast cancer.

Table S1 One, 3 and 5-year survival rate of de novo stage IV patients

Versiehle	Veen	Origina	l cohort	PSM	cohort	IPTW adjusted cohort		
Variable	able Year Surgery		No surgery	Surgery	No surgery	Surgery	No surgery	
OS	1	83.58% (82.35–84.83%)	64.47% (63.37–65.59%)	82.57% (81.18–83.98%)	68.46% (66.73–70.24%)	77.94% (76.36–79.52%)	61.67% (60.19–63.15%)	
	3	55.21% (53.36–57.13%)	34.53% (33.22–35.89%)	54.92% (52.89–57.04%)	36.86% (34.72–39.13%)	49.87% (46.88–52.86%)	32.78% (30.06–35.5%)	
	5	38.55% (36.26–41.00%)	19.01% (17.46–20.69%)	39.19% (36.69–41.85%)	18.21% (15.7–21.14%)	34.63% (28.57–40.68%)	17.81% (12.01–23.62%)	
BCSS	1	84.96% (83.77–86.18%)	66.58% (65.49–67.69%)	84.15% (82.81–85.52%)	70.07% (68.35–71.83%)	79.64% (78.1–81.17%)	63.83% (62.36–65.29%)	
	3	57.35% (55.49–59.28%)	37.16% (35.79–38.58%)	57.22% (55.16–59.35%)	38.83% (36.63–41.17%)	52.2% (49.21–55.19%)	35.28% (32.51–38.04%)	
	5	41.63% (39.25–44.14%)	21.31% (19.65–23.12%)	42.38% (39.81–45.12%)	19.68% (16.99–22.78%)	37.88% (31.7–44.05%)	19.91% (13.85–25.97%)	

OS, overall survival; BCSS, breast cancer specific survival; PSM, propensity score matching; IPTW, inverse probability of treatment weight.

Table S2 Multivariate cox regression analysis of overall survival and breast cancer specific survival in original cohort, cohort after propensity score matching and cohort after inverse probability treatment weight

		OS			BCSS	
Variable	Original cohort	PSM cohort	IPTW-adjusted cohort	Original cohort	PSM cohort	IPTW-adjusted cohort
	Hazard ratio P ^a					
Primary site surgery						
No	Reference	Reference	Reference	Reference	Reference	Reference
Yes	0.56 (0.53–0.60) <0.001	0.51 (0.48–0.55) <0.001	0.61 (0.58–0.64) <0.001	0.55 (0.51–0.59) <0.001	0.51 (0.47–0.55) <0.001	0.60 (0.57–0.64) <0.001
Age						
≤55 years	Reference	Reference	Reference	Reference	Reference	Reference
>55 years	1.38 (1.31–1.47) <0.001	1.38 (1.27–1.49) <0.001	1.42 (1.34–1.50) <0.001	1.30 (1.23–1.38) <0.001	1.32 (1.21–1.43) <0.001	1.37 (1.30–1.46) <0.001
Race						
Caucasian	Reference	Reference	Reference	Reference	Reference	Reference
African American	1.19 (1.11–1.27) <0.001	1.20 (1.09–1.32) <0.001	1.18 (1.11–1.26) <0.001	1.18 (1.1–1.26) <0.001	1.19 (1.08–1.32) <0.001	1.17 (1.10–1.26) <0.001
Other	0.92 (0.83–1.02) 0.121	0.94 (0.82–1.08) 0.406	0.90 (0.81–0.99) 0.029	0.94 (0.85–1.05) 0.267	0.98 (0.85–1.12) 0.737	0.92 (0.83–1.01) 0.086
Marital status						
Married	Reference	Reference	Reference	Reference	Reference	Reference
Other	1.26 (1.19–1.33) <0.001	1.32 (1.22–1.42) <0.001	1.24 (1.18–1.31) <0.001	1.24 (1.17–1.31) <0.001	1.29 (1.19–1.40) <0.001	1.21 (1.15–1.28) <0.001
Unknown	1.10 (0.98–1.23) 0.104	1.28 (1.09–1.50) 0.003	1.07 (0.95–1.19) 0.260	1.08 (0.96–1.22) 0.191	1.29 (1.09–1.52) 0.003	1.06 (0.94–1.19) 0.320
Size						
≤5 cm	Reference	Reference	Reference	Reference	Reference	Reference
>5 cm	1.20 (1.13–1.28) <0.001	1.27 (1.17–1.37) <0.001	1.21 (1.14–1.28) <0.001	1.19 (1.12–1.27) <0.001	1.28 (1.18–1.40) <0.001	1.23 (1.15–1.30) <0.001
Unknown	1.28 (1.19–1.37) <0.001	1.35 (1.19–1.54) <0.001	1.33 (1.24–1.42) <0.001	1.30 (1.21–1.40) <0.001	1.39 (1.22–1.59) <0.001	1.36 (1.27–1.47) <0.001
Ν						
N0	Reference	Reference	Reference	Reference	Reference	Reference
N1	0.89 (0.83–0.95) 0.001	0.89 (0.80–0.99) 0.026	0.87 (0.81–0.93) <0.001	0.90 (0.84–0.97) 0.006	0.89 (0.80–0.99) 0.032	0.89 (0.83–0.95) 0.001
N2-N3	0.98 (0.90–1.05) 0.530	0.93 (0.83–1.03) 0.153	0.91 (0.85–0.99) 0.019	1.00 (0.93–1.09) 0.936	0.93 (0.83–1.03) 0.176	0.93 (0.86–1.00) 0.061
Unknown	1.19 (1.08–1.31) 0.001	1.22 (1.00–1.47) 0.047	1.10 (1.00–1.21) 0.048	1.18 (1.06–1.3) 0.002	1.18 (0.97–1.45) 0.105	1.11 (1.01–1.23) 0.037
Tumor grade						
Grade 1	Reference	Reference	Reference	Reference	Reference	Reference
Grade 3–4	1.47 (1.38–1.56) <0.001	1.53 (1.40–1.66) <0.001	1.49 (1.40–1.58) <0.001	1.44 (1.35–1.53) <0.001	1.54 (1.41–1.69) <0.001	1.52 (1.42–1.61) <0.001
Unknown	1.11 (1.02–1.20) 0.013	1.19 (1.03–1.39) 0.021	1.16 (1.07–1.25) <0.001	1.13 (1.04–1.22) 0.005	1.21 (1.04–1.41) 0.016	1.18 (1.09–1.28) <0.001
Subtype ^b						
HR⁺/HER2⁻	Reference	Reference	Reference	Reference	Reference	Reference
HR ⁺ /HER2 ⁺	0.90 (0.82–0.97) 0.009	0.74 (0.65–0.84) <0.001	0.87 (0.80–0.94) 0.001	0.88 (0.81–0.96) 0.005	0.75 (0.66–0.85) <0.001	0.88 (0.80–0.95) 0.002
HR⁻/HER2⁺	1.18 (1.06–1.30) 0.002	1.04 (0.90–1.20) 0.614	1.15 (1.04–1.27) 0.007	1.08 (0.97–1.20) 0.159	1.01 (0.87–1.18) 0.858	1.14 (1.03–1.27) 0.013
TNBC	2.82 (2.61–3.06) <0.001	2.82 (2.54–3.14) <0.001	2.81 (2.60–3.03) <0.001	2.62 (2.41–2.84) <0.001	2.88 (2.58–3.21) <0.001	2.85 (2.63–3.09) <0.001
Unknown	1.44 (1.32–1.58) <0.001	1.38 (1.20–1.58) <0.001	1.51 (1.39–1.65) <0.001	1.45 (1.33–1.59) <0.001	1.38 (1.19–1.59) <0.001	1.55 (1.42–1.70) <0.001
Metastasis number						

Metastasis number

≤2	Reference		Reference		Reference	Reference		Reference		Reference	
>2	2.18 (2.00–2.37) <	0.001	2.40 (2.06–2.79)	<0.001	2.19 (2.01–2.38) <0.00	1.74 (1.63–1.85)	<0.001	2.54 (2.18–2.96)	<0.001	2.28 (2.10–2.49)	<0.001
Unknown/other metastasis sites	0.96 (0.89–1.03) 0	0.210	0.93 (0.85–1.03)	0.162	0.92 (0.86–0.99) 0.023	1.24 (1.14–1.35)	<0.001	0.93 (0.84–1.03)	0.143	0.93 (0.86–1.00)	0.041
Treatment											
None	Reference		Reference		Reference	Reference		Reference		Reference	
None Radiation	Reference 0.82 (0.75–0.89) <	:0.001		<0.001	Reference 0.82 (0.76–0.89) <0.00		0.021		0.001	Reference 0.85 (0.78–0.92)	<0.001
						0.90 (0.83–0.98)					
Radiation	0.82 (0.75–0.89) < 0.55 (0.51–0.59) <	0.001	0.76 (0.67–0.86)	<0.001	0.82 (0.76–0.89) <0.00	0.90 (0.83–0.98) 0.55 (0.51–0.59)	<0.001	0.81 (0.71–0.92)	<0.001	0.85 (0.78–0.92)	<0.001

^a, P value was assessed using the Pearson's χ² test; ^b, HR positive means estrogen receptor positive or progestogen receptor positive. OS, overall survival; BCSS, breast cancer specific survival; PSM, propensity score matching; HR, hormone receptor; TNBC, triple negative breast cancer.

Table S3 Clinicopathologic characteristics and bias of <i>de novo</i> stage IV breast cancer patients with different numbers of metastasis lesions after	
PSM	

	Metastasis r	umber ≤2 after PSM	Metastasis number >2 after PSM				
Characteristics	No surgery of primary tumor (n=2,373)	Surgery of primary tumor (n=2,373)		No surgery of primary tumor (n=114)	Surgery of primary tumor (n=114)	P ^a	
Age			0.768			1.000	
≤55 years	974 (41.0)	985 (41.5)		47 (41.2)	47 (41.2)		
>55 years	1,399 (59.0)	1,388 (58.5)		67 (58.8)	67 (58.8)		
Race			0.563			0.304	
Caucasian	1,075 (45.3)	1,076 (45.3)		36 (31.6)	47 (41.2)		
African American	1,181 (49.8)	1,164 (49.1)		71 (62.3)	60 (52.6)		
Other	117 (4.9)	133 (5.6)		7 (6.1)	7 (6.1)		
Unknown			0.714			0.452	
Marital status	1,751 (73.8)	1,730 (72.9)		78 (68.4)	85 (74.6)		
Married	434 (18.3)	433 (18.2)		23 (20.2)	21 (18.4)		
Other	185 (7.8)	207 (8.7)		13 (11.4)	8 (7.0)		
Unknown	3 (0.1)	3 (0.1)		0 (0.0)	0 (0.0)		
Size			0.648			0.863	
≤5 cm	1,360 (57.3)	1,387 (58.4)		53 (46.5)	56 (49.1)		
>5 cm	832 (35.1)	818 (34.5)		47 (41.2)	43 (37.7)		
Unknown	181 (7.6)	168 (7.1)		14 (12.3)	15 (13.2)		
N			0.430			0.784	
NO	469 (19.8)	467 (19.7)		21 (18.4)	22 (19.3)		
N1	978 (41.2)	1,027 (43.3)		42 (36.8)	44 (38.6)		
N2-N3	842 (35.5)	806 (34.0)		45 (39.5)	45 (39.5)		
Unknown	84 (3.5)	73 (3.1)		6 (5.3)	3 (2.6)		
Tumor grade			0.431			0.59	
Grade 1	1,010 (42.6)	969 (40.8)		30 (26.3)	37 (32.5)		
Grade 3–4	1,176 (49.6)	1,220 (51.4)		77 (67.5)	71 (62.3)		
Unknown	187 (7.9)	184 (7.8)		7 (6.1)	6 (5.3)		
Subtype⁵			0.463			0.797	
HR⁺/HER2⁻	1,254 (52.8)	1,251 (52.7)		51 (44.7)	45 (39.5)		
HR ⁺ /HER2 ⁺	431 (18.2)	395 (16.6)		17 (14.9)	21 (18.4)		
HR⁻/HER2⁺	227 (9.6)	223 (9.4)		20 (17.5)	18 (15.8)		
TNBC	333 (14.0)	368 (15.5)		15 (13.2)	20 (17.5)		
Unknown	128 (5.4)	136 (5.7)		11 (9.6)	10 (8.8)		
Treatment	. /	. ,	0.558	. ,	. ,	0.390	
None	564 (23.8)	558 (23.5)		35 (30.7)	29 (25.4)		
Radiation	292 (12.3)	325 (13.7)		3 (2.6)	8 (7.0)		
Chemotherapy	916 (38.6)	895 (37.7)		34 (29.8)	37 (32.5)		
Radiation + chemotherapy		595 (25.1)		42 (36.8)	40 (35.1)		

^a, P value was assessed using the Pearson's χ^2 test; ^b, HR positive means estrogen receptor positive or progestogen receptor positive. PSM, propensity score matching; HR, hormone receptor; TNBC, triple negative breast cancer.