



In search of goldilocks: the quest to optimize combination drug strategies for the management of advanced stage non-small-cell lung cancer

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Introduction

The past two decades have seen significant therapeutic advances in the management of advanced non-small cell lung cancer (NSCLC): from histology-focused chemotherapy regimens to personalized medicine where each patient is treated in accordance with the unique genetic and molecular features of their tumor. The evidence-based standard of care for frontline palliative systemic therapy in advanced NSCLC includes: (I) tyrosine kinase inhibitors (TKIs) for those with actionable alterations in *epidermal growth factor receptor (EGFR)*, *anaplastic lymphoma kinase (ALK)*, *ROS proto-oncogene 1 (ROS1)*, or *B-Raf proto-oncogene (BRAF)*; or (II) immune checkpoint inhibitors (ICIs) alone or in combination with platinum doublet chemotherapy for those without actionable genetic alterations (1). Current expert guidelines recommend testing for alterations in *EGFR*, *ALK*, *ROS1*, and *BRAF* at a minimum in advanced stage lung adenocarcinomas and tumor programmed death ligand-1 (PD-L1) immunohistochemistry for all advanced NSCLCs (2).

However, for the significant proportion (~75%) of patients with advanced NSCLC whose tumors do not harbor sensitizing genetic alterations, identifying more efficacious and tolerable strategies beyond sequential use of cytotoxic chemotherapies was an area of significant unmet need. Since the approval of ICIs by the United States Food and Drug Administration (FDA) in 2015, numerous

ongoing efforts have focused on evolving better strategies for this large cohort of patients by incorporating immune-based therapies—either alone or in combination with other chemotherapeutic, immunologic, and/or biologic agents (3). In this editorial, we explore the recent explosion of data regarding immune-based combination therapies, placing the recently published IMpower 130 trial by West *et al.* into context, and emphasizing strategies to optimize their use.

The quest for a predictive biomarker: PD-L1 and beyond

For patients whose tumors lack actionable driver oncogene alterations, PD-L1 tumor proportion score (TPS) remains the best-validated biomarker for therapeutic selection. Even so, its limitations are many, and use of a singular biomarker in this regard is likely an oversimplification of the tumor-immune axis. Specifically, durable responses with ICIs have been seen in patients with low/absent tumor PD-L1 expression, and objective response rates (ORRs) even in those with high (i.e., TPS \geq 50%) PD-L1 is on the order of 50% (4,5). There is also growing awareness regarding the differing operating characteristics of the various PD-L1 assays and temporospatial heterogeneity in PD-L1 expression (6,7).

Tumor mutation burden (TMB) has also drawn recent interest, particularly given the association with tobacco as

a potent carcinogen and recognition that lung cancers rank amongst the tumors with the highest burden of somatic mutations (8). Increasing numbers of somatic mutations lead to the production of unique tumor neoantigens that can be leveraged by the native immune system to recognize and kill malignant cells (3,9). Several studies have shown that tumors expressing high PD-L1 or TMB may represent mutually exclusive populations—i.e., one is not synonymous with the other (10). However, despite its biologic plausibility, the utility of TMB as a biomarker has been hampered by several recent exploratory analyses showing no statistically significant association between TMB and outcomes of ICI with/without chemotherapy (11,12). Additional uncertainties regarding TMB use include defining TMB thresholds and methods. As noted previously, there is growing recognition of intratumoral heterogeneity such that validation of peripheral blood-based assays may be more informative than tissue-based testing. A recent retrospective analysis of two randomized trials showed that blood-based TMB might be a promising alternate predictive biomarker (13).

TMB and PD-L1 aside, the field is necessarily moving towards a more nuanced understanding of determining optimal first line therapeutic options in the significant proportion of patients without actionable genetic alterations and with low/absent tumor PD-L1. Assessment of tumor microsatellite instability, mutations in DNA damage repair pathways, tumor-infiltrating lymphocytes, tobacco exposure, tumor co-mutation profile, and other genetic determinants of host immunity all remain areas of intensive investigation, and a multifaceted approach to therapeutic stratification in these patients will likely be a feasible imperative in the future (14).

Identifying patients in need of combination therapy strategies: when is more really better?

Given their potential for durable and tolerable benefits, there has been considerable interest in use of single agent ICI regimens for those whose tumors harbor no actionable genetic alterations. KEYNOTE-024 established single agent pembrolizumab as a standard of care in those with TPS $\geq 50\%$ (5,15). Subsequently, KEYNOTE-042 showed an OS benefit with pembrolizumab monotherapy in the overall study population (TPS $\geq 1\%$), though this benefit was primarily driven by patients with PD-L1 TPS $\geq 50\%$; an exploratory analysis in patients with TPS 1–49% did not demonstrate a significant OS advantage (16). This data notwithstanding, the FDA has approved single agent

pembrolizumab for any advanced NSCLC with TPS $\geq 1\%$. The ongoing IMpower 110 [NCT02409342] and MYSTIC [NCT02453282] trials are similarly evaluating other upfront single agent ICI strategies.

However, a significant proportion of patients—nearly 50%—with advanced NSCLC will not derive benefit from single agent regimens. In numerous contemporaneous studies [KEYNOTE-189, KEYNOTE-407, IMpower 130, IMpower 131, IMpower 132, IMpower 150 (17–22)], combining ICIs with a platinum doublet has demonstrated notable improvements in OS across all subgroups of patients (irrespective of tumor PD-L1 status)—though this comes not without cost as it relates to clinical and financial toxicities.

Thus, an important question emerges: *When is more really better, and for whom are combination strategies absolutely needed?*

Combination therapy strategies: an increasingly crowded landscape

IMpower 130 and other ICI + chemotherapy combinations

Combination of ICIs with cytotoxic chemotherapeutic agents is a biologically attractive approach, given the potential to augment antigen presentation and reduce immunosuppressive activity in the tumor microenvironment (23,24). Consequently, rapidly emerging clinical trial data has led to approval of several ICI combinations for first line treatment of advanced NSCLC. It is into this context that West *et al.* deliver IMpower 130. In this phase III, internationally-conducted trial, 724 patients were randomized in a 2:1 fashion to receive combination carboplatin/nab-paclitaxel with atezolizumab every 3 weeks for up to 6 cycles followed by atezolizumab maintenance *vs.* carboplatin/nab-paclitaxel followed by best supportive care (19). Patients with *EGFR/ALK+* disease were permitted if they had disease progression on/intolerance to prior TKI therapies, as were those with treated asymptomatic brain metastases. With a median follow up that exceeds 18 months, median PFS and OS were both improved with a median OS of 18.6 *vs.* 13.9 months (HR 0.79, 95% CI: 0.64–0.98, P=0.033) with chemoimmunotherapy *vs.* chemotherapy alone, respectively; the ORR was also increased from 31.9% to 49.2% with combination chemoimmunotherapy and with improved median duration of response (DoR) with the combination (8.4 *vs.* 6.1 months). Survival benefit was sustained across all analyzed subgroups—with the exception of those with liver metastases and *EGFR/ALK* alterations. Hazard ratios for

OS were comparable across all PD-L1 subgroups. Grade 3-4 adverse events (AEs) were seen in 81% of patients treated with chemoimmunotherapy *vs.* 71% of patients treated with chemotherapy alone and with similar rates of AE-related treatment discontinuation. Immune-related AEs were specifically seen in 45% of patients treated with combination chemoimmunotherapy, mostly grade 1-2. No new safety signals were identified.

Similar to IMpower 130, multiple other phase III studies have shown favorable trends in survival outcomes with tolerable safety profile for frontline ICI with platinum doublet in all patients with advanced NSCLC—regardless of tumor PD-L1 status—in non-squamous (KEYNOTE-189, IMpower 132) and squamous (KEYNOTE-407, IMpower 131) disease alike (17,18,20,21,25). On the basis of these iterative studies, pembrolizumab and atezolizumab have both garnered FDA approval for use in combination with platinum doublet chemotherapy for frontline management of advanced NSCLC in those lacking actionable alterations.

Notably, in each of these ICI only or ICI + chemotherapy studies, the comparator arm has been the now defunct historical standard of platinum doublet chemotherapy alone. Hence, it remains uncertain: Is combination chemoimmunotherapy optimal for all patients without sensitizing genetic alterations? Are upfront combination strategies superior to sequential therapies?

ICI + biologic combinations

Bevacizumab has existed within the armamentarium for advanced NSCLC for use in combination with platinum doublet chemotherapy and/or subsequent maintenance therapy for more than a decade. In a randomized phase II study, addition of bevacizumab to carboplatin/paclitaxel followed by bevacizumab maintenance in patients with advanced/recurrent NSCLC led to increased ORR (31.5% *vs.* 18.8%) and prolonged time to progression (7.4 *vs.* 4.2 months; $P=0.023$) compared to chemotherapy alone. A higher incidence of bleeding was noted in the bevacizumab group, and severe pulmonary hemorrhage occurred in 6 patients (including 4 fatalities); this led to the restriction of bevacizumab's use to non-squamous tumors only (26). A subsequent phase III trial (E4599) confirmed the PFS (6.2 *vs.* 4.5 months, HR 0.66, $P<0.001$) and OS (12.3 *vs.* 10.3 months, HR 0.79, $P=0.003$) benefit with bevacizumab-containing regimens and led to bevacizumab's FDA approval (27). Even so, use of bevacizumab in routine clinical practice for patients with advanced NSCLC has

been significantly limited by its toxicity profile—particularly given the context of an older, comorbid population in whom this modest survival benefit does not afford a favorable therapeutic window.

In the immunotherapy era, bevacizumab and like agents are again the subjects of investigation given their known immunomodulatory effects. Vascular endothelial growth factor (VEGF) influences immune cells in the tumor microenvironment by promoting immunosuppressive cells (regulatory T cells, myeloid-derived suppressor cells) and inhibiting antigen-presenting cells (dendritic cells), thereby rendering the tumor microenvironment immunosuppressed. Thus, VEGF inhibitors are attractive as a means to potentiate ICI effects by reversing immunosuppressive mechanisms contributing to immune escape and proliferation (28).

In the IMpower150 trial, patients with recurrent/advanced non-squamous NSCLC were randomized to three subgroups: atezolizumab/bevacizumab/carboplatin/paclitaxel (ABCP), atezolizumab/carboplatin/paclitaxel (ACP), and bevacizumab/carboplatin/paclitaxel (BCP)—regardless of tumor PD-L1 status. *EGFR/ALK+* patients with TKI-refractory disease were eligible (but were excluded from the primary endpoint analyses). To date, outcomes for the ABCP and BCP groups have been presented and with significant improvement in investigator-assessed PFS (median 8.3 *vs.* 6.8 months; HR 0.62; 95% CI: 0.52–0.74; $P<0.001$) and OS (median OS 19.2 *vs.* 14.7 months; HR 0.78; 95% CI: 0.64–0.96; $P=0.02$) with ABCP *vs.* BCP, respectively. This benefit was maintained across patients with all levels of tumor PD-L1 expression (22). Notably, in an expanded subgroup analysis, the chemoimmunotherapy + bevacizumab combination has been the first to show improved OS in patients with *EGFR/ALK+* disease (29). However, no OS benefit was seen with ACP *vs.* BCP in this subgroup, suggesting that there may be a specific role for VEGF-mediated immune modulation in this specific subset. Given the limited numbers, additional prospective evaluation is needed. On the basis of this study, bevacizumab is now FDA approved in combination with carboplatin/paclitaxel/atezolizumab. However, as the outcomes in the overall population for chemoimmunotherapy + bevacizumab *vs.* chemoimmunotherapy alone have not yet been published, a recurrent theme emerges: Which groups of patients derive optimal benefit from these intensified combination strategies?

ICI + ICI combinations

Given the success of ICIs in terms of efficacy, toxicity, and quality of life, there has been increasing interest amongst

patients and providers alike to identify chemotherapy-sparing regimens. As both PD-1 and CTLA-4 modulate T-cell function through distinct—but complementary—pathways, combination ICI + ICI strategies are also under investigation.

CHECKMATE-227 is a multi-arm, phase III, randomized controlled trial exploring several nivolumab-containing strategies *vs.* platinum doublet chemotherapy in patients with advanced/recurrent NSCLC and stratified on the basis of tumor PD-L1 expression. In those with absent PD-L1 (TPS <1%), patients were randomized 1:1:1 to: (I) nivolumab + chemotherapy, (II) nivolumab + ipilimumab, or (III) chemotherapy alone. In those with tumor PD-L1 TPS \geq 1%, patients were randomized 1:1:1 to: (I) nivolumab alone, (II) nivolumab + ipilimumab, or (III) chemotherapy alone. Improved OS was seen in those receiving nivolumab + ipilimumab *vs.* chemotherapy alone, regardless of tumor PD-L1 status (PD-L1 TPS <1%: median OS 17.2 *vs.* 12.2 months, HR 0.62, 95% CI: 0.48–0.78; PD-L1 TPS \geq 1%: 17.1 *vs.* 14.9 months, HR 0.79, 97.72% CI: 0.65–0.96) (30). As has been seen in other trials, when responses occur, they tend to be far more durable with ICIs than with chemotherapy: median DoR with nivolumab + ipilimumab *vs.* chemotherapy was 23.2 *vs.* 6.2 months (PD-L1 TPS \geq 1%) and 18.0 *vs.* 4.8 months (PD-L1 TPS <1%), respectively (30). The ongoing NEPTUNE trial (NCT02542293) is exploring the efficacy and safety of another ICI combination (durvalumab + tremelimumab) as first line therapy.

Notably, in these as in all of the other studies outlined above, the comparator arm has been platinum doublet chemotherapy alone. Given the now well-established benefits of ICIs as part of the upfront therapeutic strategy for all eligible patients with advanced NSCLC lacking actionable genomic alterations, the relative benefits of ICI + ICI *vs.* ICI + chemotherapy combinations remain uncertain, and additional questions emerge: Are there cases where chemotherapy can be reasonably omitted from combination therapy strategies?

Clinical and financial toxicities: an occupational hazard of combination strategies

In an era when more tolerable and efficacious therapies may translate into a longer duration of therapy, it has become increasingly imperative to carefully weigh improvements in clinical outcomes against tradeoffs with regard to both clinical and financial toxicities—and in doing so, to ensure

optimized, sustainable care to all who may benefit (*Table 1*).

A meta-analysis of 14 recent clinical trials demonstrated the superior efficacy of combining ICIs with chemotherapy compared with chemotherapy alone in terms of tumor response and long-term survival (32). However, the pooled results also revealed significant increases in clinical toxicities as compared with the administration of chemotherapy alone [relative risk (RR) 1.11; 95% CI: 1.04–1.18] (32). More patients receiving chemoimmunotherapy discontinued their treatment due to toxicity as compared to those receiving chemotherapy alone (RR 1.46; 95% CI: 1.23–1.74) (32). Grade 3 or higher drug-related AEs have been reported in detail in the various combination therapy studies to date: 60–80% for chemoimmunotherapy regimens (17,19–21,25), 58.5% for bevacizumab + chemoimmunotherapy (22), and 31.2% for ICI + ICI regimens (31). Despite the higher rates of moderate-severe treatment-related AEs seen in these studies, however, health-related quality of life (QoL) and patient-reported outcomes (PROs) do not appear to have been compromised in several of these trials (33–36).

Cost effectiveness analyses additionally serve as important tools to assess whether novel therapeutic strategies provide clinical benefit at a justifiable cost. In such an analysis of first line ICI, pembrolizumab was found to be cost effective at a willingness-to-pay threshold of \$180,000/quality adjusted life year (QALY) with an incremental cost effectiveness ratio (ICER) of US \$104,823/QALY when compared to chemotherapy (37). First line pembrolizumab monotherapy was also projected to be cost effective compared to platinum doublet chemotherapy for patients with PD-L1 TPS \geq 1%, with an expected gain of 0.60 QALY and ICER US \$130,155/QALY (38). In a simulated economic analysis, treatment with ABCP was compared with BCP, and addition of atezolizumab to BCP was associated with a mean survival of 2.13 QALYs/patient for an estimated ICER of \$201,676/QALY. Pembrolizumab combination therapy was also compared to platinum doublet therapy alone and was noted to have greater incremental QALYs at a lower incremental cost (mean survival 2.45 QALY/patient for ICER \$116,698/QALY) (39). Thus, despite clinical benefits seen with ICI combination strategies, further price reductions may be needed before they can be universally adopted in all healthcare settings.

Conclusion: in search of Goldilocks and the path toward optimal care

After many decades, the hegemony of the platinum doublet

Table 1 Clinical and financial outcomes in landmark phase III trials of immune checkpoint inhibitor combinations

Study	Groups	Clinical and financial outcomes													
		PD-L1 TPS: <1%				PD-L1 TPS: 1-49%				PD-L1 TPS: ≥50%					
		PFS	OS	ORR	DOR	PFS	OS	ORR	DOR	PFS	OS	ORR	DOR	Cost/cycle	
KN-189 (17)	Chemo + ICI	NR	NR	32.3	NR	NR	NR	48.4	NR	NR	NR	NR	61.4	NR	
KN-407 (18)	Chemo + ICI	6.3	15.9	63.2	NR	7.2	14	49.5	NR	8	NR	NR	60.3	NR	\$9,745.31
CK-227 (30,31)	ICI + ICI vs. Chemo + ICI	NR	17.2 vs. 15.2	27.3 vs. 37.9	18 vs. 8.3	NR	NR	26.7 vs. NR	NR	NR	NR	NR	44.4 vs. NR	31.8 vs. NR	\$18,936.35
Impower 150 (22,29)	Chemo + ICI + anti-VEGF vs. Chemo + anti-VEGF	7.3 vs. 6.9	17.1 vs. 14.4	50.3 vs. 36.7	8.2 vs. 5.5	9.6 vs. 6.2	22.5 vs. 16.7	58.2 vs. 40.5	10.4 vs. 6.9	15.4 vs. 6.9	25.2 vs. 13.2	68.9 vs. 49.3	22.1 vs. 7.0		\$17,506.06
IMpower 130 (19)	Chemo + ICI	6.2	15.2	NR	NR	8.3	23.7	NR	NR	6.4	17.3	NR	NR	NR	\$9,178.66
IMpower 131 (20,25)	Chemo + ICI	5.7	13.8	44	6.9	6.0	12.4	52	6.9	10.1	23.6	60	18.7		
IMpower 132 (21)	Chemo + ICI	8.5	NR	44	10.1	6.2	NR	38	7.2	10.8	NR	72	NR		

KN, KEYNOTE; CK, CHECKMATE; Chemo, chemotherapy; ICI, immune checkpoint inhibitor; PD-L1, programmed death ligand-1; TPS, tumor proportion score; PFS, progression free survival (months); OS, overall survival (months); ORR, objective response rate (%); DoR, duration of response (months); NR, not reported; VEGF, vascular endothelium growth factor; vs, versus; \$, United States dollar.

has been surpassed, and a new era defined by the use of targeted and immune-based therapies has dramatically altered the therapeutic landscape for patients with advanced NSCLC. For the large proportion of patients whose tumors lack an actionable genomic alteration, an unmet need has finally found hope, and a growing proportion of patients have been afforded durably efficacious and tolerable therapies with incorporation of ICIs—either alone or in combination with other agents.

Despite rapidly emerging clinical trial data and a steady stream of drug approvals, it will take considerable ongoing effort to rationally stratify patients to care regimens that achieve equipoise with regard to the combinations/intensity of therapies used and optimal clinical outcomes. With such progress necessarily comes a need for heightened expectations with regard to managing the financial and societal impacts of care so that all who may benefit are afforded the opportunity for best care. In our own practice, we continue to favor stratifying frontline therapy for treatment-eligible patients with advanced stage NSCLC in the following manner: (I) if actionable genomic alteration, then TKI; (II) if tumor PD-L1 TPS ≥50%, then single agent pembrolizumab; or (III) if tumor PD-L1 TPS <50%, then combination chemoimmunotherapy. Pending a better understanding of who may most benefit from or require such approaches, we most often defer use of other approved/evolving combinations (i.e., chemoimmunotherapy + bevacizumab and ICI + ICI) (Figure 1). Whether sequential use of these agents and/or in combination with other immune-augmenting strategies might further amplify benefit in specific subgroups and with manageable toxicities remains to be seen and is the subject of numerous ongoing trials: INSIGNIA (NCT03793179, concurrent vs. sequential pembrolizumab + platinum doublet), CheckMate-9LA (NCT03215706, nivolumab + ipilimumab + platinum doublet), and NCT02407171 (pembrolizumab + stereotactic body radiotherapy) amongst others.

As a community, we must work towards continued refinement of our therapeutic paradigm, with evolution of a multiplex model for patient-centered care that incorporates histology, genomic and molecular profiles, clinical characteristics, toxicities, economic/logistical factors, and other patient-specific criteria in the most optimal way. Without doing so, the considerable progress that has been made will fall short of its full potential. Like Goldilocks, we, too, must get it “just right”.

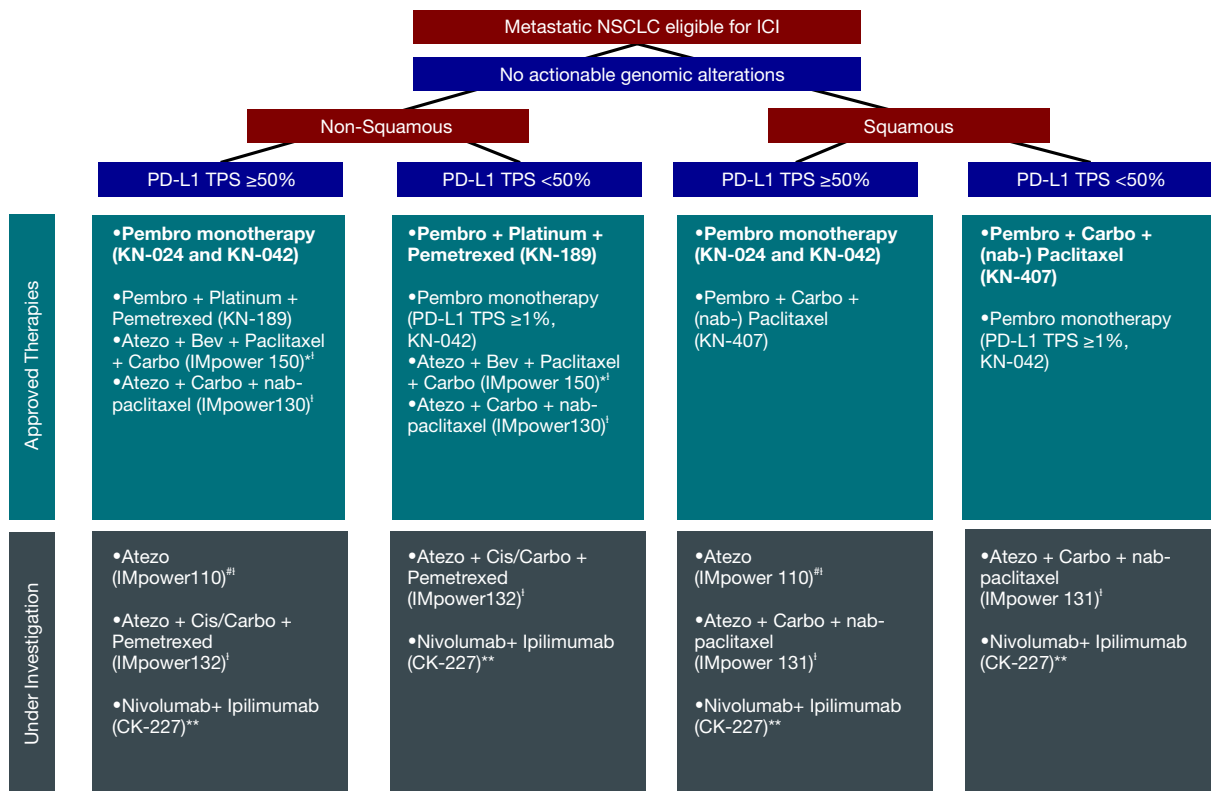


Figure 1 Therapeutic stratification for frontline therapy in advanced non-small-cell lung cancer: current and evolving standards. NSCLC, non-small-cell lung cancer; PD-L1, programmed death ligand-1; TPS, tumor proportion score; Pembro, Pembrolizumab; Atezo, Atezolizumab; Bev, Bevacizumab; Carbo, Carboplatin; Cis, Cisplatin; KN, KEYNOTE. Bolded print denotes authors' approach. *Benefit also seen in patients with liver metastases and TKI-refractory *EGFR/ALK+* disease. [†]PD-L1 evaluated using VENTANA SP142 assay, with staining of tumor cells + tumor-infiltrating immune cells. ^{**}Progression-free survival benefit transcended PD-L1 expression and was equal in PD-L1 ≥ or <1%. [#]IMpower110: NCT02409342.

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