



The therapeutic and prognostic implications of molecular biomarkers in urothelial carcinoma

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Abstract: Urothelial cell carcinoma (UCC) of the bladder and upper urinary tract is a heterogeneous disease with distinct biologic features resulting in different clinical behaviors. Bladder cancer (BC) is classified into non-muscle invasive BC (NMIBC) and muscle invasive BC (MIBC). NMIBC is associated with high recurrence rates and risk of progression to invasive disease, whereas MIBC is complicated by systemic recurrence after radical cystectomy because of the limited efficacy of available therapies. UCC of the upper urinary tract (UUT-UCC) is a rare but aggressive urologic cancer characterized by multifocality, local recurrence, and metastasis. Conventional histopathologic evaluation of UCC, including tumor stage and grade, cannot accurately predict the behavior of BC and UUT-UCC. Recent clinical and preclinical studies aimed at understanding the molecular landscape of UCC have provided insight into molecular subtyping, inter- or intratumoral heterogeneity, and potential therapeutic targets. Combined analysis of molecular markers and standard pathological features may improve risk stratification and help monitor tumor progression and treatment response, ultimately improving patient outcomes. This review discusses prognostic and therapeutic biomarkers for BC and UUT-UCC, and describes recent advances in molecular stratification that may guide prognosis, patient stratification, and treatment selection.

Keywords: Urothelial cell carcinoma (UCC); biomarkers; prognosis; tumor heterogeneity; liquid biopsy

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Introduction

Urothelial cell carcinoma (UCC) of the urinary bladder is the most common form of bladder cancer (BC); it is the fourth most common cancer in men and the eleventh most common cancer in women, accounting for 6.6% of all cancer cases (1,2). Non-muscle invasive BC (NMIBC) accounts for up to 75% of newly diagnosed BC cases, and these tumors are associated with considerable morbidity, with recurrence rates of 50–70%; 10–15% of patients progress to muscle invasive BC (MIBC) (3). The primary treatment for NMIBC is complete tumor resection

followed by induction and maintenance immunotherapy with intravesical Bacillus Calmette-Guerin (BCG) instillation (3,4). Reliable biomarkers to predict tumor progression or response to BCG in high-risk NMIBC remain to be identified. In patients with MIBC, multimodal treatment including radical cystectomy and neoadjuvant chemotherapy (NAC) offers the best chance for cure (5,6). Systemic cisplatin-based combination chemotherapy is the first-line treatment for patients with metastatic BC; however, it is effective in only 30–40% of cases (7-9). Thus, identifying markers capable of predicting the response to NAC or adjuvant/palliative cisplatin-based chemotherapy

is critical because patients who do not respond to systemic chemotherapy are at risk of unnecessary drug-related complications (10,11).

UCC of the upper urinary tract (UUT-UCC) is a rare disease that account for approximately 5% of all urothelial tumors. UUT-UCC shares many similarities with UCC of the bladder; however, UUT-UCC shows unique clinical, biological, and molecular features (12-14). Approximately 20–35% of UUT-UCC patients present with locally advanced disease and regional metastases at diagnosis (15). The 5-year overall survival rates of patients with pathological tumor (T) stage II, III and IV stage disease are 73%, 40%, and <10% respectively (16). The efficacy of adjuvant chemotherapy has not been demonstrated, which may be attributed to the rarity of the disease. Developing reliable predictors of clinical outcome may help identify patients who would benefit from adjuvant chemotherapy (17,18).

BC and UUT-UCC have different biological and functional characteristics (19). Conventional histopathological evaluation, which determines tumor stage, grade and lymph node status, and published risk estimates or clinical nomograms have been investigated as prognostic indicators in UCC (20-24). However, histological criteria reported to date have failed to accurately assess the prognosis of single patients and their response to different treatment options (25). The design of novel and personalized therapies relies on a thorough understanding of UCC biology to identify genetic subtypes of UCC that might differ in the response to treatment (26-28). Molecular markers can directly reflect genetic and phenotypic features, and can be interpreted using more objective criteria than histopathological diagnosis, which is often subject to intra- and inter-observer variation (21). In addition, molecular staging may provide a more accurate prediction of patient outcome, and could improve the design of therapies by tailoring the treatment to the genetic subtypes of UCC that show different responses to various treatments (29-32). Sequencing technologies are being developed with the ability to characterize intra-tumor heterogeneity at the time of diagnosis, monitor clonal dynamics during treatment, and detect resistance during disease progression (33-35).

The present review discusses recent advances in the study of prognostic and therapeutic biomarkers in BC and UUT-UCC. A comprehensive description of molecular alterations in BC is beyond the scope of this review. Therefore, we highlight only a portion of the most common genomic alterations with emphasis on their clinical implications.

We also focus on molecular subtyping as well as emerging targeted therapies in BC and UUT-UCC.

Molecular biology of UCC: an overview

A distinct feature of UCC is the existence of two types of tumors showing different clinical behaviors and divergent molecular events (36). Cancer researches over the last few decades have focused on identifying oncogenes and tumor suppressors involved in tumorigenesis (36,37). Molecular genetic evidence supports the presence of two distinct pathogenetic pathways for BC development, corresponding to two distinct biological and clinical phenotypes; NMIBC and MIBC (38,39). However, it remains unclear whether there is a direct progression of low-grade NMIBC to invasive BC (a Jeckell and Hyde scenario), or whether progression from one form of the disease to the other involves different tumor clones and the two tumor groups are mutually exclusive (chalk and cheese model); another possibility is the existence of more than two major groups that can be defined in molecular terms (40-42).

The main genetic alterations associated with NMIBC are gain-of-function mutations, mainly affecting classical oncogenes such as *HRAS* and fibroblast growth factor receptor 3 (*FGFR3*) genes, and disruption of the phosphoinositide-3-kinase (*PI3K*)/serine-threonine kinase (*AKT*)/mammalian target of rapamycin (*mTOR*) pathways, and deletions of the long arm of chromosome 9 (43-47). Kompier *et al.* investigated the mutation spectrum of *FGFR3*, *RAS*, and *PIK3CA* in a large series of primary tumors from 257 patients with NMIBC. Of these, 64% had mutations in *FGFR3*, 11% in *RAS*, 24% in *PIK3CA*, and 26% in *TP53* (47). Mutations in the *RAS* and *PIK3CA* genes were not predictors of recurrence-, progression-, and disease-free survival (47). *FGFR3* mutations result in a constitutively activated protein that drives a number of oncogenic signaling pathways, such as the *MAPK*, *PLCc1*, *PI3K*, and *STAT* signaling pathways (48,49). Activating mutations in the *FGFR3* gene are identified in approximately 75% in pTa and 20% in pT1 NMIBC, but are absent or rare in carcinoma *in situ* (CIS) and MIBC (50,51). The prognostic value of the *FGFR3* mutation in terms of tumor recurrence or progression is controversial (48,52-54). Some studies suggest that *FGFR3* mutation status is a significant prognosticator of recurrence and progression, whereas others show no significant association or conversely a higher recurrence rate in patients harboring an *FGFR3* mutation than in those with wild-type tumors

(48,52-54). Kang *et al.* examined the utility of *FGFR3* mRNA expression level as a prognostic marker and its association with *FGFR3* mutation status in primary pT1 BC. These authors showed that the *FGFR3* mRNA expression level is associated with not only *FGFR3* mutation status itself, but also exon site in *FGFR3* mutant BC (48).

The main genetic alterations underlying MIBC involve structural and functional defects in the tumor suppressors encoding proteins that regulate cell cycle and apoptosis pathways, including *TP53*, *ERBB2/HER2*, *PTEN*, and *RBI* (55,56). Several retrospective studies have suggested that nuclear accumulation of *TP53* is a prognostic factor for MIBC, particularly in patients treated with radical cystectomy (57-59). However, the use of *TP53* as a prognostic biomarker for MIBC has not been clinically established, despite over 100 studies evaluating its usefulness (60,61). An increasing number of markers including cell cycle regulatory (p21, p27, cyclin E1), angiogenesis-related (*VEGF*, *HIF-1α*), apoptosis-related (survivin, *Bcl-2* family), and DNA damage repair (DDR) genes (*bOGG1*, *ERCC*, *APE1*, *XRCC*, *XPD*) have been suggested as prognostic factors of outcome in MIBC (62-65). The activation-induced deaminase (AID)/apolipoprotein B mRNA editing catalytic polypeptide-like (APOBEC) family of cytidine deaminases, particularly *APOBEC3A/B*, was recently implicated in cancer development and clonal evolution of BC (66,67). Glaser *et al.* examined the APOBEC mutational signature in BC and its association with specific mutations, molecular subtype, gene expression, and survival (67). These authors showed that APOBEC-high tumors are more likely to have mutations in DNA damage response genes (*TP53*, *ATR*, *BRCA2*) and chromatin regulatory genes (*ARID1A*, *MLL*, *MLL3*) related to activation of the immune system, whereas tumors with low APOBEC-mediated mutagenesis generally harbor mutations in oncogenes such as *FGFR3* and *KRAS* (67). APOBEC-high tumors are associated with longer overall survival than APOBEC-low tumors (38.2 *vs.* 18.5 months), leading the authors to hypothesize that APOBEC-high tumors are more likely to have mutations in DNA damage response and chromatin regulatory genes. This would potentially provide more substrate for APOBEC enzymes, leading to a hypermutational phenotype and the subsequent enhanced immune response, as well as a survival benefit (67).

Unfortunately, it is unlikely that a single biomarker can properly characterize the biological behavior of whole spectrum of UCC in clinical practice (68). Moreover, tumor invasion and metastasis are multifactorial processes that are promoted by epigenetic changes and the tumor

microenvironment (TME) (69,70). Alterations of the complex spatial and temporal relationships between the TME and epithelial cells play crucial roles in tumor initiation, progression, and metastasis (70). In this review, we briefly introduce representative epigenetic alterations in UCC and we do not discuss the TME; therefore, the reader is referred to a different review for an overview of the epigenetic regulation and emerging roles of the TME in UCC (70,71). In addition, we do not discuss the diagnostic or surveillance markers of UCC, such as NMP22, BTA, the UBC test, and FISH, etc.

Predictive molecular markers of recurrence, progression, and BCG response in NMIBC

Predictive molecular markers of recurrence and progression

Recurrence and progression in NMIBC are predicted using clinical and pathological parameters such as tumor number and size, prior recurrence rate, T-stage, presence of CIS, tumor grade, lymph node status, and variant histology (4,20). However, these parameters do not provide a comprehensive picture of tumor aggressiveness in NMIBC. Especially, T1 high-grade tumors have a high propensity to progress to muscle invasive disease and are associated with a significant risk of metastasis (72). The recent advance in microarray technology and next-generation sequencing led to tremendous progress in identifying molecular markers capable of predicting progression and disease outcome in NMIBC (73,74). Dyrskjot *et al.* published the most extensive expression profiling study of NMIBC to date, and identified a 16-gene classifier for CIS and a 45-gene signature of disease progression in NMIBC (75). Later, the authors generated an molecular 88-gene progression classifier, which achieved 66% sensitivity and specificity for predicting progression in a European multicenter validation study (76). The same authors recently published the results of a prospective multicenter validation study using a 12-gene progression score to predict progression muscle-invasive disease in a large cohort of NMIBC (77). Another study by Kim *et al.* also developed an 8-gene progression classifier in patients with NMIBC (73). This eight-gene signature was later successfully validated in independent T1 high-grade BC cohorts (78). A similar study by van der Heijden *et al.* developed a 5-gene progression signature and demonstrated that these signature achieved 79% sensitivity and 86% specificity for predicting progression in patients with T1G3 BC (74). A recent large prospective international

multi-institutional study by van Kessel *et al.* attempted to combine molecular biomarkers (*FGFR3* mutation status and *GATA2* methylation status) with histopathologic classification systems (EORTC risk table) (79). The authors demonstrated that the EORTC high-risk group could be reclassified into a good class (*GATA2* unmethylated, *FGFR3* mutated), a poor class (*GATA2* methylated, *FGFR3* wild type), and an otherwise intermediate class (21,79).

Predictive molecular markers of BCG response

The mainstay of treatment for NMIBC is complete resection of the tumor followed by intravesical immunotherapy (80). However, approximately 30% of patients become unresponsive to BCG after the induction cycle, which can be accompanied by the risk of progression to MIBC and metastasis (81,82). Research into individualized prediction of the response to BCG mainly focuses on four groups of markers: clinicopathologic, inflammatory, and cell cycle markers, and gene polymorphisms (81). Newer molecular markers such as p53, Ki-67, CD68, and pRb have been investigated as predictive biomarkers of the response to BCG, although their predictive value remains controversial (81,83). Recent molecular approaches, such as gene expression profiling and analysis of epigenetic alterations help to identify molecular biomarkers which are capable of discriminating patients who would benefit from adjuvant BCG treatment from those requiring more aggressive alternative therapies (83). For example, Kim *et al.* performed microarray analysis of tumors from 80 primary T1 BC patients treated with BCG and identified a subset of 12 recurrence and 12 progression signature (82). In multivariate regression analyses, the “poor predictive signature” indicated a 3.38-fold higher risk of recurrence and 10.49-fold higher risk of progression after BCG treatment (82). Agundez *et al.* identified the methylation status of several tumor suppressor genes, either alone, or in a combined methylation signature could discriminate BCG responders from those who may require a more aggressive therapeutic approach in patients with T1G3 BC (84).

Molecular classification

Valuable study by Hedegaard *et al.* identified three predominant subclasses of NMIBC based on transcriptome analysis of 460 NMIBC and 16 MIBC samples from the multicenter European UROMOL consortium. Of these, class II showed clustering of high-grade and CIS pathology

associated with an increased risk of progression, suggesting that this signature requires aggressive and definitive therapy upfront (85). Class I tumors, which had the best prognosis, were characterized by high expression levels of early cell cycle genes, whereas class II tumors showed high levels of expression of late cell cycle genes, epithelial-to-mesenchymal transition, and stem-like features, and were enriched in CIS (85). T1 and high-grade tumors, CIS, and high EORTC risk scores were more frequent in class II and III tumors, and the majority of progression events occurred in class II tumors (85). Examination of the expression of cytokeratin and differentiation markers showed that class I and II tumors display luminal features, whereas class III tumors have features similar to basal tumors according to The Cancer Genome Atlas (TCGA) MIBC classification system (85,86) (Figure 1). The authors further developed a 117-gene classifier and tested its predictive accuracy in independent cohorts (85,86). Hurst *et al.* combined cytogenetics, gene expression sequencing, and limited whole-exome sequencing, and identified two NMIBC subtypes based on DNA copy number profiles (87). In the cytogenetic analysis, a remarkably simple clustering of two genomic subtypes (GS) was observed: the GS1 subtype had no or few copy number alterations, whereas the GS2 subtype consisted of cells with increased copy number alterations and chromosome 9 deletion (87). Most of the GS2 tumors were high grade and had more aggressive features, and gene expression analysis showed increased expression and activity of the mTORC1 pathway in a subset of GS2 tumors (87). These findings provide insight into potential targets for therapeutic intervention in NMIBC.

Molecular classification of MIBC and clinical implications

MIBC is the most aggressive form of BC, with 5-year survival rates of 60% in patients with localized disease and <10% in those with distant metastases (88). Systemic cisplatin-based combination chemotherapy is the first-line treatment for patients with metastatic BC; however, it is effective in only 30–40% of cases, and prospectively identifying patients who would benefit from this treatment strategy is not possible (89–91). NAC followed by radical cystectomy is the standard treatment for MIBC; however, only approximately one third (25–50%) of patients achieve a pathologic response (92,93). This underscores the need to identify highly sensitive and specific biomarkers for predicting the response to NAC because patients who do

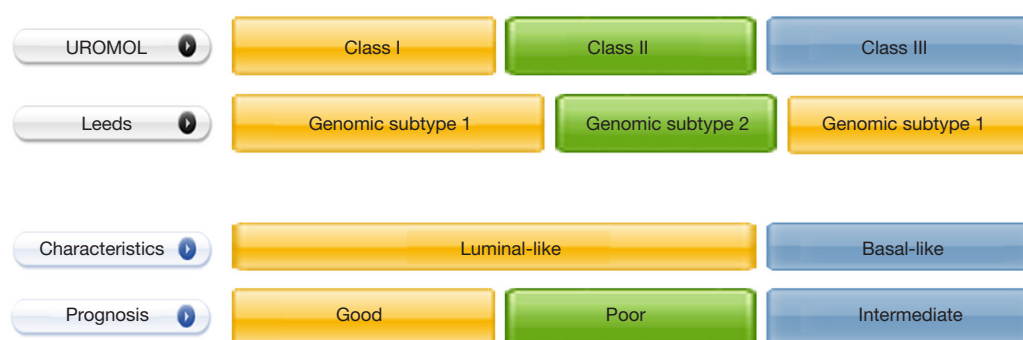


Figure 1 Schematic illustration of the molecular classification of NMIBC based on gene expression profiling. NMIBC, non-muscle invasive bladder cancer.

not respond to NAC are at risk of unnecessary drug-related complications and delayed surgery (94). In the last few years, the efforts of several groups led to several proposals for the molecular classification of MIBC that resemble the taxonomy of breast cancer (60,95-99). These molecular subtypes show different mRNA expression signatures and distinct clinicopathologic features, which might lead to the development of novel therapeutic approaches to this complex disease (27,100). Molecular subtyping of MIBC may enable the prospective identification of patients who would benefit from platinum-based NAC or immune checkpoint inhibitors (ICIs) (11,96,99,101,102).

Markers that are used to classify BC into the two major groups reflect the expression signature of normal basal (CK5/6 and CK14) and intermediate/luminal urothelial cell layers (urolakins, CK20, and GATA3) (103-105). In a chemotherapy-naïve setting, tumors that belong to the basal MIBC subtype are enriched in squamous and sarcomatoid features, and inactivating mutations/deletions of *TP53* and *RBI*; they are often more aggressive and have shorter survival times than luminal cancers (104). Basal cancers are more sensitive to cisplatin-based chemotherapy, and patients with this form of disease gain a greater survival benefit from frontline chemotherapy than those with the luminal subtype (101,104). Historically, four major subtyping classification systems have evolved independently: the Lund, TCGA, University of North Carolina (UNC), and MD Anderson Cancer Center (MDACC) classifications (86,95,99-101,104-106) (Figure 2). In the order from lowest to highest complexity, the UNC system is two-tiered (basal-like and luminal) and was later extended to three classes upon identification of the claudin-low subtype; the MDACC is three-tiered (basal, luminal, and p53-like) and was further extended to five classes (luminal, luminal-p53, basal,

basal-p53 classes, and “double negative”) (95,101,104,107). A TCGA study developed a four-class system in which groups were designated as I-IV (I and II: luminal; III and IV: basal); this was based on data from an interim cohort of 131 TCGA tumors, the subtypes of which were later refined and extended to five classes using a full TCGA cohort of 412 (86,99). This study generated basal (basal-squamous) and luminal subtypes (luminal-infiltrated, luminal, and luminal-papillary), which are similar to the corresponding original subtypes, and identified a new subtype (neuronal) characterized by the expression of neuroendocrine tumor markers (96,99,105). The Lund classification system is a five-tiered hierarchy (Uro A, genomically unstable, infiltrated, squamous cell carcinoma-like, and Uro B), and was later extended to six subtypes (urothelial-like, genomically unstable, epithelial infiltrated, SCC-like/Mes-like, SCC-like/Uro B, and Sc/NE-like) (60,106). The Bladder Cancer Molecular Taxonomy Group used 1,750 MIBC transcriptomes and a network-based analysis of six independent MIBC classification systems to identify a consensus set of six molecular classes: luminal-papillary, luminal non-specified, luminal unstable, stroma-rich, basal/squamous, and neuroendocrine-like subtype (105). Despite the different nomenclatures of the taxonomy groups, they all identified similar clustering of transcription factors and actionable target genes. A comparison by Aine *et al.* demonstrated the existence of a hierarchical relationship between the classification systems (105,108). These authors mapped the interrelations between subtypes on an independent dataset, and demonstrated that subtype stratification in each system can be explained by common genetic processes (108). A recent meta-analysis of published data from 2,411 BC cases, including both NMIBC and MIBC, identified six subtypes (luminal-like, HER2-like,

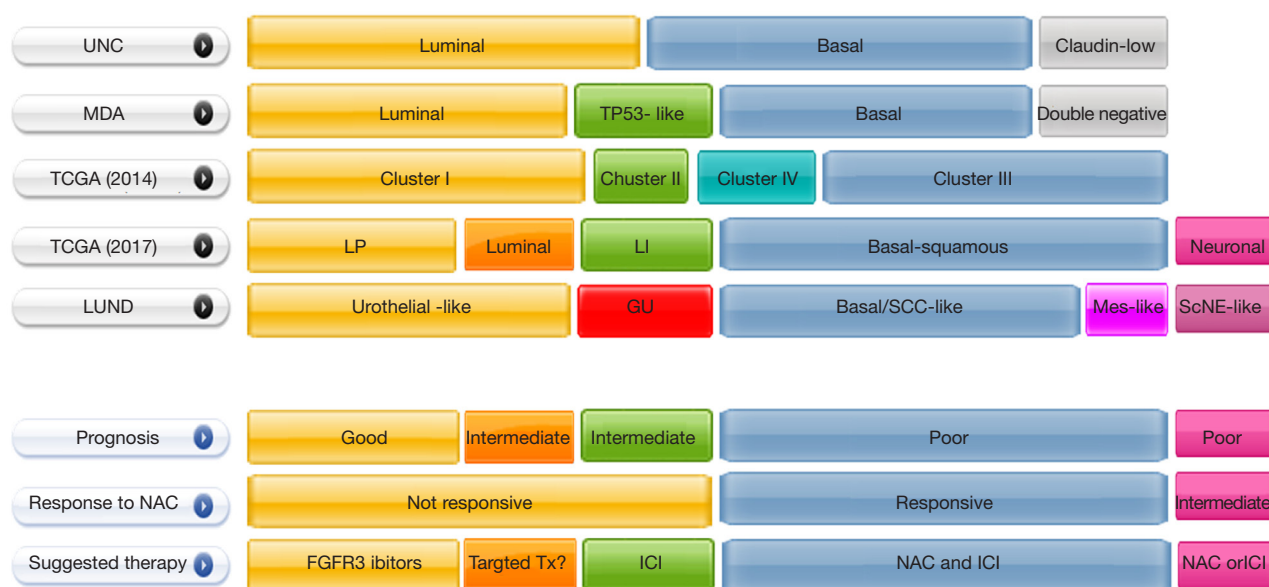


Figure 2 Schematic illustration of the molecular classification of MIBC based on gene expression profiling. UNC, University of North Carolina; MDA, MD Anderson Cancer Center; TCGA, The Cancer Genome Atlas; LP, luminal-papillary; LI, luminal-infiltrated; GU, genomically unstable; SCC, squamous cell carcinoma; Mes, mesenchymal; Sc/NE, small cell/neuroendocrine; NAC, neoadjuvant chemotherapy; ICI, immune checkpoint inhibitor; MIBC, muscle invasive bladder cancer.

papillary-like, neural-like, mesenchymal-like, and squamous cell carcinoma-like) showing significant differences in overall survival and molecular features (97). This non-exhaustive review does not describe each molecular taxonomy in detail. Instead, we discuss the potential of this molecular classification to provide accurate predictions of prognosis and therapeutic response in individual patients.

Molecular subtypes of BC show differential sensitivity to chemotherapy and immune checkpoint blockade. Choi *et al.* reported that patients with the p53-like subtype show a particularly low response rate to NAC; the p53-like subtype is characterized by fibroblast infiltration and a senescent signature (101). Seiler *et al.* developed a single-sample genomic classifier to predict consensus subtypes (claudin-low, basal, luminal-infiltrated, and luminal) and investigated the ability of molecular subtypes to predict the response to cisplatin-based NAC (11). The results indicated that basal tumors treated with cystectomy alone show poor outcomes, whereas NAC followed by cystectomy is associated with better outcomes, suggesting that NAC is most beneficial in patients with basal MIBC (11,109). The IMvigor210 (phase 2 clinical trial of atezolizumab), KEYNOTE-052 (phase 2 clinical trial of pembrolizumab), and CheckMate 275 (phase 2 clinical trial of nivolumab) trials supported the potential relationship of molecular subtypes with the response to

ICIs (110-112). ICIs were most beneficial in patients with the immune infiltrated luminal and basal subtypes, which suggests that the efficacy of ICIs is related to the TME rather than to the tumor cell itself (64).

Robertson *et al.* identified mutational profiles with prognostic value and established a framework for associating distinct tumor subtypes with certain clinical options (99,113). The luminal-papillary subtype is associated with a low risk of progression, and preliminary data suggest a low likelihood of response to cisplatin-based NAC (99,113). The luminal-infiltrated subtype in patients with metastatic or unresectable BC is sensitive to immune checkpoint therapy with atezolizumab and may be resistant to cisplatin-based chemotherapy (99,113). Luminal-papillary tumors are characterized by high FGFR3 expression and harbor genomic FGFR3-activating mutations in addition to resistance to immune cell infiltration (99,113). FGFR3-targeted therapy (e.g., erdafitinib) could therefore be beneficial in this subtype, whereas checkpoint blockade by itself would likely have little benefit (99). The basal-squamous subtype is characterized by squamous differentiation and high expression levels of CD274 (PD-L1) and CTLA4, indicating that cisplatin-based NAC and immune checkpoint therapies are appropriate therapeutic options (99). A neuroendocrine subtype with

a particularly poor prognosis was identified based on gene expression profiles even in the absence of typical histologic neuroendocrine features (99). It remains unclear whether these tumors should be regarded as neuroendocrine tumors and treated with cisplatin/etoposide (99). A recent analysis demonstrated that neuronal tumors in the TCGA are associated with high response rates in patients treated with atezolizumab (anti-PDL1) in the ImVigor 210 trial (114). A commercially available predictive tool, the Decipher Bladder, was recently developed to prospectively identify patients who may benefit from platinum-based NAC, although there are limitations to its generalizability and application.

Genomic characterization of UUT-UCC

Because of the relative rarity of UUT-UCC, clinical decision making in patients with upper urinary tract urothelial carcinoma (UTUC) is based on treatment data for BC (12,115). Patients with advanced UUT-UCC show sensitivity to multi-agent platinum-based chemotherapy. However, the role of adjuvant or NAC remains poorly defined for the management of advanced UUT-UCC, although some studies suggest a benefit (115). UUT-UCC and BC show similar morphology and cytogenetic changes as well as prognostic factors, and recent studies have improved our understanding of UUT-UCC as a distinct entity compared with UCC of the bladder (32,116,117). This improved understanding of genomics may lead to the identification of prognostic markers to help design specific therapeutic strategies for UUT-UCC (32,117). Sfakianos *et al.* reported the results of the largest targeted next-generation DNA-sequencing study of UUT-UCC, which showed a significant difference in the prevalence of somatic alterations between high-grade UUT-UCC and BC (116). The authors used an exon-capture assay including 300 cancer-associated genes to compare somatic DNA mutations between 59 high-grade UUT-UCCs and 102 high-grade BCs, and between 23 low-grade and 59 high-grade UUT-UCCs (116). The results showed a higher frequency of *FGFR3*, *HRA*, and *CDKN2B* mutations and a lower frequency of *TP53*, *RB1*, and *ARID1A* mutations in high-grade UUT-UCC than in BC (116). None of the UUT-UCC cases showed *RB1* mutations, which are mutated frequently in MIBC (116). A comparison of low- and high-grade UUT-UCCs revealed significant differences in mutated genes: *FGFR3*, *CREBBP*, and *STAG2* mutations were exceedingly common in low-grade tumors, whereas

TP53 mutation was more common in high-grade and high-stage tumors (116,118). These differences in *FGFR3* and *STAG2* mutation profiles show a spectrum of genetic alterations similar to those of high-grade BC (116,118). Moss *et al.* suggested the presence of four unique molecular and clinical subtypes based on whole-exome sequencing of DNA, RNA sequencing (RNAseq), and protein analysis of 31 untreated snap-frozen UUT-UCC samples (32). Unsupervised consensus hierarchical clustering of RNAseq data segregated samples into four subtypes with unique molecular profiles and clinical correlates (32). A novel *SH3KBP1-CNTNAP5* fusion, which regulates RTK signaling, was also identified (32). Robinson *et al.* recently performed an integrated analysis of whole-exome and RNAseq data of UUT-UCC and used integrated BC data from TCGA as a comparison cohort (117). The analysis led to several key findings, such as predominantly luminal or papillary type features, a high frequency of *FGFR* alterations, a low tumor mutation burden in sporadic UUT-UCC, and a depleted T-cell microenvironment, thus providing a rationale for the development of UUT-UCC-specific treatment strategies (117). *FGFR* upregulation associated with T-cell depletion support the combination of *FGFR3* tyrosine kinase inhibitors (infigratinib) with PD-1/PD-L1 inhibitors as a targeted therapeutic strategy to modulate the T-cell-depleted phenotype of UUT-UCC (117).

Future perspectives in the identification of molecular markers for BC and UUT-UCC

Emerging targeted therapies

Most, if not all, patients treated with platinum-based chemotherapy and/or ICIs eventually develop resistance, underscoring the need for rational targeted therapeutic options to improve outcomes for advanced or metastatic UCC (110,119). Molecular analysis of the tumor biology of patients with advanced or metastatic UCC led to the discovery of targetable alterations that can be treated with angiogenesis inhibitors (sunitinib, pazopanib, ramucirumab, cabozantinib, and bevacizumab), *FGFR* inhibitors (rogaratinib, pemigatinib, infigratinib, derazantinib, dovitinib, erdafitinib, vofatamab, and Debio 1347), human epidermal growth factor receptor (HER; ErbB) inhibitors (trastuzumab, margetuximab, pertuzumab, and cetuximab), *PI3K/AKT/mTOR* pathway inhibitors (everolimus, temsirolimus, sapanisertib, and buparlisib), histone deacetylase inhibitors (mocetinostat), *PARP* inhibitors

(olaparib, rucaparib), indoleamine 2,3-dioxygenase inhibitors (epacadostat), angiopoietins/Tie2 complex pathway blockers (trebananib), Aurora kinase A inhibitors (alisertib), heat shock protein 27 inhibitors (apatorsen), CDK4/6 inhibitors (palbociclib), and antibody-drug conjugates (enfortumab-vedotin, sacituzumab govitecan) (119-123). Thousands of ongoing phase I, II, and III trials are currently underway to test the efficacy of molecular-targeted agents alone, in sequence, or in combination in patients with advanced UCC. However, there is limited space to describe each targeted agent in this review.

Molecular-guided adaptive trials

Biomarker-guided adaptive trials have recently drawn considerable attention because of their pivotal role in advancing the field of personalized medicine, which aims to provide ‘the right treatment to the right patient, at the right dose at the right time’ (124). The BLC2001 study (NCT02365597) evaluated the efficacy of the *FGFR* inhibitor erdafitinib in patients with locally advanced and unresectable or metastatic UCC with prespecified *FGFR* alterations (*FGFR* fusions or mutations) (125). An ongoing pilot phase II trial (NCT 03047213) is testing a novel mTOR inhibitor, sapanisertib, in patients harboring *TSC1/2* mutations after progression on platinum-based chemotherapy (119). An ongoing trial (ISRCTN25859465, ATLANTIS: adaptive multi-arm phase II trial of maintenance targeted therapy after chemotherapy in metastatic urothelial cancer) is exploring whether molecular-targeted maintenance therapy after chemotherapy can delay time to progression and increase overall survival in patients with advanced UCC (124). In this trial, targeted maintenance therapy will be allocated based on subgroups (cabozantinib/placebo arm, rucaparib/placebo arm, and enzalutamide/placebo arm) defined according to tumor biomarker profiles, such as androgen receptor expression status, and *BRCA* mutation and/or homologous recombination deficiency (124). Another ongoing phase 1b study, BISCAY (NCT02546661), is testing multiple novel agents (durvalumab, olaparib, AZD4547, adavosertib, vistusertib, AZD9150, and selumetinib) as monotherapy and in combination based on molecularly defined subgroups in patients with MIBC who progressed on prior treatments (126).

Future perspectives of molecular biomarkers

High-throughput microarray technology enables the

identification of markers of disease progression and prediction of disease outcome in patients with BC and UUT-UCC, and transcriptome profiling enables the classification of UCC into molecular subtypes that can be stratified more precisely according to prognosis and therapeutic options (127). Combined analysis of molecular markers and standard pathological features may improve risk stratification and help monitor tumor progression and treatment response, ultimately improving patient outcomes (Figure 3).

The long-noted heterogeneity of outcomes between individuals (intra-patient heterogeneity) is a major driver for the development of molecular subtyping classification systems to better predict the response to current therapies (105). Tissue-based molecular subtyping helps predict the response to chemotherapy or ICIs among patients (11,128). However, cancer is not a fixed state; rather, it is a dynamic ecosystem that evolves as the tumor progresses and is modulated strongly by therapeutic pressure (129). During cancer progression, multiple subclonal populations of tumor cells compete with one another. Selective pressure drives the emergence of predominant subclones, which replicate and spread more efficiently, and are resistant to treatment (130,131). Tissue-based tumor profiles are subject to sampling bias (spatial intra-tumor heterogeneity) and capture a limited snapshot of clonal dynamics during treatment (temporal intra-tumor heterogeneity) (132). These intra-tumor heterogeneities may explain why some patients show an initial response to therapy, followed by recurrence and progression of a resistant clone (133) (Figure 4). Significant genomic and transcriptomic alterations can be induced by platinum-based chemotherapy or ICIs (35,134,135). Seiler *et al.* suggested that the molecular subtype of post-NAC tumors will help direct the use of adjuvant or salvage therapy in MIBC patients and may play a crucial role in improving overall outcomes (135). However, the development of real-time approaches to the molecular monitoring of evolving genetic clones responsible for tumor progression and drug resistance remains a challenging task (34). In this context, liquid biopsy has emerged as a highly promising tool for monitoring disease status in real time, and for predicting prognosis, recurrence, therapy response, and resistance (136). Recently developed tumor-specific and highly sensitive assays of cell-free nucleic acids, such as circulating tumor DNA (ctDNA), mRNAs, long non-coding RNAs, and microRNAs, as well as proteins, peptides, metabolites, and extracellular vesicles (exosomes, microvesicles, and oncosomes) in serum and urine, should

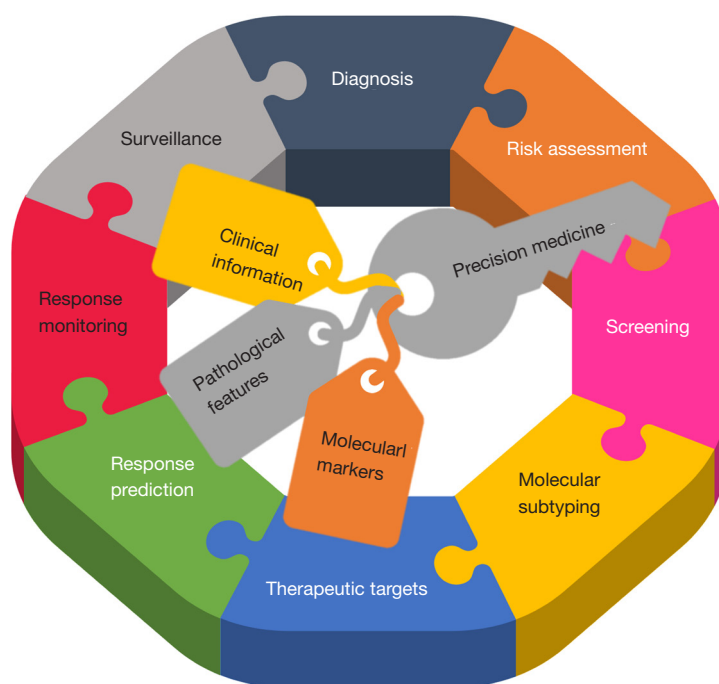


Figure 3 Integral model of clinico-pathological and molecular analysis for precision medicine in patients with UCC. Combined analysis of molecular markers and standard pathological features may improve risk stratification and help monitor tumor progression and treatment response, ultimately improving patient outcomes in patients with UCC. UCC, urothelial cell carcinoma.

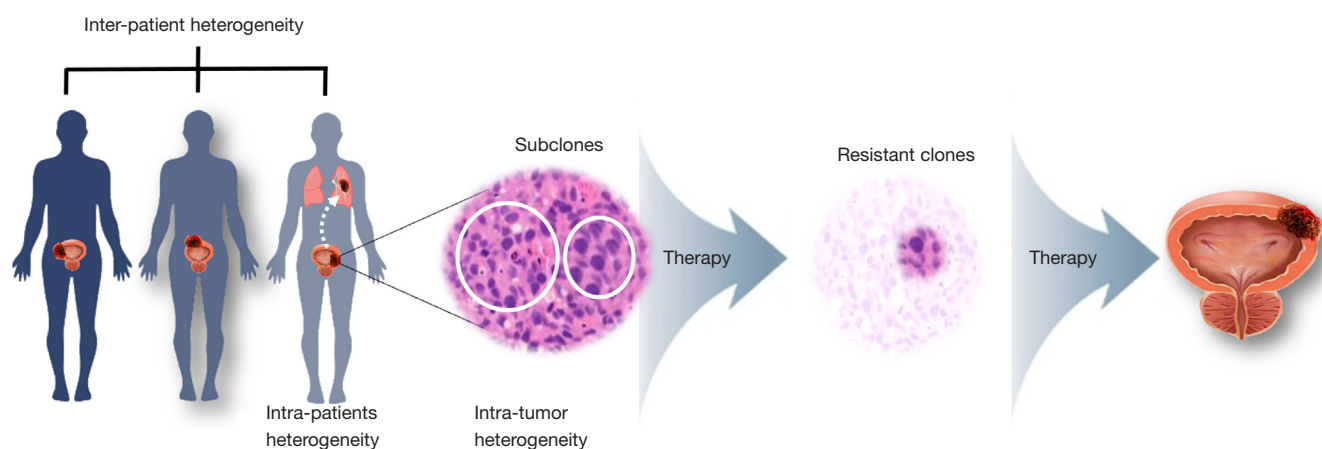


Figure 4 Tumor heterogeneity and therapeutic resistance. Cancer is not a fixed state; rather, it should be considered as a dynamic ecosystem that evolves as the tumor progresses and is modulated strongly by therapeutic pressure.

provide a more representative snapshot of an individual cancer than a biopsy sample (34,136-138). Despite the tremendous potential of liquid biopsy, its real-world application in UCC is hindered by poor specificity and sensitivity, lack of standardization, and poor reproducibility (139). Further investigations and large-scale validation studies are needed before liquid biopsy can be

applied successfully in routine clinical practice.

Conclusions

UCC is characterized by a high degree of molecular heterogeneity and a high mutational load. Research

advances have contributed to the understanding of the genetics underlying the potential pathogenesis of BC and UTUC. These advances may lead to the identification of new biomarkers and potential therapeutic targets for the treatment of UCC. The use of urine, tissue, or blood molecular markers may improve the design of individualized treatments and surveillance based on individualized risk profiles. Next-generation sequencing and the identification of molecular subtypes based on expression profiles have improved our understanding of BC biology, which may help identify the disease earlier, stratify patients, improve the prediction of outcomes, or help design targeted therapies. Molecular subtyping offers great potential for clinical decision making when paired with typical staging and grading systems. Molecular analysis of patients with advanced or metastatic UCC has led to the discovery of targetable alterations that can be potentially treated with angiogenesis inhibitors, *FGFR* inhibitors, *PI3K/AKT/mTOR* pathway inhibitors, *ErbB* inhibitors, epigenetic modifiers, and *PARP* inhibitors. Although not covered in this review, liquid biopsies are a promising approach to real-time molecular monitoring of evolving genetic clones responsible for tumor progression and drug resistance.

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Footnote

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