



# A narrative review of critical factors for better efficacy of CD19 chimeric antigen receptor T cell therapy in the treatment of B cell malignancies

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**Abstract:** B cell malignancies are classified as different types such as B cell acute lymphoblastic leukemia (B-ALL), chronic lymphocytic leukemia (CLL) and B cell non-Hodgkin lymphoma (NHL) based on cell surface expression of various clusters of differentiation molecules. CD19 is a B cell lineage-specific antigen which is expressed on malignant B cells in patients with B-ALL, CLL and NHL. Adoptive transfer of T cells that are genetically modified to express a CD19-specific chimeric antigen receptor (CAR) represents a promising clinical strategy for patients with B cell malignancies. CD19-CAR T cell therapy has achieved high response rates and durable remissions on B cell malignancies. However, the efficacy of CAR-T therapy is still inefficient and the critical factors for better efficacy remain unclear. In this review, we summarized the critical factors for better efficacy of CD19 CAR-T cells in B-lineage malignancies including B-ALL, B-CLL and lymphoma. T cell persistence, lymphodepletion regimen, CD3/CD28 beads treatment and no IL-2 administration to T cells were positively associated with better responses. The method of enhancing the persistence of CAR-T cells need to be further optimized in order to improve the clinical efficacy in the treatment of B cell malignancies. In order to improve the therapeutic effect of CAR-T therapy, new therapeutic strategies should be developed to make factors which influence efficacy the more beneficial.

**Keywords:** CD19; CAR-T; cytokine release syndrome (CRS); B lineage malignancies

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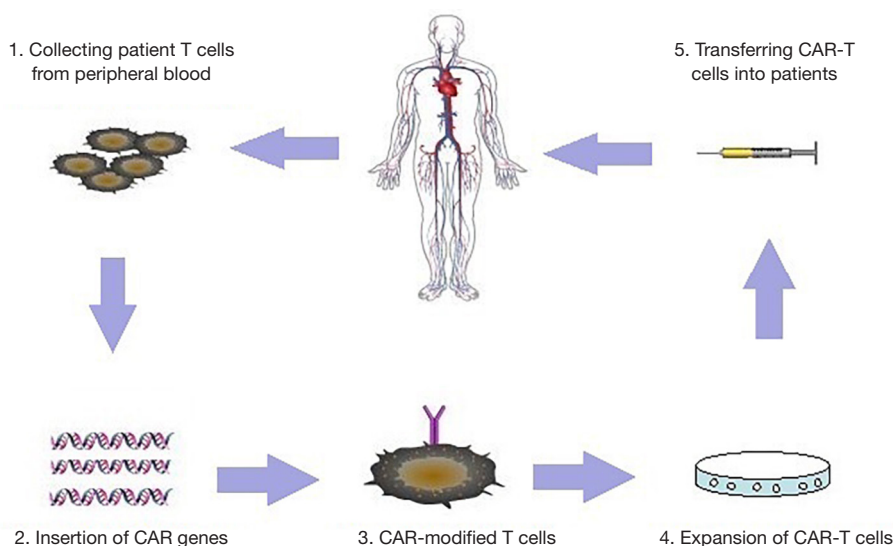
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## Introduction

B cell malignancies are classified as different types based on cell surface expression of various clusters of differentiation molecules. There are three main types of B-cell malignancies which are largely heterogeneous: B cell acute lymphoblastic leukemia (B-ALL), chronic lymphocytic leukemia (CLL) and B cell non-Hodgkin lymphoma

(NHL) (1,2). Adoptive transfer of T cells engineered to express a chimeric antigen receptor (CAR) has emerged as an impactful targeted immunotherapy (*Figure 1*), showing prominent responses in refractory patients (3-5). In 2017, CAR-T therapy has achieved regulatory approval to conduct late phase clinical testing in the US (6). CARs comprise a tumor-targeting structure, which is often in the



**Figure 1** The treatment process for chimeric antigen receptor T cell therapy. T cells are collected from patients' peripheral blood. Then the chimeric antigen receptor genes were inserted into T cells. The chimeric antigen receptor T cells are expanded *in vitro* and then transferred back to patients.

form of a single chain variable fragment derived from a monoclonal antibody, a transmembrane structure, and one or more intracellular T cell signaling sequences (7). Tumor-specific antigens are not yet well defined except for CD19, which is a B cell lineage-specific antigen that is expressed on malignant B cells in patients with B-ALL, CLL and B cell NHL (8). CAR-modified T cells with specificity against CD19 have demonstrated significant promise against highly refractory hematologic malignancies (9,10). Inspiring clinical outcomes with high complete remission (CR) rates (90%) have been reported in children and adults with refractory ALL, CLL and lymphoma (11-13).

Recently, Turtle *et al.* reported that 27 of 29 patients (93%) achieved leukemic blasts in bone marrow (BM) remission in the treatment of B-ALL after lymphodepletion chemotherapy by CD19 CAR-T cells (14). Bhoj *et al.* reported a clinical trial about CD19-CAR T persistence in CTL019-treated patients (13). Their study showed that several vaccines remain relatively stable for at least 6–12 months after treatment. Moreover, the persistence of CAR T cell was different between autologous recipients (average of 201 days) and allogeneic recipients (51 days) (12). However, the clinical outcome varies among different studies. In Brudno's study, only 8 of 20 patients obtained remission, which included two partial remissions (15). The critical factors for better efficacy still remain unclear. In this review, we discuss the key clinical factors affecting

response rates of CAR T cells targeting CD19 in B-lineage malignancies including B-ALL, B-CLL and lymphoma.

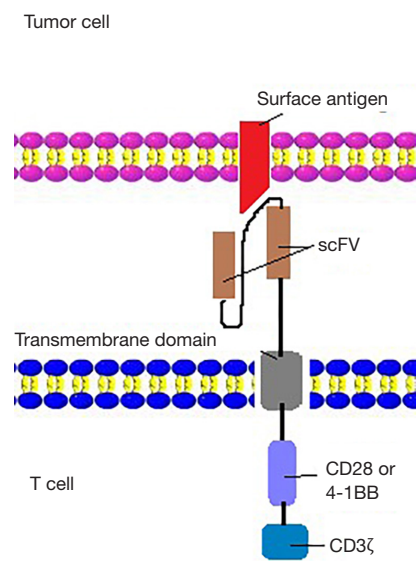
We present the following article in accordance with the Narrative Review reporting checklist (available at <http://dx.doi.org/10.21037/tcr-20-1044>).

### CD19-CAR T cell therapy for B cell malignancies

B cell malignancies are derived from B cells in diverse differentiation stages (16). However, different kinds of B cell malignancies share a few common B-cell lineage markers for targeted therapy. CD19 is a B-lineage-specific transmembrane glycoprotein expressed during all stages of B-cell differentiation (17). CAR T cell therapy is believed as an effective therapeutic approach because of its powerful efficacy on malignant hematological diseases, notably B cell malignancies. CAR-T cell therapy targeting CD19 has exhibited promising prospect in patients with B cell malignancies including B-ALL, CLL and B cell NHL (14,18,19). Nevertheless, patients treated with CD19-CAR T therapy have shown different response rates.

### Potential factors of CD19-CAR therapy in B cell malignancies

It is 20 years since the general concept of the CAR T cell was devised. The technology of redirecting T-cell



**Figure 2** The second generation chimeric antigen receptor structure and interaction between chimeric antigen receptor T cells and tumor cells.

function has been translated into clinical application during this relatively short period of time. Several groups have reported anti-tumor effects of CD19-directed CAR T cells in patients with B cell malignancies (7,18,19). However, the response rates of CAR T cells in the immunotherapy of B cell malignancies have varied widely. It is still unclear about the critical factors of improving the efficacy of CD19-CAR T cell therapy in B-lineage malignancies. T cell persistence, lymphodepletion, the treatment of T cells with CD3/CD28 beads and IL-2 administration are the potential critical factors of T cells associated with response rate of CD19-CAR T cell therapy.

### *T cell persistence*

Since CAR T cells must persist for a sufficient period of time to ensure successful tumor elimination, insufficient T cell persistence is considered as a critical challenge of CAR-T therapy (20). The persistence of adoptively transferred CAR T cells was positively correlated with clinical response rates in B-cell malignancies (21). Although the efficacious method of enhancing the persistence of CAR-modified T cells has not been developed, the potential factors have been reported, which include lymphodepletion and patient tumor burden (22). Recently, studies have indicated that T cell persistence and anti-tumor functions

can be improved by re-directed homing of T cells to the bone marrow (22).

### *Lymphodepletion*

Lymphodepletion, also called conditioning chemotherapy, may reduce the tumor burden of patients. Lymphodepletion could make new niches for infused CAR T cells by reducing resident cell populations (23,24). Lymphodepletion will enhance the persistence of T cells, which was administered before the T cell infusion (25,26). Some studies have shown an inverse relationship between the persistence of CAR T cells and the patients' tumor burden (21,27,28). Conditioning chemotherapy-administrated patients are likely to achieve better clinical benefits.

### *CAR designing*

The first generation CARs were designed to connect an antibody-derived scFv to the CD3ζ intracellular signaling domain of the T cell receptor through hinge and transmembrane domains. However, because of the lack of costimulation in tumor cell targets, the first-generation CARs showed limited expansion and relatively short persistence (29-31). The lack of co-stimulation molecules leads to the immune escape of tumor cells and rapid apoptosis of T-cells (32,33). To solve the above problems, second generation CARs incorporated costimulatory endodomains, including CD28 (34,35), 4-1BB (CD137) (36,37), into CAR molecules (*Figure 2*). The optimization of the used cytokines and growth conditions are crucial for the expansion and related anti-tumor activity of CAR-T cells (38). CD3/CD28 bead treatment and no IL-2 administration to T cells were significantly positively associated with better clinical outcomes, compared with irradiation and IL-21 treatment to T cells. IL-2 is a cytokine, which was frequently applied to promote the first-generation CAR T cells expansion *in vitro* (26). Second-generation CARs induces IL-2 secretion and T cell proliferation upon CAR cross-linking (9). Anti-CD3/CD28 mAb-coated magnetic beads were used to provide an initial signal for stimulating T cell expansion (39).

In this new but fast-developing field, many novel strategies of designing CARs are developed to improve the efficacy of CAR-T therapy. CRISPR/Cas9 genomic editing might provide a more effective strategy for the designing of CAR-T cell (40). Some studies have shown that the CRISPR gene-edited CAR T cells have higher antitumor

activities than non-gene-edited CAR T cells (41). These evidences indicated that CRISPR/Cas9 genomic editing is a promising strategy in the modification of CAR T cells.

Moreover, “ON-switch” CARs are designed to control CAR-T cell activity. In this designed CART, small molecules control T cell therapeutic functions, and therefore, physicians could control the timing, location, dosage of T cell activity and toxicity (42,43). Studies have revealed that “ON-switch” CARs provided a more powerful strategy of the therapy of malignancies (42,43).

### ***Cytokine release syndrome (CRS)***

CRS, which is caused by excessive cytokine production, is usually typified by chills, fevers and other more severe life threatening reactions (44). Neurotoxicity caused by CD19-CAR T cells is usually manifest as delirium, somnolence and other severe cases such as seizures and/or stroke-like phenomena (45). CAR-modified T cell immunotherapy can be complicated by CRS and neurologic toxicity, which in most cases are manageable and reversible (46). Turtle *et al.* established that high CAR-T cell doses and tumor burden increase the risks of severe CRS and neurotoxicity (7). Indeed, cytokine elevation is directly correlated to tumor burden at the time of CAR-modified T cell infusions (9,47,48). The grade of CRS might be an important predictive marker for the infections in B cell malignancies patients treated with CAR-T cells (49).

### ***Allogeneic hematopoietic stem cell transplantation (alloHSCT)***

alloHSCT, which offers advantages to confer long-term remission of B-cell malignancies, may influence the response rate of patients (50). alloHSCT is a therapeutic strategy to reconstruct normal hematopoietic and immune functions by removing tumor cells from patients and transfusing others' hematopoietic stem cells back to them. Although it is unclear whether infusion of allogeneic CAR-modified T cells could improve outcomes after donor lymphocyte infusions, some studies have reported that allogeneic anti-CD19 CAR T cells could effectively treat B-cell malignancies that progress after alloHSCT (15,51).

### **Future considerations**

B-ALL, CLL and B cell NHL are three main types of B cell malignancies. CD19-CAR T cells therapy has paved

the way for B cell malignancy immunotherapies with high response rates and durable remissions (52,53). Nevertheless, the critical factors for better responses of CD19-CAR T cell therapy are still not clear in the treatment of B cell malignancies (54). T cell persistence, lymphodepletion, CARs designing, CRS and alloHSCT were associated with responses of B cell malignancies. The method of enhancing the persistence of CAR-modified T cells should be further optimized, which is believed to lead to better clinical efficacy in treating B cell malignancies (55).

Efforts have been made to improve the efficacy of CD19-CAR T cell therapy in the treatment of B cell malignancies in the past few years. The first-generation CARs were simply designed to contain a single signaling domain which provides an antitumor signal (56). The first-generation CARs have limited anti-tumor effect *in vivo* due to the apoptosis of T cells, and therefore, the second-generation CARs were developed with the incorporation of costimulatory domains CD28 or 4-1BB (CD137) (57,58). Compared with the first-generation CARs, the second-generation CARs increased the proliferation, persistence and homing of T cells, improving the efficacy of therapy. The third-generation CARs joined an extra costimulatory molecule based on the second-generation CARs, obviously activating T cells and prolonging patients' survival (33). In order to solve the problems of the second and third generations of CARs, such as off-target toxicity, the fourth-generation CARs have been developed. The fourth-generation CARs further modified the function of T cells in many ways. For instance, adding suicide gene and expressing IL-2 (59).

To improve the therapeutic effect of CAR-T therapy on malignancies, many new progresses are being carried out. A suicide gene is encoded to ensure the safety of genetically modified cells by selective ablation adoptive transferred cells. Previous studies have indicated that incorporating the suicide genes into the CAR-T cells is a promising strategy to improve the efficacy of CAR-T therapy and lower the side effects by activating the inducible caspase-9 protein (59-61). Bispecific CARs with the engagement of two TAAs are designed to recognize the tumor cells in a better way (56). For example, it has been proved that CD20-CD19 bispecific CAR T cells may provide better efficacy of therapy than CD19-CAR T cell therapy (62). Recent studies have demonstrated that CRS and neurotoxicity are mainly caused by monocyte-derived IL-1 and IL-6 and intervention against IL-1 may successfully tackle both toxicities (63,64).

The combination of CAR-T therapy and other anti-tumor therapies provides a new way to improve the therapeutic effect, reduce the risk of tumor evasion and obtain better clinical outcome (65,66). Combined application of conditional chemotherapy and CAR-T therapy has been proven to be more effective than CAR-T therapy alone, providing strong rationales for combining CAR-T cell therapy with other anti-tumor approaches (67). Evidence has shown that the immune checkpoint blockade-based therapy could significantly enhance the efficacy of CAR-T cell therapy (68,69). The administration of PD-1 and PD-L1 blocking antibodies could increase the activity of CAR-T cells. However, its effect is transient and multiple administrations are still necessary to suppress tumor progression. To solve this problem, CAR-T cells were also genetically engineered to overexpress a PD-1 dominant negative receptor (PD-1 DNR), which lacks PD-1 transmembrane and intracellular signaling domains. The results indicated that PD-1 DNR augmented the efficacy of CAR-T cells and had more advantages over anti-PD-1 antibodies, such as long half-life and low toxicity (70). There are also other new strategies to block immune checkpoint in CAR-T cells, such as the generation of PD-1 deficient CD19-CAR T cells based on CRISPR/Cas9 gene editing technology (71,72).

In conclusion, CD19 CAR T cell therapy has produced distinct antitumor responses in the treatment of B cell malignancies. The rapid development of this field has provided many new strategies for improving the therapeutic effect of CAR-T therapy. The combination of CAR-T therapy and other therapies is a promising strategy for the treatment of cancer patients. To improve the efficacy of CAR-T therapy, the critical factors should be further determined and new strategies should also be developed to make these factors more beneficial.

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## Footnote

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