



# Sarcomatoid renal cell carcinoma: genomic insights from sequencing of matched sarcomatous and carcinomatous components

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## Introduction

The estimated new kidney cancer cases diagnosed each year in the United States and in the world are ~63,000 and ~300,000, respectively (1,2). Renal cell carcinoma (RCC) represents over 90% of kidney cancer and consists of a group of malignancies arising from the renal epithelium and exhibiting distinct histopathological features (3-6). The 2004 WHO classification listed 12 different subtypes of RCC (4). With a better understanding of the molecular pathogenesis of RCC, the 2013 International Society of Urological Pathology (ISUP) consensus conference added several new entities (6). Major RCC subtypes are clear cell RCC (ccRCC) (~75%), papillary RCC (pRCC) (~15%), chromophobe RCC (chRCC) (~5%), and unclassified RCC (uRCC) (4-6%) (6,7). Large-scale genomics of major RCC subtypes led by The Cancer Genome Atlas (TCGA) have been reported, which delineate the genomic landscapes of ccRCC (KIRC), pRCC (KIRP), and chRCC (KICH) (8-11). Furthermore, subsequent studies have begun to elucidate the prognostic and predictive values of prevalent mutations in ccRCC, which is likely to impact clinical management of kidney cancer patients in the near future (12-18).

Sarcomatoid components can be detected in various epithelial malignancies, featuring morphological characteristics typical of a sarcoma and implicating an underlying epithelial-mesenchymal transition (EMT) (19,20). Sarcomatoid components can arise in all subtypes

of RCC (21) but with higher incidences in ccRCC and chRCC (22,23). Immunohistochemical and genetic studies indicated that sarcomatoid RCC (sRCC) does not develop *de novo* but results from transformation/differentiation/dedifferentiation of pre-existing RCC (21,24,25). Hence sRCC does not represent a distinct subtype and is classified according underlying histology; when no epithelial component is present, these tumors are categorized as uRCC. In general, sRCC is associated with an aggressive clinical course and portends a poor therapeutic outcome (22,26-28). Furthermore, increasing percentages of sarcomatoid component within individual RCCs are associated with worsening outcome and carry prognostic values (26,29,30). Accordingly, a better understanding of underlying molecular pathology is of paramount significance.

## Genomics of sRCC

Several of the previously reported studies that have examined the genomic aberrations present in ccRCC and chRCC have included patients with sarcomatoid histology (8,10,31). However, interpreting differences in the molecular biology of patients with sRCC in these studies is difficult due to several methodological issues (e.g., different platforms for sequencing, mixed cohorts, small overall numbers). Complicating the issue further is the presence of intratumor heterogeneity and the fact that even

on a single slide of paraffin-embedded tissue there can be both areas of sRCC mixed with pure ccRCC and normal renal epithelium. As one could imagine, DNA extracted from these samples would be derived from various sources. While parsing sequencing results for tumor versus normal epithelium can be done quite easily, the segregation of DNA from sRCC and ccRCC is not so simple.

Before the advent of next generation sequencing technology, studies directly comparing matched sarcomatous and carcinomatous components of ccRCC include assessing the mutation status of *TP53* and *H-RAS* (32), determining pattern of allelic loss (25), and immunohistochemistry of EMT markers (20). In an effort to better elucidate the genomic aberrations present in these tumors, two groups recently reported on their dedicated studies of sRCC tumors. Bi *et al.* reported their findings in *Proceedings of the National Academy of Sciences* of the United States of America and Malouf *et al.* published their study in *European Urology*, both of which were made available in February 2016 (33,34). Both groups should be commended for their efforts to further describe this aggressive and frequently lethal tumor variant.

While the goals of both studies were the same—identify the genomic alterations in sRCC—the design and approach of the studies differed. Bi *et al.* dedicated their study to tumors with sRCC occurring in the presence of clear cell histology, sarcomatoid clear cell RCC (sccRCC). Malouf *et al.* included both tumors with sccRCC and tumors with sarcomatoid elements occurring in conjunction with varying histologies (e.g., papillary, unclassified, collecting duct). Both of these studies provided excellent details on the molecular aberrations specific to sRCC and the results of these studies present a number of interesting observations that will surely impact future research.

A cohort of 21 tumors with sequencing results of sufficient quality was initially included in the Bi *et al.* study. Each tumor had matched normal, carcinomatous, and sarcomatoid elements (microdissected), submitted for whole-exome sequencing. The mean depth of independent reads was 135, 177, and 171 for normal, carcinomatous, and sarcomatoid elements, respectively. Two of the 21 matched samples were found to have significantly higher somatic single nucleotide variants (SSNVs) in their matched tumor elements. One of these tumors had a mutation in mutS homolog 2 (*MSH2*), and the other had a mutation in polymerase  $\epsilon$  (*POLE*). Their mutational signatures were consistent with mismatch repair deficiency, and they were excluded from the grouped analysis of the other 19 tumors. In the 19 matched tumors samples analyzed, Bi *et al.* found

41.7% (45/108) of the SSNVs were shared among the matched carcinomatous and sarcomatoid elements. Most of these shared SSNVs were within genes commonly mutated in ccRCC (e.g., *VHL*, *PBRM1*, and *SETD2*). Among these tumors the sarcomatoid elements were found to have a significantly higher average mutational burden (45 *vs.* 18 SSNVs) and nearly twice the length of loss of heterozygosity (LOH) events (913 *vs.* 460 Mb), which was also statistically significant. They also found that the sarcomatoid elements had significantly more frequent alterations occurring in known cancer genes. The most frequently mutated among these genes in the sarcomatoid element was tumor protein p53 (*TP53*). They reported 6 out of 19 (31.6%) sarcomatoid elements had *TP53* mutations compared to zero in the matched carcinomatous elements. Bi *et al.* also highlighted sarcomatoid-specific mutations in BRCA1 associated protein 1 (*BAP1*) in 2/19 (10.5%) and AT-rich interaction domain 1A (*ARID1A*) in 3/19 (15.7%) samples. They also observed that mutations in *TP53*, *BAP1*, and *ARID1A* were all mutually exclusive among the 19 tumors. Sarcomatoid elements were also found to have more frequent LOH events among chromosomes 1p, 9, 10, 17p, 18 and 22. Ito *et al.* reported a similar enrichment for such copy number events in sRCC (35). Lastly, Bi *et al.* presented several novel SSNVs that have not regularly been associated with RCC which were found to be more common or exclusive to the sarcomatoid elements among the 19 tumors. This included alterations in; FAT atypical cadherin 1 (*FAT1*), *FAT2*, *FAT3*, tumor susceptibility 101 (*TSG101*), ligand dependent nuclear receptor interacting factor 1 (*LRIF1*), required for cell differentiation 1 homolog (*RQCD1*), and protein tyrosine kinase 7 (*PTK7*).

The study published by Malouf *et al.* included essentially three different cohorts. The first cohort was similar to the 19-tumor cohort presented by Bi *et al.*, and it included three tumors with paired clear cell (carcinomatous) and sarcomatoid elements after microdissection. This cohort underwent targeted sequencing of both matched elements using a custom panel of 236 frequently mutated cancer-related genes and 37 introns frequently rearranged in cancer (average exon coverage of 819x). Of note this panel did not include the genes *FAT1*, *FAT2*, *FAT3*, *TSG101*, *LRIF1*, *RQCD1*, or *PTK7*. Also, they did not report using matched normal tissue from any patients in their targeted sequencing analysis, which likely limits the interpretation of copy number aberrations for these tumors. The sequencing results of this cohort stand somewhat in contrast to the results of the study above. Malouf *et al.* reported identical

alterations in two of the three matched samples (i.e., exact same type and number of alterations in both clear cell and sarcomatoid elements). In the third sample they saw similar homozygous deletions in *VHL* but found multiple distinct inactivating mutations in *TP53* and phosphatase and tensin homolog (*PTEN*) that differed between the two elements. The third case also had a unique amplification of Janus kinase 2 (*JAK2*) in the sarcomatoid element, which, when taken together with the *TP53* and *PTEN* mutations, may suggest a divergent course of evolution for this tumor. In the second cohort, they analyzed 23 tumors with sRCC arising from a mixture of carcinomatous backgrounds including clear cell, unclassified, collecting duct, papillary, and mucinous tubular and spindle cell carcinoma. Most of these tumors were primary kidney specimens (88.5%), except for three which were from metastatic sites (peritoneal nodule, lymph node, and liver). In this cohort, they found *TP53* to be the most frequently altered gene (11/23, 42.3%). They also reported a relatively high number of cyclin-dependent kinase inhibitor 2A (*CDKN2A*; 7/23, 26.9%) and neurofibromin 2 (*NF2*; 5/23, 19.2%) alterations among these tumors. In their third cohort, the investigators employed whole-exome sequencing on four tumors with sccRCC, not microdissected. They reported a lower overall median mutation rate in these four cases (37.5 mutations) compared to the median rate in TCGA (49 mutations) for ccRCC (8). In two of these four cases they went on to test multiple regions from the primary tumors (4 regions in one and two in the other) to evaluate intratumoral heterogeneity using Sanger sequencing for *VHL* and *TP53* genes only. For these two cases they report no finding of intratumor heterogeneity in regards to these two genes.

Integrating the results of these studies helps us answer several questions about the molecular framework of sRCC. First the truncal events and shared genomic aberrations between both the carcinomatous elements and sarcomatoid element seen in both studies confirm that sRCC arises from RCC. Next, the notion that the sarcomatoid element represents a dedifferentiated progression of RCC is supported by the increased overall mutational burden and copy number aberrations seen in the sarcomatoid elements compared to the carcinomatous elements from Bi *et al.* The increase in aberrations of known cancer genes (*TP53*, *NF2*, *CDKN2A*) also supports the sentiment that the sarcomatoid elements are driving pathogenesis in these tumors. Oda *et al.* published a study in 1995 reporting a mutation rate of 78.6% (11 of 14) for *TP53* in the sarcomatoid elements of sRCC tumors using polymerase chain reaction (32). The

carcinomatous elements, or background histology, for this cohort included both mixed and granular subtypes, somewhat limiting the application of these results. While Bi *et al.* clearly show an enrichment of *TP53* aberrations (31.6%) in the sarcomatoid elements among primary ccRCC tumors, caution must be used when interpreting the even more enriched results (42.3%) from the 26 sRCC tumors reported in the Malouf *et al.* study. The latter study included diverse primary RCC histologies and also included metastatic tumors, which previously have been shown to be enriched for *TP53* aberrations irrespective of sarcomatoid features (36). Similarly the finding of increased *NF2* mutations occurring in sRCC may also be limited due to the diverse background of primary RCC histologies in this cohort. Our understanding of the molecular composition and the clinical implications of uRCC are both poorly defined and poorly understood. As a significant number of the *NF2* and *TP53* aberrations occurred in these unclassified tumors, attributing the results to sRCC may be problematic. Another interesting finding is the identification of the two tumors from Bi *et al.* with mutational signatures consistent with mismatch repair deficiency. Tumors such as these, and maybe even sarcomatoid variants in general, may derive significant benefit from immune checkpoint blockade in the treatment of metastatic disease (37,38). A summary of some of the differences among the tumor cohorts analyzed in these studies can be found in *Table 1*.

Both of these studies are novel in their attempt to better understand this very clinically relevant and aggressive disease. However, sRCC is a relatively rare entity, and both studies have small cohorts, which may hinder their generalizability. The rarity of this disease also exposes both studies to significant selection bias. This may include selection of tumors for analysis with the most tissue available (large tumors), those with the most aggressive course (likely to have been sequenced), and likely other confounding variables. The use of different sequencing platforms and the mix of histologies in the Malouf *et al.* study make pooling and comparing of the results difficult. While the genomic underpinnings of sRCC in approximately 1/3 of patients may be explained by the results of these studies (i.e., *TP53*, *NF2*, *CDKN2A* aberrations), there is still no clear molecular explanation of sRCC development in the majority of cases.

## Conclusions

Both Bi *et al.* and Malouf *et al.* have conducted and

**Table 1** Summary of tumor cohorts

Study	Pathology	Specimen site	Sequencing/median coverage	Results/comments
Oda <i>et al.</i> [1995]				
14 matched tumors*	sRCC (sccRCC =7, mixed =5, granular =2)	Primary	PCR w/IHC	TP53 mutations in 11 of 14 tumors sarcomatoid elements. Only two of these tumors had <i>TP53</i> mutations in both carcinomatous and sarcomatoid elements
Bi <i>et al.</i> [2016]				
19 matched tumors*	sccRCC	Primary	WES/normal 135x, carcinomatous 177x, sarcomatoid 171x	Shared mutations between elements point to common origin. High overall rate of mutations, including mutations in known cancer genes ( <i>TP53</i> , <i>ARID1A</i> , <i>CDKN2A</i> )
2 matched tumors (hypermutated)	sccRCC	Primary	WES/ normal 135x, carcinomatous 177x, sarcomatoid 171x	Found to have high mutation burden due to mismatch repair deficiency ( <i>MSH2</i> , <i>POLE</i> )
Malouf <i>et al.</i> [2016]				
3 matched tumors*	sccRCC	Primary	Targeted (255 genes)/~700x <sup>†</sup>	
23 unmatched tumors*	sRCC (sccRCC =9, unclassified =9, collecting duct =2, papillary =1, MTSCC =1)	Primary =20, peritoneal nodule =1, lymph node =1, liver =1	Targeted (255 genes)/~700x <sup>†</sup>	2 of 3 tumors with high fidelity of aberrations between elements. Third tumor with mutational profile consistent with divergent evolution of elements
56 unmatched tumors	ccRCC	Primary	Targeted (255 genes)/~700x <sup>†</sup>	Enrichment for <i>TP53</i> , <i>NF2</i> , <i>CDKN2A</i> aberrations in sRCC tumors
4 matched tumors	sccRCC	Primary	WES/normal 71x <sup>†</sup> , sccRCC 138x <sup>†</sup> ; sanger for two tumors (four regions in one, two regions in the other)	Comparative group

\*, core cohort of tumors referenced in the respective study; <sup>†</sup>, mean coverage. sRCC, sarcomatoid renal cell carcinoma; sccRCC, sarcomatoid clear cell renal cell carcinoma; PCR, polymerase chain reaction; IHC, immunohistochemistry; WES, whole-exome sequencing; TCGA-KIRC, The Cancer Genome Atlas Kidney Renal Clear Cell Carcinoma.

published novel genomic studies of renal tumors with sarcomatoid variant histology. The results have definitively demonstrated that progressive dedifferentiation is the source of the sarcomatoid elements in RCC. They have also identified key genomic aberrations (e.g., *TP53*, *CDKN2A*, copy number changes) present in sRCC that may explain its aggressive clinical course and may become potential targets for therapy. We hope future research efforts build upon this work to pursue better treatment and management strategies for patients with this disease.

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