

# The prevalence and prognostic significance of estrogen receptor beta expression in non-small cell lung cancer

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**Background:** Estrogen receptor beta (ER $\beta$ ) is the predominant estrogen receptor (ER) expressed in non-small cell lung cancer (NSCLC); however, due to methodological disparities among prior studies, the prognostic value of ER $\beta$  expression in NSCLC remains unclear. Our objective was to apply improved detection and analysis techniques to assess the prognostic value of ER $\beta$  expression in NSCLC.

**Methods:** A tissue microarray (TMA) was used which contained resected and biopsy specimens from 299 patients diagnosed at a single center with stages I–IV NSCLC. Sections of this array were stained using high-sensitivity fluorescence immunohistochemistry, with the well-validated PPG5/10 monoclonal antibody. Digital images of the stained array slides were analyzed using software-based image analysis, which reported ERβ expression as a continuous variable in different subcellular domains.

**Results:** There were no differences in ER $\beta$  expression between male and female patients. High expression of ER $\beta$  was not a prognostic factor, but was significantly associated with stage IV disease in both tumor and stroma (P<0.001). In multivariable analysis, a high nuclear/cytoplasmic (N/C) ratio of ER $\beta$  expression was significantly associated with shorter overall survival, based on expression in the tumor [hazard ratio (HR): 1.65; 95% confidence interval (CI): 1.25–2.19; P<0.001] and in the stroma (HR: 1.57; 95% CI: 1.16–2.12; P=0.003).

**Conclusions:** These results suggest that subcellular localization of  $ER\beta$ , but not absolute expression, is a prognostic factor in NSCLC.

Keywords: Estrogen receptor (ER); image analysis; immunohistochemistry; non-small cell lung cancer (NSCLC)

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## Introduction

Lung cancer is the leading cause of cancer-related deaths across the world, with a yearly incidence of 1.82 million cases and 1.6 million deaths (1). The predominant form of the disease is non-small cell lung cancer (NSCLC), which accounts for 85–90% of cases (2). While treatment has improved significantly over the last 20 years, management of NSCLC is hampered by the advanced stage at which many patients present with disease, and a high likelihood of relapse following treatment (3). Modern advances in genomics allow development of therapies that target oncogenic driver mutations or translocations in genes such as EGFR (4) and ALK (5). More recently, immune checkpoint inhibitors have shown efficacy in the metastatic (6) and locally advanced settings (7). While these

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treatments are effective in the short term, relapse rates are high, and overall survival remains disappointingly low. We have a poor understanding of the factors that sustain tumor growth and development under metabolic conditions that would be toxic to normal cells.

An extensive body of epidemiological data highlights clear differences in the pathophysiology of lung cancer between men and women (8). For instance, while smoking is the primary cause of lung cancer in both sexes, neversmokers with cancer are significantly more likely to be female than male (9). Tumor histology is more likely to be adenocarcinoma in women (10), who also have generally better prognoses (11). Although these differences may be attributed to genetic and metabolic causes, further evidence implicates hormone signaling, particularly involving estrogen, in incidence and prognosis. In a study of 36,588 women, those receiving hormone replacement therapy with estrogen and progestin for 10 years or more were 50% more likely to develop lung cancer (12). In a large, randomized controlled trial conducted over a shorter period, women on hormone replacement therapy were almost twice as likely to die from lung cancer than in the placebo group (13). Notably, this increase in mortality was attenuated upon discontinuation of hormone replacement (14).

Although there are data to support a role for estrogen in the development and progression of lung cancer, the mechanism of action is unclear. The estrogen receptor (ER) protein is responsible for signal transduction events in response to estrogen and its analogues. The receptor exists in two variants that are expressed from different genes: estrogen receptor alpha (ERa) from the ESR1 gene, and estrogen receptor beta (ER $\beta$ ) from ESR2. ER $\alpha$  is most commonly associated with breast, ovarian and endometrial cancers (15); however, its expression is most likely low or absent in NSCLC (16,17). Consequently, based on the hypothesis that estrogen acts directly on the tumor, several studies have assessed ER<sup>β</sup> expression in NSCLC, and examined the association between expression and prognosis. There has been a complete lack of consensus among these studies: while some found that high  $ER\beta$  expression was associated with poor prognosis (18,19), others found that low ERβ expression was a poor prognostic indicator (20-22), and others found no associations (23,24). There are at least two meta-analyses of these studies; one indicated that ER $\beta$  expression was a good prognostic marker (25), while the other found no prognostic value (26). Although most of these studies used immunohistochemistry to detect and quantify ER $\beta$ , they generally used different primary 497

antibodies. This fact is significant, given that most of the commonly-used ER $\beta$  antibodies exhibit poor or no specificity for the protein (27,28). Another key variable is the method of analysis, which may be based on intensity of staining (29), proportion of stained cells (21,30), or a combination of both (19,31). In sum, the question of whether ER $\beta$  expression is associated with lung cancer outcome is significant but remains unresolved.

Our study was designed to test the hypothesis that  $ER\beta$ expression is a prognostic factor in NSCLC. Given that there are prior studies which addressed this hypothesis, our goal was to resolve the inconsistencies of earlier studies with improved assay and analysis methods. We assessed the expression of ER $\beta$  in a tissue microarray (TMA) containing stages I-IV NSCLC. We took several measures to reduce methodological bias. First, we used an antibody (PPG5/10) whose specificity for ER $\beta$  has been extensively validated both by others (32) and by our own methods. This antibody recognizes only the full-length, transcriptionally-active splice variant of  $ER\beta$ , and detects this protein in normal human lung epithelia (33). Second, we used high-sensitivity fluorescence immunohistochemistry to detect ER<sup>β</sup> protein. Finally, we applied software-based image analysis for unbiased quantification of ERß expression as a continuous variable. This allowed us to assess expression discretely in tumor, stroma, and subcellular compartments. The results suggested that the prognostic value of ER $\beta$  varied with the disease stage at presentation.

## Methods

#### Patient selection

This study was approved by the Health Research Ethics Board of Alberta. All patients were diagnosed with NSCLC at the Tom Baker Cancer Centre in Calgary, Canada, between 2003 and 2006 (34). Clinical data were obtained retrospectively by chart review, and abstracted into the Glans-Look Lung Cancer Database (35). The 7th edition TNM classifications were used to stage all patients. A subset of patients received adjuvant treatment, which was chemotherapy, radiotherapy, or both.

## TMA generation

The TMA for this study was as previously described (34), and was comprised of formalin-fixed, paraffin-embedded (FFPE) resected tumors and needle biopsies. Triplicate specimens were present for most patients. Slides bearing 4  $\mu$ m-thick sections of the array were stained for ER $\beta$ , along with matched sections that were stained with isotype control antibodies. HeLa cell blocks were used as positive and negative controls (described below).

#### Protein expression controls

HeLa cells (CCL-2<sup>TM</sup>, ATCC, Manassas, VA, USA) were grown and maintained in Dulbecco's Modified Eagle Medium (catalog number 11995073, Thermo Fisher Scientific, Waltham, MA, USA) containing fetal bovine serum (catalog number 10437010, 1:10, Thermo Fisher Scientific). Six million cells were seeded in a T175 flask and were transiently transfected with either 0.3, 0.4 or 0.5 µg/mL pcDNA-FLAG-ERβ plasmid (catalog number 35562, Addgene, Cambridge, MA, USA), or an empty vector expressing green fluorescent protein (pcDNA-GFP, kindly provided by Dr. Karl Riabowol, University of Calgary). The FLAG-ER<sup>β</sup> expressed by this plasmid is the full-length, transcriptionally-active isoform of ER $\beta$  (ER $\beta$  isoform 1). Following expansion to 20 million cells per condition, cells were rinsed with PBS, dissociated with Versene (catalog number 15040-066, Thermo Fisher), and pelleted by centrifugation. Cell pellets were suspended in cold PBS, with 10% set aside for protein lysates and Western blot evaluation. The remaining cells were fixed in 10% neutral-buffered formalin (catalog number HT501128-4L, Sigma-Aldrich, St. Louis, MO, USA) for 30 minutes, pelleted and resuspended in liquefied HistoGel<sup>TM</sup> (catalog number HG-4000-012, Thermo Fisher) at a concentration of 10 million cells per 100 µL. Samples were stored in 70% ethanol at 4 °C prior to processing and embedding the next day. Representative 0.6 mm cores from each cell block were incorporated into a testing array that served as an assay control for ER<sup>β</sup> staining.

#### Fluorescence immunohistochemistry

TMA sections were deparaffinized and rehydrated, and heat-induced epitope retrieval with a citrate-based buffer (pH 6.0) was performed as described (35). To block endogenous peroxidase activity and eliminate non-specific antibody binding, peroxidase block (catalog number K4011, Dako, Mississauga, Canada) and Signal Stain<sup>®</sup> protein block (catalog number 8112L, Cell Signaling, Danvers, MA, USA) were applied in a hybridization chamber at room temperature. Slides were incubated in a humidified chamber overnight at 4 °C with either ERβ primary antibody (mouse monoclonal, clone PPG5/10, 1:500, Abcam, Cambridge, MA, USA) or mouse IgG1 isotype control (clone MG1-45, 1.0 mg/mL, Abcam). Staining with goat anti-mouse EnVision+ (catalog number K4007, Dako, Mississauga, Canada) secondary antibody was performed using a Dako Autostainer Link 48 followed by TSA Plus Cy5 signal amplification reagent (Perkin Elmer, Waltham, MA, USA) to visualize ERß staining. The tumor epithelial compartment was identified by sequential staining with pan-cytokeratin (PCK) (rabbit polyclonal, catalog number Z0622, 1:100, Dako) and Alexa 555-conjugated anti-rabbit antibody catalog number (A21429, 1:200, Thermo Fisher) containing diamidino-2phenylindole (DAPI) (catalog number D1306, 0.8 ng/µL. Thermo Fisher) to also visualize nuclei. Slides were cover slipped with ProLong Gold anti-fade mounting medium catalog number (P36934, Thermo Fisher) and stored at 4 °C until scanned.

## Digital image acquisition and analysis

The workflow for image acquisition and software analysis is essentially as described in previous publications by our group (36-38) and others (39). Slides were digitized using an Aperio ScanScope FL slide scanner (Leica Biosystems, Concord, Ontario, Canada). An analysis algorithm was designed in the HALO image analysis software suite (version 1.94.392, Indica Labs, Corrales, NM, USA) (36). This algorithm identified cells based on nuclear expression of DAPI, and demarcated cell boundaries based on nextnucleus proximity and a maximum cellular radius of 5 µm. Cytoplasmic regions were defined as the difference, in pixels, between the whole-cell area and the DAPIdefined nuclear area. Cytokeratin-positive and -negative tissue regions defined the tumor and stroma, again as previously described (34). The software calculated the mean staining intensity of ER $\beta$  in cellular (whole cell, nucleus and cytoplasm) and tissue (tumor and stroma) compartments as the total fluorescent staining intensity in each compartment divided by the total cross-sectional area of the compartment, in each TMA spot. Backgrounds were calculated by applying the same algorithm to isotype control-stained sections of the same TMA; the highest mean staining intensity of isotypestained sections was subtracted from values obtained in the presence of  $ER\beta$  antibody. The resulting value, designated a HALO score, was measured for each of up to three TMA spots per patient sample. The mean HALO score from all TMA spots for each patient was used for statistical analysis.

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Table 1 Clinicopathological	l characteristics of the NSCLC study population
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Clinicopathological variables	Patients (n=299), n (%)	Median survival (months)	P value	
Age				
Median [range]	65 [33–88]			
<65	145 (48.5)	31.6	0.155	
≥65	154 (51.5)	25.6		
Gender				
Male	150 (50.2)	22.1	0.365	
Female	149 (49.8)	35.4		
Smoking status				
Ever	258 (86.3)	25.6	0.390	
Never	34 (11.4)	46.9		
Unknown	7 (2.3)	12.6		
Histology				
Adenocarcinoma	162 (54.2)	32.5	<0.001*	
Squamous cell	94 (31.4)	27.2		
NOS	10 (3.3)	4.7		
Other	33 (11.0)	35.0		
Stage				
1	113 (37.8)	116.5	<0.001*	
II	48 (16.1)	48.4		
III	51 (17.1)	23.9		
IV	87 (29.1)	5.2		
Adjuvant treatment				
Yes	58 (19.4)	93.9	<0.001*	
No	241 (80.6)	23.1		

\*, significant P values. NSCLC, non-small cell lung cancer; NOS, not otherwise specified.

## Statistical analysis

Fisher's exact test was used to analyze categorical data, and the Wilcoxon rank-sum test was used to assess continuous variables. Survival outcomes were analyzed using the Kaplan-Meier method, with the log-rank test to compare groups. Multivariate Cox-proportional hazards models were used to control for potential confounders. Patients were stratified into subgroups based on high and low HALO scores, using cut-points identified by X-Tile software (40). All statistical analyses were implemented using R (version 3.3.0, R Foundation for Statistical Computing, Vienna, Austria).

## Results

The study population consisted of 302 patients diagnosed with NSCLC, of whom 299 patients had ER $\beta$  expression data suitable for analysis. Their clinicopathologic characteristics are listed in *Table 1*. Most patients were diagnosed at stage I (37.8%), followed by stage IV (29.1%), stage III (17.1%) and stage II (16.1%). The percentages of patients presenting with adenocarcinomas or squamous cell carcinomas were, respectively: for stage I, 62.8% and 25.7%; stage II, 47.9% and 39.6%; stage III, 29.4% and 49.0%; stage IV, 60.9% and 24.1%. The proportions of male (50.2%) and female (49.8%) patients were almost

equal. By stage at presentation, the percentages of patients that were male or female were, respectively, for stage I: 42.5% and 57.5%; stage II, 54.2% and 45.8%; stage III, 62.7% and 37.3%; stage IV, 50.6% and 49.4%. Only three patients received neoadjuvant therapy, which took the form of radiotherapy, chemoradiotherapy, or chemotherapy. In the patients who received adjuvant chemotherapy, there were significant differences in survival within groups, based on stage at diagnosis, receipt of adjuvant treatment, and histology (*Table 1*).

To verify the specificity of the primary antibody to ER $\beta$ , we created FFPE blocks from cells that over-expressed ER $\beta$  from a FLAG-tagged *ESR2* plasmid. Western blotting (*Figure S1A*), and fluorescence immunohistochemistry on sections of the cell blocks (*Figure S1B*) were used to verify that only cells transfected with the *ESR2* plasmid expressed ER $\beta$ . The HALO scores of ER $\beta$ -expressing cells increased with the amount of plasmid transfected, indicating that the assay was sensitive to different levels of protein expression. Representative images of ER $\beta$  staining in the NSCLC TMA are shown in *Figure 1*. The staining was predominantly nuclear, consistent with the localization of the transcriptionally-active ER $\beta$  isoform 1 detected by the PPG5/10 antibody (32).

We assessed the correlation between ER $\beta$  expression, in different tissue and subcellular compartments of the NSCLC specimens, and overall survival. For the cohort as a whole, high ER $\beta$  expression correlated with shorter overall survival (*Table 2*). This association was evident with both nuclear and cytoplasmic expression of ER $\beta$ . Since the activity of certain signal transduction pathways may be regulated by subcellular localization of the active protein, rather than by level of expression, we also analyzed the nuclear/cytoplasmic (N/C) ratio of ER $\beta$  expression. A high N/C ratio of ER $\beta$  expression in the stroma, but not in the tumor, was associated with shorter overall survival (*Table 2*).

We examined associations between the clinicopathologic variables listed in *Table 1* and ER $\beta$  expression in different tissue compartments. A subset of these analyses is shown in *Table 3*. There were no differences in ER $\beta$  expression between male and female patients, when stratified by either disease stage or tissue compartment. Remarkably, stage IV patients were more likely to express high levels of ER $\beta$  in tumor and stromal nuclei (P<0.001 in both cases). Stage IV patients were also more likely to have high ER $\beta$  expression in the tumor cytoplasm (P=0.030) and stromal cytoplasm (P=0.017). In stage IV patients, a high N/C ratio of ER $\beta$  in the tumor was associated with shorter overall survival (*Figure 2A*). Nuclear ER $\beta$  expression in stage IV patients was not prognostic (*Figure 2B*), nor was expression between male versus female patients (P=0.806).

Multivariable Cox regression analyses were performed, using stage, age, histology, gender and smoking status as covariables. High N/C ratios of ER $\beta$  in the tumor and stroma were significantly associated with shorter overall survival (*Table 4*). Expression of ER $\beta$  in the nuclei was not associated with survival in either the tumor [hazard ratio (HR): 1.16, 95% confidence interval (CI): 0.77–1.76, P=0.480] or the stroma (HR: 1.15, 95% CI: 0.80–1.65, P=0.449). No other expression variables were associated with survival.

## Discussion

In this study, we used fluorescence immunohistochemistry and software-based image analysis to detect and quantify ER $\beta$  expression in a NSCLC TMA. The tools employed in this work allowed us to quantify ER $\beta$  expression as a continuous variable, and to obtain data on expression from different tissue and cellular compartments. This analysis revealed that all patients expressed detectable ER $\beta$ , particularly in nuclei.

When the entire patient cohort was stratified by ER $\beta$  expression, high tumor and stromal expression were significantly associated with shorter overall survival; however, this was due to a strong association between high ER $\beta$  expression and stage IV disease. A high N/C ratio was significantly associated with shorter survival, when adjusted for stage in a multivariable model. There were no associations between ER $\beta$  expression and either gender or histology.

Since the primary antibody and analysis methods employed are significant determinants of results, there are few studies to which we can compare our own. The PPG5/10 monoclonal antibody was used in some prior studies to detect ER $\beta$  in lung cancer. The conclusions were that expression of ER $\beta$  isoform 1, which is the only isoform detected by the PPG5/10 antibody, was not associated with survival (29,31,41). Although the quantification methods were considerably different across the board, our results generally agree with those of these prior studies. Novel and unique to the present work, however, are the observations that ER $\beta$  expression predominated in advanced-stage NSCLC, and that the subcellular localization of ER $\beta$  is a significant prognostic factor. These observations warrant further investigation.



**Figure 1** ERβ antibody validation. (A) HeLa cells transfected with a plasmid encoding FLAG-tagged *ESR1* (ERβ), and untransfected HeLa cells, were stained by fluorescence immunohistochemistry using the PPG5/10 antibody. Additional assay controls were normal tonsillar epithelium stained with either an isotype control or the PPG5/10 ERβ antibody; (B) representative examples of endogenous ERβ expression include normal lung epithelium (row 1), and NSCLC with low expression (row 2) and high expression (row 3). Primary images are presented in grayscale, whereas merged images are pseudo-colored as follows: DAPI-stained nuclei in blue (first column), PCK-stained epithelial/ tumor cells in green (second column), and ERβ protein expression in red (third column). Images are exposure-adjusted for visual illustration of signal localization, and nHALO (tumor nuclear HALO) scores are indicated. ERβ, estrogen receptor beta; NSCLC, non-small cell lung cancer; PCK, pan-cytokeratin; DAPI, diamidino-2-phenylindole.

Table 2 Associations between ERß expression in different tissue/cellular compartments and overall survival

Tissue/cellular compartment ER $\beta$ expression	Patients (n=299), n [%]	Median survival (months)	P value	
Tumor (whole-cell)				
High	47 [16]	14.3	0.005*	
Low	251 [84]	36.6		
Missing	1 [0]			
Tumor nuclei				
High	34 [11]	9.9	<0.001*	
Low	264 [88]	34.5		
Missing	1 [0]			
Tumor cytoplasm				
High	47 [16]	15.6	0.041*	
Low	251 [84]	33.4		
Missing	1 [0]			
Tumor N/C ratio				
High	131 [44]	25.3	0.123	
Low	167 [56]	31.3		
Missing	1 [0]			
Stroma (whole-cell)				
High	53 [18]	20.4	0.031*	
Low	211 [71]	41.8		
Missing	35 [12]			
Stromal nuclei				
High	53 [18]	16.7	0.004*	
Low	211 [71]	44.9		
Missing	35 [12]			
Stromal cytoplasm				
High	49 [16]	20.4	0.029*	
Low	215 [72]	41.8		
Missing	35 [12]			
Stromal N/C ratio				
High	104 [35]	26.6	0.024*	
Low	160 [54]	40.8		
Missing	35 [12]			

Cut points were obtained using X-Tile, and P values were derived using the log-rank test. \*, significant P values. ERβ, estrogen receptor beta; N/C, nuclear/cytoplasmic.

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Clinicopathological	Turr	nor nuclei, r	n [%]	Tumor	N/C ratio	, n [%]	Strom	nal nuclei,	n [%]	Stromal	N/C ratio	o, n [%]
variables	High	Low	Р	High	Low	Р	High	Low	Р	High	Low	Р
Histology			0.946			0.027*			0.393			0.233
Adenocarcinoma	18 [53]	144 [55]		83 [63]	79 [47]		32 [60]	110 [52]		48 [46]	94 [59]	
Squamous cell	12 [35]	82 [31]		30 [23]	64 [38]		15 [28]	69 [33]		39 [38]	45 [28]	
NOS	1 [3]	9 [3]		4 [3]	6 [4]		3 [6]	7 [3]		4 [4]	6 [4]	
Other	3 [9]	29 [11]		14 [11]	18 [11]		3 [6]	25 [12]		13 [13]	15 [9]	
Stage			<0.001*			0.142			<0.001*			0.474
I	5 [15]	107 [41]		52 [40]	60 [36]		11 [21]	97 [46]		38 [37]	70 [44]	
II	4 [12]	44 [17]		22 [17]	26 [16]		12 [23]	34 [16]		20 [19]	26 [16]	
III	4 [12]	47 [18]		15 [11]	36 [22]		6 [11]	41 [19]		17 [16]	30 [19]	
IV	21 [62]	66 [25]		42 [32]	45 [27]		24 [45]	39 [18]		29 [28]	34 [21]	
Age			0.857			0.243			0.171			0.705
<65	17 [6]	127 [43]		58 [19]	86 [29]		30 [11]	97 [37]		52 [20]	75 [28]	
≥65	17 [6]	137 [46]		73 [24]	81 [27]		23 [9]	114 [43]		52 [20]	85 [32]	
Smoking			0.376			0.115			0.761			0.060
Current	13 [4]	68 [23]		29 [10]	52 [18]		15 [6]	52 [20]		20 [8]	47 [18]	
Former	18 [6]	158 [54]		80 [27]	96 [33]		31 [12]	131 [51]		73 [28]	89 [34]	
Never	3 [1]	31 [11]		19 [7]	15 [5]		7 [3]	23 [9]		9 [3]	21 [8]	

**Table 3** Associations between ERβ expression and select clinicopathological variables

\*, significant P values. ERβ, estrogen receptor beta; N/C, nuclear/cytoplasmic; NOS, not otherwise specified.



**Figure 2** Associations between ERβ localization or expression and overall survival in stage IV patients. Indicated are Kaplan-Meier curves of overall survival in stage IV NSCLC patients, based on (A) tumor N/C ratio, and (B) tumor nuclear ERβ expression. P values were derived using the log-rank test. ERβ, estrogen receptor beta; NSCLC, non-small cell lung cancer; N/C, nuclear/cytoplasmic.

Cliniconethological variables	Tumor N/C rati	0	Stroma N/C ratio		
Clinicopathological variables	HR (95% CI)	Р	HR (95% CI)	Р	
Stage II vs. stage I	1.64 (1.07–2.53)	0.024*	1.51 (0.97–2.36)	0.067	
Stage III vs. stage I	3.61 (2.37–5.49)	<0.001*	3.06 (2.01–4.68)	<0.001*	
Stage IV vs. stage I	15.78 (10.48–23.76)	<0.001*	14.34 (9.35–22.01)	<0.001*	
Age (>65 <i>vs</i> . ≤65)	1.33 (1.01–1.75)	0.043*	1.45 (1.07–1.94)	0.014*	
Gender (male vs. female)	1.23 (0.93–1.64)	0.151	1.13 (0.83–1.55)	0.434	
Smoking status (never vs. current)	0.66 (0.40–1.08)	0.094	0.62 (0.36–1.08)	0.093	
N/C ratio (high vs. low)	1.62 (1.22–2.14)	0.001*	1.57 (1.16–2.13)	0.003*	
Adjuvant chemotherapy (used vs. not used)	0.72 (0.48–1.08)	0.114	0.66 (0.43-1.00)	0.049*	

Table 4 Multivariable Cox regression analysis of ER<sup>β</sup> N/C ratios

\*, significant P values. ERβ, estrogen receptor beta; CI, confidence interval; HR, hazard ratio; N/C, nuclear/cytoplasmic.

Although the mechanism underlying gender differences in the pathophysiology of lung cancer was partial impetus for this work, we observed no differences between male and female patients in ER $\beta$  expression. This does not invalidate the hypothesis that ER $\beta$  signaling may mediate differences in disease presentation and prognosis, since circulating estrogen levels are invariably higher in females. Instead, we posit that, should there be a tumor-intrinsic role for estrogen signaling in NSCLC, receptor targeting strategies would be effective in all patients.

The mechanisms underlying the key findings of this study are not clear. Higher ERβ expression levels in stage IV disease were apparent in the tumor as well as the surrounding stroma, and in both nuclei and cytoplasmic compartments. Similar results were reported previously (24). It is worth noting that the stage IV specimens were collected from needle biopsies, whereas stage I-III specimens were resected primary tumors. Differences in cold ischemic time and similar pre-analytical variables may explain differences in antigenicity of some proteins (42). There is no evidence that such effects may impact detectability of the ERß protein, although we cannot rule out the possibility. We also observed that a high N/C ratio of ERβ expression was associated with shorter survival, again in stage IV patients. Given that this observation and the relevant statistical analysis were confined to this patient group (Figure 2), it must clearly be independent of any concerns about pre-analytical variables. It is possible that a high N/C ratio indicates that more cellular ER $\beta$  is present in its most biologically-relevant location-in the nucleusand thus this ratio might serve as a proxy for activity. There

are five known isoforms of ER $\beta$ , of which isoform 1, the predominant transcriptionally-active form, is the only one detectable with the PPG5/10 antibody (32). It is known that ER $\beta$  undergoes N/C shuttling (43), and it is suggested that the other isoforms may modulate the activity of isoform 1 (44); thus, a full understanding would require a careful analysis of the expression and distribution of the other isoforms.

In conclusion, our results suggest that high ER $\beta$  expression is associated with advanced NSCLC, and that preferential localization of the protein to the nucleus is associated with shorter overall survival. Since we observed no gender disparities in ER $\beta$  expression, therapeutic agents targeting this receptor may potentially be employed in male and female patients alike. Future studies should elucidate the signal transduction mechanisms that differentiate the  $\alpha$  and  $\beta$  receptors, and guide downstream efforts to utilize this pathway in a clinical context.

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## Footnote

Conflicts of Interest: All authors have completed the ICMJE

uniform disclosure form (available at http://dx.doi. org/10.21037/tlcr.2020.03.34). HL reports other from Roche Canada outside the submitted work. The other authors have no conflicts of interest to declare.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). This study was approved by the ethics committee of the Health Research Ethics Board of Alberta (HREBA.CC-16-0574) and and individual consent for this retrospective analysis was waived.

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**Figure S1** ER $\beta$  antibody validation. (A) HeLa cells were transfected with increasing amounts of a plasmid encoding Flag-tagged ER $\beta$ , or with a GFP-expressing control plasmid. Cell lysates were collected 24 hours later for Western blotting. Blots were probed with Flag antibodies to detect the Flag-tagged ER $\beta$  (upper panel), or with GAPDH antibodies as a loading control. Molecular weight markers in kilodaltons are on the left; (B) HeLa cells treated as in (A) were stained by fluorescence immunohistochemistry, using an ER $\beta$  antibody to detect both Flag-tagged and any endogenous ER $\beta$  protein. Controls were untransfected HeLa cells. Primary images are presented in grayscale (row 1). Merged images are pseudo-colored (row 2) for ER $\beta$  (red) and DAPI (blue). Images were exposure-adjusted equally, solely for visual illustration of signal localization. nHALO (tumor nuclear HALO) scores are indicated for each condition. ER $\beta$ , estrogen receptor beta; DAPI, diamidino-2-phenylindole.