

#### **Instructions**

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Yue 1



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**Royalties:** Funds are coming in to you or your institution due to your patent

1

Ye



Section 1.	Identifying Inform	ation		
1. Given Name (Fi Junyi	rst Name)	2. Surname (Last Name) Ye	3. Date 13-May-2020	
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Changli Wang and Giuseppe Giaccone	
5. Manuscript Title Genomic charac prognosis		-small cell lung cancer (NS	CLC) patients and its value in prediction of postoperative	
6. Manuscript Idei TLCR-19-664	ntifying Number (if you kn	ow it)		
			-	
Section 2.	The Work Under Co	onsideration for Public	ation	
any aspect of the s statistical analysis,	ubmitted work (including	but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,	
Section 3.	Relevant financial	activities outside the s	ubmitted work.	
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were <b>present during the 36 months prior to publication</b> .  Are there any relevant conflicts of interest? Yes Vo				
Section 4.				
Section 4.	Intellectual Proper	ty Patents & Copyrig	hts	
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo				

Ye 2



Section 5.	
Section 5.	Relationships not covered above
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Yes, the follow	ving relationships/conditions/circumstances are present (explain below):
✓ No other relat	ionships/conditions/circumstances that present a potential conflict of interest
	nuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements nals may ask authors to disclose further information about reported relationships.
Section 6.	Disclosure Statement
Based on the aborbelow.	ve disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Ye has nothin	g to disclose.

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Ye 3



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Gao 1



Section 1.	Identifying Inform	ation	
1. Given Name (First Liuwei	: Name)	2. Surname (Last Name) Gao	3. Date 13-May-2020
4. Are you the corre	sponding author?	Yes ✓ No	Corresponding Author's Name Changli Wang and Giuseppe Giaccone
5. Manuscript Title Genomic characte prognosis	ristics in Chinese non-	small cell lung cancer (NS	SCLC) patients and its value in prediction of postoperative
6. Manuscript Identi TLCR-19-664	fying Number (if you kn	ow it)	
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Section 3.	Polovant financial :	activities outside the s	ubmitted work
Place a check in the of compensation) v clicking the "Add +	e appropriate boxes in with entities as descri	n the table to indicate who bed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by se present during the 36 months prior to publication.
Section 4.	ntellectual Proper	ty Patents & Copyrig	ghts
Do you have any p	atents, whether planr	ned, pending or issued, br	oadly relevant to the work? Yes V No

Gao 2



Section 5.			
Section 5.	Relationships not covered above		
	Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?		
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):		
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest		
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.			
c .: c			
Section 6.	Disclosure Statement		
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box		
Dr. Gao has noth	ning to disclose.		

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Zhao 1



Section 1. Identifying Info	ormation	
1. Given Name (First Name) Xiaoliang	2. Surname (Last Name) Zhao	3. Date 13-May-2020
4. Are you the corresponding author?	Yes No	Corresponding Author's Name Changli Wang and Giuseppe Giaccone
5. Manuscript Title Genomic characteristics in Chinese prognosis	non-small cell lung cancer (NS	CLC) patients and its value in prediction of postoperative
6. Manuscript Identifying Number (if yo TLCR-19-664	ou know it)	_
Section 2. The Work Unde	er Consideration for Public	ation
	ding but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3. Relevant finance	ial activities outside the s	ubmitted work.
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Do you have any patents, whether p	planned, pending or issued, br	oadly relevant to the work? Yes V No

Zhao 2



Section 5.	
Section 5.	Relationships not covered above
	lationships or activities that readers could perceive to have influenced, or that give the appearance of acing, what you wrote in the submitted work?
Yes, the follow	ring relationships/conditions/circumstances are present (explain below):
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Section 6.	Disclosure Statement
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Chen 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi	rst Name)	2. Surname (Last Name) Chen	3. Date 13-May-2020
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Changli Wang and Giuseppe Giaccone
5. Manuscript Title Genomic charact prognosis		-small cell lung cancer (NS	CLC) patients and its value in prediction of postoperative
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Section 4.			
occurr ii	Intellectual Proper	ty Patents & Copyric	ints
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo			

Chen 2



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Huo 1



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4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Changli Wang and Giuseppe Giaccone
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Are there any rel	evant conflicts of intere	est?	
	ı		
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Do you have any	patents, whether plan	ned, pending or issued, br	roadly relevant to the work? Yes V No

Huo 2



Section 5.	
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1 Pang



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1. Given Name (Fi	rst Name)	2. Surname (Last Name) Pang	3. Date 13-May-2020
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Changli Wang and Giuseppe Giaccone
5. Manuscript Title Genomic charact prognosis		-small cell lung cancer (NS	SCLC) patients and its value in prediction of postoperative
6. Manuscript Ider TLCR-19-664	ntifying Number (if you kr	now it)	
Section 2.	The Work Under Co	onsideration for Public	cation
any aspect of the s statistical analysis,	ubmitted work (including	but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3.	Relevant financial	activities outside the s	submitted work.
of compensation clicking the "Add	n) with entities as descri	bed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by se present during the 36 months prior to publication.
Section 4.	Intellectual Proper	ty Patents & Copyric	yhts
Do you have any			oadly relevant to the work? Yes V No

Pang 2



Section F		
Section 5.	Relationships not covered above	
	lationships or activities that readers could perceive to have influenced, or that give the appearance of acing, what you wrote in the submitted work?	
Yes, the follow	ring relationships/conditions/circumstances are present (explain below):	
✓ No other relati	onships/conditions/circumstances that present a potential conflict of interest	
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Dr. Pang has noth	ning to disclose.	

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Section 1. Identifying Inform	nation			
1. Given Name (First Name) Yue	2. Surname (Last Name) Li	3. Date 13-May-2020		
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Changli Wang and Giuseppe Giaccone		
<ol><li>Manuscript Title Genomic characteristics in Chinese non prognosis</li></ol>	-small cell lung cancer (NS	SCLC) patients and its value in prediction of postoperative		
6. Manuscript Identifying Number (if you kn TLCR-19-664	iow it)	_		
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The Work officer Co	onsideration for Publi			
Did you or your institution <b>at any time</b> receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?				
Are there any relevant conflicts of interest?				
Section 3. Relevant financial				
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Section 4. Intellectual Proper	rty Patents & Copyrig	ghts		
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo				



Section 5.		
Section 5.	Relationships not covered above	
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Chen 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fi Yulong	rst Name)	2. Surname (Last Name) Chen	3. Date 13-May-2020	
4. Are you the corresponding author?		Yes ✓ No	Corresponding Author's Name Changli Wang and Giuseppe Giaccone	
5. Manuscript Title Genomic charac prognosis		-small cell lung cancer (NS	SCLC) patients and its value in prediction of postoperative	
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Are there any relevant conflicts of interest? Yes Vo				
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Chen 2



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Chuai 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fi Shannon	rst Name)	2. Surname (Last Name) Chuai	3. Date 13-May-2020	
4. Are you the corresponding author?		Yes ✓ No	Corresponding Author's Name Changli Wang and Giuseppe Giaccone	
5. Manuscript Title Genomic characteristics in Chinese non-small cell lung cancer (NSCLC) patients and its value in prediction of postoperative prognosis				
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Section 4.	Intellectual Proper	rty Patents & Copyric	ghts	
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No	

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Section 5.				
Section 5.	Relationships not covered above			
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c 11 c				
Section 6.	Disclosure Statement			
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Zhang 1



Section 1. Identifying Inform	nation				
1. Given Name (First Name) Zhenfa	2. Surname (Last Name) Zhang	3. Date 13-May-2020			
4. Are you the corresponding author?	☐ Yes 🗸 No	Corresponding Author's Name Changli Wang and Giuseppe Giaccone			
<ol><li>Manuscript Title Genomic characteristics in Chinese non prognosis</li></ol>	n-small cell lung cancer (NS	SCLC) patients and its value in prediction of postoperative			
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Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☐ Yes ✓ No					

Zhang 2



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administrative support, etc.



Section 1.	Identifying Inform	nation				
Given Name (First Name) Giuseppe		2. Surnan Giaccone	ne (Last Name) e	3. Date 13-May-2020		
4. Are you the cor	responding author?	✓ Yes	No			
Genomic charact prognosis	6. Manuscript Identifying Number (if you know it)					
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Section 2.	The Work Under Co	onsiderat	tion for Publication			
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Giaccone 2



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Dr. Giaccone has	nothing to disclose.				

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#### **Instructions**

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

# Identifying information.

# 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

# 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

# Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

#### Definitions.

**Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

**Grant:** A grant from an entity, generally [but not always] paid to your organization

**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued **Issued:** The patent has been issued by the agency

**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your

rt testimony, employment, or other affiliations patent **1-Financial Support:** Examples include drugs/equipment

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Section 1. Identifying Inform	nation					
1. Given Name (First Name) Changli	2. Surname (Last Name) Wang	3. Date 13-May-2020				
4. Are you the corresponding author?	✓ Yes No					
5. Manuscript Title Genomic characteristics in Chinese non prognosis	Genomic characteristics in Chinese non-small cell lung cancer (NSCLC) patients and its value in prediction of postoperative					
6. Manuscript Identifying Number (if you kr TLCR-19-664	now it)					
Section 2. The Work Under Co	onsideration for Publication					
	ive payment or services from a third party (government, co but not limited to grants, data monitoring board, study dest? Yes V No					
Section 3. Relevant financial						
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were <b>present during the 36 months prior to publication</b> .  Are there any relevant conflicts of interest? Yes Vo						
Section 4. Intellectual Proper	rty Patents & Copyrights					
	ned, pending or issued, broadly relevant to the work	</th				

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Section 5.						
Section 5.	Relationships not covered above					
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?					
Yes, the follo	Yes, the following relationships/conditions/circumstances are present (explain below):					
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest					
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements rnals may ask authors to disclose further information about reported relationships.					
Section 6.	Disclosure Statement					
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box					
Dr. Wang has no	othing to disclose.					

### **Evaluation and Feedback**

Please visit <a href="http://www.icmje.org/cgi-bin/feedback">http://www.icmje.org/cgi-bin/feedback</a> to provide feedback on your experience with completing this form.

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