



# Evidence for treatment with anti-PD-1 antibody for thymic cancer: how to identify patients most likely to benefit

Kentaro Suina<sup>1,2</sup>, Takehito Shukuya<sup>2</sup>

<sup>1</sup>Division of Gene Regulation, Institute for Advanced Medical Research, School of Medicine, Keio University, Shinjuku-ku, Tokyo, Japan; <sup>2</sup>Division of Respiratory Medicine, Faculty of Medicine and Graduate School of Medicine, Juntendo University, Bunkyo-ku, Tokyo, Japan

*Correspondence to:* Dr. Kentaro Suina, MD, PhD. Department of Respiratory Medicine Juntendo University Graduate School of Medicine, 3-1-3 Hongo Bunkyo-Ku Tokyo 113-8431, Japan; Division of Gene Regulation, Institute for Advanced Medical Research, School of Medicine, Shinanomachi 35, Shinjuku-ku, Tokyo 1608582, Japan. Email: [ksuina@juntendo.ac.jp](mailto:ksuina@juntendo.ac.jp) or Dr. Takehito Shukuya, MD, PhD. Department of Respiratory Medicine Juntendo University Graduate School of Medicine, 3-1-3 Hongo Bunkyo-Ku, Tokyo 113-8431, Japan. Email: [tshukuya@juntendo.ac.jp](mailto:tshukuya@juntendo.ac.jp).

*Comment on:* Giaccone G, Kim C, Thompson J, *et al.* Pembrolizumab in patients with thymic carcinoma: a single-arm, single-centre, phase 2 study. *Lancet Oncol* 2018;19:347-55.

Received: 21 September 2019; Accepted: 08 October 2019; Published: 26 November 2019.

doi: 10.21037/shc.2019.10.02

View this article at: <http://dx.doi.org/10.21037/shc.2019.10.02>

Thymic epithelial tumor (TET) is a rare disease with an annual incidence of 0.15 cases per 100,000 people (1). Accordingly, thymic carcinoma (TC) accounts for approximately 14% of all such cases (2). However, developing treatments for TC is fraught with many practical challenges, whereas critical medical needs remain unmet. Owing to the characteristics of the anatomical origin of the disease, a high incidence of immune-related adverse events (irAEs) associated with PD-1/PD-L1 antibodies has been reported. Given that TET is pathologically characterized by abundant intratumoral lymphocytes, inducing lymphocyte antitumor immunity has been considered to produce an antitumor effect (3). Considering the markedly longer progression-free survival (PFS) among patients with complete and partial responses, the development of treatments involving PD-1/PD-L1 antibodies has been of substantial importance. However, as TC is a rare disease, it is difficult to conduct randomized clinical trials. To date, two single-arm phase II trials for pembrolizumab and nivolumab in patients with TC and one single-arm phase II trial for pembrolizumab in patients with TET have been reported (4–6). In the trial involving nivolumab, none of the patients responded to treatment. Conversely, the trials involving pembrolizumab revealed a response rate of 19.2–22.5% with a median PFS of 4.2–6.1 months and a median overall survival of 14.5–24.9 months, indicating relatively good results. However, a comparison of the results obtained from clinical trials showed that it is unclear whether PD-1/

PD-L1 antibodies are more beneficial than cytotoxic chemotherapy or molecular targeting drugs in unselected patients with TC/TET (7–9). Furthermore, because irAEs, including severe myositis and myasthenia, occurred in approximately 15–19.2% of the patients, effective predictive biomarkers are needed.

Predictive biomarkers already in clinical use for PD-1/PD-L1 antibody treatment include the tumor expression of PD-L1, tumor mutation burden (TMB), microsatellite instability (MSI), and tumor infiltrating lymphocytes (TILs). PD-L1 expression has been observed in 23–41% of patients with TC (10,11). Interestingly, PD-L1 glycosylation is important for its functioning, although glycosylated PD-L1 has not been recognized in current antibodies (12). Moreover, PD-L1 expression could be evaluated with greater accuracy by eliminating the N-linked glycosylation of PD-L1 in patient specimens via enzymatic treatment (13). Prioritizing such findings for the prediction of anti-PD-1/PD-L1 treatment efficacy may lead to considerable improvements in future patient selection. TC has been considered to have a lower TMB than other carcinomas; a few reports on MSI exist, with only one report indicating an incidence of 10% (1/10) for MSI (14). Regarding genetic mutation, the evaluation of insertion-deletion (indels) may become important (15). Several important reports have also been published regarding innate immune signaling. In *KRAS*; *LKB1* mutant non-small-cell lung cancer (NSCLC), the stimulator of interferon genes (STING) has received

attention as an important molecule that induces several T cell-produced chemokines. STING methylation is associated with low PD-L1 expression, making PD-1/PD-L1 treatment refractory (16,17). Giaccone *et al.* reported an extremely interesting clinical correlation between the expression of IFN $\gamma$ -related genes and sensitivity to pembrolizumab (4). Thus, confirming the correlation between innate immune cytokine/chemokine production and clinical outcome has become important for PD-1/PD-L1 treatment. Although PD-1-positive TILs have been reported to serve as a prognostic marker for TC, standardizing such findings for clinical application has been difficult (18,19). Furthermore, despite the importance of developing biomarkers for identifying patients who develop irAEs, only few studies have reported such biomarkers. Patients with high-risk human leukocyte antigen (HLA), and thus a genetic predisposition for type 1 diabetes mellitus, have an increased risk for the development of immune checkpoint inhibitor-induced diabetes mellitus (20). Another interesting study reports that certain characteristics about symbiotic gut microbiota are associated with increased susceptibility to developing immune checkpoint inhibitor-induced enterocolitis (21). With regard to myocarditis, which is reported to be a severe adverse event in phase II trial in TC, the risk factors are not well understood (22). It is often difficult to distinguish TC from thymoma. Previous clinical trials may include thymoma patients who are thought to have a higher incidence of irAEs. The research for biomarkers that distinguish TC from thymoma may reduce the probability of irAEs in TC. In addition, the development of PD-1/PD-L1 antibody treatments for TC will require research to determine whether factors, such as PD-L1, TMB (MSI, indels), TILs, and innate immune signaling, are associated with clinical outcomes.

Although relatively good outcomes have been reported regarding pembrolizumab treatment in patients with TC, no report has investigated combination therapies. Studies have shown that an increase in the tumor mass increases CD8 T cells (Tex cells) exhaustion, thereby reducing the therapeutic effect of PD-1/PD-L1 antibody (23). This report supports the results of some clinical trials, which indicate that patients with a small tumor mass and those receiving early-line treatments tended to have better outcomes. The same report also suggests that combined therapy is promising considering that antigen presentation increases as the tumor mass decreases, indicating the importance of such clinical development. Studies on TC have shown that the survival benefit of adriamycin/cisplatin/

vincristine/cyclophosphamide (ADOC) and carboplatin/paclitaxel (CBDCA + PTX) were comparable (24). However, CBDCA+PTX can be considered a better candidate for convenience. Furthermore, the inhibition of vascular endothelial growth factor (VEGF) axis can potentially reverse VEGF-induced immune suppression (25). Clinical trials are ongoing for sunitinib and vorolanib, which are receptor tyrosine kinase inhibitors. Moreover, combination therapy with radiotherapy has been considered a promising method for inducing abscopal effects (26). Nonetheless, the high incidence of irAEs (15%) has been one of the most important problems of PD-1/PD-L1 antibody treatment for TC. Therefore, to prolong the survival of patients with TC, it is important to identify biomarkers for patients expected to have high response rates and those likely to develop irAEs while further developing combination therapies.

## Acknowledgments

*Funding:* None.

## Footnote

*Provenance and Peer Review:* This article was commissioned and reviewed by the Section Editor Dr. Xiaomin Niu (Department of Shanghai Lung Cancer Center, Shanghai Chest Hospital, Shanghai Jiao Tong University, Shanghai, China).

*Conflicts of Interest:* Takehito Shukuya: Research funding from: MSD; Personal fees from: MSD, AstraZeneca, Chugai, Eli Lilly, Ono Pharmaceutical. Kentaro Suina has no conflicts of interest to declare.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

*Open Access Statement:* This is an Open Access article distributed in accordance with the Creative Commons Attribution-NonCommercial-NoDerivs 4.0 International License (CC BY-NC-ND 4.0), which permits the non-commercial replication and distribution of the article with the strict proviso that no changes or edits are made and the original work is properly cited (including links to both the formal publication through the relevant DOI and the license). See: <https://creativecommons.org/licenses/by-nc-nd/4.0/>.

## References

- Engels EA, Pfeiffer RM. Malignant thymoma in the United States: Demographic patterns in incidence and associations with subsequent malignancies. *Int J Cancer* 2003;105:546-51.
- Kondo K, Monden Y. Therapy for thymic epithelial tumors: a clinical study of 1,320 patients from Japan. *Ann Thorac Surg* 2003;76:878-84.
- Dadmanesh F, Sekihara T, Rosai J. Histologic typing of thymoma according to the new World Health Organization classification. *Chest Surg Clin N Am* 2001;11:407-20.
- Giaccone G, Kim C, Thompson J, et al. Pembrolizumab in patients with thymic carcinoma: a single-arm, single-centre, phase 2 study. *Lancet Oncol* 2018;19:347-55.
- Katsuya Y, Horinouchi H, Seto T, et al. Single-arm, multicentre, phase II trial of nivolumab for unresectable or recurrent thymic carcinoma: PRIMER study. *Eur J Cancer* 2019;113:78-86.
- Cho J, Kim HS, Ku BM, et al. Pembrolizumab for patients with refractory or relapsed thymic epithelial tumor: An open-label phase II trial. *J Clin Oncol* 2019;37:2162-70.
- Asao T, Fujiwara Y, Sunami K, et al. Medical treatment involving investigational drugs and genetic profile of thymic carcinoma. *Lung Cancer* 2016;93:77-81.
- Thomas A, Rajan A, Berman A, et al. Sunitinib in patients with chemotherapy-refractory thymoma and thymic carcinoma: an open-label phase 2 trial. *Lancet Oncol* 2015;16:177-86.
- Liang Y, Padda SK, Riess JW, et al. Pemetrexed in patients with thymic malignancies previously treated with chemotherapy. *Lung Cancer* 2015;87:34-8.
- Weissferdt A, Fujimoto J, Kalhor N, et al. Expression of PD-1 and PD-L1 in thymic epithelial neoplasms. *Mod Pathol* 2017;30:826-33.
- Katsuya Y, Horinouchi H, Asao T, et al. Expression of programmed death 1 (PD-1) and its ligand (PD-L1) in thymic epithelial tumors: Impact on treatment efficacy and alteration in expression after chemotherapy. *Lung Cancer* 2016;99:4-10.
- Li CW, Lim SO, Chung EM, et al. Eradication of triple-negative breast cancer cells by targeting glycosylated PD-L1. *Cancer Cell* 2018;33:187-201.e10.
- Lee HH, Wang YN, Xia W, et al. Removal of N-linked glycosylation enhances PD-L1 detection and predicts anti-PD-1/PD-L1 therapeutic efficacy. *Cancer Cell* 2019;36:168-78.e4.
- Radovich M, Pickering CR, Felau I, et al. The integrated genomic landscape of thymic epithelial tumors. *Cancer Cell* 2018;33:244-58.e10.
- Turajlic S, Litchfield K, Xu H, et al. Insertion-and-deletion-derived tumour-specific neoantigens and the immunogenic phenotype: a pan-cancer analysis. *Lancet Oncol* 2017;18:1009-21.
- Kitajima S, Ivanova E, Guo S, et al. Suppression of STING associated with LKB1 loss in KRAS-driven lung cancer. *Cancer Discov* 2019;9:34-45.
- Skoulidis F, Goldberg ME, Greenawalt DM, et al. STK11/LKB1 mutations and PD-1 inhibitor resistance in KRAS-mutant lung adenocarcinoma. *Cancer Discov* 2018;8:822-35.
- Yokoyama S, Miyoshi H, Nakashima K, et al. Prognostic value of programmed death ligand 1 and programmed death 1 expression in thymic carcinoma. *Clin Cancer Res* 2016;22:4727-34.
- Havel JJ, Chowell D, Chan TA. The evolving landscape of biomarkers for checkpoint inhibitor immunotherapy. *Nat Rev Cancer* 2019;19:133-50.
- Clotman K, Janssens K, Specenier P, et al. Programmed Cell Death-1 Inhibitor-Induced Type 1 Diabetes Mellitus. *J Clin Endocrinol Metab* 2018;103:3144-54.
- Soularue E, Lepage P, Colombel JF, et al. Enterocolitis due to immune checkpoint inhibitors: a systematic review. *Gut* 2018;67:2056-67.
- Ganatra S, Neilan TG. Immune Checkpoint Inhibitor-Associated Myocarditis. *Oncologist* 2018;23:879-86.
- Huang AC, Postow MA, Orlowski RJ, et al. T-cell invigoration to tumour burden ratio associated with anti-PD-1 response. *Nature* 2017;545:60-5.
- Ko R, Shukuya T, Okuma Y, et al. Prognostic factors and efficacy of first-line chemotherapy in patients with advanced thymic carcinoma: A retrospective analysis of 286 patients from NEJ023 study. *Oncologist* 2018;23:1210-7.
- Fukumura D, Kloepper J, Amoozgar Z, et al. Enhancing cancer immunotherapy using antiangiogenics: opportunities and challenges. *Nat Rev Clin Oncol* 2018;15:325-40.
- Brooks ED, Chang JY. Time to abandon single-site irradiation for inducing abscopal effects. *Nat Rev Clin Oncol* 2019;16:123-35.

doi: 10.21037/shc.2019.10.02

**Cite this article as:** Suina K, Shukuya T. Evidence for treatment with anti-PD-1 antibody for thymic cancer: how to identify patients most likely to benefit. *Shanghai Chest* 2019;3:63.