

Endoscopic submucosal dissection of neoplastic lesions of the colon: clinical application and techniques

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Abstract: Endoscopic submucosal dissection (ESD), first developed in Japan, is increasingly performed worldwide to allow *en bloc* removal of large lesions from the gastrointestinal tract. Colorectal ESD results in higher *en bloc* and R0 resection rates than those in endoscopic mucosal resection (EMR), thus reducing the risk of local recurrence and allowing accurate pathological evaluations. Compared with surgical resection, ESD is better tolerated by patients, with reduced adverse event rates, hospitalization durations, and costs. Colorectal ESD is most appropriate for lesions larger than 20 mm with a risk of submucosal invasion and that are difficult to remove *en bloc* or for lesions in which previous EMR had failed or was not possible. However, inferior *en bloc* and R0 resection rates of colorectal ESD have been reported in the US compared to those in Japan, potentially due to increased technical challenges secondary to higher prevalence of obesity and significantly greater occurrence of fibrotic lesions in the US due to previous resection attempts. Recently, colorectal ESD guidelines have been updated, and various techniques and technologies have been developed to improve colorectal ESD outcomes, reduce procedure time, and overcome technical challenges. This article will discuss updated indications, new devices, resection strategies, technique variations, and clinical outcomes of colorectal ESD with regional comparisons (i.e., North America, Europe, and Japan).

Keywords: Endoscopic submucosal dissection (ESD); image-enhanced endoscopy; traction; submucosal invasion

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Introduction

Background

Endoscopic submucosal dissection (ESD), a widely established endoscopic modality that was first developed in Japan, has been increasingly performed in Asia, Europe, and the United States (1,2). ESD has become a mature modality that can be safely performed throughout the gastrointestinal tract, including the esophagus, stomach, duodenum, and colon (3). ESD allows *en bloc* removal of large superficial lesions, reduces the risk of local recurrence, and allows precise pathological evaluations (3). When comparing ESD to endoscopic mucosal resection (EMR), ESD yields higher *en bloc* resection rates (4) and lower local recurrence rates $(0-2.7\% \ vs. \ 6.8-23.5\%)$ (5-10). Colorectal ESD is most commonly performed in the US because of the established colorectal cancer (CRC) screening program and the higher prevalence of colorectal lesions, as compared to that of

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Figure 1 Risk stratification for submucosal invasion and appropriateness for ESD. ESD, endoscopic submucosal dissection; LGD, lowgrade dysplasia; HGD, high-grade dysplasia; EMR, endoscopic mucosal resection; JNET, Japan narrow-band imaging Expert Team; EUS, endoscopic ultrasound.

upper gastrointestinal lesions (11).

Rationale and knowledge gap

Compared to surgical removal, as an organ-sparing procedure, ESD is better tolerated by patients, reducing complication rates, lengths of hospital stays, and costs while achieving similar outcomes for complete resection and recurrence rates (12,13). However, ESD is technically more challenging and time-consuming than EMR and is not suitable for advanced lesions with lymph node metastasis (14,15). Therefore, careful patient selection, knowledge of appropriate indications, and a deep understanding of the technical aspects of ESD are crucial to safely and effectively perform ESD procedures.

Objective

This article discusses the indications for colorectal ESD, describes the technical aspects and variations, and outlines the clinical outcomes.

Colorectal ESD

Indications

Colorectal ESD is most appropriate for large lesions $(\geq 20 \text{ mm})$ with a risk of submucosal invasion and lesions

with significant fibrosis due to prior resection attempts or tattooing (*Figure 1*) (2,16). For lower-risk lesions [e.g., homogeneously granular lesions with a Japan narrowband imaging (NBI) Expert Team (JNET) classification of 2A] (17), traditional EMR may be more appropriate and can lead to lower adverse event rates and reduced procedural times; however, patients should be informed of the increased risk of local recurrence due to a piecemeal resection. These patients should undergo colonoscopy at short intervals (3–6 months) for surveillance and additional treatment for local recurrence (14,18,19).

For high-risk lesions [e.g., lesions with a depressed component (Paris classification of 0–IIc), non-granular lesions, granular lesions with a large nodule (>2 cm), and those with JNET classification of 2B], and lesions with submucosal fibrosis (*Figure 1*) (10,16), *en bloc* resection with ESD is highly recommended. Even though these lesions are considered to have a high risk, the risk of covert invasive cancer is estimated to be 3.7–15.3% (16). Thus, most highrisk lesions can be curatively treated using ESD. Moreover, even for possible stage T1b lesions, ESD can be used as a staging procedure to accurately evaluate the invasion depth (stage T1a or T1b) and other risk factors for lymph node metastasis (tumor differentiation, invasion depth, lymphovascular invasion, and tumor budding).

Lesions with clear evidence of a T1b stage (invasion depth >1,000 µm) or greater, such as deep ulcerations, an obstructing mass, and a JNET classification of 3, should be

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White-light view of a 90-mm LST-G rectal lesion

Blue light imaging

Submucosal injection Mucosal incision submucosal trimming Submucosal dissection

Pre-emptive hemostasis

Mucosal defect after ESD



Figure 2 Sequential steps of colorectal ESD. LST, lateral spreading tumor; LST-G; lateral spreading tumor, granular type; ESD, endoscopic submucosal dissection.

directly referred for colorectal surgery and surgical resection (18,20) because of the risk of lymph node metastasis.

Description of the ESD technique

Conventional ESD is performed in multiple sequential steps (3) (Figure 2). First, the lesion borders are visualized by white-light endoscopy, image-enhanced endoscopy [NBI or blue-light imaging (BLI)], or chromoendoscopy. Then, if the boundaries of the lesion are unclear, thermal dots can be applied using the tip of the ESD knives to mark the periphery, ideally 3-5 mm outside the lesion borders. Following submucosal injection, a mucosal incision is performed circumferentially or partially depending on the strategy in ESD (see Variations of the ESD technique) using a specialized ESD knife. Table 1 shows a list of commercially available devices in the US for colorectal ESD (21-28).

For submucosal dissection, the endoscope tip with an attachment cap is inserted into the submucosal space immediately after performing "submucosal trimming", to create a mucosal flap. The submucosal tissue is then slowly dissected parallel to and above the muscularis propria. The submucosal dissection continues until the lesion is completely removed from the muscularis propria. The deeper parts of the submucosal layer can be preserved if the suspicion of deep submucosal invasion is low. This step is often performed in parallel with submucosal injection to lift the lesion if the ESD knife allows simultaneous injections

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Images	Name	Company	Tip type	Injection capability	Other features
	DualKnife/DualKnife-J	Olympus America	Needle	DualKnife-J only	Injection via the catheter
	ORISE ProKnife	Boston Scientific	Needle	Yes	Injection via the needle
	HybridKnife	ERBE USA	Needle	Yes (regulated pressure water jet)	A dedicated ERBEJET 2 pump cartridge is required for injection
	FlushKnife BT-S/N-S	Fujifilm	Ball tip <i>vs.</i> Needle	Yes	Injection via the catheter
No.	HookKnife/HookKnife-J	Olympus America	Needle	HookKnife-J only	Hook can be helpful in cases with severe fibrosis or poor maneuverability
	Speedboat Inject	Creo Medical	Needle	Yes	Bipolar energy for dissection and microwave energy for coagulation
6-1	Itknife Nano	Olympus America	Insulated	No	Tip designed to minimize the risk of muscle injury
+ +	SB Knife	Olympus America	Scissor	No	Allows for hemostasis and dissection

Table 1 List of specialized knives used for colorectal ESD commercially available in the United States

Table 1 (continued)

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Images	Name	Company	Tip type	Injection capability	Other features
Y	Clutch Cutter	Fujifilm	Scissor	No	Allows for hemostasis and dissection
6-0	ProdiGI Multi-functional ESD Knife	Medtronic	Ball tip and insulated (2-in-1)	No	Knife tip can be changed to help maneuver in smaller spaces or insulate against deep mucosa

All images were provided with permission from their respective companies, including Olympus America, Fujifilm, Creo Medical, ERBE USA (©Erbe Elektromedizin GmbH), Medtronic, and Boston Scientific (©2023 Boston Scientific Corporation or its affiliates). All rights reserved. ESD, endoscopic submucosal dissection.

Table 2 List of submucosal injection agents for colorectal ESD

Solution	Company	Submucosal elevation	Cost	Additional downside
Normal saline	N/A	Poor	Inexpensive	None
Dextrose water	N/A	Moderate	Inexpensive	High dextrose concentrations may result in tissue damage (33)
Hydroxyethyl starch (HES)	N/A	Excellent	Reasonable	None
Hydroxypropyl methylcellulose (HPMC)	N/A	Moderate	Reasonable	Can rarely cause antigen reactions (34)
Hyaluronic acid (HA)	N/A	Excellent	Expensive	May trigger growth of any remaining tumor cells (32)
Eleview	Cosmo Pharmaceuticals	Excellent	Expensive	None
Blueboost	Microtech Endoscopy	Excellent	Expensive	None
Everlift	Laborie	Excellent	Expensive	None
Endoclot	Olympus America	Excellent	Expensive	None

ESD, endoscopic submucosal dissection; N/A, not applicable.

(*Table 1*), separating the mucosa from the muscular layer to reduce the risk of thermal muscle injury and perforation (29). Submucosal injection agents range from simple solvent solutions (e.g., normal saline and dextrose water) to more complex and often proprietary osmotic agent solutions, the latter of which often allows better and long-lasting submucosal elevation (29-34) (*Table 2*). At our institution, we favor hydroxyethyl starch because of the reasonable cost and excellent submucosal elevation properties.

However, the choice of agent can vary among institutions, based on the provider preference, cost, and product availability.

Once ESD is completed, careful inspection of the mucosal defect is performed to ensure that no muscle injury is visible. The specimens are retrieved using a large oval retrieval net. Coagulation with a soft coagulation current is then performed using hemostatic forceps on any exposed, non-bleeding, visible vessels or areas of bleeding at the Page 6 of 11

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Figure 3 ESD specimen secured by pins on a dental wax. ESD, endoscopic submucosal dissection.

endoscopist's discretion (35). The resected specimen is then secured onto a flat cork or rubber board with pins to ensure no edge curling, allowing the pathologist to assess the specimen's size, vertical and lateral margins, invasion depth, tumor budding, and presence of lymphovascular invasion (*Figure 3*).

In North America and Europe, colorectal ESDs are mostly performed under monitored anesthesia care or general anesthesia. In Asia, conscious sedation is more prevalent, owing to the limited access to anesthesia support. However, patient restlessness and discomfort may be more challenging to manage, especially for longer cases, and propofol may be necessary for cases requiring longer procedural times (36).

Variations of the ESD technique

In general, colorectal ESD is more technically challenging than esophageal and gastric ESD because of numerous factors, such as the anatomical complexity, thinner submucosal layer, and scope instability (37). Lesions at the ileocecal valve, flexure areas, and sigmoid colon are particularly challenging. Although some technical challenges can be overcome with standard endoscopic maneuvers (e.g., loop reduction, changing the dependent field, switching to a pediatric colonoscope or upper endoscope for better maneuverability and retroflexion), many cases can remain highly technically difficult. Thus, the ESD technique has been modified over the years to mitigate some of the difficulties in colorectal ESD.

One modification is the pocket-creation method, in which, instead of completing a circumferential mucosal incision, only a short horizontal mucosal incision is made before proceeding with submucosal dissection. Once the endoscope is in the submucosal space, most of the submucosal tissue underneath the lesion is dissected by creating a large "pocket" (38,39). Although the submucosal entry site where the submucosal dissection occurs is small, the endoscope is better stabilized in this pocket. Therefore, submucosal dissection can be less affected by fluctuations secondary to peristaltic movements. One systematic review and meta-analysis found that the pocket-creation method may lead to a higher en bloc and R0 resection rates, with a pooled absolute risk difference of 5% for the en bloc resection rate and 14% for the R0 resection rate (40). However, this difference was not observed in a randomized controlled trial (RCT), as en bloc and R0 resection rates in both groups were 100% (41). In addition, there were notable advantages to the salinepocket ESD method described in this RCT, including faster median dissection speed (average of 20.1 for salinepocket ESD vs. 16.3 mm²/min for standard ESD, P<0.001) and faster median procedure time (average of 29.5 vs. 41 minutes respectively, P<0.001) (41). No perforations were noted among patients.

Another technique that aims to address the limitations of ESD is the underwater (or water pressure) ESD technique (42-45). Right after submucosal injection, instead of proceeding straight to cutting, the colon lumen is filled with a saline solution with the patient positioned so that the lesion can be easily submerged. The saline solution creates a "floating" effect in that dissected mucosal and submucosal tissue, when submerged, tends to float away from the mucosal layer against gravity-this creates natural traction during submucosal dissection and allows for easy identification of underlying submucosal and muscular layers (42). This technique can be particularly useful for cases in which the lesion has severe submucosal fibrosis. One retrospective study of 133 patients compared conventional ESD to underwater ESD for lesions with expected submucosal fibrosis and found underwater ESD had shorter procedure time (43.5 vs. 72 min, P=0.0041) with a similar safety profile, including perforation risk (45).

A third method to highlight is the general category of traction techniques. As submucosal dissection advances further into the center of the lesion, previously dissected areas are more affected by gravity and peristaltic movements, which can interfere with the field of vision during dissection (46). One major advantage of using a traction device is the stability of the mucosal flap and direct visualization of the submucosal dissection plane,

making ESD technically less challenging and theoretically safer to perform (47). Mitsuvoshi et al. conducted a study examining the ESD trainees' learning curve using a simple traction device consisting of two clips linked by a nylon suture loop, with one clip attached to an edge of the semi-dissected lesion and another clip fixed to the opposite wall of the colon. The study demonstrated that trainees using the traction device had a higher selfcompletion rate (74% vs. 59% without a traction device), en bloc resection rate (100% vs. 90%), and R0 resection rate (96% vs. 83%) (48). Several traction devices are commercially available in the US, such as the SureTrac elastic traction device (MicroTech Endoscopy USA, Ann Arbor, MI, USA), ProdiGI Traction Wire (Medtronic, Minneapolis, MN, USA), and TracMotion (FujiFilm, Tokyo, Japan). Yang et al. conducted a prospective ex vivo multicenter study with the Tracmotion device and demonstrated that trainees using the device had significantly higher dissection speeds (43.32±22.61 vs. 24.19±15.86 mm²/min; P=0.042) and reduced mental and physical loads based on a quantitative scoring system, though the study participant size was too small to detect differences in en bloc and R0 resection rates (49).

Lastly, other modified ESD techniques include hybrid ESD and precutting EMR techniques (3). In precutting EMR, a peripheral mucosal incision is first performed. The lesion isolated from the surrounding normal mucosa is removed en bloc using a hot snare (50). Similar to conventional ESD, circumferential mucosal incision and submucosal dissection are performed during hybrid ESD. However, only partial submucosal dissection is performed. Once the remaining submucosal area is sufficiently small (<15 mm in size), the remaining portion of the procedure is completed with snare resection. The main advantage of precutting EMR and the hybrid ESD technique is a reduced procedural time and lower technical difficulty, given that the most technically challenging part of ESD often lies in the final portion of the submucosal dissection, considering the reduced lesion stability. Hybrid ESD can be particularly helpful for endoscopists earlier on in their ESD training and in some cases for more experienced endoscopists snare resection in the last stage may also be less risky or more positionally favored (51-53). However, the main concern for precutting EMR and the hybrid ESD technique is the risk of a lower en bloc resection rate with subsequent use of EMR (51), with a decreased odds ratio of 0.31 (P<0.001) in achieving an en bloc resection rate reported in a systematic review and meta-analysis (54).

Overall, these modified ESD techniques have advantages and disadvantages, and the choice may depend on the endoscopist's experience and institutional availability of ancillary devices.

Outcomes

ESD is a safe and well-tolerated alternative to surgery and has been validated across numerous multicenter prospective cohort studies across Asia, Europe, and North America (11,55,56). Fleischmann et al. demonstrated that given the high technical difficulty of the procedures, outcomes are better at high-volume centers with significantly higher en bloc resection rates (96% for high-volume vs. 86% for low-volume centers), higher R0 resection rates (88% for high-volume vs. 69% for low-volume centers), and lower adverse event rates (3% at high-volume and 13% and 11% at middle- and low-volume centers, respectively) (55). According to a recent US multicenter study, colorectal ESD yielded a significantly lower en bloc resection rate (85.8% in the colon and 88.8% in the rectum) than those for esophageal and gastric ESD (96.7% in the esophagus and 98.0% in the stomach) (11). The US-based study also revealed a lower en bloc resection rate than that reported in a Japanese multicenter study (97.0%) (38). This is potentially due to the increased difficulty of colorectal ESD in the US compared with that in Japan.

According to a study by Ge et al. analyzing the clinical outcomes of their experience in colorectal ESD in the US, 16.9% of colorectal lesions had tattoos underneath the lesion, and 29.9% had previous EMR attempts (57). These findings resulted in a significantly high number of fibrotic lesions (F1: 45.5%, F2: 23.4%), and on multivariate analysis, the presence of a tattoo predicted the failure to achieve curative resection [odds ratio (OR): 0.13; 95% confidence interval (CI): 0.02-0.98; P=0.048]. In addition, the presence of a tattoo (OR: 9.38; 95% CI: 1.05-83.83; P=0.045) and prior EMR attempts (OR: 7.13; 95% CI: 1.76-28.90; P=0.006) could be used as factors predicting an ESD procedure time ≥90 min. In contrast, in Japan, these maneuvers are strictly avoided to prevent negative ESD outcomes, such as those mentioned previously. Therefore, tattooing underneath a lesion should always be avoided. EMR should not be attempted if the initial endoscopist does not confidently believe that complete resection is feasible on the first attempt. Lastly, ESD cases in North America and Europe may be more difficult than those in Japan as a result of higher prevalence of

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obesity. Central obesity may correlate with poor scope maneuverability and increased density of submucosal fatty tissue, which can cause submucosal dissection to become technically more challenging (58,59). From an anesthesia perspective, patients with obesity in addition pose additional issues of sedation difficulties and increased respiratory variations which can make the ESD case even more challenging to perform, requiring general anesthesia for most cases (59).

Regarding adverse events in colorectal ESD, in North America, the respective delayed bleeding and perforation rates are 1.9% and 4.7% in the colon and 2.7% and 2.7% in the rectum (11). These numbers appear to be equivalent to the data from Japan, where perforation and delayed bleeding rates are 2.0–10.7% and 1.5–2.8%, respectively (60-66), with one study out of 1,883 patients separating intra- and postprocedural perforation rate at 2.6% and 0.6% respectively as well as 0.5% patients requiring emergency surgery post procedure (67).

Interpretation of pathological findings

En bloc ESD specimens provide several essential pathological findings to determine the endoscopic treatment's curative ability (e.g., vertical and horizontal margins, tumor differentiation, invasion depth, lymphovascular invasion, and tumor budding). These factors are directly associated with the risk of lymph node involvement, and their correct interpretation is important to determine if patients require additional treatment or if endoscopic surveillance is sufficient. The ESD specimens should be appropriately processed for accurate histopathological diagnosis as described in the Japanese ESD guideline (68).

Guidelines from the European and Japanese Societies of Gastrointestinal Endoscopy (68,69) define the curative resection of ESD as "en bloc R0 resection of the lesion with up to superficial submucosal invasion (T1a) that is well to moderately differentiated, with no lymphovascular invasion and no grade 2 or 3 budding". Proper communication with pathologists specializing in gastrointestinal pathology is crucial to accurately determine the curability of endoscopic resection based on these findings. Because there are still no ESD guidelines in the US, even if the pathological findings meet the criteria for curative resection, T1a cases with no other risk factors should be discussed by a multidisciplinary tumor board. On the other hand, non-curative resection cases should be immediately referred for surgery to determine the patient's surgical candidacy.

Conclusions

ESD yields the advantage of avoiding surgery and harbors higher *en bloc* and curative rates than those in EMR but may lead to higher adverse event rates as a tradeoff. However, the latter risk may be mitigated at high-volume centers with more experienced endoscopists. Therefore, a solid understanding of the indications and outcomes for ESD is crucial for both general and advanced endoscopists to allow for the best and safest outcomes for patients with advanced colorectal lesions.

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Footnote

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of Helsinki (as revised in 2013).

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