



# Risk model and risk stratification to preoperatively predict central lymph node metastasis in papillary thyroid carcinoma

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**Background:** The central lymph node is the most common involvement for papillary thyroid carcinoma (PTC), which is correlated to recurrence and survival. But it is difficult to accurately evaluate lymph node prior to an operation. This retrospective study was designed to develop a risk model and risk stratification to preoperatively predict central lymph node metastasis (CLNM) in PTC and validate this model.

**Methods:** A series of 1,714 initial treatment PTC patients were enrolled. Among these patients, 1,001 patients were used to develop a predictive model and establish a stratification scoring system. This was validated through the remaining 713 patients.

**Results:** The multivariate analysis revealed that CLNM and lateral lymph node metastasis (LLNM) in ultrasound (US), tumor size, gender, capsule invasion in US, microcalcification and age were significant independent predictors for CLNM. The area under the curve (AUC) of the model was 0.778. Furthermore, the cutoff value to predict CLNM was 8 points, and the sensitivity and specificity were 77% and 65%, respectively. In the scoring system for CLNM, a score of  $\leq 8$ , 8–18 and  $>18$  were defined as low, intermediate and high risk, respectively. The risk of CLNM was approximately 30%, 60% and 80%, corresponding to the stratification. When validated, the model predicted the risk of CLNM with an AUC of 0.811, a sensitivity and specificity of 83% and 63%, respectively.

**Conclusions:** This study presented a predictive model to preoperatively assess the risk of CLNM in PTC. The predictive model performed well, but needed to be prospectively validated in external center.

**Keywords:** Central lymph node; multivariate analysis; papillary thyroid carcinoma (PTC); prediction; risk model

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## Introduction

Differentiated thyroid cancer (DTC) accounts for the vast majority (>90%) of all thyroid cancers (1), and papillary thyroid carcinoma (PTC) is the most common, which

frequently exhibits nonaggressive behaviors with excellent prognosis, and has an overall 10-year survival of up to 96% (2) and an overall 15-year survival of >87% (3). Approximately 30–90% (4) of patients with PTC, however, will have clinical or occult cervical lymph node involvement,

despite of the long-term survival. The central compartment lymph node (CLN) is the primary and most common region (5), which makes up 20–90% (6). It has been reported that the 10-year recurrence rates were approximately within 14–26%, and lymph node metastasis (LNM) was one of the independent risk factors for recurrence and survival (7). A retrospective study with large-scale patients who had PTC and were younger than 45 years old showed that overall survival (OS) was decreased for those with LNM, when compared to those without (hazard ratio:1.30,  $P=0.006$ ) (8).

Yet one of the major clinical challenges is that there are no accurate and satisfying methods to directly evaluate preoperative CLN status (9-11).

Literatures associated with clinical and pathological factors or ultrasonographic features for predicting central lymph node metastasis (CLNM) had been previously reported (12-16). Moreover, a study developed a computer-aided diagnosis (CAD) system to identify and differentiate metastatic lymph nodes on ultrasound (US) (17). However, to our knowledge, few studies have established reliable prediction methods (18,19). One of previous studies predicted CLNM using simple indicators based on the CLN features seen in enhanced CT, but without considering the clinical characteristics of the patients (18). Although the other analyzed the clinical and ultrasonic characteristics, it included postoperative indicators such as extrathyroidal extension (ETE) (19). In addition, their research sample size was relatively small and neither of the models had been verified. Therefore, the present study aimed to develop a risk model and risk stratification to preoperatively predict CLNM in PTC based on clinical and sonographic features with a larger sample and to validate its effectiveness.

## Methods

### *Participant population*

This retrospective study protocol was approved by the Ethics Committee of our Institutional Review Board (IRB, No. B2018-064). Informed consent from enrolled patients was exempted by the IRB because of the retrospective nature of this study. The study was performed in accordance with the Declaration of Helsinki.

From January 2015 to May 2017, 2,098 consecutive patients who underwent near, sub-, or total thyroidectomy, and were pathologically confirmed with PTC at the Department of Head and Neck Surgery in our hospital, were included into the study. Patient inclusion criteria:

(I) PTC confirmed by surgical pathology, (II) underwent central lymph node dissection (CLND), (III) age  $\geq 18$  years old. Exclusion criteria: (I) underwent a neck operation, (II) diagnosed with other types of thyroid tumor at the same time, (III) history of radiation therapy, (IV) unavailable to be completely evaluated by US. In our center, surgical procedures are performed in patients based on the recommendations of the National Comprehensive Cancer Network (NCCN) (20) and American Thyroid Association (ATA) (21). Prophylactic CLND is commonly performed in the central compartment (neck level VI), according to our institutional protocol, regardless of the clinical evidence of LNM. Lateral lymph node dissection (LLND) is performed when metastasis is highly suspicious at preoperative imaging examinations, including nodes at level II, III, IV and V. However, this is not routinely performed at level I unless there is clinical evidence to prove that this level involved.

### *Clinicopathological data source*

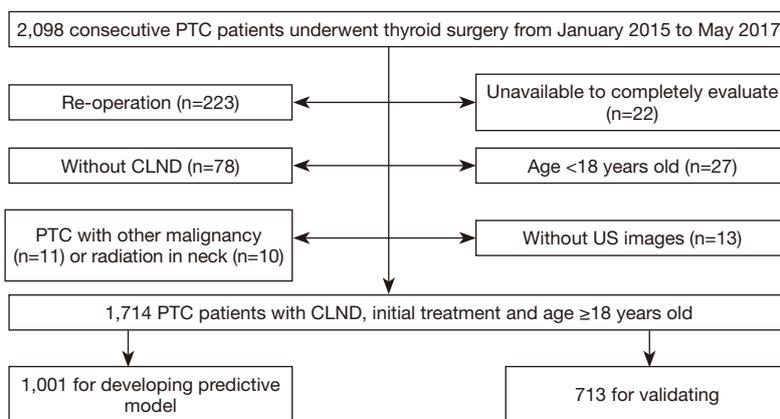
Clinical data, such as age, gender and other basic information of patients, were collected from the electronic medical record through the registry. Pathologic information was gathered from postoperative pathology reports in the electronic medical record. All diagnoses were rendered and reported by pathologists who had 3–40 years of experience.

### *US examination*

A comprehensive neck US examination was preoperatively performed for all patients in the supine position, with their neck extended, using a 5–18 MHz linear array transducer machine (iU22, Philips Medical Systems; Acuson Sequoia 512, Siemens Medical Solutions; LOGIQ S8 and E9, GE Medical Systems). These were performed by board-certified radiologists specializing in head and neck imaging, who have 3–20 years of experience. The radiologist who performed the US examination prospectively recorded the US features of the thyroid nodules and CLN status. If more than one nodule with suspicion of malignancy were found in thyroid gland, the maximum diameter of the most suspicious lesion was recorded and included in the data analysis. These images were completely stored.

### *Image interpretation*

All images above were carefully evaluated by reviewers blinded to the pathology results, based on the Thyroid



**Figure 1** Flow chart of the participant population. PTC, papillary thyroid carcinoma; CLND, central lymph node dissection; US, ultrasound.

Imaging, Reporting and Data System criteria issued by the American College of Radiology in 2017 (2017 ACR TI-RADS) (22). Nodes with suspicious metastasis by US had the following characteristics, according to a previous study (23): microcalcifications, cystic aspect, peripheral vascularity, hyperechogenicity, round shape and loss of hilum.

### Statistical analysis

Patients enrolled from January 2015 to May 2016 were assigned to the modeling group, while the remaining patients were assigned to the validation series. Statistical analysis was performed using SPSS version 19.0 software (IBM, Armonk, New York, USA). Continuous data were expressed as mean  $\pm$  standard deviation (range), and categorical variables presented as a number and percentage. Chi-square test, *t*-test and Mann-Whitney U test were used to compare the characteristics between modeling and validation cohort. The univariate logistic regression was analyzed to identify risks associated with CLNM. Standardized by the z-score method, age and tumor size (expressed as z-age and z-size, respectively) were included in the multivariate regression. The predictive model was obtained from the forward stepwise multivariate logistic regression analysis. A score system was developed based on the standardized regression coefficient and odds ratio (OR). These scores were further divided into three groups, as follows: low, intermediate and high risk. In addition, the corresponding risk of CLNM was also evaluated. The overall differences were tested with Chi-square test. Bonferroni adjustment was used for post hoc multiple

comparison test among groups. The receiver operating characteristic (ROC) curve with area under the curve (AUC) was used to evaluate the effectiveness and discrimination ability of the model and scoring system. An appropriate cut-off value was selected to calculate for the sensitivity and specificity of the model. Two-sided P values were calculated, and  $P < 0.05$  was considered statistically significant.

## Results

### Patient basic characteristics and US parameters

The study population is presented in a flow chart (Figure 1). Among the 2,098 patients, 384 patients were excluded: patients who had a re-operation ( $n=223$ ); patients without CLND ( $n=78$ , large nodules diagnosed as benign by preoperative fine-needle aspiration biopsy, but occult PTC was confirmed by postoperative histopathology, or old patients who refused CLND); patients unavailable to be completely evaluated ( $n=35$ ) due to large tumors or macrocalcifications, resulting in wide posterior acoustic shadowing; patients who were  $<18$  years old ( $n=27$ ); patients with other thyroid malignancies ( $n=11$ ) or radiation history in the neck ( $n=10$ ). In total, 1,714 PTC patients, who underwent CLND, received initial treatment, and were  $\geq 18$  years old, were included.

Among the 1,001 patients enrolled in the modeling group, 285 (28.47%) were male and 716 (71.53%) were female, aged 18–79 years with a mean age of 40 years. Pathology revealed that 570 of 1,001 (56.94%) patients had CLNM, while 182 (28.17%) patients had lateral lymph node metastasis (LLNM). According to US results, 424 (42.36%)

**Table 1** Demographics, tumor and cervical nodes characteristics of modeling and validation group

Characteristics	Modeling group (n=1,001)	Validation group (n=713)	P
Gender			0.705
Male	285 (28.47)	209 (29.31)	
Female	716 (71.53)	504 (70.69)	
Age (years)			
Mean $\pm$ SD [range]	40.65 $\pm$ 11.67 [18–79]	41.11 $\pm$ 11.93 [19–81]	0.429
$\leq$ 24	53 (5.29)	34 (4.77)	0.947
25–34	296 (29.57)	214 (30.01)	
35–44	291 (29.07)	195 (27.35)	
$\geq$ 45	361 (36.07)	270 (37.88)	
Tumor size (mm)			
Mean $\pm$ SD (range)	15.63 $\pm$ 10.08 (3.0–92.0)	15.83 $\pm$ 9.62 (3.0–67.0)	0.685
$\leq$ 10	366 (36.56)	257 (36.04)	0.609
$\leq$ 20	443 (44.26)	292 (40.95)	
$\leq$ 30	139 (13.89)	105 (14.73)	
$\leq$ 40	30 (3.00)	42 (5.89)	
$>$ 40	23 (2.30)	17 (2.38)	
Cervical nodes			
uCLNM <sup>&amp;</sup>	424 (42.36)	356 (49.93)	0.002
uLLNM <sup>&amp;</sup>	329 (32.87)	266 (37.31)	0.064
pCLNM <sup>§</sup>	570 (56.94)	406 (56.94)	1.000
pLLNM <sup>§</sup>	282 (28.17)	234 (32.82)	0.042

<sup>&</sup>, CLNM/LLNM in ultrasound; <sup>§</sup>, CLNM/LLNM in pathology; CLNM, central lymph node metastasis; LLNM, lateral lymph node metastasis.

and 329 (32.87%) patients had positive nodes in central (uCLNM) and lateral (uLLNM) neck, respectively. Further, 713 cases with a mean age of 41 (range, 19–81) years were in the validation group, including 209 (29.31%) males and 504 (70.69%) females, and 406 (56.94%) of those were CLNM. The detailed data of the study cohort is summarized in *Table 1*.

### Risk factors of CLNM for PTC

#### Univariate and multivariate logistic regression

The results of the logistic regression analysis are presented in *Table 2*. It was found that uCLNM (OR =5.033), uLLNM (OR =3.905), uCapsule invasion (OR =2.205), punctate echogenic foci (PEF) (OR =2.441), multifocality (OR =2.263), male (OR =1.433), tumor size (OR =1.094),

background (OR =0.559) and age (OR =0.964) were statistically significant variables associated with pCLNM (all  $P < 0.05$ ). Accompanied with nodular goiter and older age, CLNM trended to be less likely involved, while others had increased risk. Furthermore, the multivariate logistic regression results revealed that uCLNM ( $\beta = 1.201$ ) was the greatest contributor to the model, which was subsequently followed by uLLNM ( $\beta = 0.758$ ), z-size ( $\beta = 0.465$ ), gender ( $\beta = 0.432$ ), uCapsule invasion ( $\beta = 0.411$ ), PEF ( $\beta = 0.404$ ), and z-age ( $\beta = 0.329$ ). All these were independent predictors for CLNM, which were used to develop the following predictive model (*Table 2*).

#### Predictive model and stratification

The  $\beta$ -value of z-age was the smallest. The  $\beta$ -value of

**Table 2** Uni- and multi-variate regression analysis of factors associated with CLNM

Characteristics	Univariate analysis			Multivariate analysis		
	P	OR	OR (95% CI)	P	OR	OR (95% CI)
Gender						
Male	0.012	1.433	1.081, 1.900	0.016	1.495	1.077, 2.076
Age (years)	0.000	0.964	0.953, 0.975	0.000	0.973	0.960, 0.986
Background	0.001			0.095		
GT <sup>β</sup>	0.000	0.559	0.409, 0.762	0.048	0.701	0.493, 0.996
HT <sup>γ</sup>	0.052	0.896	0.629, 1.276	0.182	0.755	0.499, 1.414
Primary tumor						
Size (mm)	0.000	0.973	0.960, 0.986	0.000	1.056	1.032, 1.081
Location						
Solitary lesion	0.537	–	–	–	–	–
Middle third	0.215	0.799	0.560, 1.139	–	–	–
Lower third	0.205	0.772	0.517, 1.153	–	–	–
Isthmus	0.986	0.992	0.429, 2.297	–	–	–
Multifocality	0.000	2.263	1.666, 3.073	0.096	1.353	0.948, 1.932
Composition						
Solid	0.085	0.611	0.349, 1.071	–	–	–
Echogenicity	0.010					
Hypoechoic	0.637	1.195	0.570, 2.503	–	–	–
Very hypoechoic	0.554	0.801	0.385, 1.668	–	–	–
Shape						
Taller-than-wide	0.617	0.933	0.711, 1.224	–	–	–
Margin	0.073					
ETE <sup>δ</sup>	0.035	1.748	1.040, 2.939	0.811	0.929	0.507, 1.701
Echogenic foci	0.000			0.070		
Macrocalcifications	0.199	1.780	0.738, 4.293	0.672	1.241	0.456, 3.382
Peripheral (rim)	0.891	1.113	0.243, 5.089	0.880	0.870	0.143, 5.287
PEF <sup>λ</sup>	0.000	2.441	1.801, 3.309	0.010	1.576	1.116, 2.226
Distance (mm)	0.000	0.728	0.626, 0.846	0.920	1.009	0.841, 1.212
uCapsule <sup>○</sup>	0.000	2.205	1.709, 2.846	0.018	1.484	1.070, 2.057
TI-RADS <sup>§</sup> Categories	0.141	1.044	0.986, 1.107	–	–	–
uCLNM <sup>&amp;</sup>	0.000	5.033	5.033, 3.791	0.000	3.498	2.561, 4.778
uLLNM <sup>&amp;</sup>	0.000	3.905	3.905, 2.894	0.000	1.965	1.399, 2.761

<sup>β</sup>, nodular goiter; <sup>γ</sup>, Hashimoto's thyroiditis; <sup>δ</sup>, extrathyroidal extension; <sup>λ</sup>, punctate echogenic foci; <sup>○</sup>, capsule invasion in ultrasound; <sup>§</sup>, Thyroid Imaging, Reporting and Data System; <sup>&</sup>, CLNM/LLNM in ultrasound. CLNM, central lymph node metastasis; LLNM, lateral lymph node metastasis.

**Table 3** Scoring system for CLNM prediction

Predictors	P	$\beta$	Weight <sup>∗</sup>	OR	Points <sup>#</sup> assigned
uCLNM <sup>&amp;</sup>	0.000		0.300		
Yes		1.201		3.355	12.4
No					0
uLLNM <sup>&amp;</sup>	0.000		0.189		5.0
Yes		0.758		2.185	
No					0
z-Size <sup>Y</sup>	0.000	0.465	0.116		
>4				6.061	8.5
≤4				2.535	3.5
≤3				2.423	3.4
≤2				1.794	2.5
≤1					0
Gender	0.009				2.0
Male		0.432	0.108	1.532	
Female					0
uCapsule	0.006				
Yes		0.411	0.103	1.499	1.8
No					0
PEF	0.016				
Yes		0.404	0.101	1.477	1.8
No					0
z-Age <sup>Y</sup>	0.000	0.329	0.082		
≤24				2.020	2.0
25–34				2.197	2.2
35–44				1.389	1.4
≥45					0

<sup>&</sup>, CLNM/LLNM in ultrasound; <sup>Y</sup>, standardized by z-score; <sup>β</sup>, standardization regression coefficient; <sup>∗</sup>, weight was each  $\beta$  of the predictor divided by the sum of all  $\beta$ ; <sup>#</sup>, points were weight multiplied by OR. CLNM, central lymph node metastasis; LLNM, lateral lymph node metastasis; OR, odds ratio.

other variables was divided by the sum of all regression coefficients (expressed in weight). The points of the predictors were assigned by the results, in which weight was multiplied by the corresponding OR. The reference baseline was assigned as 0 point (Table 3). The AUC for the modeling serie was 0.778 (95% CI: 0.751–0.803), suggesting

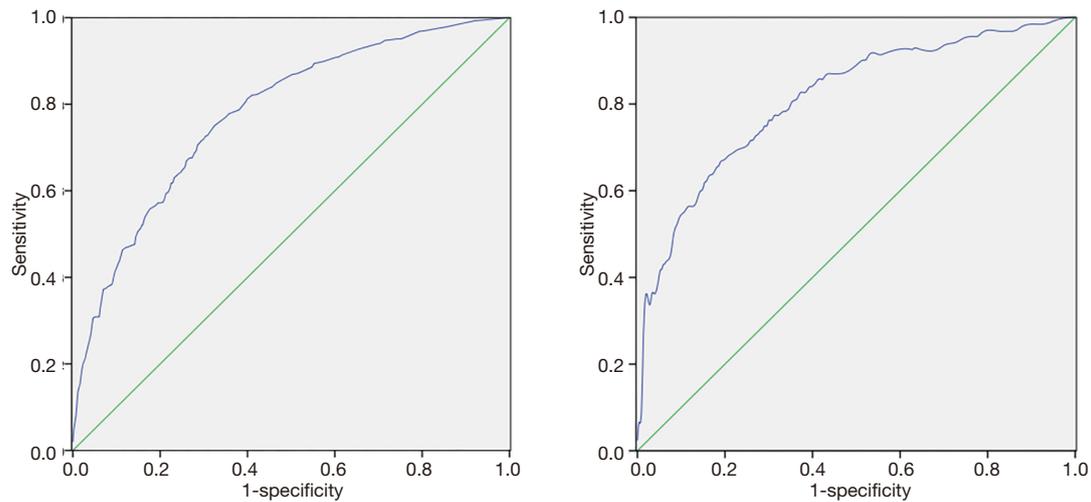
good discrimination (Figure 2A). When a score of >8 was used as the cut-off point, the sensitivity and specificity were 77.0% and 65.0%, respectively. Furthermore, the rate of CLNM per two points and accumulative rate were calculated, as demonstrated in Table 4. According to a cut-off 8 and 18 points, the system was classified into three groups: low, intermediate and high risk of CLNM groups. The risk for these groups was approximately 30%, 60% and 80%, respectively. Higher scoring categories had a higher rate of metastasis. The overall positive rates of each group were compared using Chi-square test ( $\chi^2=203.20$ ,  $P<0.000$ ). After further adjustment for significant levels ( $\alpha=0.05/\beta=0.017$ ) in the multiple-group comparison, it was found that there was statistical significance between any two groups ( $P<0.000$ ).

### Model validation

A series of 713 patients met the inclusion and exclusion criteria applied to the validation, the predictive model yielded an AUC of 0.811 (95% CI: 0.781–0.839; Figure 2B). With a cut-off value of 8, which is categorized as intermediate or high for predicted probability, the present model had a sensitivity and specificity of 82.5% and 63.2%, respectively.

### Discussion

This study has established a risk model and risk stratification to preoperatively predict CLNM in PTC using a larger data. All the indicators were collected prior to the operation. Seven variables considered in the model, in order, were uCLNM, uLLNM, size, gender, uCapsule invasion, PEF and age. The model demonstrated higher predictive value, either in modeling group or in validation cohort, which showed good consistency and discrimination ability. In our study, the incidence of CLNM in the modeling group was 56.94% (570/1,001), and the sensitivity and specificity of US for detecting CLNM was 58.07% (331/570) and 78.19% (337/431), respectively. According to our model, higher scores represented greater weight. The AUC of the model was 0.778 (95% CI: 0.751–0.803), and the sensitivity and specificity was 77% and 65%, respectively, using the cut-off value of 8 points. The sensitivity approximately increased by 19% based on the present data. When applied to the validation group, remarkable efficiency could be observed, with an increase of 83% for sensitivity. In addition, risk stratification also had a



**Figure 2** The ROC. (A) Modeling group; (B) Validation group. The AUC of the model was 0.778 (95% CI: 0.751–0.803) with a sensitivity of 77.0% and a specificity of 65.0% using a cut-off value of 8 points. The predictive model yielded an AUC of 0.811 (95% CI: 0.781–0.839) with a cut-off value of 8 points, and the model had sensitivity of 82.5% and specificity of 63.2% in validation group. ROC, receiver operating characteristic; AUC, area under the curve.

**Table 4** Scoring system and stratification associated with CLNM in modeling

Score	pCLNM <sup>§</sup> (n)	Total (n)	pCLNM (%)	Accumulation rate (%)	Stratification	Risk of CLNM (%) <sup>®</sup>
≤2	15	90	16.67	31.87	Low	30
≤4	26	100	26.00			
≤6	45	126	35.71			
≤8	45	95	47.37			
≤10	46	76	60.53	63.47	Intermediate	60
≤12	28	46	60.87			
≤14	32	45	71.11			
≤16	26	45	57.78			
≤18	40	59	67.80			
≤20	54	74	72.97	83.70	High	80
≤22	42	55	76.36			
≤24	56	65	86.15			
≤26	63	70	90.00			
≤28	32	35	91.43			
≤30	10	10	100.00			
>30	10	10	100.00			

<sup>§</sup>, CLNM confirmed by pathology in modeling; <sup>®</sup>, estimated by accumulation rate. CLNM, central lymph node metastasis.

pretty good discrimination, as confirmed by the Bonferroni test. Thus, the present preliminary study preoperatively improved the risk assessment for CLNM.

Despite of the predilection for lymphatic spread in PTC, the challenge is that there are presently no favorable and accurate methods for pre- and intraoperative lymph node assessment. The diagnostic accuracy of US for CLNM in PTC has a wide range of 38–84% for sensitivity and 72–93% for specificity, and analogously, a CT range of 50–57% for sensitivity and 85–91% for specificity (9,10,24). Despite all this, risk factors correlated to CLNM in PTC have already been studied from different aspects (12–16). However, the predictive factors vary, and are not well-defined. Furthermore, merely few of these have further explored the relative contribution to CLNM (18,19). Therefore, the present retrospective research developed a risk model and risk stratification and both performed well.

A similar prediction established by Xiang *et al.* (19), which included 392 patients with cervical lymph node-negative PTC, indicated that CLNM had a sensitivity and specificity of 86.2% and 70.4%, respectively, with an index point of  $\geq 2$ . However, some of the indices enrolled in their model were collected from postoperative pathological examinations and they did not stratify the scores. In addition, the predictive score system was not validated. Hence, it is not a practical and convenient model for use in clinical practice. Thus, we only included preoperative indices compared to their study. According to the coefficients, an easy-to-apply scoring system was developed, which would allow both radiologists and surgeons to conveniently calculate and express the probability of patient risk in CLNM, and this was validated by the subsequent 713 patients. In order to determine the risk correlation to direct clinical application, the scores were classified into different groups based on the risks of CLNM.

In the present model, uCLNM and uLLNM were the first two predictors. US, which has been recommended by ATA, ACR and NCCN guidelines (20–22), has become the preferred method to evaluate thyroid nodules and cervical nodes. Based on the experience of our investigators, US has a sensitivity of 58.07% and 85.46%, and a specificity of 78.19% and 87.86% for assessing CLNM and LLNM, respectively, which were consistent with previous studies (9,10,24). However, this was not sensitive enough to detect CLNM, particularly for patients with a thick neck, or lower paratracheal and retropharyngeal nodes, which may be obscured by the sternum or tracheal air shadow. Thus, other parameters are required to predict the risk of CLNM.

The relationship between CLNM and LLNM would be expected, because tumor cells spread in a general stepwise dissemination through the lymphatic system in PTC, which spread from the thyroid gland to the central and lateral compartments on each side of the thyroid tumor. Then, opposite lateral and mediastinal LNM would follow suit (24–26). This suggests that LLNM may be accompanied by CLNM in almost all cases, with the exception of those that involve skip metastasis. Furthermore, uLLNM was correlated to a higher rate of CLNM, when compared with negative lateral neck lymph nodes ( $P < 0.000$ , OR = 3.905). This result was similar to that in previous studies, which was within 82.9–96.6% (24–26). It is conceivable that most PTCs with lateral LNMs have been strongly associated with ipsilateral CLNM. The newest ATA guideline also suggests that prophylactic central-compartment neck dissection should be considered in patients that clinically involved lateral neck nodes (cN1b), although there was weak recommendation and low-quality evidence (21). Therefore, selective CLND may be helpful when these independent features are noted on the preoperative examination of young PTC patients with suspicious large thyroid nodules, specially patients with clinically positive LLN.

Tumor size, which is defined as the size of the maximum diameter independent of tumor number in multifocal tumors, is included in many staging systems, such as the AGES, MACIS and AJCC/TNM classification (27). In the AJCC/TNM classification, primary tumor T stage is determined by tumor size and ETE (28). In the present series, a larger size was more frequently positive for regional lymph nodes, which is consistent with a previous retrospectively study that enrolled 3,219 patients, and revealed that tumor size was the strongest predictor of microscopic node metastasis and lymph node recurrence in a series of clinically node-negative PTC patients (27). The 2015 ATA consensus statement recommends therapeutic CLND for any patient with clinically positive nodes, and prophylactic CLND for patients with T3 and T4 primary tumors, without evidence of nodal metastases, or with known LLNM, or if information could be obtained which would guide the further steps in the therapy (21). Furthermore, clinicians should be aware that the prophylactic dissection of PTC with a large size should be considered to minimize lymph node metastases or recurrence. The new edition of the AJCC/UICC TNM staging system removed microscopic ETE from the staging, designating patients as having either disease limited to the thyroid or gross ETE. This may be contributed to

two recent studies. In a study conducted by Woo *et al.*, it was concluded that the presence of minimal ETE had no significant influence on recurrence-free survival (RFS) in solitary PTC (29). The other conducted by Radowsky *et al.* revealed that the outcome was worse in patients with gross ETE than in patients with microscopic local invasion (30). The present univariate analysis revealed that uCapsule was associated with CLNM, and reached a statistical significance. Therefore, performance of US in detecting capsule status was further analyzed. The uCapsule assessment had a sensitivity and specificity of 71.05% (486/684) and 89.27% (283/317), respectively, in the present modeling group. Patients with encapsulated carcinoma did not usually have distant metastasis, but exhibited an indolent biologic behavior (31). Thus, preoperative assessment of the capsule would be of great value for further clinical management.

Histologically, calcification was classified as either psammoma bodies, stromal calcification, or bone formation (32), and psammoma bodies was significantly associated with malignancy with a high specificity of 87.8% in thyroid nodules (33). PEF appears as hyperechoic spots of approximately 1 mm in diameter in US, although this may not exactly correspond to psammoma bodies during the cytological or histological examination, and the mechanism of these formations remains controversial. Bai *et al.* found that the presence of psammoma bodies was significantly correlated with gross LNM (32). The present model was in line with this study, which had an OR of 1.477 and assigned 1.8 points for PEF. However, it was acknowledged that the PEF was not confirmed by pathology, which requires further in-depth studies.

Compared with adults, PTC in the pediatric population exhibits differences in pathophysiology, clinical presentation, and long-term outcomes. Merely patients who were  $\geq 18$  years old were included. Furthermore, these patients were divided into groups every 10 years in the present model. Similar to other studies, younger age raised the risk of CLNM. The eighth TNM/AJCC edition (28) used a cut-off of 55 years to evaluate the clinical stage, although the age cut-off of either 45 or 55 years remains controversial (34,35) for prognosis prediction. Although it is a problem, the investigators consider that the cut-off age should be used to assess its impact in CLNM. First, the end point is extremely different, which is metastasis *vs.* survival. The former investigates the risk of CLNM in patients with PTC, while other studies investigated the mortality risk. Furthermore, there are no sufficient and convincing

evidence to support any cut-off age, at present. Finally, the majority of studies have indicated that younger patients tend to have metastasis, which contradicts with the TNM staging. Notwithstanding, age remains as an important indicator, which should be analyzed properly and combined with other factors, in order to thoroughly evaluate patients, and make optimal care decisions.

At the same time, the investigators acknowledge several limitations. First, the present study was retrospective in nature, and may suffer from bias. Second, this approach could have prevented the study from identifying important US findings that could provide a clue to diagnose in real time, which might have influenced the evaluation of the investigators. Third, the nodes of US were matched with that of the pathologic examination based on region, and not node to node. There was a possibility that the nodes identified at US may not match those identified in surgical specimens. Finally, the present model was verified through a retrospective cohort series in our center. Therefore, these needs to be further and prospectively validated in external center patients before application to clinical practice.

## Conclusions

The present study contributed a feasible and effective prediction, either to radiologists or surgeons, which may guide clinical decision-making and optimize the therapy regimen, thereby promoting the avoidance of excessive or insufficient treatment. But it needed to be validated further.

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## Footnote

*Conflicts of Interest:* All authors have completed the ICMJE uniform disclosure form (available at <http://dx.doi.org/10.21037/gs.2020.03.02>). Min Xu was studying for her master's degree in the Department of Ultrasound and Electrocardiogram, Sun Yat-Sen University Cancer Center when the study was carried out. But now she works in the Department of Ultrasound, The First Affiliated Hospital, College of Medicine, Zhejiang University. The other authors have no conflicts of interest to declare.

*Ethical Statement:* The authors are accountable for all

aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This retrospective study protocol was approved by the Ethics Committee of our Institutional Review Board (IRB) (No. B2018-064). Informed consent from enrolled patients was exempted by the IRB because of the retrospective nature of this study. The study was performed in accordance with the Declaration of Helsinki.

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