

# Improved Inception V3 method and its effect on radiologists' performance of tumor classification with automated breast ultrasound system

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**Background:** The automated breast ultrasound system (ABUS) is recognized as a valuable detection tool in addition to mammography. The purpose of this study was to propose a novel computer-aided diagnosis (CAD) system by extracting the textural features from ABUS images and to investigate the efficiency of using this CAD for breast cancer detection.

**Methods:** This retrospective study involved 149 breast nodules [maximum diameter: mean size 18.89 mm, standard deviation (SD) 10.238, and range 5–59 mm] in 135. We assigned 3 novice readers (<3 years of experience and 3 experienced readers ( $\geq$ 10 years of experience to review the imaging data and stratify the 149 breast nodules as either malignant or benign. The Improved Inception V3 (II3) method was developed and used as an assistant tool to help the 6 readers to re-interpret the images.

**Results:** Our method (II3) achieved an accuracy of 88.6% for the final result. The 3 novice readers had an average accuracy of  $71.37\% \pm 4.067\%$  while the 3 experienced readers was  $83.03\% \pm 3.371\%$  on the first-reading. With the help of II3 on the second-reading, the average accuracy of the novice readers increased to  $84.13\% \pm 1.662\%$  and the experienced readers increased to  $89.50\% \pm 0.346\%$ . The areas under the curve (AUCs) were similar compared with linear algorithms. The mean AUC of the novice readers was improved from 0.7751 (without II3) to 0.8232 (with II3). The mean AUC of the experienced readers was improved from 0.8939 (without II3) to 0.9211 (with II3). The mean AUC for all readers improved in both the second-reading mode (from 0.8345 to 0.8722, P=0.0081<0.05).

**Conclusions:** With the help of the II3, the diagnostic accuracy of the two groups were both improved, and II3 was more helpful for novice readers than for experienced readers. Our results showed that II3 is valuable in the differentiation of benign and malignant breast nodules and it also improves the experience and skill of some novice radiologists. The II3 cannot completely replace the influence of experience in the diagnostic process and will retain an auxiliary role in the clinic at present.

Keywords: Computer-aided diagnosis (CAD); automated breast ultrasound; breast cancer; Improved Inception V3 (II3)

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#### Introduction

Breast cancer has surpassed lung cancer as the most commonly diagnosed cancer and the most common cause of death from cancers for women worldwide (1). Mortality can be reduced by early detection and timely therapy. Therefore, it is important to have appropriate methods for screening the earliest signs of breast cancer (2).

Not all breast cancers can be detected by mammography, especially in those occurring in dense breasts (3). We classify breast density according to the proportion of fat and glands contained in breast tissue. Mandelson *et al.* showed that breast density was strongly associated with reduced mammographic sensitivity (4). Because of more detailed anatomical details of breast tissue than mammography, Ultrasound is widely used as a suitable and valuable complement tool for dense breasts screening. The proportion of women with dense breasts in China is high. In consideration of economic development and the widespread availability of the ultrasound scanning, ultrasound is considered the first-line imaging tool for Chinese women (5).

Conventional ultrasound scanning is performed with a hand-held probe and does not systematically cover the whole breast. It is safe and convenient, but also highly operator-dependent and time-consuming, and records only representative images of the detected lesions that cannot be easily compared with the results of past examinations (6). The automated breast ultrasound (ABUS) was developed to overcome the limitation of operator dependency and lack of standardization and reproducibility of handheld ultrasound (HHUS) (7,8).

The ABUS uses high-frequency sound waves targeted at the breast, covers the entire breast, and the ultrasound scanning process is automated with a motor driving the super-wide probe to cover the whole breast. This imaging technology utilizes ultrasound to scan the entire breast while the patient reclines in a comfortable prone position. There is no breast compression or radiation associated with this ABUS.

Compared to HHUS, ABUS could provide higher quality images due to its standardization of screening and higher frequency sound wave probe. Additionally, it provides three-dimensional (3D) volumetric images of the breast for review by the radiologist. These 3D images are more beneficial to women with dense breasts because they enable radiologists to check the breast from a variety of angles and offer a better interpretation. The generated coronal plane has been suggested to improve diagnostic accuracy. As a newer approach, ABUS could detect up to 30% more cancers in women with dense breast tissue. However, reading ABUS images is time consuming in the current clinical environment. It also requires high skill level for radiologists, which has limited ABUS integration into clinical practice (9-13). Gratifyingly, its standardization of screening made it possible to integrate computer-aided diagnosis (CAD) systems with this new imaging technology.

The CAD systems have been developed as assistant tools for radiologists over several decades. The CAD system comprised a neural network to highlight suspicious areas to assist radiologists in discovering and analyzing the lesions (14). It was initially used to help radiologists by defining a region of interest (ROI) on the mammogram and more recently for the analysis of breast ultrasound images. Previous studies have shown that use of the CAD system on ultrasound could improve the accuracy and efficiency of radiologist diagnoses, but that performance can vary according to the quality of ultrasound images and the type of breast lesions (7,15,16).

As a new detection method which can provide axis plane, coronal plane, and sagittal views, ABUS has received increasing attention of researchers. The coronal plane is formed using the axis plane and provides a new perspective for doctors. The pinprick feature of the coronal plane is the key factor to classify lesions, and researchers have proposed methods of aiding diagnosis of the coronal plane. Tan et al. (17) applied Otsu and a dynamic programming algorithm to achieve segmentation of lesions and then extracted shape features to classify lesions. They reached an accuracy of 0.93 and highlighted that the pinprick feature is the most important feature of malignant tumors. Tan et al. (18) applied the dynamic programming algorithm to segment the coronal plane images of the tumor, extracted textural features of tumors, and used a linear discriminate classifier to diagnose the tumor. This CAD system has helped doctors to improve the diagnostic accuracy from 0.87 to 0.89. Chiang et al. (19) used 3DCNN and hierarchical clustering to detect tumors of coronal plane images and attained a sensitivity of 0.75. In addition, there have been previous studies on axis plane images, including classification and detection. Lo et al. (20) used watershed and logistic regression classification to detect tumors in axis plane images and obtained a sensitivity of 0.8. Drukker et al. (21) utilized k-means and Markov chain Monte Carlo networks to classify tumors and reached a sensitivity of 0.75.

Although existing studies have shown that the use of CAD could reduce the work demands of radiologists,



14.43±6.775

14

5-31

Figure 1 Flowchart of participant selection.

Parameter	All (n=149)	Malignant (n=96)	Benign (n=53)			
Patient age (y)						
Mean	51.05±10.549	52.52±10.750	48.47±9.762			
Median	49	50.5	47			
Range	23–83	31–83	23–76			
Lesion size (mm)						

15

5-59

18.89±10.238 21.34±11.000

17.5

7-59

Table 1 Participant and nodule characteristics

conclusions have been ambiguous regarding the accuracy of breast lesion diagnoses (22,23). Therefore, in our study, we hoped to propose a novel CAD system by extracting the textural features from ABUS images to investigate the efficiency and effectiveness of using CAD for breast cancer detection, and to study the efficiency of the proposed CAD system in helping radiologists to reduce the cost of ABUS interpretation. We also hoped developing this system would help beginners to improve their experience and skill levels. We present the following article in accordance with the STARD reporting checklist (available at https://dx.doi. org/10.21037/gs-21-328).

## **Methods**

Mean

Median

Range

#### Patient selection

All procedures performed in this study involving human participants were in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the Ethics committee of Taizhou Hospital of Zhejiang Province (K20201116). Individual consent for this retrospective analysis was waived.

The 3D ABUS system (Invenia ABUS, GE Healthcare, Sunnyvale, CA, USA) was used to collect the ABUS images. The system consists of Invenia ABUS Scan Station with wide field-of-view transducer (Reverse Curve<sup>TM</sup> ultra-broadband transducer, GE Healthcare; frequency, 6–15 MHz; aperture length, 15.4 cm; transducer bandwidth, 85%; imaging depth, up to 5.0 cm) and Invenia ABUS workstation.

From May 2018 to October 2018, a total of 368 women were examined with ABUS in the Zhejiang Taizhou Hospital. The results revealed 84 healthy women, 101 women with benign lesions with years of negative follow-up, and 183 women with 205 lesions advised to pursue biopsy or surgical treatment. Of the 183 women, all those recruited had at least 1 palpable mass; while non-mass breast lesions and lesions without histological confirmation were excluded from the study group. Finally, 149 breast nodules in 135 participants were included in this study. The flowchart in *Figure 1* further clarifies our study design.

Mean age for all participants was  $51.05\pm10.549$  years (range, 23–83 years), mean size for all lesions was  $18.89\pm10.238$  mm (range, 5–59 mm). The patients and nodules characteristics are shown in *Table 1*.

#### II3 method

#### **Tumor segmentation**

Tumor segmentation is an essential step for the extraction of tumor features. A fully automatic BUS image segmentation

approach based on breast characteristics in space and frequency domains was utilized to locate the ROIs and acquire the tumor contours (24). First, an adaptive reference point (RP) generation algorithm was utilized which can produce the RPs automatically based on the breast anatomy. Further, a multipath search algorithm was proposed to generate the seeds quickly and accurately. In the tumor segmentation step, a segmentation framework was proposed in which the cost function was defined in terms of tumor boundary and region information in both frequency and space domains. The frequency constraint was firstly built based on an edge detector which was invariant to contrast and brightness; and then the tumor shape, position, and intensity distribution were modeled to constrain the segmentation in the spatial domain. The well-designed cost function was graph-representable and its global optimum was locatable.

## Tumor feature extraction

Research has found that image textures are useful for ultrasound image classification and tumor recognition (25-27). Gray level co-occurrence matrix (GLCM) is a second-order histogram for describing image textures which can be represented as a symmetric matrix to quantify the joint distribution of pairwise pixels. The GLCM depicts the spatial distribution of the pixel. Based on the GLCM, in this study we extracted textural features of the tumor from the image, which included energy, correlation, homogeneity, mean, and variance.

Mean was the average value of the region and reflected the brightness information of the region. The calculation formula of the mean value was as follows, where P is the grayscale co-occurrence matrix, M and N are the number of rows and columns of the grayscale co-occurrence matrix.

$$average = sum(sum(P(:,:)))/(M*N)$$
[1]

The SD of the corresponding region of the GLCM reflected the change in degree and uniformity of the gray level of the region. The formula for calculating the SD was as follows.

standarddeviation = sqrt
$$\left(1/\left(M*N*sum\left(sum\left((P(:,:)-average).^{2}\right)\right)\right)\right)$$
[2]

Roughness described the smoothness of the tumor boundary. The formula was as follows.

smooth = 
$$1-1/(1 + \text{standarddeviation}^2)$$
 [3]

Homogeneity represented a measure of the local grayscale uniformity of the image. The formula was as follows:

$$Homo = \sum_{i=1}^{N} \sum_{j=1}^{N} \frac{P(i,j)}{1+|i-j|}$$
[4]

Energy described changes of texture at different positions in the image. The calculation formula of energy was as follows.

$$4SM = \sum_{i} \sum_{j} P(i, j)^{2}$$
<sup>[5]</sup>

Entropy reflected the randomness of the distribution of the gray value in the tumor region. When the distribution of the gray value in the tumor region was complicated, the entropy was larger. The formula for calculating entropy was as follows.

$$ENT = -\sum_{i} \sum_{j} P(i, j) \log P(i, j)$$
[6]

Correlation described the linear dependence between pixel values on an image, which was the description of the approximation of the region's gray level in a certain direction. The greater the approximation, the greater the value. The formula for correlation was as follows.

$$\operatorname{Corr} = \frac{\left[\sum_{i} \sum_{j} \left( (ij) p(i, j) \right) - \mu_{x} \mu_{y} \right]}{\sigma_{x} \sigma_{y}}$$
[7]

$$\mu_i = \sum_{i=1}^k \sum_{j=1}^k i \cdot G(i,j)$$
<sup>[8]</sup>

$$\mu_j = \sum_{i=1}^k \sum_{j=1}^k j \cdot G(i,j)$$
[9]

$$\sigma_i^2 = \sum_{i=1}^k \sum_{j=1}^k G(i,j) (i-\mu_i)^2$$
[10]

$$\sigma_j^2 = \sum_{i=1}^k \sum_{j=1}^k G(i,j) (j - \mu_j)^2$$
[11]

Shape features are the description of the appearance. According to the shape feature extraction algorithm, this article extracted shape features of the tumor of the ABUS images: roundness, normalization aspect ratio, average normalized radial length, normalized radial length standard deviation, entropy of the average normalized radial length, area ratio, aspect ratio, number of lobular, needle shape, boundary roughness, direction angle, elliptic normalized circumference and oval normalized contour.

(I) Roundness: when the shape of the tumor area is close to a circle, its roundness is close to 4II. Roundness was defined as follows, where P represents the perimeter of the tumor area and A represents the site of the tumor.

$$s_1 = \frac{P^2}{A}$$
[12]

- (II) Normalization aspect ratio describes the aspect ratio of the external matrix of the closed area of the tumor. Aspect ratio is a measure of tumor shape, and the closer the aspect ratio is to 1, the more likely the tumor is to be benign.
- (III) Average normalized radial length: the center of the tumor and the edge of the area were used in this calculation. Calculating each boundary point to the center of the tumor area is the radial length. Then the distance was normalized and each radial length divided by the maximum radial length was the normalized radial length. And the mean of the normalized length was the average normalized radial length. The formula to calculate average normalized length was as follows, where  $(x_i,y_i)$  is the coordinate of the region center.

$$s_2 = \frac{1}{N} \sum_{i=1}^{N} d_i$$
 [13]

$$d_{i} = \frac{\sqrt{\left(x_{i} - x_{0}\right)^{2} + \left(y_{i} - y_{0}\right)^{2}}}{\max_{j=1,2,\cdots,N} \sqrt{\left(x_{j} - x_{0}\right)^{2} + \left(y_{j} - y_{0}\right)^{2}}}, \quad i = 1, 2, \cdots, N$$
[14]

(IV) Normalized radial length standard deviation: this described the distribution of normalized radial length of the tumor and reflected the aggregation degree of normalized radial length. The calculation formula was as follows, where  $\bar{d}$  is the average normalized radial length.

$$s_{3} = \sqrt{\frac{1}{N} \sum_{i=1}^{N} \left( d_{i} - \bar{d} \right)^{2}}$$
[15]

(V) Entropy of mean normalized radial length: we divided the maximum and minimum of the normalized radial lengths of boundary points into 100 parts, then conducted probability statistics of length values, and calculated the corresponding probability values of all lengths. The formula for calculating the entropy of normalized radial length was as follows, where p is the corresponding probability of occurrence of all lengths.

$$\mathbf{E} = \mathrm{sum}(p * \log_{10}(p))$$
[16]

(VI) The calculation formula of area ratio was as follows, where A is the sum of the minus between the distance greater than the average normalized radial length and the average normalized radial length, davg is the average normalized radial length, and circum is the number of edge points in the tumor region.

$$4D = A / (davg^* circum)$$
<sup>[17]</sup>

(VII) The calculation formula of aspect ratio is as follows, where maxD is equal to the maximum normalized length of all edge points, and minD is the minimum normalized radial length of all edge points.

$$LWS2 = maxD/minD$$
 [18]

(VIII)Number of lobules refers to the lobules of the tumor. A benign tumor usually has the growth pattern of a single leaf, while a malignant tumor generally presents the growth pattern of multiple leaves. The calculation formula was as follows: Posminnum is the minimum number of the fitting curve, and posmaxnum is the maximum number of the fitting curve.

(IX) Needle-like degree is an important indicator for judging the benign tumors from the malignant ones. Typically, the boundary of malignant tumor is burry, while that of benign tumor is smooth. The calculation formula of needle-like degree was as follows, where f1 is the accumulation of the value after the Fourier transform of the corresponding length when the angle value of the polar coordinates of the edge point is less than  $\frac{\Pi}{4}$ , and f2 is the accumulation of the value after the Fourier transform of the corresponding length when the angle value of the polar coordinates of the edge point is greater than  $\frac{\Pi}{4}$  and less than II.

spiculation=f1/f2

[20]

(X) Boundary roughness was the sum of the difference between the radius value of each boundary point in polar coordinates and the value of its adjacent points. The boundary roughness (rough) was defined as.

$$\operatorname{rough} = \sum_{i=1}^{\operatorname{cream}-1} \operatorname{abs}\left(\operatorname{rho}(i+1) - \operatorname{rho}(i)\right) + \operatorname{abs}\left(\operatorname{rho}(1) - \operatorname{rho}\left(\operatorname{circum}\right)\right)$$
[21]

where cricum is the number of boundary points

(XI) Direction angle was the radian value of each closed area. The calculation formula was as follows, where orientation is the angle value of the closed region.

angle = (3.1415/180) \* orientation [22]

(XII) The formula of the normalized perimeter of the ellipse was as follows. ShortAxis represents the length of the short axis of the ellipse while LongAxis represents the length of the long axis of the ellipse.

E = 2\*3.1415\*ShortAxis + 4\*(LongAxis-ShortAxis) [23]

$$EL = perimeter - E$$
 [24]

(XIII) The formula of the elliptic normalized contour was as follows, where skeletonum is the sum of the number of points with the shortest distance from each row of the tumor region to the boundary.

$$ENS = skeletonnum/EL$$
 [25]

#### **Tumor classification**

A total of 149 images were collected, including 96 malignant images and 53 benign images. Benign and malignant images were divided into five groups, with the number of benign images in each group being 11, 11, 11, 10, 10 and the number of malignant images in each group being 19, 19, 19, 19, 20. In the experiment, a group of benign images and a group of malignant images were combined as a test set, and the remaining 4 groups of benign and four groups of malignant images were used as a training set. The training set was input into each model for learning, and the average classification performance was obtained by using the test set. *Support vector machine (SVM) and logistic regression* 

As a traditional classification algorithm, SVM is based on the principle of maximizing the diversity among classes. For the linear case, it is preferable to find a line so that the samples on both sides of the line are maximally distanced from the line. The equation of the line was as follows, where  $\vec{w}$  represents the weight of the sample attribute and  $\vec{x}$  represents the sample attribute.

$$\vec{w} \cdot \vec{x} + b = 0$$
 [26]

The hypothesis model of logistic regression was as follows, where X is the sample input,  $h_{\theta}(X)$  is the output of the model, and  $\theta$  is the model parameter of the required solution.

$$\mathbf{h}_{\theta}(X) = g(X\theta) = \frac{1}{1 + e^{-x\theta}}$$
[27]

where

$$\begin{cases} y=1, \text{ if } h_{\theta}(X) > 0.5\\ y=0, \text{ if } h_{\theta}(X) < 0.5 \end{cases}$$
[28]

#### Inception V3 network

Inception V3 consists of 47 layers. We used 2 convolution kernels of 3\*3 to replace 5\*5 convolution kernels, and 2 convolution kernels of 3\*1 and 1\*3 to replace 3\*3 convolution kernels to reduce the training network parameters and ensure the classification performance of the network. The fully joined convolutional layer were converted to the coefficient joint layer for reducing the computation. Batch normalization was utilized to solve the problem of gradient disappearance. The network structure is shown in *Figure 2*.

#### The method proposed in this paper

The proposed approach integrated SVM, logistic regression, and Inception V3 network classifiers, with a voting mechanism for final classification.

#### Methods and doctors' diagnosis

We assigned 3 novice readers with less than 3 years of experience in breast ultrasound image interpretation and 3 experienced readers with more than 10 years in breast ultrasound image interpretation, who were blinded to the patients' information, to review the imaging data and differentiate between malignant and benign among the 149 breast nodules.

After the readers completed full unaided diagnoses, the proposed CAD was utilized to detect and analyze the same breast images and generate a list indicating which nodules were malignant and which were benign.

With the proposed CAD system, the 3 novice readers and 3 experienced readers were assigned to re-review the imaging data.



Figure 2 Network structure of Inception V3.



Figure 3 Reading steps.

The reading steps are shown in *Figure 3*.

#### **Evaluation** methods

To evaluate the overall performance of each diagnostic system, five descriptive statistics [accuracy (ACC), sensitivity (SEN), specificity (SPE), positive predictive value (PPV), and negative predictive value (NPV)] were used. The number of correctly and incorrectly classified malignant tumors were defined as true positive (TP) and false negative (FN), and the number of correctly and incorrectly classified benign tumors were defined as true negative (TN) and false positive (FP). The 5 descriptive statistics are calculated as:

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$$ACC = \frac{TP + TN}{TP + TN + FP + FN}$$
[29]

$$SEN = \frac{TP}{TP + FN}$$
[30]

$$SPE = \frac{TN}{TN + FP}$$
[31]

$$PPV = \frac{TP}{TP + FP}$$
[32]

$$NPV = \frac{TN}{TN + FN}$$
[33]

Another criterion for evaluating the performance of the classifier system was the receiver operator characteristic curve (ROC). The curves were constructed by computing the sensitivity and specificity of increasing numbers of clinical findings in the predicting step. The most commonly used global index of diagnostic accuracy is the area under the ROC curve (AUC). Values of AUC close to 1.0 indicated that the marker had a high diagnostic accuracy; otherwise, the performance was deemed low.

#### Statistical analysis

IBM SPSS Statistics 22.0 for statistical analyses were used in this study. The SEN, SPE, ACC, PPV, and NPV of each modality were calculated. Student's *t*-test and  $\chi^2$  test were used and P values were calculated. Receiver operating characteristic (ROC) curve was performed to analyze the sensitivity and specificity of different systems of CAD. Macro-average ROC curve was performed to analyze the sensitivity and specificity of different methods of reading ultrasound images.

#### **Results**

#### Pathologic results

In total, 149 breast nodules were studied, consisting of 96 (64.4%) malignant cases identified via biopsies or surgery and 53 (35.6%) benign cases. The malignant lesion-subset included 71 invasive ductal carcinoma, 11 ductal carcinoma *in situ*, 4 invasive mucinous carcinoma, 3 invasive lobular carcinoma, 1 encapsulated papillary carcinoma, and 6 other malignant lesions. The benign lesion subset consisted of

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Table 2 Pathologic results of 149 nodules

Pathologic results	n			
Malignant				
Invasive carcinoma				
Invasive carcinoma of no specific type	71			
Invasive lobular carcinoma	3			
Mucinous carcinoma	4			
Ductal carcinoma in situ	11			
Encapsulated papillary carcinoma	1			
Other malignant lesions	6			
Total	96			
Benign				
Fibroadenoma	32			
Adenosis	9			
Intraductal papilloma	8			
Inflammation	3			
Benign phyllodes tumor	1			
Total	53			

32 fibroadenomas, 9 adenosis, 8 intraductal papilloma, 3 inflammation of breast, and 1 benign phyllodes tumor. More pathologic results are summarized in *Table 2*.

#### Results of doctors' diagnosis

#### Statistical analysis

The SEN, SPE, ACC, PPV, and NPV of each modality were record as shown in *Table 3*.

As can be seen from *Table 3* above, the 3 novice readers had an average accuracy of  $71.37\% \pm 4.067\%$  on the first reading while the 3 experienced readers had an average accuracy of  $83.03\% \pm 3.371\%$  on the first reading. The difference between the two groups was statistically significant (P=0.019<0.05). Experience was likely one of the most important factors affecting the diagnostic results between the two groups.

With the help of II3 on the second reading, the average accuracy of the novice readers increased to  $84.13\% \pm 1.662\%$  and that of the experienced readers increased to  $89.50\% \pm 0.346\%$ . The difference between the two groups was statistically significant (P=0.005<0.05).

In addition, ROC curves were constructed and the AUCs

were used as the criterion for diagnostic accuracy. Values of AUC close to 1.0 indicated that the method had high diagnostic accuracy. As displayed in *Figure 4A*,*B*, the mean AUC of the novice readers was improved from 0.7751 (without II3) to 0.8232 (with II3). The mean AUC of the experienced readers was improved from 0.8939 (without II3) to 0.9211 (with II3). From *Figure 4C*, we found the mean AUC for all readers improved in both the second-reading mode (from 0.8345 to 0.8722, P=0.0081<0.05).

#### **Diagnostic error analysis**

Among the 149 breast nodules, there were 77 cases that were misidentified by novice readers; for each misidentified case, at least 1 novice reader made the same mistake. Meanwhile, experienced readers misjudged 41 cases, and for each misjudged case, there was at least 1 experienced readers who made the mistake.

The diagnostic errors made by these readers during the first reading were mainly via the following aspects:

First, they might have been misled by atypical ultrasound features of breast nodules, such as malignant nodules with oval shapes or benign nodules with indistinct margins. In this study, about 47 (47/77, 61.0%) cases were misjudged by the novice readers, compared with 21 (21/41, 51.2%) misjudged by the experienced readers.

Second, the presence of calcifications was a key factor affecting diagnostic accuracy.

Calcifications or small deposits of calcium are common in the breast. Breast calcifications are not always but can be a sign of early breast cancer. The calcifications associated with breast cancer are usually quite small, often <2 mm, and are described as micro-calcifications. Micro-calcifications are among the earliest signs of a breast carcinoma.

Ultrasound does not have an advantage in detecting micro-calcifications of early breast cancer, due to its high false positive rate and low specificity. It also confused the judgment of the novice readers more than the experienced readers. Our study showed that about 13 (13/77, 16.9%) cases in which calcifications were found in nodules were misjudged by the novice readers, compared with 5 (5/41, 12.2%) cases judged the same way by experienced readers.

Furthermore, whether novice or experienced, the mass's maximum diameter was another key factor that interfered with the readers' interpretations. The smaller a breast mass is, the more difficult for the radiologist to analyze the lesion; especially, if the maximum diameter of the mass is smaller than 1 cm. About 8 (8/77, 10.4%) cases were misjudged by the novice readers and 5 (5/41, 12.2%) cases

	Novice readers	Novice readers + II3	P1	Experienced readers	Experienced readers + II3	P2	P3	P4
ACC (%)	71.37±4.067	84.13±1.662	0.045	83.03±3.371	89.50±0.346	0.067	0.019	0.005
SEN (%)	76.40±8.388	86.43±1.790	0.188	89.27±3.958	93.77±1.050	0.249	0.074	0.004
SPE (%)	62.27±4.989	79.87±2.136	0.009	71.70±3.291	81.73±2.902	0.048	0.052	0.420
PPV (%)	78.57±1.266	88.60±1.229	0.005	85.07±1.834	90.33±1.332	0.035	0.007	0.173
NPV (%)	60.20±7.590	76.50±2.722	0.084	78.90±6.920	87.90±1.453	0.191	0.034	0.003

Table 3 Results of 2 reading modes

The data are shown as mean  $\pm$  standard deviation. P1 values are a comparison between novice readers with and without II3. They were calculated by using Student *t* test; P2 values are a comparison between experienced readers with and without II3. They were calculated by using Student t test; P3 values are a comparison between novice readers and experienced readers without II3. They were calculated by using the  $\chi^2$  test; P4 values are a comparison between novice readers and experienced readers with II3. They were calculated by using the  $\chi^2$  test; P4 values are a comparison between novice readers and experienced readers with II3. They were calculated by using the  $\chi^2$  test; P4 values are a comparison between novice readers and experienced readers with II3. They were calculated by using the  $\chi^2$  test. ACC, accuracy; SEN, sensitivity; SPE, specificity; PPV, positive predictive value; NPV, negative predictive value.



**Figure 4** Receiver operating characteristic curves of two reading modes. (A) A comparison between novice readers with and without II3; (B) a comparison between experienced readers with and without II3; (C) a comparison between all readers with and without II3. Treatment 0= the first reading without II3. Treatment 1= the second reading with II3. Data in parentheses are areas under the receiver operating characteristic curve.

by the experienced readers, the difference between two groups was not significant.

Typical error cases for example are in Figures 5,6,7,8.

## Results of CAD system

## **Features extraction**

The GLCM was utilized for extracting 51 gray features of tumors from 149 images. The extracted features included the energy, correlation, contrast, and homogeneity characteristics at 4 different directions and 3 different distances, as well as the mean variance and entropy of the tumor. Shape features extraction algorithm was performed to extract the 13 shape features including roundness, aspect ratio, average normalized radial length, normalized radial length standard deviation, entropy of the average normalized radial length, area ratio, aspect ratio, number of lobular, needle shape, boundary roughness, direction angle, elliptic, and normalized circumference oval normalized contour. The results of feature extraction are shown in *Figure 9*. It can be seen that homogeneity in the textural features had a significant degree of differentiation in the judgment of benign and malignant tumors in ABUS images. Among the shape features, the needle-like degree had the greatest differentiation for the benign and malignant tumors (*Figure 9B*).

#### Benign and malignant classification

In this study, SVM and logistic regression were utilized to construct the classification model because of its high generalization performance without need to add a priori knowledge and ease of explanation. We used 5-fold cross-validation to ensure that there was no leakage of information between the training and test phases of SVM



Figure 5 Invasive ductal carcinomas of the right breast of a 62-year-old woman. The white arrow indicates a hypoechoic mass, paralleloriented with an oval margin. All 3 novice readers and 2 experienced readers made the wrong diagnosis, as did CAD. CAD, computer-aided diagnosis.



Figure 6 Adenosis of the right breast in a 58-year-old woman. The white arrow indicates a benign mass with calcifications. All readers misidentified while CAD made the right diagnosis. CAD, computer-aided diagnosis.



**Figure 7** Encapsulated papillary carcinoma of the left breast of a 49-year-old woman. The white arrow indicates a complex cystic and solid mass, parallel-oriented with posterior acoustic enhancement and an oval margin. This was misdiagnosed by 2 novice readers and 2 experienced readers while CAD made the right diagnosis. CAD, computer-aided diagnosis.



**Figure 8** Fibro adenomas of the right breast of a 46-year-old woman. The white arrow indicates a benign mass with irregular shape. It was misidentified by 2 novice readers and 2 experienced readers while CAD made the right diagnosis. CAD, computer-aided diagnosis.



Figure 9 Results of feature extraction. (A) Results of texture extraction; (B) results of shape extraction.

Method	ACC (%)	SEN (%)	SPE (%)	PPV (%)	NPV (%)
SVM	74.34	70.10	81.81	87.18	60.81
Logistic regression	71.71	83.42	60.00	79.91	68.32
InceptionV3	79.35	83.56	77.13	85.21	73.64
113	88.59	91.67	83.02	90.72	84.62

Table 4 Results of the proposed method and related works

ACC, accuracy; SEN, sensitivity; SPE, specificity; PPV, positive predictive value; NPV, negative predictive value; SVM, support vector machine; II3, Improved Inception V3.

training and testing.

The tumor characteristics of the training set were input into the SVM and logistic regression classifier to construct the model, and the test set was used for testing. The classifiers with extracted image features as inputs were performed on training folds only and then applied to the corresponding test fold. All image data of a given participant were either all in a training fold or all in a test fold. The average results obtained from the method by using 5-cross validation are shown in *Table 4*.

The training image set was input into the Inception V3 network for feature extraction and classification, and then tested using the validation set. The ROC curves of 3 three methods are shown in *Figure 10*.

#### **Discussion**

The proposed CAD can highlight the areas of suspicious findings to assist radiologists in discovering and analyzing

lesions. Several studies have shown the potential of CAD in image-recognition tasks and demonstrated that CAD is capable of detecting breast cancers which had been dismissed by radiologists (28).

Previous studies have revealed that the performance of CAD systems can vary according to CAD itself, the quality of ultrasound images, and the type of breast lesions. Most previous studies on the application of CAD have focused on the recognition of breast nodules using B-mode ultrasound. In this study, a novel CAD system was proposed based on ABUS images. This CAD system integrated SVM, logistic regression, and Inception V3 network classifiers, with a final result of 88.6% accuracy.

We found that the novel CAD system can accurately locate the tumor, whether or not the tumor is in a specific location, is large, small, or poorly differentiated from the background. In addition, the proposed method can help doctors, particularly in the task of reading cases which have atypical shape and edge characteristics. We studied the value



**Figure 10** ROC curves of the 3 methods. ROC, receiver operating characteristic.

features extracted by the proposed method. Homogeneity, as defined in Eq. [4] is an important feature to describe the local texture of image. A higher value indicated a more irregular local texture. The average of homogeneity for benign tumors was 94.33, and 74.99 for benign tumors.

Our data showed that by using the proposed CAD diagnosis as the reference for re-analysis, the range of improvement was statistically significant for the novice readers (from 71.37%±4.067% to 84.13%±1.662%, P=0.045<0.05), and was not statistically significant for the experienced readers (from 83.03%±3.371% to  $89.50\% \pm 0.346\%$ , P=0.067>0.05). This meant that the diagnostic accuracy of both groups had been improved with the help of II3, and II3 was more helpful for novice readers than experienced readers. Previous studies have shown that less experienced readers benefit more from CAD than experienced readers. Balleyguier et al. showed that the use of CAD is more helpful for junior radiologists (29), which was consistent with the findings in this study. Meanwhile, our results showed that II3 can not completely compensate for and replace the influence of experience in the diagnostic process. At present, it is recommended that CAD system continues to play an auxiliary role in the clinic.

Additionally, with the help of II3, the diagnostic specificity of the novice readers increased from  $62.27\% \pm 4.989\%$  to  $79.87\% \pm 2.136\%$  (P=0.009<0.05), and that of the experienced readers increased from  $71.70\% \pm 3.291\%$  to  $81.73\% \pm 2.902\%$ (P=0.048<0.05). The higher the sensitivity, the lower the missed diagnosis rate; the higher the specificity, the lower the misdiagnosis rate. These results indicated that the main benefit of II3 is mainly reflected in reducing misdiagnosis.

The value of CAD is not only in the differentiation of benign and malignant breast nodules, but it can also enhance the experience and skill of some beginners. By using this novel CAD system, the diagnostic skill of beginners can be raised to that of experienced doctors and the diagnosis level of senior doctors can be further improved.

## Conclusions

In this paper, we proposed a novel CAD system based on ABUS images, which integrated the SVM, logistic regression, and Inception V3 network classifiers [Improved Inception V3 (II3)].

Rather than conventional ultrasound, in this study, ABUS imaging was selected for its high-quality images. Compared to handheld ultrasound, ABUS imaging can provide clearer and complete information of breast nodules because of its higher frequency sound wave probe and its standardization screening.

This paper extracted a series of textural features of the tumor from the image including energy, correlation, homogeneity, mean, and variance. Through the experiment, we found that homogeneity is an important feature for describing the local texture of images. A higher value indicated a more irregular local texture. The average homogeneity for benign tumors was 94.33, and 74.99 for benign tumors.

The final accuracy of the proposed system was 88.6%, which was superior to the average accuracy of the experienced reader.

Meanwhile, with the help of II3, the average accuracy of novice reader was increased from  $71.37\% \pm 4.067\%$  to  $84.13\% \pm 1.662\%$  and the experienced readers increased from  $83.03\% \pm 3.371\%$  to  $89.50\% \pm 0.346\%$ , which demonstrated that less experienced readers would benefit more from CAD than experienced readers.

Finally, this study had several limitations. First, it was a retrospective study. The datasets used in this study were pathologically confirmed benign and malignant cases, in which normal cases and benign lesions without surgical treatment were excluded. Therefore, selection and recall biases were unavoidable. Second, sample capacity was small and the algorithm was based on the material collected. We will extend our dataset in the future as we believe that the overall performance of the algorithm will be improved by the inclusion of a larger dataset.

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# Footnote

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