

# Surgical management in phyllodes tumors of the breast: a systematic review and meta-analysis

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**Background:** Information is still controversial in the studies regarding the current optimal surgical management of phyllodes tumors (PTs) of the breast. Local recurrence (LR) may occur with an upgraded in the pathological grade, influencing the prognosis of patients with PT. This systematic review and metaanalysis aimed to investigate the association of LR risk with margin status and margin width which could have significant implications on the surgical management of PT.

**Methods:** Independent and comprehensive searches were performed by two authors through five databases including PubMed, Medline, Embase, ScienceDirect and Cochrane Library from January 1990 to October 2021. Studies investigating the association between margin width, margin status and LR rates were considered for inclusion. Study quality was evaluated using the Newcastle-Ottawa Scale (NOS). Meta-analysis was performed using RevMan5.3 software, and statistical heterogeneity was assessed using the Chi-square test and quantified using the I<sup>2</sup> statistic. Visual inspection of funnel plots was used to judge publication bias.

**Results:** A total of 34 articles were included in this article, all of which with NOS scores above 5. Regardless of the PT grade, positive margin significantly increased the risk of LR [odds ratio (OR) 3.64, 95% confidence interval (CI): 2.60–5.12]. No significant difference was found in the risk of LR between the margins <1 and  $\geq$ 1 cm (OR 1.39, 95% CI: 0.67–2.92). For benign and borderline PTs, there were no significant differences of the LR risk between breast-conserving surgery (BCS) and mastectomy (benign OR 0.68, 95% CI: 0.12–3.78; borderline OR 1.14, 95% CI: 0.29–4.51). While the LR risk was significantly increased by BCS for malignant PT (OR 2.77, 95% CI: 1.33–5.74).

**Discussion:** Different surgical management strategies should be considered for different PT grades. BCS was a feasible option and margins <1 cm was not significantly associated with LR risk for all grade of PT. After BCS, benign PT with positive margin could adopt the "wait and watch" strategy with regular follow-up, while borderline and malignant PTs were expected to underwent re-excision to ensure negative margins. More studies are still needed to clarify and update the existing conclusions and improve the prognosis of PT patients.

Keywords: Phyllodes tumors of the breast; surgical management; margin; local recurrence (LR); systematic review and meta-analysis

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## Introduction

Phyllodes tumors (PTs) of the breast are uncommon fibroepithelial lesions (FELs), accounting for about 0.3-1% of breast tumors and 2-3% of FELs (1,2). This disease was first reported by Johannes Müller in 1838 and called cystosarcoma phyllodes (3). Since then, up to 60 names have been reported such as pseudosarcomatoid adenoma and carcinosarcoma. In 1982, the World Health Organization (WHO) officially named it as PT, and divided it into three grades including benign, borderline and malignant. Studies have shown that LR might occur in every grade with the rate of 10-17%, 14-25% and 23-30% respectively. Some recurrent cases could also have an upgrading of the pathological grade (4). It is of great significance to achieve effective resection of PT for reducing the rate of local recurrence (LR) and prolonging the disease-free survival of patients.

Margin width and status are two important factors affecting the prognosis. Previously, National Comprehensive Cancer Network (NCCN) guidelines recommended a margin of at least 1cm regardless of tumor grade with many studies supporting, including a meta-analysis conducted by Toussaint *et al.* (2,5-9). While some studies investigated that for benign PT, no significant difference of LR between <1 and  $\geq$ 1 cm margins was found (10). Thind *et al.* even indicated that margin <1 cm was also acceptable for borderline and malignant PTs (11). As for margin status, some studies suggested that positive margin was significantly related to the increase of LR risk (12,13). However, Lu *et al.* proposed that margin status was only found to be associated with LR risk for malignant PT (14).

Surgical management of breast-conserving surgery (BCS) and mastectomy is still controversial as the main treatment of PT. Studies have shown that for benign and borderline PT, wide local excision (WLE) can reduce the LR rate from 21–46% to 8–29% (15,16). However, some studies indicated that regular follow-up was adequate since the LR rate of benign PT with positive margin was very low. And re-excision was only suitable for borderline and malignant PTs if safe margin <1 cm (17). Recently, a retrospective study of 550 cases by Rosenberger *et al.* found that ensuring a wider margin had nothing to do with reducing the risk

of LR. They proposed that re-excision was not necessary for benign PT to ensure negative margin and suggested a revision of NCCN guidelines (18). In 2021, the fourth edition of NCCN guidelines modified the treatment option of benign PT from WLE to observation after excisional biopsy (19).

The object of this study is to explore the correlation between margin status, margin width, surgical treatment and LR risk for different grade of PT, supposing to contribute to the formulation of surgical method of PT and improve the prognosis of patients. We presented the following article in accordance with the PRISMA reporting checklist (available at https://gs.amegroups.com/article/ view/10.21037/gs-21-789/rc).

## Methods

This study has been registered in the International Prospective Register of Systematic Reviews 'PROSPERO' database (ID: CRD42021292859).

## Eligibility criteria

The literature included in this study contained prospective or retrospective studies of female patients diagnosed pathologically with primary breast PTs. The cases included in the literature should be reviewed by pathologists which clearly report the tumor grade, surgical margins (margin width or margin status), and LR rate. For surgical margins, literature that only reported the surgical process (lumpectomy, WLE, or mastectomy, etc.) without precise definition of margin width and positive or negative criteria for margins should be excluded. If the margin information was only evaluated in patients treated by BCS, then the number of mastectomy cases was subtracted from the total number of cases. If a re-excision was performed, the margin was determined by the information of the last operation. To reduce publication bias, studies only included margin status and with fewer than 50 cases were also excluded. In addition, we only included research articles published in English. All case reports, reviews and comments were excluded.

#### Search strategy

A three steps search strategy was performed in this study. First, we searched the MeSH terms and free terms of PT, surgical margin and LR in PubMed database, and formulated corresponding search strategies according to the search methods of different databases. A full search strategy was detailed in Appendix 1. Then, a comprehensive second search was conducted through the five databases including PubMed, Medline, Embase, ScienceDirect, and Cochrane Library for the articles published between January 1990 and October 2021. Finally, the retrieved studies were screened for follow-up research.

## Selection of studies

All retrieved articles were uploaded for screening with the duplicate studies deleted. Then, two authors conducted independent screening based on the title and abstract of the literature, and initially deleted the literature that did not meet the inclusion criteria. Finally, the full texts were read in detail and the included studies were confirmed. The disagreement between the two authors in the process of selection was resolved through discussion or discussion with the third author.

## Assessment of methodological quality

All the included studies were independently evaluated for methodological quality by two authors using the modified NOS, which mainly included three modules (subject selection, comparability, and exposure/outcome). Scores were assigned in the form of '\*'. The final score was among 0–9 points.

#### Data extraction

The two authors independently extracted the data at the same time, and confirmed the final data through comparison and review. The extraction data included the author's name, publication year, the basic information of the included cases (nationality, age, and the total number of cases), the pathological classification of the cases, the surgical treatment, and the follow-up time to form a table of the features of included literature. The margin status, margin width, the surgical treatment and LR of different grades were extracted for subsequent analysis. All the extracted data was input into the Microsoft Excel database. Funnel plots were drawn to assess the publication bias.

#### Statistical analysis

The analyses were performed using Review Manager 5.3 (Cochrane Collaboration, Oxford, UK). The odds ratios (OR) were used to compare dichotomous variables and all results were reported with 95% confidence intervals (CIs). The random effects model was used to analyze the pooled data. Sensitivity analysis was carried out by deleting a study every time and checking whether the effect size changed. Statistical heterogeneity between studies was assessed using the Chi-square test and quantified using the I<sup>2</sup> statistic. A significant statistical difference was considered when P<0.05.

## **Results**

## Literature search results

A total of 1,089 articles were retrieved in this study with 274 duplicate articles eliminated. By reading the title of the article, searching the full text, and rigorously screening according to the inclusion and exclusion criteria, 34 articles were finally included for subsequent research and analysis. A PRISMA flow diagram summarizing the process of literature selection were presented in *Figure 1*. All studies included had a quality score of 5 or higher were considered to have good quality (Table S1).

#### Characteristics of the included studies

All 34 articles included in this study were retrospective studies, including 6,431 patients from 1944 to 2019 (*Table 1*). Among them, 3,898 cases were benign, 1,322 were borderline, and 1,221 were malignant. The age of the patients was the median or average age which ranged from 34 to 51 years old. Surgical treatment included BCS and mastectomy, with 4,779 cases and 803 cases respectively. The median follow-up time was between 19.2 and 120 months.

## Margin status

A total of 26 articles evaluated the correlation between surgical margin status (positive or negative) and LR risk. Overall, compared with negative margins, positive margins could significantly increase the risk of LR (OR 3.64; 95%



Figure 1 Article retrieval process.

CI: 2.60–5.12) (*Figure 2*). Ten, eight and eight studies reported LR rates for the benign, borderline and malignant PTs (Figure S1). A positive margin was significantly associated with a high LR risk regardless of tumor grade (benign OR 3.32, 95% CI: 1.18–9.34; borderline OR 2.88, 95% CI: 1.16–7.14 and malignant OR 4.70, 95% CI: 1.63–13.62).

#### Margin width

Most studies still use 1 cm as the boundary to explore the impact of margin width on the risk of LR. Pooling of data from 14 studies showed no significant difference in the LR risk between patients with margin  $\geq 1$  and <1 cm (OR 1.39, 95% CI: 0.67–2.92) (*Figure 3*). The subgroup analysis included 10 studies for benign, 9 studies for borderline, and 9 studies for malignant PT. The results showed that there was no significant difference in the LR risk between margin width <1 and  $\geq 1$  cm in all subgroup analysis (benign OR 1.19, 95% CI: 0.57–2.48; borderline OR 1.37, 95% CI: 0.42–4.47 and malignant OR 2.15, 95% CI: 0.64–7.19) (Figure S2). The borderline and malignant PTs were combined and analyzed with a total of 13 articles included. No significant difference in the LR risk of the margin width between the two groups was found (OR 1.68, 95% CI: 0.81–3.47) (Figure S3).

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Table 1 Characteristics of the included studies

Study	Time frame	Country	Age	Total		Grade (n)		Surę	gery	Median follow-up	Ref.
Study		Country	(years) <sup>a</sup>	patients (N)	Benign	Borderline	Malignant	BCS	М	time (months)	nei.
Mangi 1999	1980–1997	USA	41.3	40	34	3	3	31	9	43.01	(6)
Chaney 2000	1944–1998	USA	41	101	59	12	30	47	54	47	(20)
Kapiris 2001	1947–1999	UK	47	48	0	0	48	24	24	108	(7)
Sotheran 2005	1982–2000	UK	n/a	50	29	12	9	46	4	35	(21)
Tan 2005	1992–2002	Singapore	42	335	250	54	31	311	23	20.4	(22)
Chen 2005	1985–2003	China	37	172	131	12	29	126	46	71	(8)
Abdalla 2006	n/a	Egypt	42	79	31	27	21	46	33	60	(9)
Akin 2010	1998–2002	Turkey	45.5	10	7	0	3	7	3	62	(23)
Jung 2010	1998–2006	Korea	37.6	67	39	16	12	61	5	n/a	(24)
Jang 2012	1995–2009	Korea	43	164	82	42	40	148	16	33.6	(13)
Tsang 2012	n/a	China	45	185	120	48	17	n/a	n/a	42	(25)
Kim 2013	2000–2010	South Korea	41	193	145	33	15	182	11	65	(12)
Spitaleri 2013	1999–2010	Italy	44	172	68	42	62	137	35	85	(26)
Wei 2014	1997–2012	China	40	192	80	63	49	145	47	72.9	(27)
Onkendi 2014	1971–2008	USA	47	67	0	15	52	32	35	120	(28)
Yom 2015	1989–2008	Korea	36.44	285	191	61	33	271	14	81.4	(29)
Bellezza 2016	1988–2009	Italy	42	62	40	13	9	53	9	n/a	(30)
Borhani-Khomani 2016	1999–2014	Denmark	45.6	443	354	89	0	395	10	98	(15)
Moutte 2016	2003–2013	France	37.9	76	67	9	0	75	1	58	(31)
Ruvalcaba-Limón 2016	2005–2015	Mexico	41.7	305	222	50	35	213	92	36.2	(32)
Moo 2017	2003–2013	USA	35	246	216	30	0	243	3	35.5	(33)
Rodrigues 2017	1999–2014	The Netherlands	48	183	83	50	49	163	19	65	(34)
Tremblay-LeMay 2017	1998–2010	Canada	44.4	114	81	20	13	112	2	15.48/59.88/65.04 <sup>b</sup>	(35)
Chng 2018	2006–2015	Singapore	37.7	240	196	27	17	n/a	n/a	19.2	(36)
Choi 2018	1981–2014	Korea	43	362	0	127	235	247	84	60	(37)
Sevinc 2018	1994–2017	Turkey	40.6	122	14	0	122	n/a	n/a	39	(38)
Mitus 2018	1952–2013	Poland	51	334	187	40	107	248	86	121	(39)
Zhou 2018	2002–2013	China	41	404	168	184	52	378	26	46	(40)
Gulben 2019	2008–2014	Turkey	34	89	68	14	7	85	4	n/a	(41)
Li 2019	1999–2009	China	43.2	290	181	76	33	233	36	n/a	(42)
Wen 2020	2008–2017	Canada	43	96	75	10	11	88	8	47.3	(43)
Genco 2021	2005–2019	USA	36	205	191	14	0	n/a	n/a	n/a	(44)
Lim 2021	2002–2014	Canada	45.8	150	110	21	19	120	30	36	(45)
Rosenberger 2021	2007–2017	USA	44	550	379	108	58	512	34	36.7	(18)

<sup>a</sup>, age is represented by the average or the median age of the study. <sup>b</sup>, the median follow-up time was 15.48 months for benign, 59.88 months for borderline, and 65.05 months for malignant PTs. BCS, breast conservative surgery; M, mastectomy; n/a, not available; PTs, phyllodes tumors.

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	Margin Po	sitive	Margin Ne	gative		Odds Ratio			Odds Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% CI	Year	r	M-H, Random, 95% Cl
Chaney 2000	0	1	4	100	1.0%	7.15 [0.25, 201.16]	2000	)	
Chen 2005	6	13	13	159	4.9%	9.63 [2.82, 32.91]	2005		
Sotheran 2005	5	21	2	24	2.9%	3.44 [0.59, 20.02]	2005		
Tan 2005	29	195	14	139	8.9%	1.56 [0.79, 3.08]	2005		+
Jung 2010	4	4	7	63	1.2%	67.80 [3.31, 1388.47]	2010	)	
Tsang 2012	11	30	22	121	7.2%	2.61 [1.09, 6.25]	2012		
Jang 2012	8	22	23	139	6.5%	2.88 [1.08, 7.66]	2012		
Kim 2013	4	26	14	167	5.1%	1.99 [0.60, 6.58]	2013		
Spitaleri 2013	3	10	16	161	3.9%	3.88 [0.91, 16.52]	2013		
Wei 2014	3	5	27	187	2.7%	8.89 [1.42, 55.69]	2014	Ļ	· · · · · · · · · · · · · · · · · · ·
Yom 2015	3	45	15	217	4.7%	0.96 [0.27, 3.47]	2015		
Bellezza 2016	6	18	6	44	4.6%	3.17 [0.86, 11.67]	2016	;	
Moutte 2016	2	7	1	65	1.6%	25.60 [1.96, 333.55]	2016	;	· · · · · · · · · · · · · · · · · · ·
Tremblay-Lemay 2017	0	2	5	112	1.1%	3.91 [0.17, 91.68]	2017	,	
Moo 2017	0	57	4	159	1.2%	0.30 [0.02, 5.67]	2017	·	
Rodrigues 2017	5	20	11	161	5.2%	4.55 [1.39, 14.84]	2017	,	
Zhou 2018	1	5	51	347	2.0%	1.45 [0.16, 13.24]	2018	3	
Choi 2018	15	35	37	230	8.2%	3.91 [1.84, 8.33]	2018	3	
Sevinc 2018	0	43	0	65		Not estimable	2018	3	
Chng 2018	11	66	2	174	3.6%	17.20 [3.70, 79.98]	2018	3	
Gulben 2019	7	16	5	73	4.4%	10.58 [2.76, 40.47]	2019	)	
Li 2019	11	46	8	162	6.4%	6.05 [2.27, 16.15]	2019	)	
Wen 2020	4	21	3	73	3.4%	5.49 [1.12, 26.87]	2020	)	
Genco 2021	1	21	2	110	1.7%	2.70 [0.23, 31.21]	2021		
Lim 2021	3	14	8	136	3.9%	4.36 [1.01, 18.84]	2021		
Rosenberger 2021	2	75	13	467	3.7%	0.96 [0.21, 4.33]	2021		
Total (95% CI)		818		3855	100.0%	3.64 [2.60, 5.12]			•
Total events	144		313						
Heterogeneity: Tau <sup>2</sup> = 0 Test for overall effect: Z				0.06); I <sup>2</sup> =	= 32%			0.01	0.1 1 10 1 Margin Negative Marigin Positve

Figure 2 Forest plot showing the pooled odds ratios of local recurrence by surgical margin (positive vs. negative).

	Margin	<1cm	Margin 2	≥1cm		Odds Ratio		Odds Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% Cl	Year	r M-H, Random, 95% Cl
Mangi 1999	5	18	0	22	4.9%	18.33 [0.94, 358.30]	1999	)
Kapiris 2001	6	10	4	14	10.4%	3.75 [0.67, 20.86]	2001	
Chen 2005	11	71	8	55	16.8%	1.08 [0.40, 2.89]	2005	·
Abdalla 2006	8	22	6	24	14.0%	1.71 [0.48, 6.09]	2006	;
Akin 2010	0	0	0	10		Not estimable	2010	)
Kim 2013	7	122	6	36	15.0%	0.30 [0.10, 0.97]	2013	
Onkendi 2014	2	5	6	27	8.7%	2.33 [0.31, 17.35]	2014	
Borhani-Khomani 2016	6	138	1	53	8.0%	2.36 [0.28, 20.11]	2016	;
Ruvalcaba-Limon 2016	0	0	16	254		Not estimable	2016	5
Choi 2018	2	9	1	38	6.3%	10.57 [0.84, 133.07]	2018	;
Sevinc 2018	0	54	0	16		Not estimable	2018	3
Li 2019	4	106	1	14	7.4%	0.51 [0.05, 4.92]	2019	)
Mitus 2019	1	32	28	302	8.5%	0.32 [0.04, 2.40]	2019	)
Lim 2021	0	31	0	3		Not estimable	2021	
Total (95% CI)		618		868	100.0%	1.39 [0.67, 2.92]		
Total events	52		77					
Heterogeneity: $Tau^2 = 0$ .	59; Chi <sup>2</sup> =	16.54,	df = 9 (P :	= 0.06);	$I^2 = 46\%$			
Test for overall effect: Z								0.01 0.1 1 10 100 Margin ≥1cm Margin <1cm

Figure 3 Forest plot showing the pooled odds ratios of local recurrence by surgical margin (margin <1 vs. ≥1 cm).

## Surgical treatment

A total of 15 studies which contained LR rates for different surgical treatments were extracted. Four, five and six studies reported LR rates for the benign, borderline, and malignant PTs respectively (*Figure 4*). No significant difference between BCS and mastectomy in benign and borderline PT were found (benign OR 0.68, 95% CI: 0.12–3.78; borderline OR 1.14, 95% CI: 0.29–4.51). But BCS was significantly associated with a higher LR risk for malignant PT (OR 2.77, 95% CI: 1.33–5.74).

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	Breast-conserving S		Mastect			Odds Ratio		Odds Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% CI	Year	r M-H, Random, 95% Cl
3.2.1 benign								
Chen 2005	19	118	0	13	4.8%	5.29 [0.30, 92.78]	2005	5
Sotheran 2005	1	28	0	1	3.1%	0.16 [0.00, 5.98]	2005	5 ←
Kim 2013	5	140	0	5	4.3%	0.45 [0.02, 9.13]	2013	3
Rosenberger 2021 <b>Subtotal (95% CI)</b>	2	127 <b>413</b>	0	7 26	4.1% <b>16.3%</b>	0.30 [0.01, 6.80] <b>0.68 [0.12, 3.78]</b>	2021	
Fotal events	27		0					
	= 0.55; $Chi^2$ = 3.65, df t: Z = 0.45 (P = 0.66)	= 3 (P =	0.30); I <sup>2</sup> =	= 18%				
3.2.2 boderline								
Chen 2005	0	4	0	8		Not estimable	2005	5
Sotheran 2005	3	9	0	3	3.8%	3.77 [0.15, 95.82]	2005	5
Kim 2013	5	32	1	1	3.6%	0.07 [0.00, 1.86]	2013	3 ←
Choi 2018	21	110	2	15	14.4%	1.53 [0.32, 7.32]	2018	3
Rosenberger 2021	4	44	0	8	4.3%	1.89 [0.09, 38.47]	2021	1
Subtotal (95% CI)		199		35	26.2%	1.14 [0.29, 4.51]		
Fotal events	33		3					
	= 0.34; Chi <sup>2</sup> = 3.56, df t: Z = 0.19 (P = 0.85)	= 3 (P =	0.31); I <sup>2</sup> =	= 16%				
3.2.3 malignant								
Chen 2005	0	4	0	25		Not estimable	2005	5
Sotheran 2005	3	5	0	4	3.6%	12.60 [0.45, 356.37]	2005	5
(im 2013	5	10	2	5	8.0%	1.50 [0.17, 13.23]	2013	3
Rodrigues 2017	6	18	0	20	4.5%	21.32 [1.10, 411.92]	2017	7
Choi 2018	29	137	7	69	34.6%	2.38 [0.98, 5.75]	2018	3
Rosenberger 2021	3	24	1	16	6.9%	2.14 [0.20, 22.65]	2021	
Subtotal (95% CI)		198		139	57.5%	2.77 [1.33, 5.74]		
Total events	46		10					
	= 0.00; $Chi^2$ = 3.15, df t: Z = 2.73 (P = 0.006)	= 4 (P =	0.53); I <sup>2</sup> =	= 0%				
Total (95% CI)		810		200	100.0%	1.81 [0.95, 3.45]		◆
Total events	106		13					
Heterogeneity: Tau <sup>2</sup>	= 0.11; Chi <sup>2</sup> = 12.97, d	f = 12 (P	= 0.37); I	$^{2} = 7\%$				0.01 0.1 1 10 10
								0.01 0.1 1 10 1
Test for overall effect	t: $Z = 1.81 (P = 0.07)$							Mastectomy Breast-conserving Surge

Figure 4 Forest plot showing the pooled odds ratios of local recurrence by surgical treatment (breast-conserving surgery *vs.* mastectomy) stratified by the phyllodes tumor grade.

#### Sensitivity analysis and publication bias

All studies carried out sensitivity analysis and publication bias analysis. Exclusion of Motte *et al.* or Li *et al.*, as part of the sensitivity analysis, achieved no statistical significance between positive and negative margin for benign PT (Motte exclusion: OR 2.66, 95% CI: 0.99–7.18; Li exclusion: OR 2.64, 95% CI: 0.85–8.22). After Li *et al.* or Wen *et al.* was excluded, the LR risk achieved no statistically difference between different margin status for borderline PT (Li exclusion: OR 2.13, 95% CI: 0.71–6.43; Wen exclusion: OR 2.43, 95% CI: 0.96–6.17). The rest of the analysis showed no significant changes in outcomes. No obvious publication bias was observed in the funnel plots (Figures S4,S5). No significant heterogeneity was statistical in this study.

## Discussion

In this systematic review and meta-analysis grounded on

a total of 6,431 patients from 34 studies, we assessed the correlation of margin, surgical treatment and LR risk for different grade of PT.

For benign PT, no significant difference in the LR rate between margin <1 and  $\geq$ 1 cm and BCS and mastectomy was found, suggesting that BCS even lumpectomy was adequate for benign PT. As for margin status, we found a significant correlation between positive margin and LR risk, indicating that benign PT with positive margin required re-excision to reduce LR risk. Many studies concluded the same results (8,26,46,47). While some studies suggested that margin status was related to LR only in terms of malignant PT and whether benign PT with positive margins need further resection still required further exploration (14). Shaaban et al. reviewed cases of benign PT and indicated that positive margins increased the LR rate. However, in view of the low LR rate of benign PT with positive resection margin (12.9%), the strategy of "wait and see" could still be adopted, and reexcision is only applicable for borderline and malignant PTs (10). Moo *et al.* performed a study of 246 benign PT patients with positive margins and found no significant difference in LR between patients underwent re-excision and observation (33). Since the benefits of re-excision on the prognosis of the patient are uncertain and a second operation may bring psychological pressure or cosmetic damage to the patients, "wait and watch" strategy with regular following-up may be an optimal option for benign PT with positive margin.

For borderline PT, we found no significant correlation of LR risk neither with margin width nor surgical treatment, indicating that BCS with a margin less than 1 cm might be feasible for borderline PT. Ogunbivi et al. also found that margin  $\geq 1$  and <1 cm had no significant difference in the LR risk for borderline PT, which was consistent with the result of this study (48). Thind et al. conducted a systematic review and meta-analysis on borderline and malignant PTs, and proposed that the correlation between margin width and LR was not statistically different as well (11). However, there were also studies indicated that borderline PT was resemble to malignant PT in terms of chromosomal variation and gene mutation and suggested that borderline PT should be given the same attention as malignant PT in making surgical decision (14,49,50). Barth et al. found that 12/50 (24%) borderline PT locally recurred and indicated BCS with negative margins was not enough for borderline PT to meet a good prognosis (51). Whether the 1 cm margin is sufficient for borderline PT is still controversial with more cases to supplement.

As for malignant PT, no significant difference in the LR rate between margin  $\geq 1$  and <1 cm was found which was consistent with the meta-analysis conducted by Thind et al. (11). Some studies also indicated that there was no real advantage to obtain  $\geq 1$  cm margins as thinner surgical excisions did not impact LR and the disease-free survival (13,28,35). Neron et al. conducted a multicenter nationwide retrospective study of malignant PT and suggested that a 3 mm threshold was sufficient with no impact of wide margins on overall-survival (52). However, the vast majority of studies still recommended WLE with margins  $\geq 1$  cm and more studies were needed to identify a precise margin threshold (12,27,53-55). A clearly correlation between positive margin and LR risk was found in terms of margin status. Re-excision was required for malignant PT to obtain negative margin and reduce LR risk (12,56,57). In this study, mastectomy was found having a positive impact

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on LR risk than BCS which was probably linked to the surgical procedure indicated by Neron *et al.*, that is, *en bloc* resection along the muscle fascia (52). Mastectomy should be recommended in the situations where the tumor was too large or if the inability to obtain negative margin to reduce LR risk (7,58).

This study also had some limitations. The studies included were all retrospective studies, and there were only few articles that could be analyzed in the subgroup analysis. Studies are still needed to confirm the reliability of the conclusions. Meanwhile, few studies on <1 and 1–10 mm margins were reported, causing it impossible to evaluate the impact of this threshold on LR risk. In addition, by sensitivity analysis, we found that the correlation between the margin status and LR rate was not statistically significant after the exclusion of some individual studies for benign and borderline PTs. More studies on margin status need to be updated in the future research.

### Conclusions

Different surgical management strategies should be considered for different PT grades. Regardless of the tumor grade, there was a significant correlation between positive margin and LR risk. And BCS was a feasible option as margins <1 cm was not significantly associated with LR risk. After BCS, "wait and watch" strategy was adequate for benign PT with positive margin, while borderline and malignant PTs were expected to underwent re-excision to ensure negative margins. Mastectomy was recommended in the situations where the tumor was too large or if the inability to obtain negative margin to reduce LR risk. At present, more retrospective or prospective studies are still needed to clarify and update the existing conclusions and improve the prognosis of PT patients.

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## Footnote

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# Appendix 1 Search strategies of this study in different databases

## Pubmed

Fubineu	
Search step	Search terms
#1	("Phyllodes Tumor" [Mesh] OR "phyllode tumor*" [tiab])
#2	("Surgical Procedures, Operative" [Mesh] OR "surgical management" [tiab] OR "surgical excision" [tiab] OR "surgical margin*" OR "margin*" [tiab] OR "margins assessment")
#3	("Recurrence" [Mesh] OR "recurrence*" [tiab] OR "Neoplasm Recurrence, Local" [Mesh] OR "relapse*" [tiab])
#4	#1 AND #2 AND #3

#### Medline

Search step	Search terms
#1	Phyllodes Tumor or phyllode tumor*
#2	Surgical Procedures or surgical management or surgical excision or margins assessment or surgical margin* or margin*
#3	Recurrence or recurrence* or Neoplasm Recurrence, Local or relapse*
#4	#1 AND #2 AND #3

#### Web of Science

Search step	Search terms
#1	TS= ("Phyllodes Tumor" OR "Phyllodes Tumors" OR "phyllodes tumor" OR "phyllode tumor")
#2	TS= ("surgical margin" OR "surgical margins" OR "margin" OR "margins" OR "Surgical Procedure" OR "surgical management" OR "margins assessment" OR "surgical excision" OR "surgical ablation")
#3	TS= ("Recurrence" OR "Neoplasm Recurrence" OR "relapse" OR "tumor recurrence")
#4	#1 AND #2 AND #3- (limits: Articles and all years)

## EMBASE

EMBRICE	
Search step	Search terms
#1	('phyllodes tumor'/exp OR 'phyllodes tumor' OR 'phyllode tumor')
#2	('surgical margin'/exp OR 'surgical margin' OR 'surgery'/de OR 'surgical management' OR 'margin assessment' OR 'surgical ablation'/exp OR 'surgical excision')
#3	('recurrence risk'/exp OR 'recurrence' OR 'tumor recurrence'/exp OR 'relapse'/exp OR 'tumor recurrence'/exp)
#4	#1 AND #2 AND #3

## Cochrane Library database

Search step	Search terms
#1	("Phyllodes Tumor" OR "Phyllodes Tumors" OR "phyllodes tumor" OR "phyllode tumor")
#2	("surgical margin" OR "surgical margins" OR "margin" OR "margins" OR "Surgical Procedure" OR "surgical management" OR "margins assessment" OR "surgical excision" OR "surgical ablation")
#3	("Recurrence" OR "Neoplasm Recurrence" OR "relapse" OR "tumor recurrence")
#4	#1 AND #2 AND #3

Study	Selection score	Comparability score	Outcome score	Total score
Mangi 1999	***		**	5
Chaney 2000	***	*	***	7
Kapiris 2001	**	*	***	6
Sotheran 2005	***		***	6
Tan 2005	***		***	7
Chen 2005	***	*	***	7
Abdalla 2006	***		**	5
Akin 2010	**		***	5
Jung 2010	***		**	5
Jang 2012	***		***	6
Tsang 2012	****		***	7
Kim 2013	***	*	***	7
Spitaleri 2013	****		***	7
Wei 2014	***		***	6
Onkendi 2014	***		**	5
Yom 2015	***	*	***	7
Bellezza 2016	***		**	5
Borhani-Khomani 2016	***	*	***	7
Moutte 2016	***		***	6
Ruvalcaba-Limón 2016	***		**	5
Moo 2017	***		***	6
Rodrigues 2017	***	*	***	7
Tremblay-LeMay 2017	***		***	6
Chng 2018	***		**	5
Choi 2018	****		**	6
Sevinc 2018	***		**	5
Vitus 2019	***		***	6
Zhou 2018	***		***	6
Gulben 2019	***		***	6
_i 2019	***		***	6
Wen 2020	***		**	5
Genco 2021	***		**	5
Lim 2021	***		***	6
Rosenberger 2021	***	*	***	7

Table S1 Newcastle-Ottawa scale (modified for cohort studies) for assessment of quality of included studies

The asterisk (\*) denotes the fulfilment of each criterion according to the Newcastle-Ottawa scale.

the day of Carl and an	Margin Po		Margin Ne	-	M/- ! h.e.	Odds Ratio	<b>X</b>	Odds Ratio
Study or Subgroup	Events	Total	Events	rotal	weight	M-H, Random, 95% CI	rear	M-H, Random, 95% Cl
1.1.1 Benign								
Sotheran 2005	0	12	1	14	2.5%	0.36 [0.01, 9.68]		
pitaleri 2013	1	1	2	67	2.3%	78.60 [2.52, 2453.26]		
(im 2013	1	19	4	126	5.2%	1.69 [0.18, 16.02]		
Moutte 2016	2	6	0	58	2.7%	65.00 [2.69, 1569.54]		
Moo 2017	0	57	4	159	3.1%	0.30 [0.02, 5.67]	2017	
Fremblay-Lemay 2017	0	1	3	80	2.4%	7.38 [0.25, 215.72]	2017	
i 2019	7	31	3	103	11.7%	9.72 [2.34, 40.38]	2019	
Ven 2020	1	18	2	55	4.4%	1.56 [0.13, 18.28]	2020	
im 2021	1	12	4	98	5.1%	2.14 [0.22, 20.86]	2021	
Rosenberger 2021	1	60	4	316	5.4%	1.32 [0.15, 12.04]	2021	
Subtotal (95% CI)		217		1076	44.8%	3.32 [1.18, 9.34]		
Total events	14		27					
Heterogeneity: Tau <sup>2</sup> = 1 Fest for overall effect: Z			f = 9 (P = 0.	09); l <sup>2</sup> =	40%			
1.1.2 Borderline								
Sotheran 2005	2	6	1	6	3.6%	2.50 [0.16, 38.60]	2005	<u> </u>
(im 2013	1	5	5	28	4.6%	1.15 [0.10, 12.62]		
Spitaleri 2013	0	2	5	39	2.7%	1.25 [0.05, 29.78]		
Moutte 2016	0	1	1	7	2.1%	1.44 [0.04, 56.14]		
Fremblay-Lemay 2017	0	1	2	19	2.3%	2.33 [0.07, 74.54]		
_i 2019	4	11	4	42	9.6%	5.43 [1.09, 26.98]		
Ven 2020	2	2	0	8	1.6%	85.00 [1.32, 5478.06]		
Rosenberger 2021	1	12	5	96	5.3%	1.65 [0.18, 15.48]		
Subtotal (95% CI)	-	40	5	245	31.7%	2.88 [1.16, 7.14]	LOLI	
Total events	10		23			- / -		-
Heterogeneity: Tau <sup>2</sup> = 0 Fest for overall effect: Z			= 7 (P = 0.7	(4); $I^2 = 0$	%			
L.1.3 Malignant								
Sotheran 2005	3	3	0	6	1.6%	91.00 [1.46, 5656.47]	2005	
(im 2013	2	2	5	13	2.6%	7.73 [0.31, 193.44]		
Spitaleri 2013	2	7	9	55	7.9%	2.04 [0.34, 12.23]		
Rodrigues 2017	2	3	5	45	4.0%			
5	2	3 0	5	45 13	4.0%	16.00 [1.22, 209.93]		
Fremblay-Lemay 2017 i 2019	0	4	0	13	2 40/	Not estimable		
	-				2.4%	0.93 [0.03, 27.12]		
Ven 2020	1	1	1	10	2.1%	19.00 [0.50, 719.74]		
Rosenberger 2021 Subtotal (95% CI)	0	3 23	4	55 <b>210</b>	2.8% <b>23.5%</b>	1.63 [0.07, 36.87] <b>4.70 [1.63, 13.62]</b>	2021	-
Fotal events	10		25					
Heterogeneity: Tau <sup>2</sup> = 0 Fest for overall effect: Z	,	,	= 6 (P = 0.4	6); $I^2 = 0$	%			
Fotal (95% CI)		280		1531	100.0%	3.54 [2.08, 6.03]		•
	34		75					
Fotal events								
<sup>-</sup> otal events Heterogeneity: Tau <sup>2</sup> = 0		25.37. di		).39): I <sup>2</sup> =	= 5%			0.002 0.1 1 10

Figure S1 Forest plot showing the pooled odds ratios of local recurrence by surgical margin (positive *vs.* negative) stratified by the phyllodes tumor grade.

	Margin	<1cm	Margin ≥1cm			Odds Ratio			Odds Ratio	
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% Cl	Year		M-H, Random, 95% Cl	
Mangi 1999	1	2	0	4	3.9%	9.00 [0.22, 362.48]	1999		· · · · ·	
Kapiris 2001	6	10	4	14	17.9%	3.75 [0.67, 20.86]	2001			
Chen 2005	0	2	0	6		Not estimable	2005			
Abdalla 2006	5	8	6	16	17.2%	2.78 [0.48, 16.03]	2006			
Akin 2010	0	0	0	3		Not estimable	2010			
Kim 2013	3	15	6	22	21.3%	0.67 [0.14, 3.22]	2013			
Onkendi 2014	2	5	6	27	13.1%	2.33 [0.31, 17.35]	2014			
Borhani-Khomani 2016	2	34	0	23	5.6%	3.62 [0.17, 78.85]	2016			—
Ruvalcaba-Limon 2016	0	0	4	75		Not estimable	2016			
Choi 2018	18	116	0	8	6.3%	3.19 [0.18, 57.74]	2018			-
Li 2019	2	38	1	9	8.3%	0.44 [0.04, 5.52]	2019			
Mitus 2019	0	12	17	135	6.4%	0.27 [0.02, 4.78]	2019			
Lim 2021	0	31	0	3		Not estimable	2021			
Total (95% CI)		273		345	100.0%	1.68 [0.81, 3.47]			•	
Total events	39		44							
Heterogeneity: $Tau^2 = 0$ .	00; Chi <sup>2</sup> =	6.44, d	f = 8 (P =	0.60); I	$^{2} = 0\%$			0.01	0.1 1 10	100
Test for overall effect: Z	= 1.39 (P =	= 0.16)						0.01	Margin ≥1cm Margin <1cm	100

**Figure S2** Forest plot showing the pooled odds ratios of local recurrence by surgical margin (margin <1 cm *vs.* margin  $\ge$  1 cm) stratified by the phyllodes tumor grade.



**Figure S3** Forest plot showing the pooled odds ratios of local recurrence by surgical margin (margin <1 cm *vs.* margin  $\geq$  1 cm) in borderline or malignant phyllodes tumor.

Study or Subaroup	Margin Events		Margin 2 Events		Weight	Odds Ratio M-H, Random, 95% CI	Voar	Odds Ratio M-H, Random, 95% Cl
2.1.1 Benign	Lvents	15(4)	270113	Total	neight	in Rundolin, 55/6 Cl	i cal	
Mangi 1999	4	16	0	18	3.3%	13.32 [0.66, 269.84]	1999	
Chen 2005	11	69	8	49	30.6%	0.97 [0.36, 2.63]		
Abdalla 2006	3	14	0	8	3.2%	5.17 [0.23, 114.05]		
Akin 2010	0	0	0	8 7	5.270	Not estimable		
Kim 2013	4	107	0		2 40/			
			-	14	3.4%	1.26 [0.06, 24.65]		
Ruvalcaba-Limon 2016	4	104	1	30	6.1%	1.16 [0.12, 10.79]		
Borhani-Khomani 2016	0	0	12	179		Not estimable		
Sevinc 2018	0	53	0	12		Not estimable		
Mitus 2019	1	20	11	167	6.9%	0.75 [0.09, 6.11]		
Li 2019	2	68	0	5	3.0%	0.41 [0.02, 9.73]	2019	
Subtotal (95% CI)		451		489	56.6%	1.19 [0.57, 2.48]		
Total events	29		32					
Heterogeneity: Tau <sup>2</sup> = 0. Test for overall effect: Z =			t = 6 (P =	0.64); I	c = 0%			
Test for overall effect: Z	= 0.47 (P	= 0.64)						
2.1.2 Borderline								
Mangi 1999	0	1	0	2		Not estimable	1999	
Chen 2005	0	1	0	3		Not estimable	2005	
Abdalla 2006	2	4	4	11	5.7%	1.75 [0.17, 17.69]	2006	
Kim 2013	3	14	1	14	5.3%	3.55 [0.32, 39.14]	2013	
Ruvalcaba-Limon 2016	0	0	3	43		Not estimable	2016	
Borhani-Khomani 2016	2	34	0	23	3.2%	3.62 [0.17, 78.85]		
Sevinc 2018	0	10	0	4		Not estimable		
Li 2019	2	30	1	5	4.4%	0.29 [0.02, 3.92]		
Mitus 2019	0	4	5	36	3.2%	0.64 [0.03, 13.56]		
Subtotal (95% CI)	Ŭ	98	5	141	21.8%	1.37 [0.42, 4.47]	2015	
Total events	9		14					
Heterogeneity: Tau <sup>2</sup> = 0. Test for overall effect: Z =			f = 4 (P =	0.61); I	$^{2} = 0\%$			
2.1.2 Mallanaut								
2.1.3 Malignant			_	_				
Mangi 1999	1	1	0	2		15.00 [0.18, 1236.18]		
Kapiris 2001	6	10	4	14	10.3%	3.75 [0.67, 20.86]		
Chen 2005	0	1	0	3		Not estimable		
Abdalla 2006	3	4	2	5	3.6%	4.50 [0.25, 80.57]		
Akin 2010	0	0	0	3		Not estimable		
Kim 2013	0	1	5	9	2.6%	0.27 [0.01, 8.46]		· · · · · · · · · · · · · · · · · · ·
Ruvalcaba-Limon 2016	0	0	1	32		Not estimable	2016	
Mitus 2019	0	8	12	99	3.6%	0.41 [0.02, 7.58]	2019	
Li 2019	0	8	0	4		Not estimable	2019	
Subtotal (95% CI)		33		171	21.6%	2.15 [0.64, 7.19]		
Total events Heterogeneity: Tau <sup>2</sup> = 0. Test for overall effect: Z =			24 f = 4 (P =	0.39); I <sup>;</sup>	2 = 2%			
	- 1.27 (F	,		801	100.0%	1 40 [0 91 2 42]		
Total (95% CI)	48	582	70	601	100.0%	1.40 [0.81, 2.43]		
Total avants			70					I
Total events Heterogeneity: Tau² = 0.1		11 50		_ 0 77	$1^2 - 0^{0/2}$			

Figure S4 Funnel plot to assess the publication bias of the included studies in group of surgical margins (positive vs. negative).



Figure S5 Funnel plot to assess the publication bias of the included studies in group of surgical margins (margin <1 cm vs. margin  $\geq$ 1 cm).