



Why surgeons care about systemic chemotherapy for pancreatic cancer?

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Pancreatic adenocarcinoma (PDAC) has become the seventh most frequent cause of cancer death in the world with increasing incidence independent of patient sex and age with smoking and alcohol consumption being the most important risk factors (1). The high mortality rate is mainly attributed to the biological characteristics of this disease: even small tumors reveal perineural invasion or local spread into lymph and blood vessels resulting in systemic disease. The estimated risk of occult distant metastasis at the time of surgery is 28% and 94% for tumors with a diameter of 1 and 3 cm, respectively (2). Consequently, many patients present with disseminated or locally advanced disease and are at high risk for early tumor recurrence (3).

Due to the limited effect of chemotherapy, upfront surgery often included venous resections for presumed or proven vascular infiltration in the past, while arterial resections had been excluded for a long time due to its morbidity. Local treatment options such as surgery, tumor ablation and radiation therapy had been limited to a positively selected minority of patients with technically resectable, non-metastatic disease, in whom surgery was considered “potentially curative”.

During the past two decades, adjuvant chemotherapy has proven to improve outcome after PDAC resection. Mavros *et al.* summarize the available evidence for chemotherapy in resectable PDAC (4). They confirm that adjuvant chemotherapy is the current standard of care, since FOLFIRINOX, nab-paclitaxel/gemcitabine and gemcitabine/capecitabine have proven superiority over gemcitabine-mono therapy. Also, the rationale

of neoadjuvant therapy in upfront resectable PDAC is underlined in the manuscript: >50% of patients do not get fit for adjuvant therapy in a reasonable time after surgery, and therefore neoadjuvant therapy may be beneficial (5).

The probability of an incomplete resection (R1/R2) as well as the recurrence rate can be predicted by imaging criteria, which classify tumors into locally unresectable (advanced), borderline resectable and upfront resectable tumors (*Figure 1*). A recent analysis of 268 patients demonstrated that the R0-resection rates in upfront resectable, borderline or unresectable disease were 81.6%, 74.4% and 31.7%, respectively, and the 5-year survival rates were 32.2%, 19% and 0% (6). Also, the depth of invasion of the portal vein is predictive of the recurrence risk (7). These data confirm that upfront surgery should be avoided in borderline resectable and locally advanced PDAC.

The increasing success of modern poly-chemotherapy regimens (e.g., FOLFIRINOX, nab-paclitaxel, etc.) in the palliative and adjuvant setting, has also affected surgical treatment concepts of patients with (borderline) resectable, locally advanced as well as oligo-metastatic PDAC. Depending on the treatment aim, perioperative chemotherapy is defined as adjuvant, neo-adjuvant or palliative. While the definitions of adjuvant and palliative therapy are clear, preoperative therapies may be applied to achieve resectability in primarily unresectable disease, increase the R0-resection rate and decrease recurrence rates in borderline and primarily resectable tumors. In order to differentiate these situations, a differential definition of preoperative therapies into neoadjuvant (resectable), down-

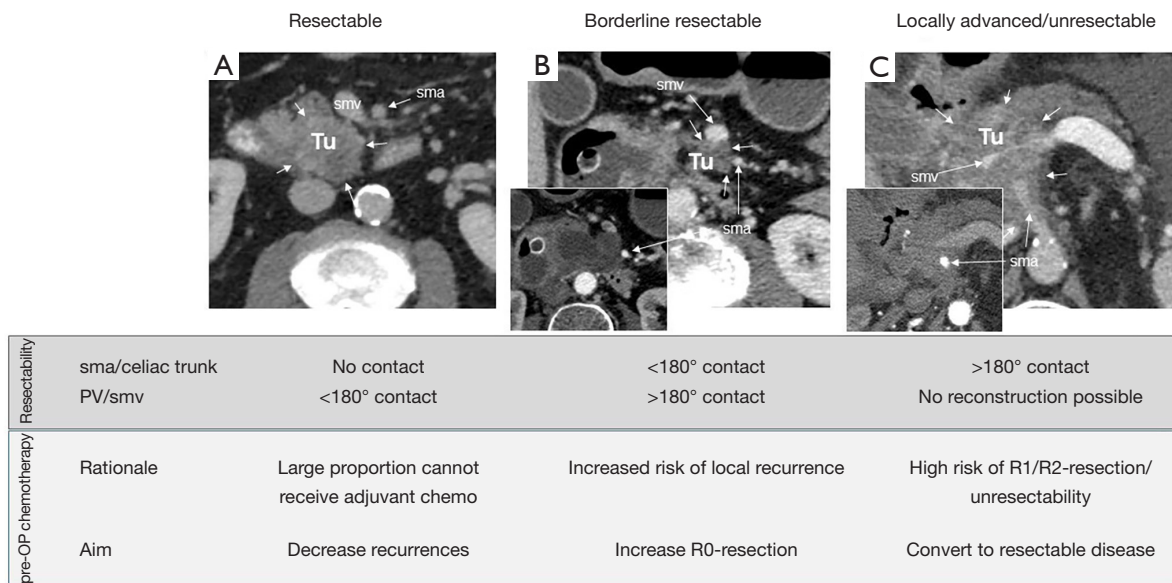


Figure 1 Concept of borderline resectability (the definitions are a summary/interpretation from several international classifications): (A) shows a resectable tumor without contact to sma (superior mesenteric artery) or smv. (B) The CT reveals a tumor contact to the smv (160°) and the sma (borderline resectable). (C) The CT scan shows a tumor which surrounds the sma and occludes the smv confluence (locally advanced, unresectable). Short arrows: tumor. CT, computed tomography.

staging (oligo-metastatic) and down-sizing (borderline/unresectable) has recently been proposed (8).

Various chemotherapy regimens are currently used to increase R0-resectability and improve long-term outcome of surgical patients with PDAC. The recent developments demonstrate the potential of systemic chemotherapy to convert primarily unresectable to resectable disease (down-sizing) (9). Particularly in this situation, imaging cannot reliably assess tumor response to chemotherapy. Therefore, extensive surgery including major venous and arterial resections are often required and can result in R0-resections. Also, neoadjuvant therapy increases the R0-resection rates in borderline and upfront resectable tumors (10). A pooled analysis of three randomized trials with upfront resectable PDAC reveals a longer disease-free survival and lower morbidity in patients who received neoadjuvant therapy (3). Finally, patients with oligo-metastatic PDAC are increasingly offered surgery of the primary tumor and metastases in case of tumor response to the applied chemotherapy. The same concept is used for metachronous liver metastases, which may undergo ablation or surgery in multimodal concepts (11).

The majority of recurrences after an apparently curative resection is systemic. However, a substantial proportion

of patients (20–25%) develops isolated local recurrences (12,13). A locally more extensive lymphadenectomy in the “triangle” between celiac and superior mesenteric arteries is supposed to decrease the risk of local recurrences (14). Furthermore, local treatment options may be preferred over systemic chemotherapy for such isolated local recurrences. Early detection of local recurrences is crucial to facilitate local treatments, and a recent analysis demonstrates that a structured follow-up improves the overall survival of PDAC patients (13).

The evidence is clear that the individual experience of the surgeon as well as the institutional case load are prognostic for patient outcome after pancreatic surgery. Although the morbidity of pancreatic surgery remains high, an operative mortality of 1.6% should be the benchmark for low-risk patients (15). Several scoring systems based on technical and laboratory parameters are able to predict the surgical risk of patients undergoing pancreatic surgery. An issue which gains increasing interest during recent years is, however, the health status of patients with PDAC at the time of presentation. The vast majority of PDAC (80%) develop in the head of the pancreas causing obstructive jaundice, weight loss and impaired health status. Although the evidence contradicts the implantation of biliary stents

in cholestatic patients whenever a timely resection is possible, patients with severe malnutrition may benefit from restoration of biliary flow and enteral nutrition over a defined preoperative period. This fact may be particularly important for elderly patients, which make up a major proportion of patients with PDAC, and who may benefit particularly from such prehabilitation protocols. In this light, a phase II-trial on neoadjuvant chemotherapy for resectable PDAC has shown, that two-month of biliary drainage and neoadjuvant chemotherapy significantly improved the nutritional status of affected patients and enabled safe surgery (16).

In summary, the success of modern chemotherapy regimen has dramatically improved the management and outcome of patients with PDAC and triggered new treatment concepts for patients with oligo-metastatic, locally advanced, borderline as well as upfront resectable PDAC. This success is mainly attributed to a more holistic understanding of the PDAC problem and multimodal treatment concepts. Further research will need to evaluate the indication and composition of neoadjuvant chemotherapy as well as other concepts such as preoperative prehabilitation for patients with PDAC.

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