Objective study of the facial parameters of observations in patients with type 2 diabetes mellitus by machine learning

Baozhi Cheng¹[^], Jianli Ma²[^], Xiaolong Chen³[^], Lingyan Yuan³[^]

¹Department of Endocrine, Lanzhou Second People's Hospital, Lanzhou, China; ²Department of Pediatrics, Lanzhou Second People's Hospital, Lanzhou, China; ³Advanced Nuclear Physics Laboratory, Institute of Modern Physics, Chinese Academy of Sciences, Lanzhou, China *Contributions:* (I) Conception and design: All authors; (II) Administrative support: B Cheng; (III) Provision of study materials or patients: B Cheng; (IV) Collection and assembly of data: J Ma; (V) Data analysis and interpretation: X Chen; (VI) Manuscript writing: All authors; (VII) Final approval of manuscript: All authors.

Correspondence to: Jianli Ma. Department of Pediatrics, Lanzhou Second People's Hospital, 388 Jingyuan Road, Chengguan District, Lanzhou 730046, China. Email: clzmjl@163.com.

Background: A predictive model of facial feature data was established by machine learning to screen the objective parameters of risk factors of facial morphological features of type 2 diabetes mellitus (T2DM) following the theory of traditional Chinese medicine (TCM). In TCM, a facial inspection is an important way to diagnose patients. Doctors can judge the health status of their patients by observing their facial features. However, the lack of description of the objective parameters and quantitative indicators hinders the development of TCM testing research.

Methods: In this study, the following diagnostic criteria for diabetes developed by the World Health Organization (WHO) in 1999 were used to determine the inclusion and exclusion criteria for T2DM and non-T2DM. T2DM patients and control participants were enrolled in the study, and their facial images were collected. In this study, two facial inspection risk-factor models were constructed, including the "lambda. min" and "lambda.1se" model.

Results: A total of 81 key points in the facial images were screened, and 18 facial morphological parameters were measured. The least absolute shrinkage and selection operator (LASSO) regression model was used to construct T2DM facial inspection risk-factor models. The area under the curves (AUCs) of the "lambda. min" model and the "lambda.1se" model were 0.799 and 0.776, respectively. The predictive efficiency of the two T2DM risk models selected by the LASSO regression model was relatively high. Among the eight parameters, the width of the jaw was the most important of the defined facial features. According to the receiver operating characteristic (ROC) curve analysis of the two prediction models were 0.695 and 0.682, respectively. And the reproducibility is good. The prediction model was available, which showed that the objective parameters of the facial features recognized by machine learning have a certain value in the automatic prediction of T2DM.

Conclusions: The influence of facial features is physical factor. Thus, the objective parameters of facial features should be specific to differential diagnosis of T2DM.

Keywords: Type 2 diabetes mellitus (T2DM); facial parameters of traditional Chinese medicine (facial parameters of TCM); machine learning

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^ ORCID: Baozhi Cheng, 0000-0003-4414-288X; Jianli Ma, 0000-0002-8820-4571; Xiaolong Chen, 0000-0003-0907-0446; Lingyan Yuan, 0000-0002-3786-2849.

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Introduction

According to the International Diabetes Federation's 2021 diabetes map, China has the largest number of adult diabetes patients (1). According to the national epidemiological survey in 2020, the prevalence rate of diabetes in China is 12.8% and the prevalence rate of pre-diabetes is 35.2% (2). It is predicted that by 2045, the number of diabetes patients in China will reach 174.4 million (3). The early detection of diabetes is very difficult, and once diabetes is diagnosed, it cannot be reversed (4). Thus, it is particularly important to find early indicators to predict diabetes.

Evolutionary algorithm is a set of algorithms with excellent applicability and good global optimization ability, including genetic algorithm, ant colony algorithm and so on, which have a wide range of applications. Compared with traditional optimization algorithms, evolutionary algorithms have the characteristics of self-adaptation and self-learning, which makes them more efficient in dealing with complex problems. It is these advantages of evolutionary algorithms that can be considered in the feature selection part of machine learning (5). The purpose of feature selection is to improve the performance of the model by simplifying features. In the face of the original dataset with high dimensionality and high redundancy, the performance of the model obtained by direct training is generally not very good. However, after feature selection, better results may be obtained (6). By using the adaptive and optimization ability of evolutionary algorithm to screen the features of the original dataset, it can better play the ability of feature selection to remove redundant features and reduce dimensions, so as to train a more accurate model. The optimization of model parameters can also improve the experimental results and model performance. The use of evolutionary algorithm to optimize the model parameters has a good effect, and is also widely used in the field.

From its initial use in symbol deduction to its current large-scale successful applications in the fields of recommendation systems, computational advertising, face recognition, image recognition, speech recognition, machine translation, games, and so on, artificial intelligence has been developing since the mid-1960s. Breakthroughs have been made in protein structure prediction (7), new drug discoveries (8), and other fields (9). In recent years, breakthroughs in key technologies, such as image recognition, deep learning, and neural networks, have led to the combination of medicine and artificial intelligence (9,10). The field of intelligent medicine has developed rapidly; for example, it now includes classical intelligent face recognition and key-point description methods, such as the eigenface method, and the singular value decomposition method (11,12). The facial detection image-processing algorithm is based on Gaussian model detection and AdaBoost algorithm detection (13).

There are also many reports in the field on the prediction and diagnosis of diabetes. Based on a Meta-II analysis, an optimized logistic regression model was used to screen patients with complications of type 2 diabetes mellitus (T2DM) and standardize the high-risk groups by risk assessment (14). The artificial intelligence algorithm convolution neural network has been applied to predict diabetic complications (15), and good results have been obtained. Despite the deepening application of machine learning and artificial intelligence methods in the medical field, as yet, there is no effective method that effectively makes use of existing and easily available data and integrates the theory of traditional Chinese medicine (TCM) to predict diseases accurately.

This study was based on previous research on TCM theory combined with artificial intelligence methods. In this study, we used a collection of patients' facial features and clinical information to predict diabetes. We sought to screen the objective parameters of the risk factors of the facial morphological features of T2DM via a predictive model. We present the following article in accordance with the STARD reporting checklist (available at https://atm. amegroups.com/article/view/10.21037/atm-22-3580/rc).

Methods

Research object data collection

The subjects were hospitalized and regularly followed-up at the Lanzhou Second People's Hospital from December 2017 to September 2021. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by ethics committee of Lanzhou Second People's Hospital (No. 2019071A). Informed consent was taken from all the patients. A total of 2,574 subjects met the criteria for the study, of whom 1,464 were male and 1,110 were female, and 1,590 had T2DM and 984 did not have T2DM. The age of the subjects was 60.27±10.13 years old. The non-T2DM subjects included 63 subjects with type 1 diabetes, 34 with impaired glucose tolerance, 113 with hypertension, 396 with chronic kidney disease, and 378 with other diseases.

Diagnostic criteria

This study adopted the following diagnostic criteria of diabetes of World Health Organization (WHO) 1999 (16): diabetes symptoms + a plasma glucose level at any time \geq 11.1 mmol/L (200 mg/dL), or a fasting plasma glucose (FPG) \geq 7.0 mmol/L (126 mg/dL), or an oral glucose tolerance test (OGTT), 2-hour postprandial blood glucose level \geq 11.1 mmol/L (200 mg/dL). One of the above criterion had to be satisfied. For the other non-T2DM volunteers, we referred to the relevant guidelines for diagnosis.

Inclusion criteria

To be eligible for inclusion in this study, the patients had to meet the following inclusion criteria: (I) have no critical primary disease; (II) the age of patient was more than 18 and <70 years old; (III) sign the informed consent form; (IV) have no history of mental illness or acute disease; and (V) have no obvious facial deformity. None of the cases had a scar of their face and had every undergone plastic surgery.

Exclusion criteria

Patients were excluded from the study, if they met any of the following exclusion criteria: (I) had undergone artificial facial modification; and/or (II) had facial trauma and an abnormal expression in terms of facial shape and color.

Image acquisition

The Daosheng meridian detection and evaluation system (Shanghai Daosheng Medical Technology Co., Ltd., Shanghai, China) was used. The information was collected by the Customer Observation Collection Laboratory at the TCM Department of Lanzhou Second People's Hospital. The collection period was from December 2017 to September 2021. The environment was kept at a room temperature of 24±4 °C.

Sampling preparation

The subjects had clean faces, no beards, and were asked to remove any accessories and/or glasses and wash their faces with clean water. The subjects forehead, eyebrow, and facial skin area were exposed and their heads were kept upright.

Image acquisition process

The subjects sat in a stable posture with a natural expression

and did not blink. The face was in the center of the scanner. After adjustment, the operator opened the software to shoot the images. The images of each patient were recorded by fellowship-trained operators of experience, who were blinded to all patient information. The clinical information and index test results were unavailable to the assessors of the standards.

Image storage

The captured images were saved in *.JPG format. No special treatment was required. Additionally, if a scanned image was partially defective, it was re-photographed.

Image measurement

To ensure the classification ability of the final data, it was necessary to describe the facial shape features in as much detail as possible. With reference to anthropometry, 81 facial landmarks were used that originated from the Gitbub (https://github.com/codeniko/shape_predictor_81_face_ landmarks). Based on previous research (including research on the facial features of the young, elderly and those with other diseases), 18 facial morphological parameters were measured (16-19) (see *Figure 1*).

Measured content

The final analysis of this design resulted in a total of 18 morphological components, including the surface width, aspect ratio, and roundness. If the contours of the face were obscured by hair, or if there was a difference in the upper edge of the face due to baldness, this was corrected during marking.

Data extraction

The coordinated system and the original facial images were determined by machine learning. Additionally, the measuring point coordinates were marked in the coordinate system to automatically measure the values, and the results were saved to an Excel table. The specific indicators included the following 18 facial parameters:

- (I) Face_rate: face length/width;
- (II) Face_forehead_top: the linear distance between the left and right frontotemporal points;
- (III) Face_forehead_bottom: the distance between the intersection of the hairline on both sides of the horizontal line on the brow bone;
- (IV) Face_forehead_cos1: the angle between the



Figure 1 Feature region and precise location based on facial features (A) Eighteen morphological feature regions of the human face; (B) 81 key points of the human face. This image is published with the patient's consent.

left hairline and the upper hairline;

- (V) Face_forehead_cos2: the angle between the right hairline and the upper hairline;
- (VI) Face_frontal_rate: upper frontal width/lower frontal width;
- (VII) Nose_rate: nose length/straight-line distance between Yingfeng points on both side;
- (VIII) Face_width1: the distance between the intersections of the hairline on both sides of the horizontal line of the eyebrow;
- (IX) Face_width2: the distance between the zygomatic points;
- (X) Face_width3: the distance between the left and right mandibular corners;
- (XI) Face_cos1: the angle between the left hairline and the upper stop line;
- (XII) Face_cos2: the angle between the right hairline and the upper stop line;
- (XIII) Face_cos4: the inclination of the line between the left zygomatic point and the chin;
- (XIV) Face_cos4: the inclination of the line between the right zygomatic point and the chin;
- (XV) Face_cos5: the inclination of the line between the left mandibular point and the chin;

- (XVI) Face_cos6: the inclination of the line between the right mandibular point and the chin;
- (XVII) Face_cos7: the radian of the line between the left mandibular point and the chin;
- (XVIII) Face_cos8: the radian of the line between the right mandibular point and the chin.

Statistical analysis

Least absolute shrinkage and selection operator (LASSO) regression is a model that builds on linear regression to solve for issues of multicollinearity in machine learning (20,21). The optimization function in LASSO adds a shrinkage parameter that allows for the removal of features from the final model (22). A LASSO regression, which is a regression analysis method, was conducted in this study.

Results

Parameter characteristics included in the sample

A LASSO regression model was used to screen the variables to establish a prediction model. Receiver operating characteristic (ROC) curves and area under the curves (AUCs) were calculated. After the statistical analysis, the difference

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| Table 1 Difference analysis of the | e 18 facial | l morphological | features |
|------------------------------------|-------------|-----------------|----------|
|------------------------------------|-------------|-----------------|----------|

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| | | | |

| Parameters | Non-T2DM (n=984), mean (SD) | T2DM (n=1,590), mean (SD) | P value |
|----------------------|-----------------------------|---------------------------|----------|
| Face_rate | 0.45 (0.17) | 0.47 (0.16) | 0.013* |
| Face_forehead_top | 0.44 (0.18) | 0.52 (0.16) | <0.001** |
| Face_forehead_bottom | 0.47 (0.12) | 0.51 (0.13) | <0.001** |
| Face_forehead_rate | 0.51 (0.20) | 0.59 (0.18) | <0.001** |
| Face_forehead_cos1 | 0.62 (0.12) | 0.60 (0.13) | <0.001** |
| Face_forehead_cos2 | 0.51 (0.13) | 0.48 (0.14) | <0.001** |
| Nose_rate | 0.30 (0.13) | 0.33 (0.12) | <0.001** |
| Face_cos1 | 0.50 (0.14) | 0.51 (0.14) | 0.071 |
| Face_cos2 | 0.48 (0.13) | 0.49 (0.14) | 0.115 |
| Face_cos3 | 0.39 (0.13) | 0.40 (0.14) | 0.075 |
| Face_cos4 | 0.35 (0.13) | 0.36 (0.13) | 0.199 |
| Face_cos5 | 0.50 (0.12) | 0.48 (0.13) | 0.029* |
| Face_cos6 | 0.51 (0.13) | 0.50 (0.13) | 0.099 |
| Face_cos7 | 0.56 (0.13) | 0.55 (0.13) | 0.081 |
| Face_cos8 | 0.61 (0.14) | 0.60 (0.14) | 0.264 |
| Face_width1 | 0.49 (0.15) | 0.51 (0.14) | 0.003* |
| Face_width2 | 0.45 (0.15) | 0.49 (0.14) | <0.001** |
| Face_width3 | 0.51 (0.13) | 0.53 (0.13) | <0.001** |

*, P<0.05; **, P<0.01. T2DM, type 2 diabetes mellitus; SD, standard deviation.



Figure 2 The flow of participants in our study. T2DM, type 2 diabetes mellitus.

data were obtained (see *Table 1*). The flow of participants is shown in *Figure 2*. This study included a T2DM case group (n=1,590) and a control group (n=984). In relation to the eight parameters, (i.e., face_rate, face_forehead_top, face_

forehead_bottom, face_forehead_rate, face_forehead_cos1, face_forehead_cos2, face_width2, and face_width3), there were significant differences between the T2DM group and the non-T2DM group (P<0.05; see *Table 1*).



Figure 3 LASSO regression was used to extract features. (A) LASSO regression analysis results of the training set; (B) LASSO regression analysis results of the training set. LASSO, least absolute shrinkage and selection operator.

Establishment and verification of the multi-factor model

All the sample cases were randomly divided into a training set (n=2,060) and testing set (n=514). The 18 variables of facial measurement were used as influencing factors. The LASSO regression model was used to screen the facial features affecting T2DM (see *Figures 1,3*). The two dotted lines in *Figure 3* indicate two special λ values; that is, lambda.min and lambda.1se. According to the training set, we selected and constructed two prediction models according to lambda.1se (0.0001816427) with the minimum standard error and lambda.1se (0.006558061). The minimum model included all 18 parameters. The "1se" model included eight parameters; that is, face_rate, face_ forefront_top, face_forefront_bottom, face_forefront_cos1, face_forefront_cos2, nose_rate, face_cos1, and face_width2.

According to the ROC curve analysis of the two prediction models constructed, the two models had good predictive efficiency for T2DM. The AUCs of the two models were 0.695 and 0.682, respectively (see *Figure 4*).

Additionally, the same verification was performed on the results of the testing set, and the AUCs of the validation dataset were 0.686 and 0.668, respectively (see *Figure 5*). The results are shown *Table 2*.

The results of the facial feature extraction

Based on the machine discrimination of the above subjects' facial image samples, the predictive rate of machine

recognition T2DM reflected the actual T2DM diagnoses of the 2,574 samples included in the study. The ROC curve analysis showed that the lambda.min " λ value" model had an AUC value of 0.695, while the lambda.1se " λ value" model had an AUC value of 0.682. For the non-T2DM samples, the predicted AUC values were 0.686 and 0.668, respectively. The above prediction model was available, which showed that the objective parameters of the facial features recognized by machine learning have a certain value in the automatic prediction of T2DM.

According to the results for the objective parameters of the facial images by machine recognition, eight parameters (i.e., face_rate, face_head_top, face_head_bottom, face_ head_rate, face_head_cos1, face_head_cos2, face_width2, and face_width3) had statistical significance between the T2DM group and non-T2DM pair group (P<0.05). The T2DM patients had certain recognizable facial features. This study quantified the objective parameters and provided an objective basis for TCM facial inspections.

Discussion

The above information was based on the clinical data of inpatients, which had a good effect on the prediction of the treatment effect and diagnosis of patients. However, a large number of clinical data and biochemical indexes are still needed for rapid diagnosis and early risk warnings. Even if the data in TCM theory are used, information on the patient's

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Figure 4 The features extracted from the training set were verified by the Wilcoxon test and ROC (A) using the lambda.min parameters for the Wilcoxon test to analyze the difference, (B) using the lambda.1se results to analyze the difference, and (C) using the ROC curves to analyze the AUC values of the two results. The real result was the sample of non-T2DM [0], the predicted value was a little smaller (close to 0). The real result was the sample of T2DM [1], the predicted value was a little larger (close to 1). The overall trend was correct, but not completely accurate, the model was available. Compared to the two λ values of the model, the predicted value of lambda.min was more accurate. AUC, area under the curve; ROC, receiver operating characteristic; T2DM, type 2 diabetes mellitus.



Figure 5 The features extracted from the verification set were verified by the Wilcoxon test and ROC curve analysis (A) using the lambda. min parameters for the Wilcoxon test to analyze differences, (B) using the lambda.1se results for the Wilcoxon test to analyze differences, and (C) using the ROC curves to analyze the AUC values of the two results, and facial feature data was analyzed by confusion matrix. AUC, area under the curve; ROC, receiver operating characteristic.

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 Table 2 The confusion matrix for the discriminant analysis of ROC curve

| Confusion matrix | Forecast =0 | Forecast =1 |
|------------------|-------------|-------------|
| Real =0 | 218 | 145 |
| Real =1 | 182 | 255 |

The true class was represented with real =0 or 1; the predicted class was represented with forecast =0 or 1. ROC, receiver operating characteristic.

tongue, face, sublingual, pulse, smell, and other information need to be collected to make a non-invasive diagnosis (23).

In clinical practice, the diagnosis of a disease requires a comprehensive evaluation of patients (24,25). Facial morphological features can only provide an auxiliary reference (26,27). It can be predicted that with the indepth study of artificial intelligence (28). Multi-information data integration is needed to provide clinicians with more accurate prediction and digital evaluation reports (29,30). Multiple organs, including islets, liver, skeletal muscle, adipose tissue, intestinal tract, and the hypothalamus, and the immune system play a role in the pathogenesis of T2DM (31). T2DM is closely related to heredity factors, obesity, and other mechanisms. Facial features are formed under the joint action of genetic information, bone, muscle, fat, and other factors, which is consistent with the pathogenesis of T2DM (32-35). At the same time, because the influence of facial features is more physical factors. Thus, the objective parameters of facial features should be valuable in the diagnosis and prediction of chronic diseases.

As with any statistical method, the LASSO regression method has a number of limitations (36). First, the variables were chosen to be 100% statistically driven. Unlike a human being, the LASSO process of selection does not take into account theoretical and other factors when deciding which predictors to include. In addition, there were two potential biases in our study that are common in predictive research (i.e., the reference standards and the sample size).

Conclusions

In conclusion, the influence of facial features is the physical factors. Thus, the objective parameters of facial features should be specific to differential diagnosis of T2DM.

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Footnote

Reporting Checklist: The authors have completed the STARD reporting checklist. Available at https://atm.amegroups.com/article/view/10.21037/atm-22-3580/rc

Data Sharing Statement: Available at https://atm.amegroups. com/article/view/10.21037/atm-22-3580/dss

Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at https://atm. amegroups.com/article/view/10.21037/atm-22-3580/coif). The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by ethics committee of Lanzhou Second People's Hospital (No. 2019071A). Informed consent was taken from all the patients.

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