# Long-term impact of prognostic nutritional index in cervical esophageal squamous cell carcinoma patients undergoing definitive radiotherapy

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**Background:** The prognostic nutritional index (PNI) has been found to have prognostic value in several cancers, and we aimed to determine its predictive value for the long-term prognosis of cervical esophageal squamous cell carcinoma (CESCC) patients treated with chemoradiotherapy (CRT).

**Methods:** The data for 106 CESCC patients who received radiotherapy with or without chemotherapy at the Cancer Hospital of Fujian Medical University from June 1, 2000 to December 31, 2015 were retrospectively analyzed. According to serum measurements taken before therapy, the PNI was calculated as albumin  $(g/L) + 5 \times$  total lymphocyte count. The association between PNI and overall survival (OS) was determined by the Kaplan-Meier method and Cox proportional regression model analysis.

**Results:** The median follow-up time was 19 months. The optimal cutoff value for PNI was calculated to be 48.15, and patients were divided into a low PNI group (<48.15) and high PNI group (≥48.15). Univariate analysis showed that a low survival rate was significantly associated with male gender (P=0.004), tumor length  $\geq$ 5.0 cm (P=0.043), radiotherapy technique (P=0.016), synchronous chemotherapy (P=0.012), lymphocyte-monocyte ratio (LMR) (P=0.007), neutrophil-lymphocyte ratio (NLR) (P=0.007), lung cancer inflammation index (ALI) (P=0.008), cervical esophageal carcinoma inflammation index (CEI) (P=0.043), and PNI (P<0.001). The OS was higher in the high PNI group than in the low PNI group. On multivariate analysis, gender (P=0.004), radiotherapy technique (P=0.029), and PNI (P=0.007) were independent prognostic factors in CESCC treated with CRT.

**Conclusions:** The PNI value is a simple, reliable, and reproducible indicator for improving the accuracy of patient prognosis. And larger-scale studies are warranted to validate these findings.

**Keywords:** Cervical esophageal squamous cell carcinoma (CESCC); prognostic nutritional index (PNI); prognosis; chemoradiotherapy (CRT)

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## Introduction

Cervical esophageal cancer (CEC) accounts for only 2–10.6% of esophageal cancers, and 95% of CEC cases are

classified as squamous cell carcinoma (1). Due to the low incidence and complicated anatomical location of CEC, a consensus has not been reached regarding standard optimal

treatment strategies. Conventional surgical resection results in loss of normal digestive function and seriously affects patients' quality of life (QOL) (2). With major advancements in radiotherapy (RT), intensity-modulated radiation therapy (IMRT) has become commonly used in the treatment of CEC. Moreover, definitive chemoradiotherapy (CRT) has been shown to better protect organ function, leading to a better prognosis for CEC patients, compared with surgical resection and conventional RT in recent studies (3). Despite such significant improvements in the treatment methods for cervical esophageal squamous cell carcinoma (CESCC), the prognosis remains unsatisfactory. The 5-year survival rate for CESCC patients in China was reported to be as low as 30% (1). Therefore, feasible and effective indicators of the response to CESCC treatment with CRT are needed for further individualized treatment selection in order to improve patients' prognosis.

Recent research demonstrated that the pretreatment nutritional, inflammatory and immunological statuses play crucial roles in the prognosis of solid malignant tumors (4,5). Inflammation-based prognostic factors, including the neutrophil-lymphocyte ratio (NLR), plateletlymphocyte ratio (PLR), lymphocyte-monocyte ratio (LMR), systemic immunoinflammatory index (SII), and lung cancer inflammation index (ALI) have demonstrated significant prognostic value in many solid malignant tumors (6-10). A nutrition-based prognostic factor known as the prognostic nutritional index (PNI) has also been reported to have predictive value for the prognosis of patients with nasopharyngeal carcinoma (11), hypopharyngeal carcinoma (12), lung cancer (13), breast cancer (14), metastatic urothelial carcinoma (15), and, especially, gastrointestinal cancer (16-19). To our knowledge, however, there have been no studies of the prognostic value of the pretreatment PNI value in patients with CESCC treated with CRT. Therefore, we aimed to determine whether the pretreatment PNI value shows any significant association with the long-term prognosis of CESCC patients treated with definitive CRT.

## Methods

## Patients

The study was approved by the ethics committee of the Fujian Provincial Cancer Hospital and conducted in accordance with the principles of the Declaration of Helsinki and its amendments. The data for a total of 106 consecutive

CESCC patients who were treated with definitive CRT between January 2000 and December 2015 and who met the following criteria were retrospectively reviewed in this study: (I) histologically confirmed CESCC; (II) Karnofsky score ≥70 points; (III) treatment with a RT dose of 50–70 Gy (in 25–35 fractions over a range of 5–7 weeks) with 0–6 courses of platinum-based chemotherapy; (IV) data available from blood biochemical examination 7 days prior to definitive CRT; (V) no history of malignant disease; and (VI) restaged according to TNM staging system issued by the American Joint Committee on Cancer (AJCC; 6th edition, 2002).

## Radiotherapy

In this study, 49 CESCC patients received IMRT and 16 patients received three-dimensional conformal radiotherapy (3D-CRT). The cervical and thoracic parts were fixed using one thermoplastic sheet. Imaging data from a prior computed tomography (CT) simulation scan were transmitted to the RT treatment planning system (Pinnacle, version 9.2, Philips Radiation Oncology System, USA), for delineation of the tumor area and the organs at risk. The gross tumor volume (GTV), clinical tumor volume (CTV), and planned tumor volume (PTV) were outlined according to the criteria issued by the National Comprehensive Cancer Network (NCCN). Additional parameters included a prescribed dose of 50-66 Gy, a median dose of 60 Gy, a Bi-lung V20  $\leq$ 20%, an average bi-lung dose of  $\leq$ 20 Gy, a bi-lung V5 of <50%, a heart V30 of ≤30%, and a maximum dose to the spinal cord of <45 Gy.

The other 41 CESCC patients received two-dimensional conventional radiotherapy (2D-CRT). This RT was delivered using anterior and posterior opposing techniques with the lymph node area extending from the subcarinal region to the upper cervical region (anterior-posterior opposed T-shape field) with a prescribed dose of 30 Gy and then off-cord oblique fields at a prescribed dose up to 50–70 Gy.

## Chemotherapy

The following chemotherapy regimens were used in some patients: (I) docetaxel 135 mg/m<sup>2</sup> D1 + cisplatin 75 mg/m<sup>2</sup> D2 or carboplatin AUC 2 D2, or (II) 5-fluorouracil (5-FU) 700–1,000 mg/m<sup>2</sup> D1–2 + cisplatin 75 mg/m<sup>2</sup> D2.

## Definition of inflammation-based indicators

The LMR was defined as the absolute lymphocyte count

divided by the absolute monocyte count. The NLR was defined as the absolute neutrophil count divided by the absolute lymphocyte count. The PLR was calculated as the absolute platelet count divided by the absolute lymphocyte count. The PNI was calculated by the serum albumin level (g/L) + 5 × absolute lymphocyte count. The SII was defined as the platelet count multiplied by the NLR. Based on previous studies (10), we defined a novel inflammation indicator, the cervical esophageal carcinoma inflammation index (CEI), which was calculated by the body mass index (BMI) multiplied by the serum albumin concentration (g/L)/ NLR. The best cutoff values for the LMR, PNI, NLR, PLR, CEI and SII were calculated individually using the Cutoff Finder application.

#### Follow-up

Follow-up examinations were performed at regular 3-month intervals in the first year, 6-month intervals over the next 2 years, and yearly thereafter. The short-term treatment response was assessed according to the response evaluation in solid tumors (RECIST) criteria at the end of RT. Tumor responses were classified as complete response (CR), partial response (PR), stable disease (SD), or progressive disease (PD). The routine examination included physical examination, blood tests, biological investigations, measurements of tumor markers, thoracic CT scanning, and barium esophagram. We studied the data for all cases and contacted patients by telephone and mail. June 2018 was the last censoring date for the evaluation of survival time. Survival time was measured from the first date of pathologic diagnosis to the date of death or the last followup. The median follow-up time was 19.5 months (range, 2-190 months).

#### Statistical analysis

The main study end point was overall survival (OS). All recorded data were analyzed using SPSS (version 23.0, IBM Corporation, Armonk, NY, USA) and Cutoff Finder application. The Cutoff Finder application was used to calculate the optimal cutoff values for LMR, PNI, NLR, PLR, CEI, and SII. Pearson chi-square test was used to assess the correlation between different categorical variables, and Spearman correlation analysis was used to evaluate the correlation between PNI and other clinicopathological factors. The OS rate was calculated using the Kaplan-Meier method, and a log-rank test was used to assess survival differences between groups. Cox proportional hazards regression analysis was performed to identify independent variables. P values <0.05 indicated statistical significance.

#### **Results**

#### Patients' characteristics

A total of 106 patients who met the enrollment criteria were included in this study, and their characteristics are presented in *Table 1*. The median follow-up time was 19 months (range, 2–190 months). Among the 106 patients, 79 (74.53%) were male and 27 (25.47%) were female. The median age was 58 years (range, 41–79 years). There are 40 cases without lymph node metastasis (37.74%) and 66 cases with lymph node metastasis (62.26%). With regard to RT technique, 41 cases (38.68%) patients received 2D-CRT, 16 (15.09%) received 3D-CRT, and 49 (46.23%) received IMRT. Synchronous platinum chemotherapy was administered in addition to RT in 69 cases (65.09%), and 37 cases (34.91%) did not receive chemotherapy (*Table 1*).

The optimal cut-off value for PNI calculated using Cutoff Finder was 48.15, with an area under the receiver operating characteristic curve (AUC) of 0.669, sensitivity of 77.8%, and specificity of 55.7%. The OS rate was significantly lower in the PNI <48.15 group than in the PNI  $\geq$ 48.15 group (P=0.004; *Figure 1*). The optimal cutoff values for LMR, NLR, PLR, CEI, and SII were calculated to be 3.45, 2.1, 104.1, 383.1, and 305.6, respectively.

## Relationships between PNI and clinicopathological features

The associations of the PNI and clinicopathological characteristics are presented in *Table 2*. A low PNI was significantly associated with increased tumor length (P=0.031), increased LMR (P<0.001), increased NLR (P<0.001), increased PLR (P<0.001), and decreased CEI (P<0.001). In additional, there was a negative correlation between PNI and NLR (r=-0.541, P<0.001; *Figure 2A*) and PLR (r=-0.604, P<0.001; *Figure 2B*). The PNI was positively correlated with the CEI (r=0.697, P<0.001; *Figure 2C*).

#### Correlation of PNI with survival and prognosis assessment

Overall, the 1-, 2-, 3-, and 5-year OS rates were 75.5%, 46.2%, 39.4%, and 28.3%, respectively. No patients were lost to follow-up, and among all patients who died, the cause of death was cancer related. For the patients with

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Table 1 Basic and clinicopathologica	characteristics of 106 CESCC	patients according to p	pretreatment PNI

Characteristics	Patients, n (%)	PNI <48.15 (n=50)	PNI ≥48.15 (n=56)	Р
Gender				0.222
Male	79 (74.53)	40	39	
Female	27 (25.47)	10	17	
Age (years)				0.752
<65	82 (77.36)	38	44	
≥65	24 (22.64)	12	12	
Tumor length (cm)				0.052
<5.0	53 (50.00)	20	33	
≥5.0	53 (50.00)	30	23	
Tumor thickness (cm)				0.564
<2.0	77 (72.64)	35	42	
≥2.0	29 (27.36)	15	14	
T stage				0.676
T1	1 (0.94)	0	1	
T2	3 (2.83)	1	2	
Т3	49 (46.23)	22	27	
Τ4	53 (50.00)	27	26	
N stage				0.728
NO	40 (37.74)	18	22	
N1	66 (62.26)	32	34	
BMI (kg/m²)				0.140
<23.1	80 (75.47)	41	39	
≥23.1	26 (24.53)	9	17	
RT technique				0.024
2D-CRT	41 (38.68)	25	16	
IMRT + 3D-CRT	65 (61.32)	25	40	
RT dose (Gy)				0.553
<60	37 (34.91)	16	21	
≥60	69 (65.09)	34	35	
Synchronous chemotherapy				0.063
No	37 (34.91)	22	15	
Yes	69 (65.09)	28	41	
Marrow depression				0.462
No	49 (46.23)	25	24	
Yes	57 (53.77)	25	32	

Table 1 (continued)

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Patients, n (%)	PNI <48.15 (n=50)	PNI ≥48.15 (n=56)	Р
			0.708
2 (1.89)	1	1	
81 (76.42)	36	45	
17 (16.04)	9	8	
6 (5.66)	4	2	
			<0.001
65 (61.32)	22	43	
41 (38.68)	28	13	
			<0.001
48 (45.28)	8	40	
58 (54.72)	42	16	
			<0.001
28 (26.42)	3	25	
78 (73.58)	47	31	
			<0.001
58 (54.72)	41	17	
48 (45.28)	9	39	
			0.135
14 (13.21)	4	10	
92 (86.79)	46	46	
	Patients, n (%) 2 (1.89) 81 (76.42) 17 (16.04) 6 (5.66) 65 (61.32) 41 (38.68) 48 (45.28) 58 (54.72) 28 (26.42) 78 (73.58) 58 (54.72) 48 (45.28) 14 (13.21) 92 (86.79)	Patients, n (%)PNI <48.15 (n=50)2 (1.89)1 $81 (76.42)$ 3617 (16.04)96 (5.66)465 (61.32)2241 (38.68)2848 (45.28)858 (54.72)4228 (26.42)378 (73.58)4758 (54.72)4148 (45.28)914 (13.21)492 (86.79)46	Patients, n (%)PNI <48.15 (n=50)PNI ≥48.15 (n=56)2 (1.89)1181 (76.42)364517 (16.04)986 (5.66)4265 (61.32)224341 (38.68)281348 (45.28)84058 (54.72)421628 (26.42)32578 (73.58)473158 (54.72)411748 (45.28)93914 (13.21)41092 (86.79)4646

BMI, body mass index; 2D-CRT, two-dimensional conventional radiotherapy; 3D-CRT, three-dimensional conformal radiotherapy; IMRT, intensity-modulated radiation therapy; PNI, prognostic nutritional index; NLR, neutrophil-lymphocyte ratio; PLR, platelet-lymphocyte ratio; LMR, lymphocyte-monocyte ratio; SII, systemic immunoinflammatory index; CEI, cervical esophageal carcinoma inflammation index; CESCC, cervical esophageal squamous cell carcinoma.

a PNI  $\geq$ 48.15 (n=56), the 1-, 2-, 3-, and 5-year OS rates were 85.7%, 58.9%, 53.5%, and 41.5%, respectively. The corresponding rates in patients with a PNI <48.15 (n=53) were 64.0%, 32.0%, 25.8%, and 13.5%, respectively. Kaplan-Meier analysis showed that overall the high PNI group had superior OS compared with the low PNI group (P<0.001, *Figure 1*).

#### Univariate and multivariate survival analysis

Univariate analysis revealed that gender (P=0.004), tumor length (P=0.043), RT technique (P=0.016), synchronous platinum-based chemotherapy (P=0.012), LMR (P=0.007), NLR (P=0.007), CEI (P=0.008), SII (P=0.043), and PNI (P<0.001) were prognostic factors in CESCC patients (*Figure 3*). Multivariate analysis demonstrated that gender [hazard ratio (HR) 2.315, 95% confidence interval (CI): 1.305–4.109, P=0.004), RT technique (HR 0.609, 95% CI: 0.390–0.951, P=0.029), and PNI (HR 0.537, 95% CI: 0.342–0.844, P=0.007) were independent prognostic factors in the study patients.

The predictive value of PNI for OS was further assessed after stratification by gender, age, clinical stage, synchronous chemotherapy, and RT technique. PNI was associated with OS only in patients who were male, who were age <65 years, who had stage III disease, who received synchronous chemotherapy, and who were treated with 2D-CRT (*Table 3*). Stage III patients with a PNI <48.15 had significantly worse OS than those with a greater PNI (HR 6.376; P=0.012). Although stage II patients with a PNI



**Figure 1** ROC curve for the value of PNI for predicting the prognosis of CESCC. CESCC, cervical esophageal squamous cell carcinoma.

<48.15 also showed worse survival rates than those with a greater PNI, the differences were not statistically significant (HR 3.022; P=0.082).

#### **Discussion**

Recently, many published studies have described a role of pretreatment PNI in the prognosis of solid malignant tumors. However, to our knowledge, no studies have investigated the prognostic value of pretreatment PNI in CESCC. In the present study, we demonstrated the significant predictive value of pretreatment PNI in CESCC patients treated with definitive CRT. Our results showed that a low PNI (<48.15 vs.  $\geq$ 48.15) was significantly associated with increased tumor length, conventional RT, increased LMR, increased NLR, increased PLR, and decreased CEI. Moreover, a low pretreatment PNI was significantly associated with reduced OS in CESCC

Characteristic	Univariate		Multivariate	
Characteristic –	$\chi^2$	Р	HR (95% CI)	Р
Gender (male vs. female)	8.231	0.004	2.315 (1.305–4.109)	0.004
Age (<65 <i>vs.</i> ≥65 years)	1.446	0.229	-	0.395
Tumor length (<5.0 <i>vs.</i> ≥5.0 cm)	4.09	0.043	-	0.147
Tumor thickness (<2.0 <i>vs.</i> ≥2.0 cm)	0.578	0.447	-	0.693
T stage (T1–3 <i>vs.</i> T4)	3.472	0.062	-	0.231
N stage (N0 vs. N1)	0.026	0.872	-	0.958
BMI (<23.1 <i>vs.</i> ≥23.1 kg/m²)	1.693	0.193	-	0.547
RT technique (2D-CRT vs. IMRT + 3D-CRT)	5.801	0.016	0.609 (0.390–0.951)	0.029
RT dose (<60 <i>vs.</i> ≥60 Gy)	0.971	0.324	-	0.562
Synchronous chemotherapy (no vs. yes)	6.308	0.012	0.733 (0.452–1.191)	0.266
Marrow depression (no vs. yes)	3.755	0.053	-	0.110
LMR (<3.45 <i>vs.</i> ≥3.45)	7.344	0.007	-	0.595
NLR (<2.1 <i>vs.</i> ≥2.1)	7.314	0.007	-	0.906
PLR (<104.1 vs. ≥104.1)	3.321	0.068	-	0.687
CEI (<383.1 <i>vs.</i> ≥383.1)	6.934	0.008	-	0.895
SII (<305.6 <i>v</i> s. ≥305.6)	4.096	0.043	2.278 (0.980–5.296)	0.056
PNI (<48.15 <i>vs</i> . ≥48.15)	11.560	<0.001	0.537 (0.342–0.844)	0.007

BMI, body mass index; 2D-CRT, two-dimensional conventional radiotherapy; 3D-CRT, three-dimensional conformal radiotherapy; IMRT, intensity-modulated radiation therapy; PNI, prognostic nutritional index; NLR, neutrophil-lymphocyte ratio; PLR, platelet-lymphocyte ratio; LMR, lymphocyte-monocyte ratio; SII, systemic immunoinflammatory index; CEI, cervical esophageal carcinoma inflammation index; CESCC, cervical esophageal squamous cell carcinoma; OS, overall survival.

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Figure 2 Correlations between PNI and (A) NLR, (B) PLR and (C) CEI were assessed using Spearman's correlation analysis in all CESCC patients. PNI, prognostic nutritional index; CESCC, cervical esophageal squamous cell carcinoma; NLR, neutrophil-lymphocyte ratio; PLR, platelet-lymphocyte ratio; CEI, carcinoma inflammation index.

patients treated with definitive CRT, and pretreatment PNI was shown to be an independent prognostic factor on multivariate analysis.

In 1980, a study firstly revealed that the nutritionbased prognostic factor, PNI, which is based on the serum album level and lymphocyte count, is strongly associated with OS in gastrointestinal patients treated with radical resection (20). Thereafter, accumulating *in vitro* and *in vivo* evidence verified this finding and revealed that the underlying biological mechanisms related to the function of the pretreatment serum albumin and lymphocyte levels included: (I) the pretreatment serum albumin is a crucial nutritional marker, and therefore, a lack of serum albumin represents a poor nutritional status with adverse outcomes (21-24); (II) hypoalbuminemia reflects an increased degree of inflammation, which could negatively impact patients' survival (25,26); (III) serum albumin plays a crucial role in transporting materials such as cholesterol, fatty acids, metal ions, etc., and therefore, hypoalbuminemia could potentially result in the poor outcomes; (IV) serum albumin scavenges free oxygen radicals, and therefore, a lack of serum albumin leads to negative outcomes (27); and (V) lymphocytes play an important role in the anti-tumor reaction to suppress tumor cell proliferation, migration, and angiogenesis, and thus, a low lymphocyte count can lead to poor outcomes (28-30).

More and more studies have focused on analyzing the relationship between PNI and outcomes in solid tumor patients. Jin *et al.* (31) studied 1,156 small cell lung cancer patients treated with platinum-based chemotherapy and revealed that the patients with a PNI >53.85 had a better 5-year OS than the patients with a PNI  $\leq$ 53.85 (24.9% *vs.* 18.6%). Moreover, PNI was a positive independent prognostic factor for their patients' OS. Hirahara *et al.* (32)

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**Figure 3** Kaplan-Meier survival curves for 106 CESCC patients according to cut-off values for the (A) LMR, (B) NLR, (C) PLR, (D) CEI, (E) SII, and (F) PNI. PNI, prognostic nutritional index; CESCC, cervical esophageal squamous cell carcinoma; NLR, neutrophil-lymphocyte ratio; PLR, platelet-lymphocyte ratio; CEI, carcinoma inflammation index; LMR, lymphocyte-monocyte ratio; SII, systemic immunoinflammatory index.

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 Table 3 Univariate analysis of the prognostic value of PNI in

 CESCC patients stratified by clinical characteristics

PNI	Number (%)	χ²	Р
Gender			
Male	79 (74.53)	9.179	0.002
Female	27 (25.47)	1.041	0.308
Age (years)			
<65	82 (77.36)	11.606	0.001
≥65	24 (22.64)	0.673	0.412
Stage			
IIA + IIB	27 (25.47)	3.022	0.082
Ш	79 (74.53)	6.376	0.012
Synchronous chemotherapy			
No	37 (34.91)	4.886	0.027
Yes	69 (65.09)	5.956	0.015
RT technique			
2D-CRT	41 (38.68)	8.990	0.003
IMRT + 3D-CRT	65 (61.32)	2.001	0.157

PNI, prognostic nutritional index; CESCC, cervical esophageal squamous cell carcinoma; 2D-CRT, two-dimensional conventional radiotherapy; 3D-CRT, three-dimensional conformal radiotherapy.

retrospectively studied 368 gastric cancer patients who were treated with laparoscopic gastrectomy and found that the patients with a low PNI (≤43) had a poorer OS than those with a high PNI. In addition, the PNI was an independent predictor of OS and cancer-specific survival in their study. Okada *et al.* (13) studied 248 non-small cell lung cancer patients who underwent curative resection and found that a low PNI (cut-off <48) was significantly associated with poor outcomes. In addition, their results showed that PNI was an independent prognostic factor for OS and recurrence-free survival.

In addition to the solid tumors mentioned above, other studies explored the relationship between PNI and ESCC treated with radical esophagectomy. However, no published studies have focused on the relationship between the PNI and outcomes in CESCC patients treated with definitive CRT. Hirahara *et al.* (33) retrospectively studied 169 ESCC patients who received curative esophagectomy and showed that a PNI >49.2 was associated with a significantly worse OS and that the PNI was an independent prognostic factor for long-term OS. Similar to these findings, Han *et al.* (34) demonstrated that a PNI >50.75 positively impacted ECSS patients' 5-year OS. Another retrospective study (35) studied 76 patients with recurrent ESCC patients and found that patients with a PNI >45 had significantly superior OS than those with a PNI ≤45. Further analyses demonstrated that the PNI was an independent factor for OS. In our present study, the optimal cutoff value for PNI calculated using the Cutoff Finder application was 48.15 and CESCC patients with a pretreatment PNI >48.15 had a significantly better 5-year OS than those with a PNI ≤48.15. Moreover, the pretreatment PNI was an independent prognostic factor on multivariable analysis.

In addition to PNI, gender and RT technique were also found to be independent prognostic factors for CESCC in the present study. The prognosis of female CESCC patients was significantly better than that of male patients, which is consistent with the better prognosis of young women who undergo surgical resection of esophageal cancer in another study (36). In the present study, the survival rates of patients who received IMRT or 3D-CRT were superior to those of patients who received conventional 2D-CRT, likely because IMRT involves a lower radiation dose to healthy organs and less treatment-related acute toxicity (37). Moreover, a higher dose of RT (<60 vs.  $\geq$ 60 Gy) did not have a survival benefit in our study, which is consistent with the findings of the Radiation Therapy Oncology Group 94-05 study (38).

There are several limitations in this study. First, this study was a retrospective study of a small sample treated in a single center. Second, PNI data were collected only at a single time point prior to treatment, and fluctuations in PNI throughout the treatment and follow-up were not fully documented and analyzed. This is notable because PNI can be affected by various pathological conditions during the treatment process and vary with time. Third, due to the lack of data for the side effects of CRT, these factors were not included in the assessment. To prove the validity and accuracy of PNI for predicting the prognosis of CESCC treated with RT and CRT, a multi-center study with a larger sample size is needed.

#### Conclusions

Pretreatment PNI was found to be a novel nutrition-based prognostic factor in CESCC patients treated with definitive CRT. Measurement of the PNI is reliable, inexpensive, and routine in the pretreatment work-up for CESCC in clinical practice. Preoperative nutritional interventions and/or intensive adjuvant therapy should be considered

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for patients with low preoperative PNI levels. Thus, PNI measurement will aid the clinical decision-making regarding individualized treatment selection. Larger-scale studies are warranted to validate these findings.

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## Footnote

*Conflicts of Interest*: The authors have no conflicts of interest to declare.

*Ethical Statement*: This retrospective study was approved by the Fujian Province Cancer Hospital Institutional Review Board (IRB number: KT2018-016-01). All patients provided written informed consent prior to treatment, and all information was anonymized prior to analysis.

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