Differential expression of genes associated with T lymphocytes function in septic patients with hypoxemia challenge

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Background: This study aimed to assess gene expression alterations related to T lymphocytes function and explore their potential association with hypoxemia among septic patients.

Methods: This is a retrospective cohort clinical study with laboratory investigations. We studied patients enrolled in sepsis biological specimen bank from Department of Critical Care Medicine, Zhongda Hospital, fulfilling consensus criteria for sepsis without any documented immune comorbidity admitted in ICU within 48 h after onset with whole blood samples drawn within 24 h of admission. Whole genome expression by microarray assay (Human LncRNA Microarray V4.0) was compared in hypoxemia cohort versus without. Differentially expressed (DE) genes with >1 log₂[fold change (FC)] and false discovery rate (FDR) <0.20 that enriched in T cell related biological process entered the adjusted analysis to identify the candidate genes. The correlation analysis within candidate genes or with clinical parameters were performed. We assessed candidate expression *ex vivo* in co-culture system with RAW246.7 cells and validated genes identified in prior studies of sepsis-ARDS/hypoxemia within our present study.

Results: Septic patients (n=9) with hypoxemic phenotype held higher illness severity, serum lactate and creatine, and incidence of lymphopenia compared with non-hypoxemic group (n=6). Several gene signatures related to apoptosis, inhibitory receptors, T cell immunoreceptor, transcriptions factors, toll-like receptors and cytokine and effector molecules were upregulated in hypoxemic group. Candidate genes were identified after adjustment for age, sex and presence of lymphopenia with significantly negative correlations with partial pressure of O_2 in an arterial blood (PaO₂) and fraction of inspiration O_2 (FiO₂) ratio, among which NLRP3, SOS1, ELF1 and STAT3 held an increasing expression in *ex vivo* validation while the others, PSMA5, CLEC4D, CD300A, PRKD2 and PSMA2 showed the opposite alteration from those *in vivo*.

Conclusions: Higher illness severity and incidence of lymphopenia was observed following hypoxemia in sepsis and T cell-related gene signatures were associated with hypoxemia during sepsis.

Keywords: Hypoxemia; sepsis; T cell, differential expressed

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Introduction

Sepsis is a public health problem worldwide and characterized by a dysregulated host response to infection, which includes T lymphocytes dysfunction (1-3). Damage associated molecular patterns following the hypoxemia or hypoperfusion in context of sepsis play a key role on sepsisinduced T cell dysfunction (4). The clinical founding of lymphopenia (including diminished T cell numbers) and induction of immunoparalysis (to varying degrees) (3), suggested the necessity to clarify the mechanism that how sepsis induces the T cell function alterations, including proliferation, apoptosis and cellular activation and exhaustion as well as hypo-responsiveness. Sepsis is a heterogeneous syndrome with variations of clinical and biological features in different subsets or phenotypes. Identification of T lymphocytes involvement in septic patients with distinct phenotypes may allow further understanding the mechanism and benefit precise therapy.

Respiratory organ failure is most prevalent, which could present as hypoxemia or (and) acute respiratory distress syndrome (ARDS), affecting as many as 87% organ failure patients in ICU stay (5). Critically ill patients with hypoxemia hold both higher mortality and severity of illness than those without (6). Hypoxemia is often an early complication of sepsis, which increases the death risk and could be a trigger to the dysregulated immune response. Evidence shows that the impact of hypoxia on immunity and inflammation can vary depending on the microenvironment and immune processes occurring in a given niche and on the other hand pathological hypoxia can drive tissue dysfunction and disease development through immune cell dysregulation (7). In this way, whether T lymphocytes function involved gene expression differs in septic patients with distinct hypoxemic phenotype is worth further investigation.

Based on the foundational concept that changes in gene transcription precede and guide the majority of important biological processes (8), we sought to understand alterations of T lymphocytes function involved genes, in our case of community-acquire sepsis within hours upon presentation to medical care, in whole blood gene transcription that distinguish septic patients that are complicated with hypoxemia from those who are not. The primary hypothesis was that T lymphocytes function involved genes in whole blood would reveal differential expression in septic patients between hypoxemic versus non-hypoxemic phenotypes.

Methods

This is a retrospective cohort clinical study with laboratory investigations.

Participants

This study was conducted in accordance with the amended Declaration of Helsinki. All the included subjects were from sepsis biological specimen bank from Department of Critical Care Medicine, Zhongda Hospital. The sepsis biological specimen bank was registered in 2017 by approval and supervise of Institutional Ethics Committee of Zhongda Hospital (Registration number: 2017ZDSYLL105). Written informed consent was obtained from all patients or their surrogate when possible. The Animal Care and Use Committee of Southeast University approved all experiments involving the use of animals.

To reduce sample heterogeneity, we took the time course and origin of sepsis into consideration thus only communityacquired cases were included. In addition, our primary interest was identifying differences in T cells-related gene expression in septic subjects with hypoxemia compared to those without. To avoid the mixed factors from the baseline of the subjects on immune function, cases with any past history of tumors, hematological or immunological disease, or treatment with chemotherapy agents or corticosteroids within 6 months prior to hospitalization were excluded. Fifteen patients were enrolled in general intensive care unit of a tertiary teaching hospital in Nanjing, China, of which all were between 18 and 90 years old with a diagnosis of community-acquired sepsis according to criteria of the Surviving Sepsis Campaign: International Guidelines for Management of Sepsis and Septic Shock: 2016 (1). Since most of the critically ill patients admitted were in need of respiratory support, the fraction of inspiration O_2 (FiO₂) used should be taken into consideration. In this way, patients were divided into 2 groups according to pressure of O₂ in an arterial blood (PaO₂) or/and PaO₂/FiO₂: hypoxemic phenotype (PaO₂ <80 mmHg or P/F <200 mmHg, n=9) and non-hypoxemic phenotype (PaO₂ ≥80 mmHg and P/ F ≥200 mmHg, n=6).

Biological sample collection, processing, and measurements

Whole blood was collected within 24 h of ICU admission for the isolation of RNA. Total RNA was extracted using the TRIZOL reagent (Invitrogen, US) according to the

manufacturer's instructions. mRNA was purified from total RNA after removal of rRNA (mRNA-ONLY[™] Eukaryotic mRNA Isolation Kit, Epicentre). Each sample was amplified and transcribed into fluorescent cRNA along the entire length of the transcripts without 3' bias utilizing a random priming method (Arraystar Flash RNA Labeling Kit, Arraystar) and the labeled cRNAs were purified by RNeasy Mini Kit (Qiagen). Then, the labeled cRNAs were hybridized onto a Human LncRNA Microarray V4.0 (Arraystar) chip, which is designed for 20,730 coding genes. The arrays were scanned using an Agilent G2505C scanner and the density of fluorescence was analyzed using Agilent Feature Extraction software.

Microarray analysis

Array images were analysed by Agilent Feature Extraction software (version 11.0.1.1). GeneSpring GX v12.1 software package (Agilent Technologies) were used to acquire the quantile normalization and subsequent data process. We compared T cell related gene expression in septic patients with hypoxemia and those without. T cell related genes in our study were identified according to the search strategy "(T cell) AND" Homo sapiens "[porgn: __txid9606] in PubMed. Differentially expressed (DE) mRNAs with statistical significance between the two groups were defined by P value/ fold change (FC) (P<0.5, |log₂FC|>0.25) along with the false discovery rate (FDR) <0.20, which calculated by the Benjamini-Hochberg procedure, used to indicate the expected fraction of falsely declared DE genes among the total set of DE genes (i.e., FDR <0.20 would indicate that the result is likely to be valid 4 of 5 times). Gene ontology (GO) analysis was applied to determine the roles of these DE mRNAs enriched in T cell related biological pathways or GO terms. Comparisons of gene signatures were illustrated by HemI (Heatmap Illustrator, version 1.0). The genes enriched in T cell related biological process were selected to enter the adjusted analysis. We included relevant clinical factors in the adjusted analysis such as age, gender, and lymphopenia, performed by SPSS Version 23 (IBM, Chicago, Ill, USA). The rationale for adjusting for the presence of lymphopenia was that decreased expression of genes in lymphocyte that was less than 1,000 cells/µL in peripheral blood might be associated with a decrease in its number rather than its functional regulation.

Ex vivo experiments

Mice

C57BL/6 male mice, 4-6 weeks of age, were purchased from

the Comparative Medicine Centre, Yangzhou University (Yangzhou, China). The animals were housed 5 mice per cage in a laminar air flow room maintained at 22 ± 2 °C with relative humidity of $55\%\pm5\%$. Mice were cared and treated in accordance with the guidelines established by the Committee of Animal Care and Use of Southeast University.

Isolation, purification and identification of mouse spleen derived CD4⁺T cells

CD4⁺ T cells derived from mouse spleen were isolated through positive CD4 selection, using CD4 (L3T4) MicroBeads, MS columns and MiniMACS[™] Separator, according to manufacturer's instructions (Miltenyi Biotech, Bergisch Gladbach, Germany). The identification was evaluated by positive expression of CD4 measured by flow cytometry (FCM).

CD4⁺ T Cell culture

5×10⁶ CD4⁺T cells in 1 mL RPMI 1640 culture medium (10 mM HEPES/2 mM l-glutamine/10% 0.22 µm filtered FBS/50 uM β-mercaptoethanol) were seeded into a well of the 24-well plate pre-coated with anti-CD3 (5 µg/mL) and anti-CD28 (2 µg/mL) in an atmosphere of 5% CO₂ at 37 °C. Cells were treated overnight. Then, 10⁶ RAW246.7 as antigen presenting cells were directly added. LPS (1,000 ng/mL) (mix of gel filtration chromatography purified LPS from Escherichia coli O111:B4, Sigma Aldrich, Saint Louis, MO, USA) was added as stimuli in an atmosphere of 5% CO₂ and another group of naïve T cells with RAW246.7 were cultured with in an atmosphere of 5% CO₂ and 5% O₂ at 37 °C as hypoxia condition, set as nonhypoxic sepsis and hypoxic sepsis groups.

Quantitative reverse transcription real-time polymerase chain reaction

DE genes were selected for quantitative polymerase chain reaction (qPCR) confirmation. TaqMan-specific inventoried primers for NLR family, pyrin domain containing 3 (NLRP3), proteasome subunit alpha 5 (PSMA5), C-type lectin domain family 4 member D (CLEC4D), SOS Ras/ Rac guanine nucleotide exchange factor 1 (SOS1), CD300a molecule (CD300A), E74-like factor 1 (ELF1), protein kinase D2 (PRKD2), signal transducer and activator of transcription 3 (STAT3), proteasome subunit alpha 2 (PSMA2). Housekeeping genes β -catenin were also measured. Real-time qPCR was performed with the ABI Prism 7000 Sequence Detection System (Life Technologies, Carlsbad, CA, USA). As run method, PCR activation at 95 °C for 20 s was followed

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by 40 cycles of 1 s at 95 °C and 20 s at 60 °C. The average threshold count (Ct) value of 2–3 technical replicates were used in all calculations. The average Ct values of the internal controls (housekeeping genes) was used to calculate Ct values for the samples. Data analysis was performed using the $2^{-\Delta\Delta Ct}$ method.

Statistical analysis

Comparisons of characteristics of clinical variables between septic patients with hypoxemia and those without, were performed using unpaired *t*-tests, Mann-Whitney U tests, or Chi-squared tests, as appropriate by SPSS Version 23 (IBM, Chicago, IL, USA).

The candidate genes were identified with P<0.05 after adjusted analysis. A power calculation for the detected normalized intensity difference of candidate genes between groups with the present sample size was performed by Power Analysis and Sample Size (PASS) software (PASS 2008. NCSS, LLC. Kaysville, Utah, USA). The Spearman correlation analysis was performed between any two of the candidate genes or between the candidate gene and P/F ratio upon enrolment by GraphPad PRISM Version 5.3 (San Diego, CA, USA).

Comparisons of variables between groups in *ex vivo* experiments, were performed using unpaired *t* tests, Mann-Whitney U tests, or Chi-squared tests, as appropriate by GraphPad PRISM Version 5.3 (San Diego, CA, USA).

Results

Clinical presentation, inflammatory, immune indicators and organ function indicators

Septic patients with hypoxemia held a lower lymphocyte count [0.4 (0.3, 0.6) *vs.* 0.8 (0.5, 1.2), P=0.020] and higher N/L ratio than those without hypoxemia [17.9 (7.1, 23.4) *vs.* 6.8 (2.9, 12.3), P=0.050]. There was no statistical difference between two groups in terms with systemic inflammatory response presentations (heart rate, respiratory rate, white blood cell count or systolic blood pressure) as well as neutrophil count, hs-CRP, PCR and IL-6.

As summarized in *Table 1*, septic patients with hypoxemia presented higher SOFA scores than those without at admission (11 ± 4 vs. 7 ± 1 , P=0.046), holding a higher level of illness severity. As for respective systemic organ function, septic patients with hypoxia exhibited a significantly higher level of serum creatine compared with those without [164 (79, 332) vs. 60 (55, 105), P=0.017], indicating higher risk of renal dysfunction. In addition, patients with hypoxemia held higher levels of serum lactate [2.2 (1.5, 3.4) vs. 1.2 (0.78, 2), P=0.039] compared with patients without hypoxemia. No statistical difference was observed in terms of other inflammatory and biochemical parameters.

Upregulation of bypoxia related genes from whole blood in septic patients complicated with bypoxemia

The annotated genes expression in two groups were shown in *Figure 1A*. According to GO analysis, 62 of the DE genes were enriched in hypoxia related biological process (*Figure 1B*). Go functions related to cell response to hypoxia were found to be significantly up-regulated in septic patients with hypoxemia, compared to those without (*Figure 1C*).

DE T cell related genes and biological process involvement

As shown in *Figure 2*, of the 17,713 annotated genes on the array, 1,623 were identified as T cell related genes and were analyzed for the differential expression. Among them, 460 were detected as DE genes with P value <0.05 (*Figure 2A*, http://cdn.amegroups.cn/static/applicati on/4cb5beed9a1cc22e907db2464e50f777/10.21037a tm.2019.12.63-1.pdf).

According to GO analysis, 117 of the DE genes were enriched in several key biological process related to T cells, including regulation of T cell differentiation in thymus, T cell activation, T cell differentiation, T cell receptor signaling pathway, regulation of T cell receptor signaling pathway (*Figure 2B, Table 2*).

Heat map revealed difference detected that related to inhibitory receptor, cytokine and effector molecules, apoptosis, T cell immunoreceptor, toll-like receptors, and transcription factor between sepsis with hypoxemia and those without. As shown in *Figure 3*, compared with septic patients without hypoxemia, toll-like receptors of TLR1, TLR2 and TLR9 were more activated in those with hypoxemia. Genes of some interesting inhibitory receptors like Tim-3(HAVCR2), CTLA4, FcγRIIb (FCGR2B) as well as cell death genes of FAS, RIPK2, PD-1(CD274), BAX, CASP1 and CASP8 were up-regulated following hypoxemia. In addition, transcription factor and cytokine and effectors molecules that were responsive to hypoxia and inflammatory environment presented differently.

Table 1 Clinical variables of septic patients: hypoxemic vs. non-hypoxemic

Clinical variable	Non-hypoxemic, N=6	Hypoxemic, N=9	Р
Age, years	58±7	46±14	NS
Female gender	2(33.3)	2(9)	NS
Presumed infection site			
Lung	1 (17.7)	6 (33.3)	
Abdomen	5 (82.3)	3 (66.7)	
PaO ₂ /FiO ₂ ratio	346±55	129±62	<0.001
APACHE II score	18±5	24±9	NS
SOFA score	7±1	11±4	0.046
SIRS indicators			
Maximum temperature, °C	37±0.8	38±0.6	NS
Lowest systolic blood pressure, mmHg	70±6	71±9	NS
Maximum heart rate, beats per min	110 (85,123)	115 (105,146)	NS
Maximum respiratory rate, per min	21 (18,22)	27 (19,36)	NS
Maximal dose of vasopressors during 24 h upon enrollment, median IQR (25%, 75%), n (%)	8 (5,12.5), 100 (100)	20 (0,80), 6 (66.7)	NS
Organ function indicator			
Platelets, thousands	80 (47, 158)	107 (66, 157)	NS
Urine output, prior 8 h, mL/h	122 (79, 142)	62 (35, 166)	NS
Serum creatinine, mg/dL	60 (55, 105)	164 (79, 332)	0.017
Total bilirubin	17.2 (8.4, 42.4)	20 (8.1, 54.4)	NS
Complicated with CNS dysfunction	0 (0.0)	2 (22.2)	NS
Peripheral blood count			
White blood count (per µL)	7.0 (4.0, 9.0)	10.0 (4.5, 16.5)	NS
Neutrophil count (per µL)	5.5 (2.9, 7.8)	8.0 (4.0, 16.9)	NS
Lymphocyte count (per µL)	0.8 (0.5, 1.2)	0.4 (0.3, 0.6)	0.020
Neutrophil and Lymphocyte ratio (N/L)	6.8 (2.9, 12.3)	17.9 (7.1, 23.4)	0.050
Inflammatory indicator (serum)			
hs-CRP, mg/L	98 (78, 138)	138 (50, 203)	NS
PCT, ug/L	3.3 (8.4, 9.7)	4.9 (0.8, 15.8)	NS
IL-6, pg/L	538 (65, 2214)	117 (19, 2691)	NS
Biochemical parameter			
Albumin, g/L	27.3±5.0	26.4±4.8	NS
Lactate, mmol/L	1.2 (0.78, 2)	2.2 (1.5, 3.4)	0.039
рН	7.43±0.04	7.34±0.06	0.019
SB, mmol/L	20.4±2.8	18.1±3.6	NS
Base excess, mmol/L	-3 (-6.3, -0.9)	-8.4 (-13.6, -3.6)	NS

Data are presented as percentage, mean \pm SD, IQR (25%, 75%). FiO₂, fraction of inspiration O₂; PaO₂, partial pressure of O₂ in an arterial blood; APACHE, acute physiology and chronic health evaluation; SOFA, sequential organ failure assessment; SIRS, systemic inflammatory response syndrome; CNS, central nervous system; hs-CRP, hypersensitive C-reactive protein; PCT, procalcitonin; IL, interleukin; SB, standard bicarbonate. NS, not significant.



Figure 1 Volcano plot of significantly differential experiment genes and significant GO analyses related to cell response to hypoxia. (A) Analyzing the differentially expressed genes by t test, log2FC was taken as abscissa and negative logarithm-log10 (P value) of P value was taken as ordinate; (B) the significant GO of differentially expressed genes related to cell response to hypoxia; (C) the details of differentially expressed genes in GO function related to cell response to hypoxia. *, P<0.05; **, P<0.01. GO, gene ontology.

Candidate genes identified and adjusted for age, sex, and lymphopenia

Candidate genes identified strategy was illustrated in *Figure 2A*. Of 117 genes enriched in T cell biological process, candidate genes meeting a cut point of >1 log₂ fold difference and P <0.05 in adjusted analysis for age, sex, and lymphopenia are presented in *Table 2*. These nine genes of *NLRP3*, *PSMA2*, *PSMA5*, *CLEC4D*, *SOS1*, *CD300A*, *ELF1*,

PRKD2, *STAT3* were upregulated in septic patients with hypoxemia compared with those without hypoxemia. There was no downregulated gene identified after adjustment for age, sex, and lymphopenia.

Associations of candidate genes identified with organ injury in early sepsis

We further verified the correlation of the candidate genes

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Figure 2 Differentially expressed T cell related genes and biological process involvement. (A) Candidate genes identified strategy; (B) T cell-related gene expression in sepsis with hypoxia vs. sepsis without hypoxia; (C) Sig Go of DE genes in T cell related biological process.

with P/F ratio. There was a positive correlation between any two of these genes (all P values <0.05, *Figure 4*). As shown in *Figure 5*, except for CD300A, the candidate genes demonstrated a negative association with P/F ratios while CD300A gene expression was positively correlated to neutrophil and lymphocyte ratio (P=0.04). No significant correlation of candidate genes was detected with serum creatine or peripheral lymphocyte count. Two of the candidate genes in our study, NLRP3 and STAT3, have been previously described in studies of the sepsis or (and) hypoxia pathogenesis according to the literature search of the PubMed database while the other candidate genes identified in our study were found to have several or few relevant citations to sepsis or hypoxia process in the literature (*Table 3*).

Assessment of DE genes identified in LPS-stimulating T cells in ex vivo condition with hypoxia or normoxia

CD4⁺ T cells originated from mice spleen were sorted

and then cultured with LPS stimulation in normoxia or hypoxia condition to confirm the differential candidate genes identified after adjustment as above. All the candidate genes of LPS-stimulated cells in hypoxia condition showed significantly different expression when compared with normoxia, of which the gene of NLRP3, SOS1, ELF1, STAT3 held an increasing expression. Among them, NLRP3 and STAT3 in hypoxia group with LPS stimulation showed more than twice times higher expression compared with that in normoxia group with LPS stimulation, which turned out to show similar with *in vivo* alteration, while the other gene of *PSMA5*, *CLEC4D*, *CD300A*, *PRKD2*, *PSMA2* showed the opposite alteration of decreased expression from those *in vivo* (*Figure 6*).

Validation of DE genes in prior gene expression studies of sepsis-related ARDS

Using the literature search strategy as described in Kangelaris study (8), we compared our results to those of

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GO:ID	Term, regulation in sepsis with hypoxic <i>vs.</i> non- hypoxic	Count	Fold. Enrichment	P value	Genes (117 genes in total)
GO:0033081	Regulation of T cell differentiation in thymus, down	5	2.76	0.030	FOXJ1//SHH//TESPA1//FOXN1//CLPTM1
GO:0042110	T cell activation, up	77	1.34	0.004	BAX//IL15//LCP1//PSEN1//CLEC4E//CLEC4D//RHOA//PTPN22// RHOH//PTPN2//SP3//VAV1//RUNX2//TNFSF13B//CTLA4// GRB2//CD274//LYN//PAK2//PDPK1//PIK3CA//PTPN6//RAC1// SRC//CDC42//RIPK3//CTNNB1//JMJD6//GLI3//LIG4//B2M// ADAM17//SOS1//PRDM1//BMP4//RIPK2//HLA-E//CTPS1// PIK3CG//CORO1A//ANXA1//CD46//NCK1//HAVCR2//PYCARD// IL18//HLA-G//PELI1//RAB27A//FCGR2B//CEACAM1//CYLD// IRF1//TNFSF8//ATG5//BATF//PRELID1//CBFB//HLX//NLRP3// PRKAR1A//MICB//FAM49B//BCL10//CD47//CD300A//ZBTB16// AP3B1//STAT3//GSN//DDOST//PPP3CA//AZI2//CLEC7A// TREML2//CASP8//HSH2D
GO:0030217	T cell differentiation, up	38	1.36	0.030	CLEC4E//CLEC4D//RHOA//RIPK3//CTNNB1//JMJD6//GLI3//LIG4// B2M//ADAM17//SOS1//PRDM1//BMP4//CYLD//IRF1//TNFSF8// CD46//ATG5//IL15//BATF//PRELID1//CTLA4//CBFB//HLA-G// ANXA1//HLX//RIPK2//NLRP3//IL18//ZBTB16//AP3B1//STAT3// PTPN2//PTPN22//RHOH//SP3//VAV1//RUNX2
GO:0050852	T cell receptor signaling pathway, up	50	2.01	7.9E-07	CD300A//BCL10//CYLD//PRKD2//ELF1//PTPN22//GBP1//PTPN2// PTPN6//CEACAM1//EZR//CHUK//DENND1B//LCP2//NCK1// NFKBIA//PAK2//PDE4B//PDPK1//PIK3CA//PIK3CB//PLCG2// PSEN1//PSMA1//PSMA2//PSMA3//PSMA4//PSMA5//PSMA6// PSMB1//PSMB3//PSMB4//PSMB6//PSMB7//PSMB8//PSMB9// PSMB10//PSMC1//PSMC2//PSMC6//PSMD11//PSMD12// PSMD13//PSME2//BTK//TEC//CUL1//RIPK2//THEMIS2//PSMD6
GO:0050856	Regulation of T cell receptor signaling pathway, up	11	2.46	0.003	CYLD//PRKD2//ELF1//PTPN22//GBP1//PTPN2//PTPN6// CEACAM1//EZR//CD300A//BCL10
GO:0050860	Negative regulation of T cell receptor signaling pathway, up	7	3.13	0.004	ELF1//PTPN22//GBP1//PTPN2//PTPN6//CEACAM1//EZR

Table 2 Significant GO term of DE genes in T lymphocytes related biological process



Figure 3 Comparison of gene signature related to T cell function.

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Р	PSMA5	CLEC4D	SOS1	CD300A	ELF1	PRKD2	STAT3	PSMA2
NLRP3	0.0001	0.0029	0.0003	0.0116	0.00003	0.0003	0.0007	0.00006
0.857	PSMA5	0.0027	5.2e-006	0.0040	0.0004	0.00006	0.0004	1.1e-006
0.729	0.732	CLEC4D	0.0080	0.0110	0.0014	0.0068	0.0005	0.00006
0.825	0.918	0.668	SOS1	0.0037	0.0003	0.00009	0.0008	0.000008
0.643	0.711	0.646	0.714	CD300A	0.0001	0.0003	2.1e-005	0.0004
0.882	0.818	0.764	0.821	0.857	ELF1	5.2e-006	2.8e-007	0.00003
0.821	0.871	0.679	0.861	0.829	0.918	PRKD2	5.2e-006	0.00006
0.793	0.818	0.804	0.789	0.893	0.954	0.918	STAT3	0.00002
0.871	0.939	0.868	0.911	0.818	0.886	0.871	0.896	PSMA2
NLRP3	PSMA5	CLEC4D	SOS1	CD300A	ELF1	PRKD2	STAT3	Spearman r

Figure 4 Spearman correlations between candidate genes identified in septic patients with hypoxemia.



Figure 5 Correlation analysis of candidate genes with clinical indicators. Correlation analysis of candidate genes with PaO₂/FiO₂ (A), serum creatine (B), lymphocyte count (C) and neutrophil and lymphocyte ratio (D), respectively.

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Concorrebol	ENTREZ ID	-Log ₂ fold change	Pow D voluo	FDR -	No. PubMed references				
Gene symbol			naw r value		Gene symbol	AND sepsis	AND hypoxia		
NLRP3	114548	3.03	0.010	0.11	4984	136	68		
PSMA5	5686	2.90	0.005	0.10	37	0	0		
CLEC4D	338339	5.59	0.014	0.17	53	1	0		
SOS1	6654	3.39	0.016	0.12	746	0	2		
CD300A	11314	2.74	0.017	0.12	69	1	3		
ELF1	1997	3.42	0.005	0.10	136	0	0		
PRKD2	25865	3.07	0.017	0.12	20	0	2		
STAT3	6774	2.57	0.006	0.10	20754	158	68		
PSMA2	5683	3.11	0.009	0.11	24	0	0		

Table 3 Differentially expressed genes in sepsis with hypoxemic vs. non-hypoxemic phenotypes in model adjusted for age, sex, and lymphopenia

Values are adjusted for age, gender, and lymphopenia. PubMed Search completed December 1, 2018. FDR, false discovery rate.



Figure 6 Assessment of candidate expression alterations *ex vivo*. **, P<0.01 with the opposite change direction as observed *in vivo*; **, P<0.01 with the same change direction as observed *in vivo*; ***, P<0.001 with the opposite change direction as observed *in vivo*; ***, P<0.001 with the same change direction as observed *in vivo*; ***, P<0.001 with the opposite change direction as observed *in vivo*; ***, P<0.001 with the opposite change direction as observed *in vivo*; ***, P<0.001 with the opposite change direction as observed *in vivo*; ***, P<0.001 with the opposite change direction as observed *in vivo*; ***, P<0.001 with the opposite change direction as observed *in vivo*; ***, P<0.001 with the opposite change direction as observed *in vivo*; ***, P<0.001 with the opposite change direction as observed *in vivo*; ***, P<0.001 with the opposite change direction as observed *in vivo*; ***, P<0.001 with the opposite change direction as observed *in vivo*; ***, P<0.001 with the opposite change direction as observed *in vivo*; ***, P<0.001 with the opposite change direction as observed *in vivo*; ***, P<0.001 with the opposite change direction as observed *in vivo*; ***, P<0.001 with the opposite change direction as observed *in vivo*.

the four previous whole blood gene expression analysis in ARDS/acute lung injury (ALI) (8-11) (Table 4). Five of eight genes identified in Howrylak study (9) presented significantly differential expression with P<0.05 and FDR <0.20 in unadjusted model, including upregulated cyclindependent kinase inhibitor 1A (CDKN1A) and CREB/ ATF bZIP transcription factor (CREBZF), and downregulated ADP-ribosylation factor 3 (ARF3), patatinlike phospholipase domain containing 2 (PNPLA2) and aminopeptidase-like 1 (NPEPL1) in septic patients with hypoxemia. Two of the three genes identified in sepsis/ ARDS patients compared with those of non-septic SIRS in Dolinay study (10), caspase 1, apoptosis-related cysteine peptidase (CASP1) and interleukin 18 (IL18) were found to be upregulated significantly in sepsis with hypoxemia, while only expression of CASP1 held an FDR<0.20. Chen et al. re-analyzed the public data from the Howrylak study and selected 12 DE genes related to acute lung injury (11). We found that two of the twelve genes were significantly upregulated with FDRs <0.20 in our study among septic patients with hypoxemia compared to those without: CDKN1A and histone cluster 2 H4 family member b (HIST2H4B). In a more recent study of 2015, Kangelaris et al. (8). reported some significant differentially expressed genes, including the downregulation of HCAR3, RBP7 and MME in early septic patients with ARDS compared to patients only with sepsis, which has been detected in our study with upregulation despite of no statistical difference in septic patients with hypoxemia compared to those without. All the genes above showed no associations with hypoxemia in septic patients compared to those without after the adjustment for age, gender and existence of lymphopenia.

Discussion

T lymphocytes, as part of adaptive immune response are influenced in septic process and their response characters vary in heterogeneous septic populations. Thus, it is important to further explore T lymphocytes involvement in clinically septic subsets. The objective of this study was to determine T lymphocytes gene expression in septic patients with distinct hypoxemic phenotypes and their potential associations.

Our study found septic patients with hypoxemic phenotype held higher incidence of lymphopenia with higher N/L ratio, serum lactate and creatine levels, indicating more severe illness, compared to those without hypoxemia and identified several candidate genes enriched in important T cell-related biological processes associated with hypoxemia in sepsis. After adjustment for age, sex, and lymphopenia, we identified CD300A, NLRP3 and STAT3 as increased expression in septic patients with hypoxemia, which has been described to be involved in the development of sepsis and hypoxia (12-16). Particularly, we compared the gene signatures related to T cell function and found the gene signatures of cell death and inhibitory receptors were up-regulated following hypoxemia phenotype, which might be consistent with the higher incidence of lymphopenia in septic patients with hypoxemia compared with those without.

We detected several novel up-regulated genes upon hypoxemia in sepsis, including PSMA2, PSMA5, CLEC4D, SOS1, ELF1, PRKD2 and then set up a cell culture system to validate the consistency of gene expression alterations in vitro. CD4+ T cells derived from mice spleen were cocultured with RAW246.7 as antigen presenting cells and anti-CD3/CD28 as the physiological activation. LPS or/and hypoxia of 5% were set as stimuli. The candidate genes showed altered expression after stimulation by LPS compared to controls while only the genes of NLPR3, STAT3, SOS1 and ELF1 held similar change trends of upregulation in hypoxia condition with those in vivo and the rest gene expressions of CD300A, CLEC4D, PRKD2, PSMA2 and PSMA5 held the opposite trends. The mechanism of their alterations was not completely clear either in vivo or ex vivo.

All the up-regulated genes were highly correlated, indicating the possibility of their coregulation in the pathogenesis of hypoxemia in early sepsis. The up-regulated genes were detected with negative correlation with PaO₂/ FiO₂ except for CD300A while the CD300A gene express was found to be positively related to neutrophil and lymphocyte ratio. Several studies have shown the increased expression of CD300A under hypoxia condition in human monocytes, macrophages (17) or eosinophils (18), which was partly consistent with our results. The increased expression of CD300A could enhance the neutrophil recruitment and modulate T cell activation and T cell receptor signaling pathway as shown in GO analysis of Table 2 possibly via CD32a mediated signaling (19), which might explain its correlation with N/L ratio in septic patients with hypoxemia and the decreased expression in hypoxia condition with LPS stimulating might be attributed to the lack of monocytes or macrophages recruitment in ex vivo culture system . Additionally, CD300a is an inhibitory marker during degranulation processes and was reported to

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Table 4 Association with candidate genes differentially expressed in prior gene expression studies of sepsis-related hypoxemia/ARDS

	Hypoxemic vs. non-hypoxemic,	1 0	in Model 1			
Gene	regulation	P	-Log ₂ fold change	FDR	Р	OR
2009, Howrylak et al.						
CDKN1A [†]	Up	0.036	1.71	0.14	NS	-
FTH1	Up	NS	1.69	0.29	-	-
ARF3*	Down	0.021	2.42	0.12	NS	-
BTG2	Up	NS	1.51	0.45	-	-
NQO2	Up	NS	2.53	0.19	-	-
$PNPLA2^{\dagger}$	Down	0.010	1.52	0.11	NS	-
NPEPL1	Down	0.022	4.59	0.12	NS	-
CREBZF	Up	0.016	2.12	0.12	0.050	7.253
2012, Dolinay et al.						
CASP1 [†]	Up	0.001	4.59	0.09	NS	-
IL18	Up	0.003	3.80	0.92	NS	-
IL1B	Down	NS	1.48	0.51	-	-
2013, Chen et al.						
HOPX	Down	NS	1.27	0.62	-	-
CYBRD1	Down	NS	1.47	0.56	-	-
UPB1	Up	NS	2.13	0.20	-	-
OCLN	Down	NS	1.35	0.76	-	-
C21orf7	NA	NA	NA	NA	NA	NA
HIST2H4B	Up	0.02	3.75	0.12	NS	-
TREM1	Up	NS	1.60	0.57	-	-
$HIST1H3H^{\dagger}$	Up	NS	1.50	0.52	-	-
CDKN1A [†]	Up	0.036	1.71	0.14	NS	-
BTNL8	Up	NS	2.08	0.35	-	-
HLA-DQB1	Up	NS	1.00	1.00	-	-
CDKN1C	Up	NS	1.02	0.95	-	-
2015, Kangelaris <i>et al.</i>						
OLFM4	Up	NS	4.08	0.29	-	-
MMP8	Up	NS	5.79	0.29	-	-
LCN2	Up	NS	1.93	0.53	-	-
CD24	Up	NS	2.37	0.29	-	-
HP	Up	0.05	3.30	0.16	NS	-
BPI	Up	NS	4.14	0.21	-	-
RETN	Up	NS	3.36	0.38	-	-
TCN1	Up	NS	1.54	0.63	-	-
SNORD1A	NA	NA	NA	NA	NA	NA
HCAR3 [‡]	Up	NS	1.51	0.68	-	-
$RBP7^{\ddagger}$	Up	NS	2.43	0.21	-	-
MME^{\ddagger}	Up	NS	2.50	0.50	-	-

Genes shown in boldface are differentially expressed in present study with P<0.05 and FDR <0.25.[†], genes that expressed in 2015 Kangelaris *et al.* study with FDR <0.25,[‡], a different alteration of gene expression in previous studies when compared between septic patients with hypoxemia versus without. NA, not included in the Affymetrix transcript cluster ID annotation; FDR, false discovery rate; NS, not significant.

be up-regulated in patients following activation by formylmethyinoyl-leucyl-phenylalanine (fMLP) and anti-FcɛI (20). Septic patients with hypoxemic phenotype held both higher levels of CD300A expression and serum creatine while no significant correlation was detected.

SOS1 and ELF1 were demonstrated with increased expression in septic patients with hypoxia compared to those without and were further confirmed ex vivo. SOS1 is involved in of mitotic spindle formation and proliferation (21) and was reported to be significantly upregulated in patients of high-altitude pulmonary edema (HAPE), followed by lymphocyte activation (22), which was in line with our results. ELF1 belongs to ETS family transcription factors. It has been proved to be expressed in T cells and regulate T cell activation and T cell specific cellular genes (IL-3 and granulocyte-macrophage colonystimulating factor genes) (23,24). In the study related to HIV infection, a down-regulated expression of CD3 ζ through ELF-1 inhibition was found on T cells, leading to T-cell anergy and lymphopenia (25). However, there remains lack of study regarding to its function in other infections or hypoxia condition.

Myeloid cells express members of the C-type lectin-like receptor (CTLR) family, including CLEC4D, which can function as pattern recognition receptors for several types of pathogens including fungi, bacteria and parasites, driving both innate and adaptive immunity. It was demonstrated that active tumor necrosis factor (TNF) and reactive oxygen species (ROS) production as well as phagocytosis followed CLEC4D triggering (26). Clec4d was detected on neutrophils and monocytes, but not be detectable on the surface of eosinophils, T cells, B cells, and natural killer (NK) cells (27), which might could partly explain the difference between ex vivo and in vivo parts. The increasing expression of CLEC4D shown in septic patients with hypoxemia compared to that of the group without hypoxemia may derive from that of peripheral neutrophils or monocytes, which were absent in ex vivo cell culture system. However, there is no relevant research about its change in hypoxia condition.

PRKD2 is one of isoforms among the calcium/ calmodulin-dependent protein kinase superfamily. Our study demonstrated that PRKD2 was up-regulated upon hypoxemia in septic patients while its down-modulation was detected in *ex vivo* culture system. PRKD2 has been reported to induce HIF-1 α accumulation in a low-oxygen environment, resulting in activation of NF- κ B, implying its alteration and effect on immune cells in hypoxia condition (28). Of note, most present studies of PRKD2 are focus on its function in tumor cell survival, proliferation, migration, and angiogenesis (29) as well as the pathogenesis of hyperinsulinemia with its deficiency in exocrine and endocrine cells of the pancreas (28), indicating its expression on other cells rather than T cells. Whether its function related to T cells or the underlying molecular mechanism remains to be investigated.

PSMA2 and PSMA5 are subunits of the proteasome family, the main proteolytic enzyme for the ubiquitinproteasome system, regulation of the cell cycle, apoptosis, angiogenesis, cell adhesion and transcription (30), involving in several important biological processes including immune surveillance and tissue protein content maintenance (31). Our study showed that PSMA2 and PSMA5 mRNA was up-regulated among septic patients with hypoxemia while their expressions were decreased upon hypoxia ex vivo. Of interest, the expression of these two genes in peripheral blood was reported to be negatively correlated with body mass index (BMI) in 52 healthy men (30). However, there was no correlation detected of these two genes with BMI of the included population or in the gender stratification (Figure S1). The proteasome generates antigenic peptides that are presented on MHC class II molecules to CD4⁺ T lymphocytes and promotes lymphocyte activation to produce antibodies (32) while the mechanism of PSMA2 and PSMA5 during the sepsis with or without hypoxemia has not been reported.

We also validated several genes previously identified in microarray studies of sepsis-related ARDS by our study. As summarized in Table 4, some of the candidate genes identified in prior gene analysis studies could be confirmed in our study, supporting validity to their potential relevance in ARDS development during septic process as well as to the approach in this study. Not all the candidate genes in prior studies held the similar alterations between the two cohorts in the present study. There might be several potential explanations as followed. The characteristics of the prior studies were listed in Table S1. First, the included population was not completely the same. The hypoxemia cohort was sepsis induced ARDS (8-11). The control group was septic population except for Dolinay study (10), which included patients with SIRS as control settings. Besides, the objective of this study was to understand early changes of T cell-related genes following different clinical phenotype during sepsis process and we targeted on the immunocompetent septic patients by excluding the patients if they had tumors, hematological or immunological disease,

or treatment with chemotherapy agents or corticosteroids within 6 months prior to hospitalization, which was not the case or reported in previous studies (8-11). More than 20% of the patients were cancer in both sepsis and sepsis with ARDS cohorts in Kangelaris study (8) and the proportion receiving the corticosteroids reached 65% in Howrylak study (9). These underlying effects on the peripheral blood gene expression should not be overlooked.

The inconsistency of the *in vivo* and *in vitro* results suggested these candidate genes were expressed by other cells than T cells while they would drive both innate and adaptive immunity alterations. In spite of T cell-related genes directly activated or up-regulated in hypoxemic phenotype, the number of peripheral lymphocyte count was decreased and higher illness severity was observed, indicating the likely involvement of the candidate genes in T cells exhausting (33) and cell death pathways including apoptosis, necroptosis, necrosis, and pyroptosis (34). Our analysis supports the recent findings, namely that T cellmediated alteration constitute an important pathophysiological mechanism of early sepsis, e.g., to transiently activation and subsequently to suppression (35,36).

Our study merges several strengths including enrollment of community-acquired sepsis and exclusion of the underlying condition that would influence the investigation of T cell-related genes alteration, prior clinical phenotyping of patients by hypoxemia, correlation of mRNA expression within candidate genes and with PaO₂/FiO₂, validation of candidate genes in *ex vivo* culture system and several genes identified in previous studies of sepsis with ARDS or hypoxemia, and analysis of potential mechanism of candidate genes. To our knowledge, this is the first study utilizing whole blood gene expression, focus on the T lymphocytes involved genes alteration following clinically hypoxemic phenotype in sepsis.

There are several limitations in our study as well. First, though we adopted the FDR <0.20, which seemed reasonable as prior literature suggested (8) and confirmed the difference seen in the candidate genes with the power calculation (*Table S2*), it requires further validation of candidate genes in a second study population. In addition, the sample size remains small and unconfirmed. Larger sample sizes would be needed to detect a gene signature upon hypoxemia in early sepsis. Third, genes detected by our approach are possible to be originated from circulating cells including the endothelial and epithelial cells and it remains investigation of their origination and interaction. Last, the causation between T cell-related gene expression alterations and hypoxemia in sepsis needs further investigation. Septic patients of hypoxemia phenotype held higher levels of illness severity and incidence of renal injury. The differentially expressed genes detected due to hypoxemia specifically, or rather due to multiple organ injury remains unclear. In addition, the timing of the hypoxemia occurrence could not be exactly captured in spite of the identification by P/F, which was also affected by the therapy strategy, like mechanical ventilating settings.

Conclusions

Our findings show septic patients with hypoxemic phenotype hold higher illness severity and incidence of lymphopenia as well as upregulated T lymphocytes function involved gene signatures of cell death and inhibitory receptors. The discovery suggests the potential association of T lymphocyte related genes alterations with distinct subphenotypes by hypoxemia during sepsis and their causal relationship would be worthy of further investigation in the future.

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Footnote

Conflicts of Interest: The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The clinical study part was conducted in accordance with the amended Declaration of Helsinki. All the included subjects were from sepsis biological specimen bank from Department of Critical Care, Zhongda Hospital. The sepsis biological specimen bank was registered in 2017 by approval and supervise of Institutional Ethics Committee of Zhongda Hospital (Registration number: 2017ZDSYLL105). Written informed consent was obtained from all patients

or their surrogate when possible. The Animal Care and Use Committee of Southeast University approved all experiments involving the use of animals.

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Figure S1 Correlation analysis of BMI with PSMA2 and PSMA5. BMI, body mass index; PSMA, proteasome subunit alpha.

Table S1 Characteristics of prior studies with gene expressions in sepsis-induced ARDS or hypoxia

Study	Patients enrollment	Group setting	Factors that would affect the T cell related gene expression	Blood sample
2009, Howrylak <i>et al.</i> (9)	Patients admitted to the MICU for 48 h or less who were intubated and receiving mechanical ventilation	Sepsis only (n=20) vs. sepsis and ALI (n=13)	No exclusion for past history of tumor, chemotherapy, hematology malignancy or medication that influence the immune system	At the time of enrollment in the study, whole blood was collected for RNA preservation
	Patients were classified as having both sepsis and ALI if they met the criteria for sepsis as defined by the SCCM statement, and the criteria for ALI as defined by the AECC on ARDS		Patient characteristics	The GeneChip Human Genome U133A 2.0 Array (Affymetrix, Santa Clara, CA) was applied
	Patients were classified as having sepsis only if they met only the above criteria for sepsis		• Cancer: 5% in ALI+ sepsis <i>vs.</i> 0 in sepsis;	
	Patients with sepsis and bilateral infiltrates who did not meet the AECC criteria for ALI were excluded in the study		• Immunosuppression: 15% in ALI+ sepsis <i>vs.</i> 5% in sepsis;	
			• Corticosteroids use: 62% in ALI+ sepsis <i>vs.</i> 65% in sepsis	
2012, Dolinay <i>et al.</i> (10)	Patients were classified as SIRS, sepsis or sepsis with ARDS based on information available at the first 48 hours following MICU hospitalization. SIRS and Sepsis was identified according to the 2001 SCCM/ ESICM/ACCP guidelines. ARDS was defined according to American-European Consensus Conference on ARDS guidelines	SIRS (n=19) <i>vs.</i> Sepsis+ ARDS (n=18)	No exclusion for past history of tumor, chemotherapy, hematology malignancy or medication that influence the immune system	Whole blood samples of 2.5 mL were collected within 48 h following MICU admission
			No reports in patient characteristics of the past history of tumor, chemotherapy, hematology malignancy or medication that effected the immune system, or use of corticosteroids	The Illumina Human HT- 12 v4 BeadChip arrays (Illumina) was applied
2013, Chen <i>et al.</i> (11)	The same with the study of 2009, Howrylak <i>et al.</i>			
2015, Calfee <i>et al</i> . (8)	Critically ill patients admitted via the emergency department were enrolled at the time of triage to the ICU. Patients from this cohort who met consensus criteria for severe sepsis according to 2012	Sepsis only (n=28) vs. Sepsis ARDS (n=29)	No exclusion for past history of tumor, chemotherapy, hematology malignancy or medication that influence the immune system;	Whole blood was collected within 24 h of ICU admission for the isolation of RNA
	Patients were defined as having ARDS if they met criteria as defined by the Berlin definition of ARDS within 24 h of enrollment to the study		Patient characteristics	
	Patients were also excluded if whole blood suitable for RNA isolation was not collected		• Cancer: 21% in sepsis only <i>vs.</i> 52% in sepsis ARDS	The Affymetrix Human GeneChip Gene 1.0 ST array (Affymetrix, Santa Clara, CA) was applied

	Non-hypoxemic N Normalized intensity (mean ± SD)			Hypoxemic	Mean	Alpha	Poto	Power (1-beta)
Gene symbol			Ν	Normalized intensity (mean \pm SD)	difference	(significance level)	Dela	
NLRP3	6	7.649±0.714	9	9.25±1.157	1.601	0.05	0.065	0.935
PSMA5	6	12.14±0.823	9	13.67±0.902	1.53	0.05	0.058	0.942
CLEC4D	6	9.278±1.606	9	11.76±1.707	2.518	0.05	0.135	0.865
SOS1	6	6.216±1.43	9	7.976±1.039	1.76	0.05	0.223	0.777
CD300A	6	9.462±1.354	9	10.91±0.7057	1.448	0.05	0.293	0.706
ELF1	6	9.278±1.077	9	11.05±0.9506	1.772	0.05	0.077	0.923
PRKD2	6	7.868±1.311	9	9.487±0.9846	1.619	0.05	0.225	0.775
STAT3	6	8.687±0.950	9	10.05±0.673	1.363	0.05	0.121	0.879
PSMA2	6	10.18±1.062	9	11.82±0.986	1.64	0.05	0.116	0.883

Table S2 The power calculation for the detected normalized intensity difference of candidate genes

The power calculation for the detected normalized intensity difference of candidate genes between groups with the present sample size was performed by Power Analysis and Sample Size (PASS) software (PASS 2008. NCSS, LLC. Kaysville, Utah, USA). For the current sample size of 9 in septic group with hypoxemia and 6 in that without and the detected normalized intensity difference of candidate genes, the detailed variables were set as above. Normalized intensity values were recorded from the microarray analysis. Mean difference = Mean (Hypoxemic) - Mean (Non-hypoxemic). The Alpha value of 0.05, as a level of significance was applied for estimation. The value of beta and respective power were obtained from power analysis of a non-Inferiority test of the difference of two means by PASS.