Future perspective of gastric cancer endotherapy

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Abstract: Endoscopic resection of early gastric cancer (EGC) has proven safety and efficacy, and is the established standard of care in Japan. In the past decade, it is increasingly established worldwide. The endoscopic submucosal dissection (ESD) is superior to endoscopic mucosal resection (EMR) technique as it is designed to provide adequate staging and long-term curative therapy—based on the *en bloc* R0 specimen irrespective of the size and/or location of the tumor coupled with the reliable pathological specimen. However, ESD is still requiring skilled and experienced endoscopist to perform because of complex procedures, higher complication and causing long-time consuming. The learning and application of these relatively complex endoscopic techniques for EGC has been shown across the world. Thus, a standardized ESD training system is urgently needed to disseminate safe and effective ESD technique to practices with limited ESD experience. In recent years, several innovations providing solutions to easier and safer performance of ESD have emerged. Those increase control of surgical effectors manipulating the target tissue, and enhance performance in complex surgical tasks. Very recently, the use of the laparoscopic and endoscopic cooperative surgery (LECS) procedure is indicated for EGC that would be difficult to treat with ESD. As an ultimate gastric cancer endotherapy with a reasonable surgical time, LECS might be promising method at this stage. The indications for LECS for EGC could be expanded in the future, which could result in increasingly successful gastric cancer treatment.

Keywords: Endoscopic submucosal dissection (ESD); early gastric cancer (EGC); lymph node metastasis; pathological staging; laparoscopic and endoscopic cooperative surgery (LECS)



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Principle of endoscopic resection

Early gastric cancer (EGC) is defined when the cancer invasion is confined to the mucosa or submucosa (T1 cancer), regardless of the presence of lymph node metastasis (1). The five-year cancer-specific survival rates in patients who underwent gastrectomy with lymph node dissection for EGC limited to the mucosa or the superficial submucosa were 99% and 96%, respectively (2,3). Because the presence of lymph node metastasis is a strong predictor on patients' prognosis (4). Considering reductions of quality of life after gastrectomy (5) and low risk of lymph node metastasis (up to 3%), surgery to remove intramucosal gastric cancer might be excessive for the majority of patients.

In comparison, surgery in the majority is appropriate when the cancer involves the deep submucosa where the

incidence of lymph node metastasis increases to as high as 20% (6). A stratification method to identify patients who have negligible risk for developing lymph node metastasis would thus optimize the selection of patients who can be cured by endoscopic resection and thus avoid the risks of surgery. The ideal patients for endoscopic resection are those who have a lower mortality risk from metastasis as compared to that from surgery (7). Pathological staging would be the best predictor of the risk of lymph node metastasis (8,9). The major advantage of endoscopic resection is the ability to provide an accurate pathological staging without precluding future surgical therapy (10,11). After endoscopic resection, pathological assessment of depth of cancer invasion, degree of cancer differentiation and involvement of lymphatics or vessels allows the prediction of the risk of lymph node metastasis (12).

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metastasis [modified from Refs (26,27)]		
	Incidence (no with	
Criteria	metastasis/total	95% CI
	number)	
Intramucosal cancer	0/1,230; 0%	0-0.3
Differentiated (well and/or		
moderately differentiated and/or		
papillary adenocarcinoma) type		
No lymphatic-vessel		
involvements		
Irrespective of ulcer findings		
Tumor size less than 3 cm in size	e	
Intramucosal cancer	0/929; 0%	0-0.4
Differentiated type		
No lymphatic-vessel		
involvements		
Without ulcer findings		
Irrespective of tumor size		
Intramucosal cancer	0/310; 0%	0-0.96
Undifferentiated (poorly		
differentiated adenocarcinoma		
and/or signet-ring cell		
carcinoma) type		
No lymphatic-vessel		
involvements		
Without ulcer findings		
Tumor less than 2 cm in size		
Minute submucosal penetration	0/145	0-2.5
(sm1)	0, 1 10	0 2.0
Differentiated type		
No lymphatic-vessel		
involvements		
Tumor less than 3 cm in size		

Table 1 Early gastric cancer with no risk of lymph node

Endoscopic submucosal dissection (ESD) technique was developed to extend the ability of Endoscopic mucosal resection (EMR) to remove lesions *en bloc* larger than 2 cm (13,14), as EMR is limited to the resection of small tumors. It is also known that piecemeal resections in lesions >2 cm lead to a high-risk for local cancer recurrence and inadequate pathological staging (15,16). ESD allows for large "*en bloc*" resection regardless tumor size, location and/or submucosal fibrosis and allow a precise pathological staging (17-19). ESD is the most gratifying for patients with EGC because of its minimally invasive and curative potentials. It is increasingly used globally (20,21).

Indication for endoscopic resection

The traditional criteria for endoscopic resection of EGC were founded on the technical limitation of EMR to remove gastric lesions less than 2 cm in diameter *en bloc*. The empirical indications for EMR include (22): (I) papillary or tubular (differentiated) adenocarcinoma; (II) less than 2 cm in diameter; (III) without ulceration within tumor; (IV) no lymphatic-vascular involvement.

Clinical observations have noted however that the empirical indications for EMR were too strict and had led to unnecessary surgery. Therefore, expanded criteria for endoscopic resection have been proposed especially after large en bloc resection could be technically being accomplished using ESD (23). The large number of patients included in the study reported by Gotoda and colleagues was instrumental in defining the expanded criteria as its 95% confidence intervals (CI) were narrow (24). In a study involving 5,265 patients who had undergone gastrectomy with careful lymph node dissection and pathological analysis, the risks of lymph node metastasis can be clustered to a number of pathological findings of the involved mucosa and submucosa: macroscopic appearance, size, depth, differentiation of cancer, lymphatic and vascular involvement. This seminal work provides one of the pillars of endoscopic resection of EGC. In addition, recent data showed that no lymph node metastasis was found in 310 patients with poorly differentiated adenocarcinoma and/or signet-ring cell EGC, less than 2 cm in diameter, without ulceration and without lymphatics or vascular involvement (95% CI: 0-0.96%) (25). The current indication of endoscopic resection for patients with EGC today is called the Expanded Criteria for Endoscopic Resection in EGC (Table 1).

The Paris classification of superficial neoplasia of the gastrointestinal tract provides another pillar. It allows standardization of the endoscopic appearance of EGC, which is then useful to estimate tumor depth and likelihood of risk of lymph node metastasis (28). The *en bloc* resected specimen provides further information on size, depth, differentiation of cancer and lymphatic-vascular involvement through pathological assessment.

Outcomes of endoscopic resection

The long-term outcomes of patients who were treated by endoscopic resections have provided the ultimate proof

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of its safety and efficacy. The long-term outcomes after EMR for small differentiated mucosal EGC less than 2 cm in diameter have been reported to be comparable to those following gastrectomy (29-31). Patients who underwent ESD following the expanded criteria have similar long-term survival and outcomes as patients treated according to the traditional criteria (32). The 5-year survival rate was 92% in patients with traditional criteria group, 93% in the expanded criteria group. There was no significant difference in overall survival between both groups (multivariable-hazard ratio; 1.10, 95% CI 0.67-1.81).

The criteria are best used by comparing the risk of developing lymph node metastasis or distant metastasis against the risk of surgery and considering patients' morbidities and preferences (26,27). It is very important to understand that the expanded criteria were developed to identify which patients have a low risk of lymph node metastasis. Patients meeting the criteria incur a risk of lymph node metastasis up to the upper limit of 95% CI (33,34).

Complications

Although delayed bleeding is thought as the most common complication occurring in up to 8% of patients undergoing gastric ESD (35). Acute bleeding may obscure the visual field, leading to a higher risk of complications. Therefore, endoscopic hemostasis should be immediately performed step by step. Small vessels can be coagulated using the ESD knife by forced coagulation mode, 50W (ESG-100, Olympus Medical Systems or ICC200, ERBE, Germany). Larger vessels should be coagulated by special designed hemostatic forceps (FD-410LR, Olympus Medical Systems) using soft coagulation, 80W (ESG-100, Olympus Medical Systems or ICC200, ERBE, Germany) (36).

After dissection has been completed, further hemostasis is performed on visible vessels to minimize delayed bleeding. The hemostatic forceps using soft coagulation mode is used to coagulate any visible small vessels (37). Excessive coagulation in the area of the exposed muscle layer of the ESD defect should be avoided because of the risk of delayed perforation. Delayed bleeding, manifested by hematemesis or melena at 0-30 days after the procedure, are treated by emergent endoscopy, performed after resuscitation (what does this mean?), using similar techniques (38).

Perforations are typically closed by endoclips (39). If pneumoperitoneum is significant, the patient may develop respiratory compromise or even shock. Thus, decompression of the pneumoperitoneum must be immediately performed. In order to prevent gastric perforations and facilitate ESD procedure recently polyethylene glycol or sodium hyaluronate as an injection agent have been reported. These agents remain longer in the submucosa and create a more clear dissection layer (40,41). The use of CO_2 to insufflated the stomach during ESD is also extremely useful as CO_2 is readily absorbed should perforation occurs. No evidence of peritoneal dissemination and/or lymph node metastasis caused by gastric perforation has been reported (42).

Training

The learning and application of these relatively complex endoscopic techniques for EGC has been shown across the world (43-46). Most Japanese experts set the level of expertise at 50-100 cases in order to become proficient in gastric ESD (47), requiring a trainee to perform at least 30 gastric ESD cases under the supervision of an expert to gain basic proficiency in this technique (48). Participation in hands-on courses with isolated or live animal stomach as well as live demonstrations is vital to accelerate the learning curve.

A standardized ESD training system is urgently needed to disseminate safe and effective ESD technique to practices with limited ESD experience. The panel concluded that preceptees should observe and attend ESD procedures as an assistant in at least 20 and 5 cases, respectively, in order to understand a wide variety of ESD procedures and strategies to develop trouble-shooting abilities (49). The trainee should start with antral lesion less than 20 mm in diameter without ulcer as it has the lowest risk of non-curative resection (50), and then progress to lesions in distal stomach and proximal stomach (51). Submucosal dissection has been shown to be more difficult than mucosal incision, mostly related to uncontrollable hemorrhage (52).

Western ESD experts to supervise ESD training are limited, and virtual simulators for ESD are not yet available. Thus, the use of animal models to facilitate the early training of ESD is important in order to minimize the higher complications at the beginning of the learning curve in humans. Proper use of ex vivo and in vivo animal models is performed in an animal facility under the direction of specialized veterinarian with dedicated equipment and standardized set up resulting in an effective learning strategy (53,54). A European group assessed the impact on 18 experienced endoscopists who participated in a 2-day training course that included seminars and hands-on training with living pigs, and was supervised by experts in ESD (55). The use of models allows endoscopists to ascend

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the learning curve in a relatively short time, and enhance the safety and efficacy of the patient experience. Finally, the technical expertise, training opportunities and backgrounds of endoscopist embarking on ESD in the West differ significantly than their Eastern counterparts (56,57).

Limitations of standard endoscopic resections

Although ESD is a well-accepted minimally invasive procedure, the procedure requires high technical skills on the part of the operator, is time consuming, and is associated with a high rate of procedural related complications. The major problem lies in the lack of a suitable endoscopic platform and instruments for its performance. Conventional endoscopes were never designed to support the performance of intricate procedures such as ESD. Operation of current endoscopy system suffers severe lack of dexterity. Precision in maneuvers is very difficult to achieve, resulting sometimes in inadvertent incisions leading to bleeding, and even perforation of the gastrointestinal wall. As all current standard endoscopic resections are deployed on a single axis in line with the endoscope, off-axis motions such as the triangulation of surgical instruments are rendered almost impossible.

Furthermore, due to the sheer length of the endoscope, the force transmission from the operator is diminished by time it reaches the target. This results in insufficient force for effectual triangulation, counter-traction and dissection of the tissue (58). With CO_2 insufflations manually controlled through the endoscope, continuous maintenance of optimal internal pressure to maintain luminal space and full view of surgical field is not easy. Thus, ESD remains a procedure only performed by those highly skilled in performing intricate endoscopic interventions.

Innovations in ESD instrumentations and future possibilities

In recent years, several innovations providing solutions to easier and safer performance of ESD have emerged, with a few having been commercialized since. Most of these innovations are in the form of auxiliary devices designed to overcome specific technical limitations of current ESD instrumentation, although a few are complete modified therapeutic systems enhanced and/or redesigned to support not only the performance of endoscopic procedures.

Auxiliary endoscopic devices developed thus far address only specific technical shortcomings of currently used therapeutic endoscopic systems. Most notably, the Japanese endoscope manufacturer, Olympus, has delivered the Endolifter[®], a dedicated retraction device designed specifically to support performance of ESD (59). Endolifter® makes possible simultaneous grasping, retracting and lifting of the mucosa during ESD, resulting in better visualization of the cutting line in the submucosal tissue layer. Other innovations providing similar benefits include the most recent Endo-Dissector, a German prototype instrument designed specifically for ESD (60), and the Maryland dissector made in the USA (61). Other devices designed to facilitate adequate exposure of the submucosal layer during ESD include a grasping-type scissors forceps (GSF) to grasp and lift the submucosa (62), a rubber strip-based traction device (S-O clip), which when applied to the colon wall enables traction on the edge of the lesion (63), an Impact Shooter (TOP Corp, Japan), which when deployed with the usual therapeutic endoscope provided a two-point fixed ESD system that allows expansion of the mucosal dissection surface to ensure a sufficient visual field throughout the ESD procedure (64), and an externally deployed magnetic controlling device to facilitate magnetic anchor-guided ESD (MAG-ESD) through mucosal lifting for gastric submucosal dissection (65).

More advanced systems include multi-tasking endoscopic platforms such as the EndoSAMURAI (Olympus, Japan) (66), Direct Drive Endoscopic system (DDES) (Boston Scientific, Massachusetts, USA) (67), and the TransPort[™] Multi-lumen Operating Platform (USGI medical, California, USA) (68). The EndoSAMURAI is designed with two additional independent flexible channels besides the usual working channel to allow convenient independent deployment of interchangeable surgical instruments.

Although all the aforementioned innovations are significant improvements over the current endoscopy system, these new platforms still fall short of providing a complete solution to the technical problems faced by therapeutic endoscopists today. The solution in sight probably lies in robotics. In a first attempt of its kind, Ho et al. had designed a novel robotic-enhanced Master and Slave Transluminal Endoscopic Robot (MASTER) (69). Unlike the other contemporary innovations, MASTER uses robotic technology to facilitate full instrumental mobility and completely separates control of end-effector's motion from that of endoscopic movement. Surgical tasks are instead independently and intuitively executed via a human-machine interface, by a second operator. This enables bimanual coordination of interchangeable effecter instruments to facilitate actions such as retraction/

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exposure, traction/counter traction, approximation and dissection of tissue (70,71). The MASTER is currently being further developed with an array of auxiliary devices and swappable end-effectors to support both endoluminal and transluminal endoscopic surgery. A dedicated suturing system for safe luminal closure with MASTER is in early stage of development. In terms of providing a safe surgical environment within the abdomen, the use of MASTER with steady pressure automatically controlled endoscopy (SPACE) (72), a novel platform for flexible gastrointestinal endoscopy developed by Endeavor for Next Generation of Interventional Endoscopy (ENGINE) in Japan is currently being explored. They increase control of surgical effectors manipulating the target tissue and enhance performance in complex surgical tasks.

Very recently, the use of the laparoscopic and endoscopic cooperative surgery (LECS) (73) procedure is indicated for EGC that would be difficult to treat with ESD, including large lesions located at the greater curvature of the gastric body or fornix, or for lesions with strong ulcerative changes (74). As same concept, to prevent the risk of cancer cells seeding during open gastrectomy, non-exposure gastric wall fullthickness resection techniques such as "CLEAN-NET" (75) or "NEWS" (76) have been developed. CLEAN-NET is a technique for the full-thickness resection of the stomach wall using only laparoscopy and then using endoscopy to confirm the dissected line, whereas NEWS is a technique that uses endoscopy to assist with the laparoscopic approach. However, the mucosal layer shifts significantly from the seromuscle layer during surgery, so that the muscle layer and sero-muscle layer may be incorrectly dissected using CLEAN-NET and NEWS. These can result in the inappropriate resection of the stomach wall.

LECS is safe and feasible, with a reasonable surgical time. If the sentinel lymph node concept is established in the surgical treatment for gastric cancer, then the indications for LECS for EGC could be expanded in the future, which could result in increasingly successful gastric cancer treatment.

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